Foundation of Synchrotron and Storage Ring

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Evolution of Accelerator

- DC Accelerator

 Cathode Ray Tube
 Van de Graaf, Cockcroft-Walton

 Cyclotron

 Synchrotron

 Weak focusing
 Strong focusing
- ➤Linear Accelerator
- ≻Colliders
 - ✓ Circular
 - ✓Linear
 - ✓ Energy Recovery
 - ✓ Gamma-gamma
 - ✓ Muon
 - ✓ Plasma
- In this school I will cover the accelerators colored red and blue in four lectures (with brief mention of the first two as start)
- But there are other lectures for the red topics, in particular, Yujong's.
- There are lots of progresses in **blue** topics
- So, I will allocate < ~45 minutes for red and spend more time for "Colliders"

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Accelerator Development and Physics

► All along the evolution of modern physics

- ✓ Discovery of electron (end of 19th century) by cathode ray tube
- ✓ Some period without accelerator (first 30 years of 20th century)
 - nucleus
 - neutron , neutrino, positron, muon, pion \cdots
 - Do you remember how these particles were found?
- ✓The era of synchrotron (weak focus)
 - Anti-proton, ρ , ω , Λ , Ω , \cdots \rightarrow quark theory
- Then, strong focusing synchrotron
- ν_µ, J/ψ
 ✓ And colliders
 - Z, W, …, t-quark, …..
 - Higgs

➤A charged particle draws a circle

$$p = e \times B \times \rho$$

- *p*: particle momentum
- e: electric charge
- B: magnetic field
- ρ : orbit radius

$p [\text{GeV/c}] = 0.3 \times B[\text{T}] \times \rho[\text{m}]$



Cyclotron

➢ Jan. 1931
➢ Berkeley, California
➢ Lawrence & Livingston, Phys. Rev. 40, 19, (1932)
➢ Nobel prize 1939



First cyclotron diameter 13cm Proton energy 80keV Wikipedia says ~25\$

The largest cyclotron by now is TRIUMF (17m)

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http://www.lbl.gov/image-gallery/

Cyclotron (2)

Cyclotron makes use of the fact that the time for one turn is independent of the particle energy

✓ → Fundamental principle of Cyclotron

$$p = eB\rho = mv$$

$$\Rightarrow T = \frac{2\pi\rho}{v} = \frac{2\pi m}{eB}$$

$$T = \text{time for one revolution}$$

• But, p = mv is an approximation. Exact formula with the special relativity is

$$p = mv/\sqrt{1 - (v/c)^2}$$

• "T = independent of p" breaks down when v approaches c.

$$T = \frac{2\pi m}{eB} \frac{1}{\sqrt{1 - (v/c)^2}}$$

• Large difference between electron and proton

Exercise

Assuming that the first cyclotron had maximum orbit diameter 13cm and reached the maximum proton energy 80keV, compute

- ≻The magnetic field
- ➢Frequency of the voltage

caveats:

- Use non-relativistic formulas
- These values may differ a bit from the real ones.

Answer

➤Use nonrelativistic formula

$$p = mv, \qquad E_{kin} = \frac{1}{2}mv^2$$

• momentum

$$p = \sqrt{2mE_{kin}}$$
$$= \sqrt{2 \times 938 \text{MeV}/c^2 \times 80 \text{keV}} = 12.25 \text{ MeV}/c^2$$

• Magnetic field

$$B = \frac{p_{[\text{GeV}/c]}}{0.3\rho_{[m]}} = \frac{12.25 \times 10^{-3}}{0.3 \times 0.065} = 0.63 \text{ Tesla}$$

velocity

$$v = \sqrt{2E_{kin}/m} = \sqrt{2 \times 80 \text{keV}/938 \text{MeV}/c^2} = 0.013 c$$

• frequency

$$f = \frac{v}{2\pi\rho} = 9.6 \text{ MHz}$$

Synchrotron

- ➤Limitation of Cyclotron
 - ✓ Special relativity
 - ✓ Huge magnet (must fill 2D area)

➤Is it possible to confine the orbit with radius=constant?

 \checkmark If so, the magnets must fill only 1D area

 \checkmark Possible if *B* is time-dependent

$$p(t) = eB(t)\rho$$

- Acceleration
 - Acceleration only a few points in the ring
 - Time for one turn changes as acceleration

$$T(t) = C/v(t)$$

C = circumference

- RF frequency must vary as (h = integer = harmonic number) $f_{RF} = hf_0(t)$ ($f_0 = 1/T$) $C = h\lambda_{RF}$
- Actually, f_{RF} can be constant for electrons ~> 10 MeV

$$v/c > \sqrt{1 - (0.5 {
m MeV}/10 {
m MeV})^2} pprox 0.9994$$



Operation Cycle of a Synchrotron

- 1. Inject the beam
- 2. Raise the magnetic field as accelerating the beam
- 3. Extract the beam
- 4. Lower the magnetic field to prepare for the next injection





Phase Stability

➤Group of particles (bunch) has finite size

- The accelerating field is not constant but oscillates sinusoidally
 - ✓Not all the particles are accelerated by the same amount

What happens if a particle is accelerated more (less) than the average?

✓Are they kept accelerated more (less) ?

Principle of phase stability

✓V.I.Veksler, Dokl.Akad.Nauk SSSR 43, 346 and 44, 393 (1944)

✓ E.M.McMillan, Phys.Rev.68 (1945) 143

Phase Slippage

 \triangleright Particles in a bunch have a spread of momentum (energy) \triangleright Revolution time T is a function of momentum deviation

$$T = \left(1 + \eta \frac{\Delta p}{p}\right) T_0$$

$$\checkmark \eta \text{ has 2 components:}$$

$$\eta = \alpha_p - \frac{1}{\gamma^2}$$

$$\bullet \alpha_p \text{: called momentum compaction factor}$$

$$higher energy \text{ particle } \Rightarrow \text{ larger circle}$$

$$C = \left(1 + \alpha_p \frac{\Delta p}{p}\right) C_0$$

$$\bullet 1/\gamma^2 \text{ : higher energy particle } \Rightarrow \text{ higher velocity}$$
Exercise: Prove the $1/\gamma^2$ dependence

Synchrotron Oscillation (1)

- > Suppose the bunch comes to the accelerating cavity at the phase around $\phi = \phi_s$ (see figure)
- > Motion of a particle : $\phi = \phi_s + \Delta \phi$, $\varepsilon = \Delta E/E_0$
- Acceleration: (n = number of turns)

 $\Delta \phi > 0$ at the tail

$$\frac{dE}{dn} = eV_{RF}\sin(\phi_s + \Delta\phi) \approx eV_{RF}(\sin\phi_s + \Delta\phi\cos\phi_s)$$

- The first term $eV_{RF}\sin\phi_s$ is compensated for either
 - by the beam acceleration
 - or by the synchrotron radiation loss (for electron)
- Therefore



Synchrotron Oscillation (2)

➤Phase slippage:

$$\frac{d\Delta\phi}{dn} = \Delta T\omega_{\rm \tiny RF} = \eta \frac{\Delta p}{p} T_0 \omega_{\rm \tiny RF} = \frac{2\pi h}{\beta^2} \eta \varepsilon \qquad (\beta = v/c)$$

• Now, we have a simultaneous equation

$$\frac{d\varepsilon}{dn} = \frac{eV_{RF}}{E_0}\cos\phi_s\Delta\phi$$
$$\frac{d\Delta\phi}{dn} = \frac{2\pi h}{\beta^2}\eta\varepsilon$$

• Equation of ε

$$\frac{d^2\varepsilon}{dn^2} = \frac{2\pi h}{\beta^2} \frac{V_{\rm \tiny RF}}{E_0} \times \eta \cos \phi_s \times \varepsilon$$

• The oscillation is stable if $\eta \cos \phi_s < 0$

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Synchrotron Oscillation (3)

This oscillation is called "Synchrotron oscillation"

Synchrotron osc<u>illation tune</u>

$$\nu_s = \sqrt{-\eta \cos \phi_s \frac{h}{2\pi\beta^2} \frac{V_{\rm \tiny RF}}{E_0}}$$

✓ Usually, $v_s << 1$

The discovery of phase stability made synchrotron possible

Transition Energy

$$\eta = \alpha_p - \frac{1}{\gamma^2}$$

 \succ Usually, $\alpha_{\rho} > 0$

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- \triangleright γ varies as acceleration
- > Can become $\eta = 0$ at the special energy (transition energy) during acceleration

$$\gamma = \gamma_t \equiv \frac{1}{\sqrt{\alpha_p}}$$

- For the phase stability ($\eta \cos \phi_s < 0$), $\cos \phi_s$ must change sign at this moment
- The beam is unstable at the moment $\eta = 0$
- Jump the RF phase at $\eta = 0$, but beam loss and degradation
- To avoid transition energy
 - Design a ring such that γ_t is out of the acceleration range
 - Or, design such that $\alpha_{p} < 0$ (possible!!, but many other side effects)



Betatron Oscillation

- If a particle velocity has an off-circle motion, the particle draws a circle with a shifted center but does not go far away (horizontal focusing)
- But once a particle gets vertical velocity, then the particles eventually hit the magnet and is lost.
- There must be a force which gives a vertical force to the particle to bend the orbit back to the medium plane.
- Transverse motion is called "Betatron Oscillation" due to a historical reason
- Next-page figure: The magnet gap is larger outside

✓ Stability of betatron oscillation







Betatron

- Donald William Kerst, built ~1940 (Phys. Rev. 60, 47 July 1941)
- Induction accelerator (time-dependent magnetic field)

$$\nabla \times E = -\frac{\partial B}{\partial t} \Rightarrow E_{\phi} = \frac{1}{2\pi\rho} \frac{\partial \Phi}{\partial t}, \qquad \left(\Phi \equiv \int B \cdot dS \right)$$

>Actually, only for electron (i.e., beta particle)

- ✓ Proton requires a too big magnet
- Maximum ~300MeV
 - synchrotron radiation
 - Heavy magnet
 - Eddy current
- Betatron condition (to keep the particle on r=const.)

$$B(r) = B_{\text{avr}}(r)/2$$
$$B_{\text{avr}}(r) = \frac{1}{\pi r^2} \int_{$$

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Equation of Betatron Motion

- Transverse motion is called "betatron motion" due to a historical reason (like "synchrotron motion")
- ➤Coordinate
 - $\checkmark s$: direction of (circular) motion
 - $\checkmark x$: outwards on the ring plane
 - \checkmark y: vertical so that (x,y,s) is right-handed

≻Equation of motion:

$$\frac{d^2x}{ds^2} + K_x x = 0, \qquad K_x = \frac{e}{p_0} \frac{\partial B_y}{\partial x} + \frac{1}{\rho_0^2}$$
$$\frac{d^2y}{ds^2} + K_y y = 0, \qquad K_y = -\frac{e}{p_0} \frac{\partial B_y}{\partial x}$$

Focusing Magnets

 \succ Use a magnet like \rightarrow

$$B_{y} = B_{0} \left(1 - \frac{nx}{\rho_{0}} \right)$$
$$B_{x} = -B_{0} \left(\frac{ny}{\rho_{0}} \right)$$
$$Note : \frac{\partial B_{y}}{\partial x} = \frac{\partial B_{x}}{\partial y}$$
$$n = \text{field index}$$

• Then the equation becomes

$$\frac{d^2x}{ds^2} + \frac{1-n}{\rho_0^2}x = 0,$$
$$\frac{d^2y}{ds^2} + \frac{n}{\rho_0^2}y = 0$$



Weak Focusing

 \blacktriangleright Then, ($\theta = s/\rho = 2\pi s/C$, C: circumference) $x \propto \sin \sqrt{1 - n\theta}, \qquad y \propto \sin \sqrt{n\theta}$ Stable both in x and y, iff 0 < n < 1. Number of oscillations in one turn is called "tune", usually denoted by v or Q $\checkmark v_x = \sqrt{1-n}, v_y = \sqrt{n}$ in the above case $\checkmark 0 < v_{x.v} < 1$ ✓In the case of flat magnet, $\nu_x = 1$, $\nu_v = 0$ ➤This focusing is called "weak focusing"

Particle Discovery before the Era of Accelerator

Neutron 1932 (α on beryllium)
 Neutrino ~1932 (to explain beta decay)
 positron 1932 (from cosmic ray)
 muon 1937 (cosmic ray)
 π meson 1947 (cosmic ray)

Accelerators, improved to high energies, started to discover new particles in 1950's

GeV-class Synchrotrons

≻1950's

 ➤ A few GeV proton synchrotrons
 ✓ Cosmotron (BNL) 3.3GeV
 ✓ Bevatron (LBL) 6.2GeV



Cosmotron

Many new particles found

✓anti-proton, anti-neutron

 \checkmark ρ, ω,..., Λ, Σ, Ξ, Ω,...

 ✓ Systematic description introducing "Quarks" by Gell-Mann in 1964

Bevatron

Weak-focusing synchrotron

 10,000 tons of magnets

 Lawrence Berkeley Laboratory
 Start operation in 1954
 Bev.. = Billion Electron Volt

 Giga Electron Volt (GeV)

 Discovered antiproton in 1955







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http://www.lbl.gov/image-gallery/image-library.html

Strong Focusing (1)

- Very big magnets would be needed to go beyond a few GeV
- A new principle was found by Earnest Courant, et.al.
 ✓ E. D. Courant, M.S. Livingston, H. S. Snyder, Phys.Rev. 88 (1952)
- Note that no single magnet can focus simultaneously in x and y.
- > : defocus in x, focus in y
- >= : focus in x, defocus in y



The field index n need not be a constant over the ring

- Arranging and alternately, the beam can be focused both in x and y
- > Large positive n >> 1 and large negative n << -1



Can also be done by quadrupole magnets ightarrow

Strong Focusing (2)

➤Why focus + defocus = focus ?
 ✓ Focusing force F_F=-k_Fx_F, defocusing force F_D=k_Dx_D
 ✓ x is normally large in F magnet (x_F>x_D)
 ✓ --> If k_F=k_D, |F_F|> |F_D|

Two lenses with the focal length f_1, f_2 placed with the distance d make a lens of focal length

$$\frac{1}{F} = \frac{1}{f_1} + \frac{1}{f_2} - \frac{d}{f_1 f_2}$$

> If $f_1 = -f_2 = f$, then $F = \frac{f^2}{d}$, i.e., a focusing lens

If you are familiar with matrix formalism

$$\begin{pmatrix} 1 & 0 \\ -1/f_2 & 1 \end{pmatrix} \begin{pmatrix} 1 & d \\ 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ -1/f_1 & 1 \end{pmatrix} = \begin{pmatrix} 1 - d/f_1 & d \\ -1/f_1 - 1/f_2 + d/f_1f_2 & 1 - d/f_2 \end{pmatrix}$$

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Strong Focusing (3)

- With many focusing and defocusing lenses, the orbit oscillates many times during one turn
 - ✓ v_x , v_y can be > 1, even >> 1, can be even ~100 in modern synchrotrons
- ➤ The beam size becomes much smaller than weak-focusing → magnet becomes much smaller
- The price to pay was the accuracy of the field and alignment of magnets

• Strong focusing synchrotrons in early times

• CERN-PS 28GeV, 1959

small ν

large ν

- BNL AGS 33GeV, 1960
- Serpukov 76GeV, 1967

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Hill's Equation

 \triangleright Both x and y motions are written in the form

 $\frac{d^2x}{ds^2} + K(s)x = 0, \qquad K(s+C) = K(s) \quad (C = \text{ring circumference})$

≻This sort of 2nd order linear differential equation with a periodic coefficient is called "Hill's equation"

- First introduced by G.W.Hill as the first order motion of the moon with the earth and the sun taken into account
 - Rotating coordinate (1 year) with centrifugal force with infinitely distant sun

- $\left(D
 ightarrow \infty \quad {\rm with} \quad M_{\rm Sun}/D^3 \quad {\rm fixed}
 ight)$ $\bullet \ {\rm Origin: \ center-of-mass \ of \ earth+moon}$
- First, find a periodic solution (corresponding to the closed orbit in accelerator physics)
- Then, include the deviation (horizontal and vertical betatron oscillation) and find the "tunes"

• As the eigenvalue of infinite dimension matrix 2022/11/23 ISBA22 Yokoya



Math of Betatron Motion

- Seneral solution of a Hill's equation can be written as $x(s) = \Re A f(s)$
- where A is an arbitrary complex constant and f(s) is a complex function and has the property (Floque's solution) $f(s+C) = e^{2\pi i\nu} f(s)$
- Usually, we parametrize f(s) as

$$f(s) = \sqrt{\beta(s)}e^{i\phi(s)}$$

- $\beta(s)$ and $\phi(s)$ have the periodicity $\beta(s+C) = \beta(s), \qquad \phi(s+C) = 2\pi\nu + \phi(s)$
- and the relation $\phi(s) = \int_0^s \frac{1}{\beta(s)} ds$
- So, $\beta(s)$ is related both to the betatron amplitude and to the phase advance :
 - A fundamental quantity of synchrotron beam dynamics

• But I do not go into detail here. See Kim Yujong's lecture. 2022/11/23 ISBA22 Yokoya

AGS: Alternating Gradient Synchrotron

- First strong-focusing synchrotron
 - ✓ I do not know the exact magnet aperture of Bevatron except the photo on page 24 & 27 (with people sitting in the magnet)
 - ✓ The diameter of the beam pipe of AGS is 173x78 mm, nonetheless this is even large in the present standard
- ➢ Brookhaven Laboratory (BNL)
- Proton synchrotron,
 - ✓ diameter 257m, tunes ~8.7, max energy 33GeV
- ➤ Started operation in 1960

✓ Almost at the same time as CERN CPS (28GeV)

Discovery of new particles

 $\checkmark v_{\mu}$ $\checkmark J/\psi$, charm quark









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Storage Ring

- A synchrotron can store a beam for milliseconds to days
- ➤Usage of storage ring
 - ✓ Collider
 - ✓ Synchrotron light source
 - ✓ Beam manipulation
 - Low emittance
 - Buncher/debuncher
 - Stacking

➢Principle is the same as synchrotron but

- no need of rapid acceleration (in some cases, even no acceleration, i.e., full energy injection)
- ✓ longer beam life required (e.g., better vacuum)
- ✓ insertion structure (colliding region, undulator, etc) required, depending on the purpose





Electron Storage Ring

Electron Storage Ring is somewhat different from a normal synchrotron because of "synchrotron radiation"

Synchrotron Radiation (1)

- Charged particles lose energy by synchrotron radiation \rightarrow proportional to $1/m^4$ \checkmark Almost negligible from protons but visible in LH@ ➤Loss per turn (electron) $U = 0.088_{[MeV]} \frac{E^4_{[GeV]}}{\rho_{[m]}}$
- Photons are emitted almost in the forward cone of angle $1/\gamma$
- Average photon energy
- $E_{\gamma} = 0.683_{[\text{keV}]} \frac{E^3_{[\text{GeV}]}}{\rho_{[\text{m}]}}$ $\lambda_{critical} \equiv \frac{\lambda_{critical}}{2\pi} = \frac{2}{3} \frac{\rho}{\gamma^3}$ Wavelength

Therefore, an electron storage ring must have RF • acceleration system even if net acceleration is not necessary

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Synchrotron Radiation (2)

- Synchrotron radiation defines the fundamental limitation of electron storage ring to go to veryhigh energy physics
- Nonetheless, the synchrotron radiation causes not only unwelcomed effects but
 - ✓ can be used as light source
 - ✓ radiation damping
 - Stabilize beam oscillation
 - Lower the emittance
 - Used for damping rings for linear and circular colliders

Radiation Damping (Longitudinal)

Synchrotron radiation loss is larger for higher-energy particle

$$\frac{dE}{dt} = -\text{const.} \times B^2 E^2$$

- → damping of synchrotron oscillation (damping of energy spread)
- Number of revolution needed for the radiation damping of energy spread is approximately $(R=C/2\pi)$

$$n_{\rm turn} = \frac{E}{U} \quad \Rightarrow \quad \tau = \frac{E}{U} T_0 \approx 0.237_{\rm [ms]} \frac{\rho_{\rm [m]} R_{\rm [m]}}{E_{\rm [GeV]}^3}$$

Equilibrium Energy Spread

- ►Longitudinal effect of radiation is not damping only
- Energy loss occurs randomly, which causes the spread of the beam energy
- The equilibrium energy spread in an electron storage ring is approximately

$$\frac{\sigma_{\varepsilon}}{E_0} = 0.857 \times 10^{-3} \frac{E_{\rm [GeV]}}{\sqrt{\rho_{\rm [m]}}}$$

• The equilibrium bunch length ($\omega_0 =$ revolution angular frequency $2\pi/7$)

$$\sigma_z = \frac{c\alpha_p}{\nu_s\omega_0} \frac{\sigma_\varepsilon}{E_0}$$

Radiation Damping (Transverse)

- Momentum loss in the direction of motion (like frictional force) damping of transverse oscillation
- The transverse damping time is about 2x the longitudinal damping time.



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Excitation of Betatron Oscillation

- Transverse effect of synchrotron radiation is not damping only
- Random nature of radiation excites (horizontal) betatron oscillation



- Suppose an electron with energy $E_{\rm I}$ is on the closed orbit (green curve)
- Suppose it emitted a photon ΔE at A
- Then, the electron starts betatron oscillation (blue curve) around the closed orbit (red) for the energy $E_1 \Delta E$
- There is also a case where betatron oscillation stops at the radiation
- Statistical average shows emittance increase

Equilibrium Emittance

- Equilibrium emittance is determined by the balance between the excitation and damping
- ➢General expression of the equilibrium emittance is complex (perhaps, see other lectures)
- ➤In the simplest case (repeated "FODO" cells)

$$\epsilon_x \approx 1.47 \times 10^{-6} \,_{\text{[rad·m]}} \frac{R_{c[m]} E_{\text{[GeV]}}^2}{\rho_{[m]} \nu_x^3}$$

- here, R_c =average orbit radius in FODO cell, v_x = horizontal tune in FODO arc
- Strong dependence on the focusing v_{xc}
 - Tighter focusing → smaller (horizontal) emittance
- Many advanced optics (magnet layout) have been invented for lower emittance in particular for light sources

Vertical Emittance (1)

- The equilibrium emittance in the previous page is for horizontal plane only.
- Synchrotron radiation is emitted almost forward
- Excitation occurs only via the energy dependence of the closed orbit (dispersion)
- \succ Therefore, vertical equilibrium emittance comes from
 - \checkmark Vertical bending magnets, if they are there
 - ✓ Vertical-horizontal coupling due to solenoid, machine errors, etc.
 - Usually, coupling by solenoid is compensated by skew-quadrupole magnets
 - So, basically V-H coupling comes from errors
 - \checkmark Normally, $\epsilon_{_{\!\rm V}}$ from this reason is ${\sim}1/100$ to 1/1000 of $\epsilon_{_{\!\rm V}}$

 - Make \$\varepsilon_x\$ smaller when small \$\varepsilon_y\$ is necessary
 Normally, light sources do not require \$\varepsilon_y\$ << \$\varepsilon_x\$

Vertical Emittance (2)

Strictly speaking, synchrotron radiation is not emitted exactly forward, but has a finite angle $O(1/\gamma)$. Hence the recoil causes finite vertical emittance

The equilibrium vertical emittance due to this reason is $\epsilon_y = 0.906 \times 10^{-13} \text{[m]} \frac{1}{J_y} \frac{\oint \beta_y / |\rho|^3 \, ds}{\oint 1 / |\rho|^2 \, ds}$

T.O.Raubenheimer, Particle Accelerators 36 (1991) 75

(Sorry, I don't have time to explain Jy, which is called damping partition number. Usually, it is about 1.)

 This minimum emittance has already been observed

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Emittances of Electron Storage Rings

- Horizontal/vertical emittance of existing and planned rings
- ➤red: existing, blue: planned
- ➤Geometric emittance





Use of Synchrotron & Storage Rings

- ➤High Energy Physics
 - ✓ Colliders
 - Collider rings (e-, e+, p, ions)
 - E+e- Damping rings (including those for linear colliders)
- ➤Light Sources (electron)
 - Many accelerators have been built to make use of the synchrotron radiation
 - ✓ First generation: parasitic use of radiation from bending magnets of colliders
 - Second generation: parasitic use of radiation from insertion magnets of colliders
 - Undulators
 - ✓ Third generation: Radiation in a ring dedicated for light source
 - ✓ Linear accelerator now also being used as radiation source
 - ✓ Fourth generation?: FEL, ERL,
- ≻Industrial, medical, …
- ≻These are not my field.