

Domain Wall and Overlap Fermions in 2+1D

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The Big Picture

- Lattice theories are needed to look at strongly coupled physics.
 - Phase transitions and critical phenomena are important properties to investigate.
 - Layered systems such as graphene, of immediate practical significance, may be described by 2+1D physics.
 - Different lattice theories of the same continuum theory give different results for the location of critical phenomena.
 - This presentation will focus on the locality of overlap and domain wall fermions in 2+1D and the recovery of U(2) symmetry in the continuum limit
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Euclidean Continuum Formulation

$$S = S_F + S_G$$

QED3:

$$S_F[\psi_i, \bar{\psi}_i, A] = \int d^3x \bar{\psi}_i (\gamma_\mu (\partial_\mu + iA_\mu) + m) \psi_i$$

$$S_G[A] = \frac{1}{4g^2} \int d^3x F_{\mu\nu} F_{\mu\nu}$$

Thirring:

$$S[\psi_i, \bar{\psi}_i] = \int d^3x \bar{\psi}_i (\gamma_\mu \partial_\mu + m) \psi_i + \frac{g^2}{2N} (\bar{\psi}_i \gamma_\mu \psi_i)^2$$

$$S_G[A] = \frac{N}{2g^2} \int d^3x A_\mu^2$$

3+1D Continuum - symmetries

$$\Psi \rightarrow e^{i\alpha} \Psi ; \bar{\Psi} \rightarrow \bar{\Psi} e^{-i\alpha} \quad U(1) \otimes U(1) \rightarrow U(1)$$

$$\Psi \rightarrow e^{i\alpha\gamma_5} \Psi ; \bar{\Psi} \rightarrow \bar{\Psi} e^{i\alpha\gamma_5}$$

$$\gamma_5 D + D\gamma_5 = 0$$

2+1D Continuum – adds further symmetries

$$\Psi \rightarrow e^{i\alpha\gamma_3\gamma_5} \Psi ; \bar{\Psi} \rightarrow \bar{\Psi} e^{-i\alpha\gamma_3\gamma_5} \quad U(2) \rightarrow U(1) \otimes U(1)$$

$$\Psi \rightarrow e^{i\alpha\gamma_3} \Psi ; \bar{\Psi} \rightarrow \bar{\Psi} e^{i\alpha\gamma_3}$$

$$\gamma_3 D + D\gamma_3 = 0$$

... enabling the anti-hermitian masses

$$m \rightarrow -im\gamma_3 \quad m \rightarrow -im\gamma_5$$

2+1D on the lattice – Ginsparg Wilson relations

$$\gamma_5 D + D \gamma_5 = a D \gamma_5 D$$

$$\gamma_3 D + D \gamma_3 = a D \gamma_3 D$$

$$\Psi \rightarrow e^{i\alpha\gamma_5(1-\frac{aD}{2})} \Psi ; \bar{\Psi} \rightarrow \bar{\Psi} e^{i\alpha\gamma_5(1-\frac{aD}{2})}$$

$$\Psi \rightarrow e^{i\alpha\gamma_3(1-\frac{aD}{2})} \Psi ; \bar{\Psi} \rightarrow \bar{\Psi} e^{i\alpha\gamma_3(1-\frac{aD}{2})}$$

Recovery of U(2) requires ...

$$aD \rightarrow 0 \text{ as } a \rightarrow 0$$

which requires D to be exponentially local ...

Overlap Fermions in 2+1D

$$D_{OL} = \frac{1+m}{2} + \frac{1-m}{2}V \quad \text{Standard}$$

$$D_{OL}^3 = \frac{1-im\gamma_3}{2} + \frac{1+im\gamma_3}{2}V \quad \text{Alt. mass}$$

$$V = \text{sgn}(H) \quad \dots \text{ must be approximated } \dots$$

$$H_W = \gamma_{3/5} D_W \quad \text{Wilson kernel}$$

$$H_S = \gamma_{3/5} \frac{D_W}{2 + D_W} \quad \text{Shamir kernel}$$

Truncated Overlap

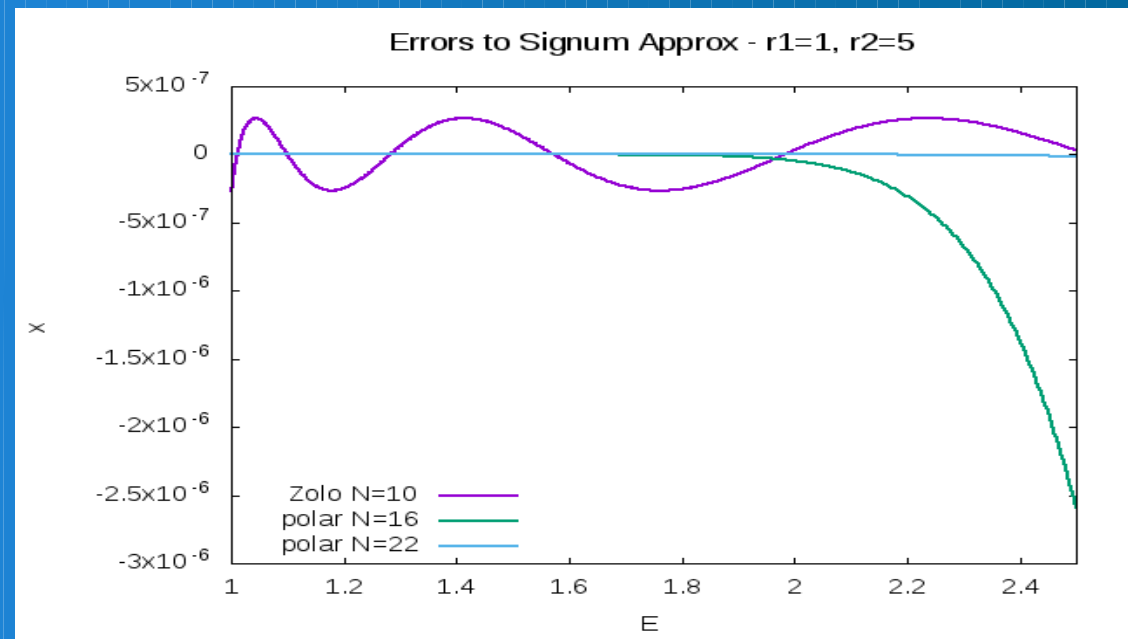
- Approximate sign function with rational function

$$\text{sgn}(x) = cx \frac{\prod_i x^2 - n_i}{\prod_j x^2 - d_j}$$

$$\text{sgn}(x) \approx \tanh(n \tanh^{-1} x) = xn \frac{\prod_{j=1}^{n/2-1} [x^2 + (\tan \frac{j\pi}{n})^2]}{\prod_{j=0}^{n/2-1} [x^2 + (\tan \frac{(j+1/2)\pi}{n})^2]}$$

Hyperbolic Tanh

Zolotarev



Domain Wall Fermions

With the massive Wilson Dirac operator and projectors ...

$$D_W \equiv D_W(-M) \quad P_{\pm} = \frac{1 \pm \gamma_{3/5}}{2}$$

... the usual domain wall Dirac operator is ...

$$D_{DW}(m) = \begin{pmatrix} D_W + I & -P_- & 0 & mP_+ \\ -P_+ & D_W + I & -P_- & 0 \\ 0 & -P_+ & D_W + I & -P_- \\ mP_- & 0 & -P_+ & D_W + I \end{pmatrix}$$

... and the fermion is found on the walls via ...

$$q(x) = P_+ \Psi(x, N) + P_- \Psi(x, 1)$$

$$\bar{q}(x) = \bar{\Psi}(x, 1)P_+ + \bar{\Psi}(x, N)P_-$$

... allowing for fermionic measurements ... $C = \frac{1}{V} \langle \bar{q}(x)q(x) \rangle$

Relation between (Truncated) Overlap and Domain Wall Operators

$$K_{DW} \equiv C^\dagger D_{DW}^{-1}(1) D_{DW}(m) C$$

$$C = \begin{pmatrix} P_- & P_+ & 0 & 0 \\ 0 & P_- & P_+ & 0 \\ 0 & 0 & P_- & P_+ \\ P_+ & 0 & 0 & P_- \end{pmatrix}$$

$$K_{DW} = \begin{pmatrix} D_{OL}(m) & 0 & 0 & 0 \\ -(1-m)\Delta_2^R & 1 & 0 & 0 \\ -(1-m)\Delta_3^R & 0 & 1 & 0 \\ -(1-m)\Delta_4^R & 0 & 0 & 1 \end{pmatrix}$$

Brower, R, Neff, H, Originos, K, *Comput. Phys. Commun.* 220 (2017), [arXiv:1206.5214](#)

Alternative mass does not alter form of Pauli-Villars term:

$$K_{DW}^{M3} = C^\dagger D_{DW}^{-1}(1) D_{DW}^{M3}(m) C$$

Hands, S, *Physics Letters B*, Volume: 754, 2016, [arXiv:1512.05885](#)

Calculations

- Dynamic Thirring “Gauge” Fields
 - Nf=1 required for theory to have phase transition
 - RHMC (Rational Hybrid Monte Carlo) required for Nf=1
 - Ns=Nt=16

$$\frac{1}{g_c^2} \approx 0.3$$

Truncated Overlap Operator

- Direct Calculation with rational functions
- Domain wall calculation with K_{DW}

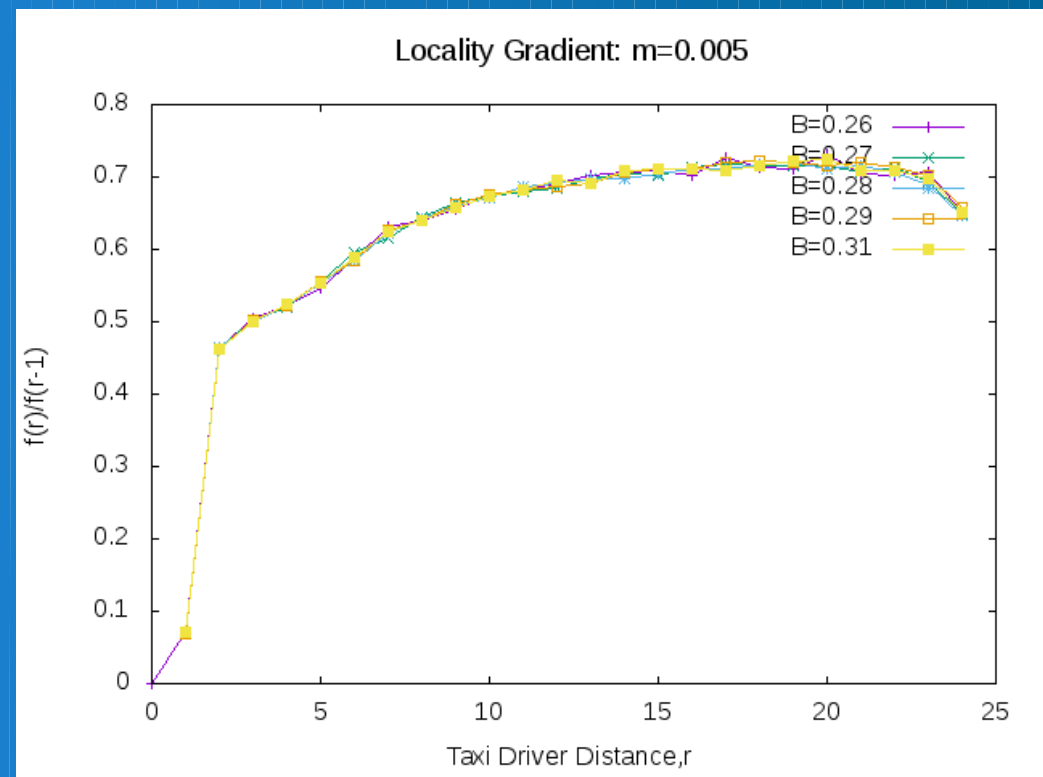
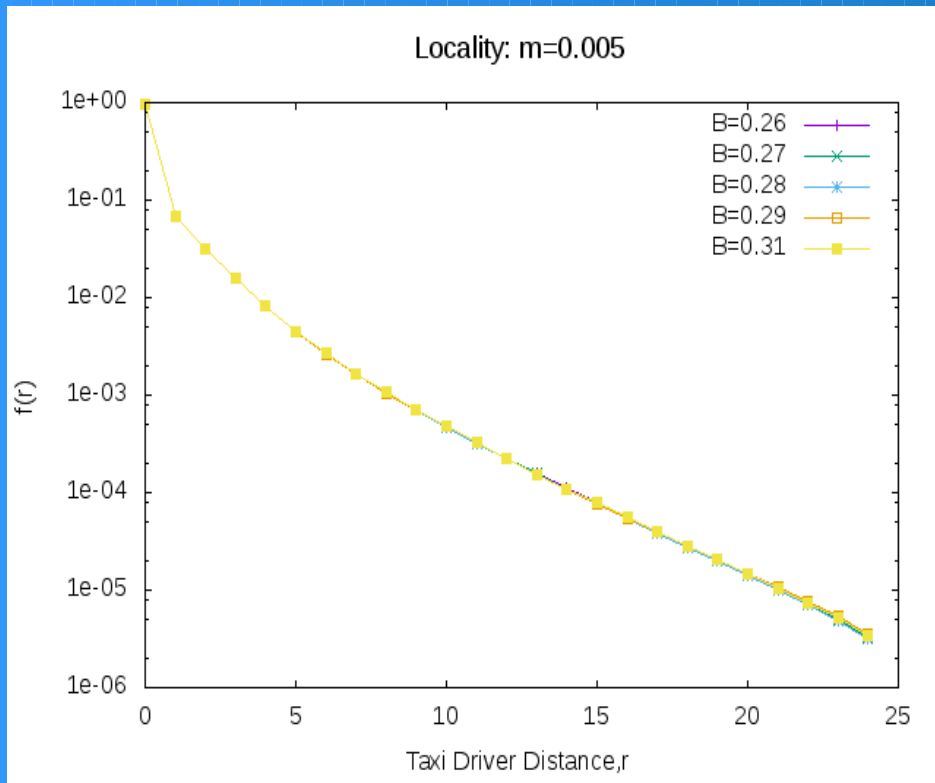
Hands, S, Phys. Rev. D 99, 2019, arXiv:1811.04818

Hands, S, Mesiti, M, Worthy, J, in preparation.

Locality

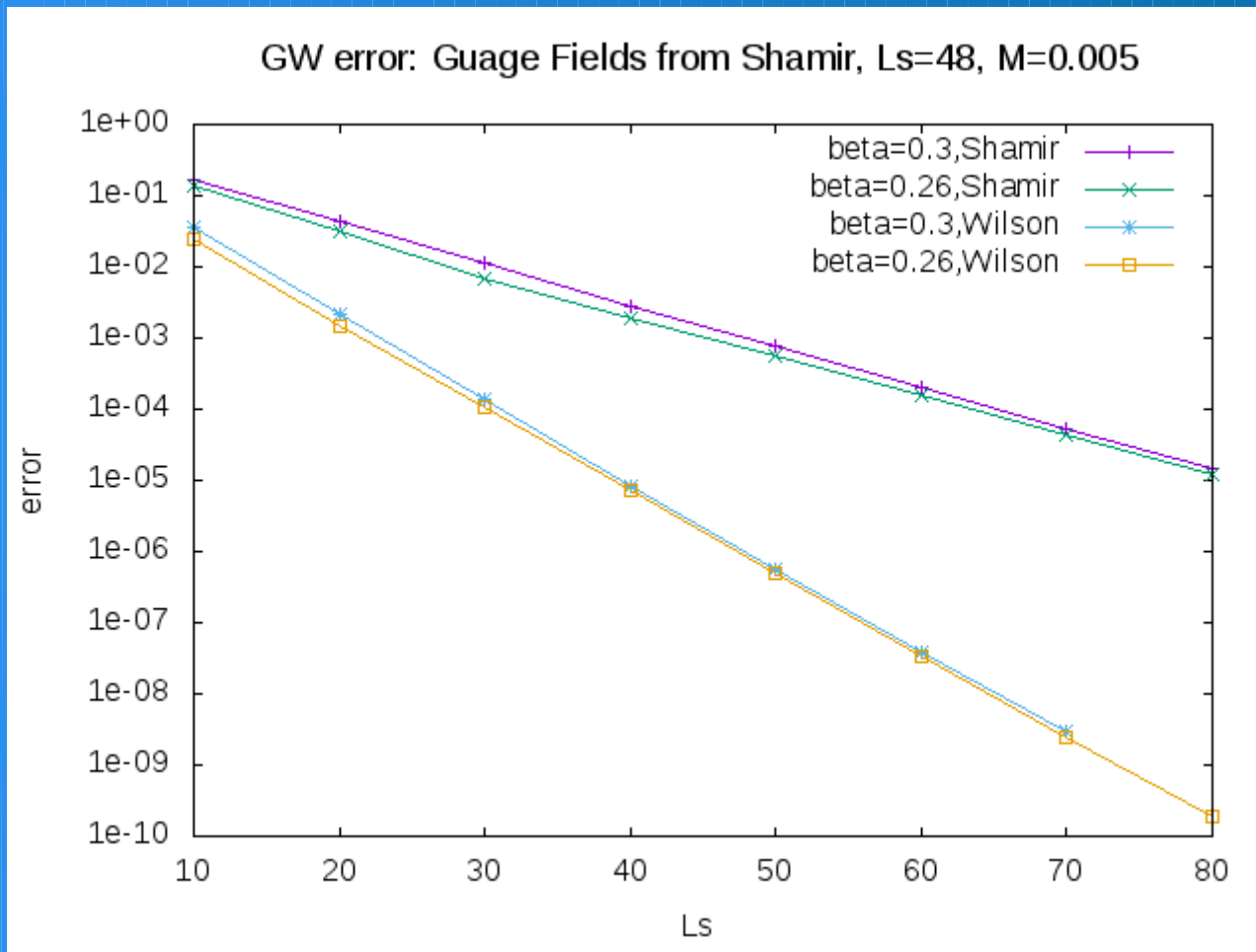
$$\psi(x) = D_{OL}\eta(x)$$

$$f(r) = \max\{\|\psi(x)\|_2 : \|x - y\|_t = r\}$$



Ginsparg-Wilson error

$$\text{err}_{GW} = \|(\gamma_3 D_{OL} + D_{OL} \gamma_3 - 2D_{OL} \gamma_3 D_{OL})\phi\|_{\text{inf}}$$



Summary

- Recovery of $U(2)$ symmetry for GW Dirac operators in the continuum limit requires exponential locality
 - We numerically demonstrated
 - locality of the (truncated) overlap operator, and hence of domain wall fermions near a critical region with a 2+1D Thirring model.
 - the recovery of the GW relations in the large L_s limit of the (truncated) overlap.
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Formulation I - Partition Related Functions

$$Z \equiv \int \mathcal{D}[U] \exp(-S_G[U]) Z_F \quad Z_F \equiv \int \mathcal{D}[\Psi, \bar{\Psi}] \exp(-\bar{\Psi} D \Psi)$$

$$\langle O \rangle_F \equiv \int \mathcal{D}[\Psi, \bar{\Psi}] O_F[\Psi, \bar{\Psi}] \exp(-\bar{\Psi} D \Psi)$$

$$\langle O \rangle_G \equiv \int \mathcal{D}[U] O[U] \exp(-S_G[U])$$

$$\langle O \rangle \equiv \frac{1}{Z} \langle \langle O \rangle_F \rangle_G$$

$$Z = \langle Z_F \rangle_G \quad \frac{\partial Z_F}{\partial m} = -\text{Tr}[D^m D^{-1}] \det(D)$$

$$\frac{\partial^2 Z_F}{\partial m^2} = (\text{Tr}[D^m D^{-1}]^2 - \text{Tr}[(D^m D^{-1})^2]) \det(D)$$

Formulation II - Condensate

$$D = D^0 + mD^m \quad D_{OL}^M = \frac{1}{2} - \frac{1}{2}V \quad D_{OL}^{M3} = \frac{-i\gamma_3}{2} + \frac{i\gamma_3}{2}V$$

$$C \equiv \frac{\partial \ln Z}{\partial m} = \langle \bar{\psi} D^m \psi \rangle = \frac{1}{Z} \langle \text{Tr}[D^m D^{-1}] Z_F \rangle_G = \frac{1}{Z} \langle T Z_F \rangle_G$$

$$T_{OL} = \text{Tr}\left[\frac{1}{1-m}(D_{OL}^{-1} - 1)\right] \quad T_{OL}^3 = \text{Tr}\left[\frac{1}{i\gamma_3 - m}((D_{OL}^3)^{-1} - 1)\right]$$

$$Z_F^{OL} = \det[D_{DW}(1)] Z_F^{DW}$$

$$C^{DW} = \frac{1}{Z^{DW}} \left\langle \frac{\langle \bar{\psi} D_{OL}^m \psi \rangle_F}{\det[D_{DW}(1)]} \right\rangle_G$$

Formulation III - Susceptibility

$$\chi \equiv \frac{\partial C}{\partial m} = \frac{\partial^2 \ln Z}{\partial m^2} = \langle \bar{\psi} D^m \psi \bar{\psi} D^m \psi \rangle - \langle \bar{\psi} D^m \psi \rangle^2$$

$$\chi = \frac{1}{Z} \langle \text{Tr}[D^m D^{-1}]^2 Z_F^2 \rangle_G - \frac{1}{Z} \langle \text{Tr}[(D^m D^{-1})^2] Z_F \rangle_G - \left(\frac{1}{Z} \langle \text{Tr}[D^m D^{-1}] Z_F \rangle_G \right)^2$$

$$\chi_{OL} = \frac{1}{Z} \langle T_{OL}^2 Z_F^2 \rangle_G - \frac{1}{Z} \langle \text{Tr} \left[\frac{1}{(1-m)^2} (D_{OL}^{-2} + 2D_{OL}^{-1} + 1) \right] Z_F \rangle_G - C^2$$

$$\chi_{OL}^{M3} = \frac{1}{Z} \langle (T_{OL}^{M3})^2 Z_F^2 \rangle_G - \frac{1}{Z} \langle \text{Tr} \left[\frac{1}{(i\gamma_3 - m)^2} (D_{OL}^{-2} + 2D_{OL}^{-1} + 1) \right] Z_F \rangle_G - C^2$$

$$\begin{aligned} \chi^{DW} &= \frac{1}{Z^{DW}} \langle \text{Tr}[R^{OL}]^2 \left(\frac{Z_F^{OL}}{\det[D_{DW}(1)]} \right)^2 \rangle_G \\ &- \frac{1}{Z^{DW}} \langle \text{Tr}[(R^{OL})^2] \frac{Z_F^{OL}}{\det[D_{DW}(1)]} \rangle_G \\ &- \frac{1}{(Z^{DW})^2} \langle \text{Tr}[R^{OL}] \frac{Z_F^{OL}}{\det[D_{DW}(1)]} \rangle_G^2 \end{aligned}$$