

# *Flavor mixing: a window toward new physics*

Gino Isidori  
[ *University of Zürich* ]

- ▶ Introduction
- ▶ The two flavor puzzles [*Two (modern) lessons from KM*]
- ▶ Flavor non-universal interactions
- ▶ Future prospects
- ▶ Conclusions

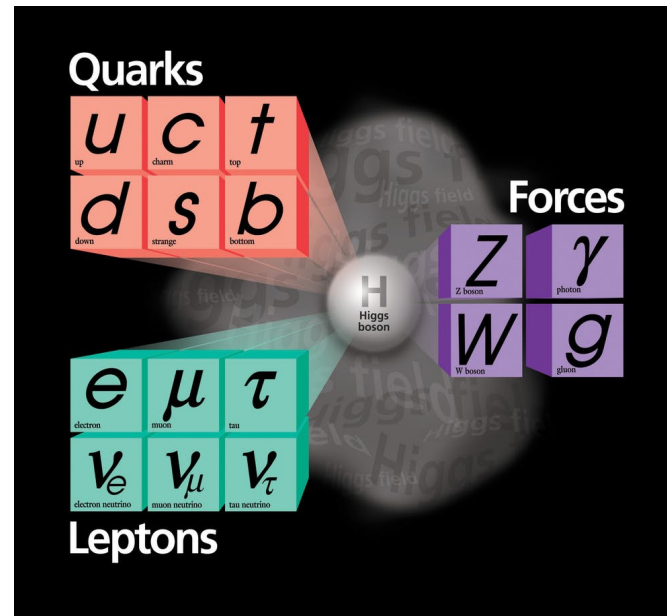


**University of  
Zurich** <sup>UZH</sup>



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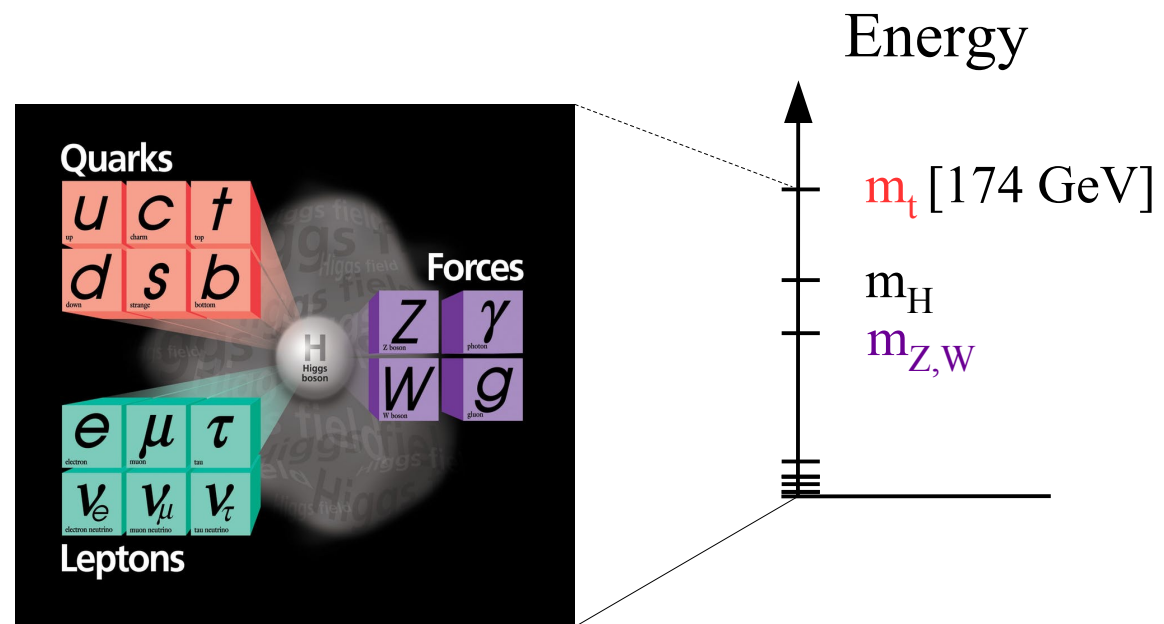
# *Introduction*



## ► Introduction

We recently celebrated the 10<sup>th</sup> anniversary of the Higgs-boson discovery (*or the completion of the SM spectrum*).

The SM



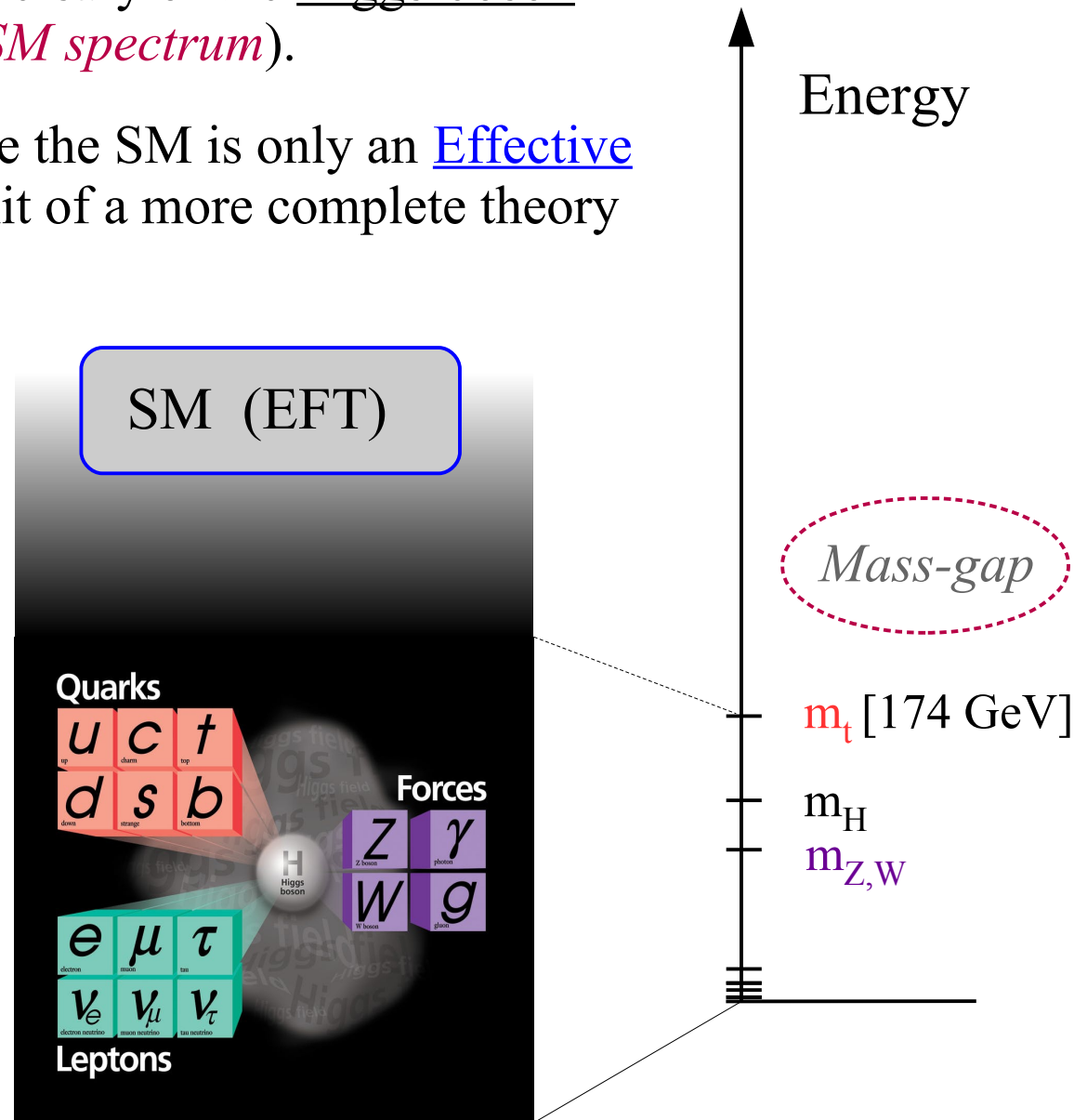
## ► Introduction

We recently celebrated the 10<sup>th</sup> anniversary of the Higgs-boson discovery (*or the completion of the SM spectrum*).

However, as for any QFT, we believe the SM is only an Effective Field Theory, i.e. the low energy limit of a more complete theory with more degrees of freedom

$$\mathcal{L}_{\text{SM-EFT}} = \mathcal{L}_{\text{gauge}} + \mathcal{L}_{\text{Higgs}} + \dots$$

We identified the *long-range* properties of this EFT



## ► Introduction

There are several reasons why we think the SM must be extended at high energies:

Electroweak hierarchy problem

Flavor puzzle

U(1) charges

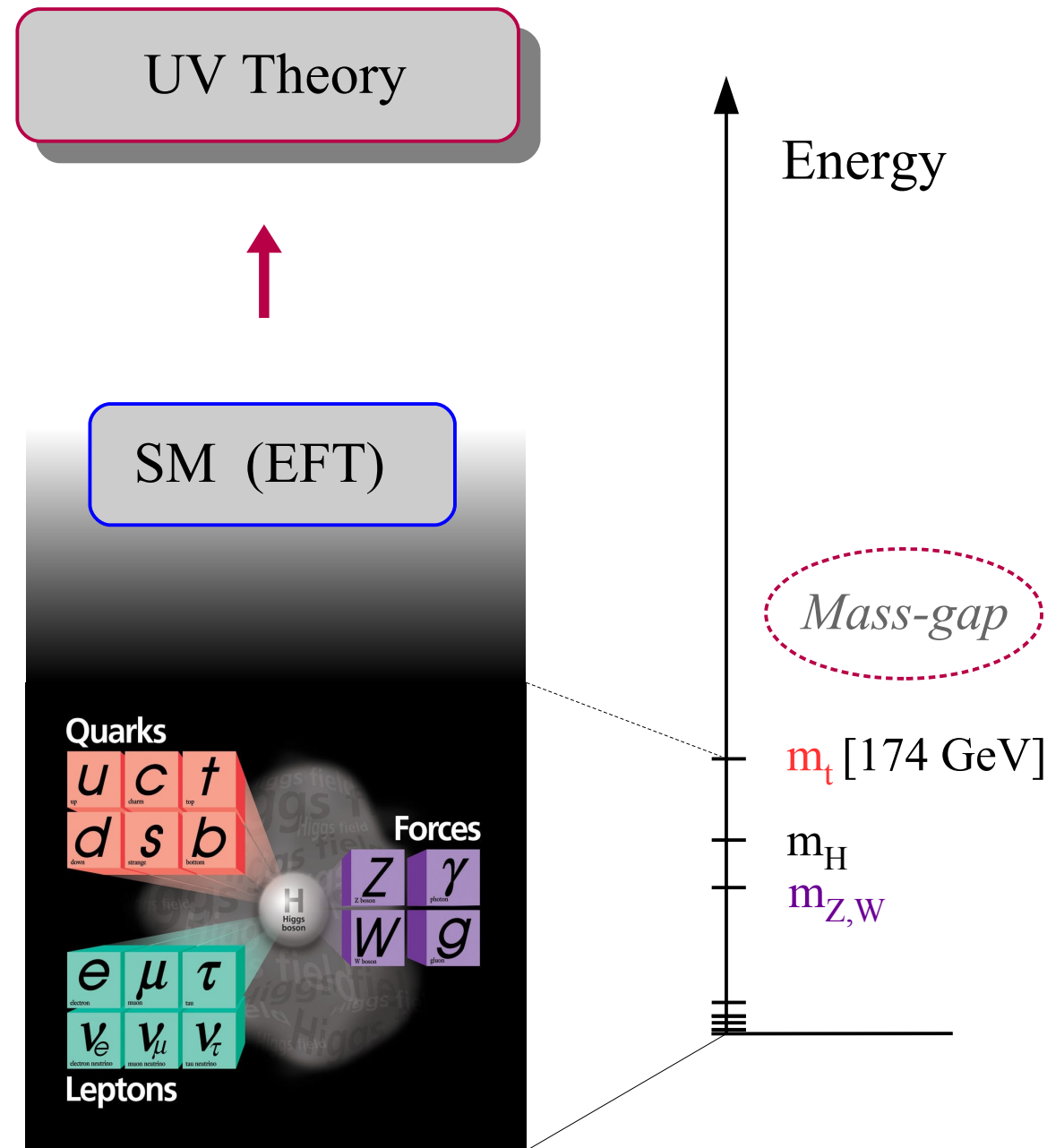
Neutrino masses

Dark-matter

Dark-energy

Inflation

Quantum gravity



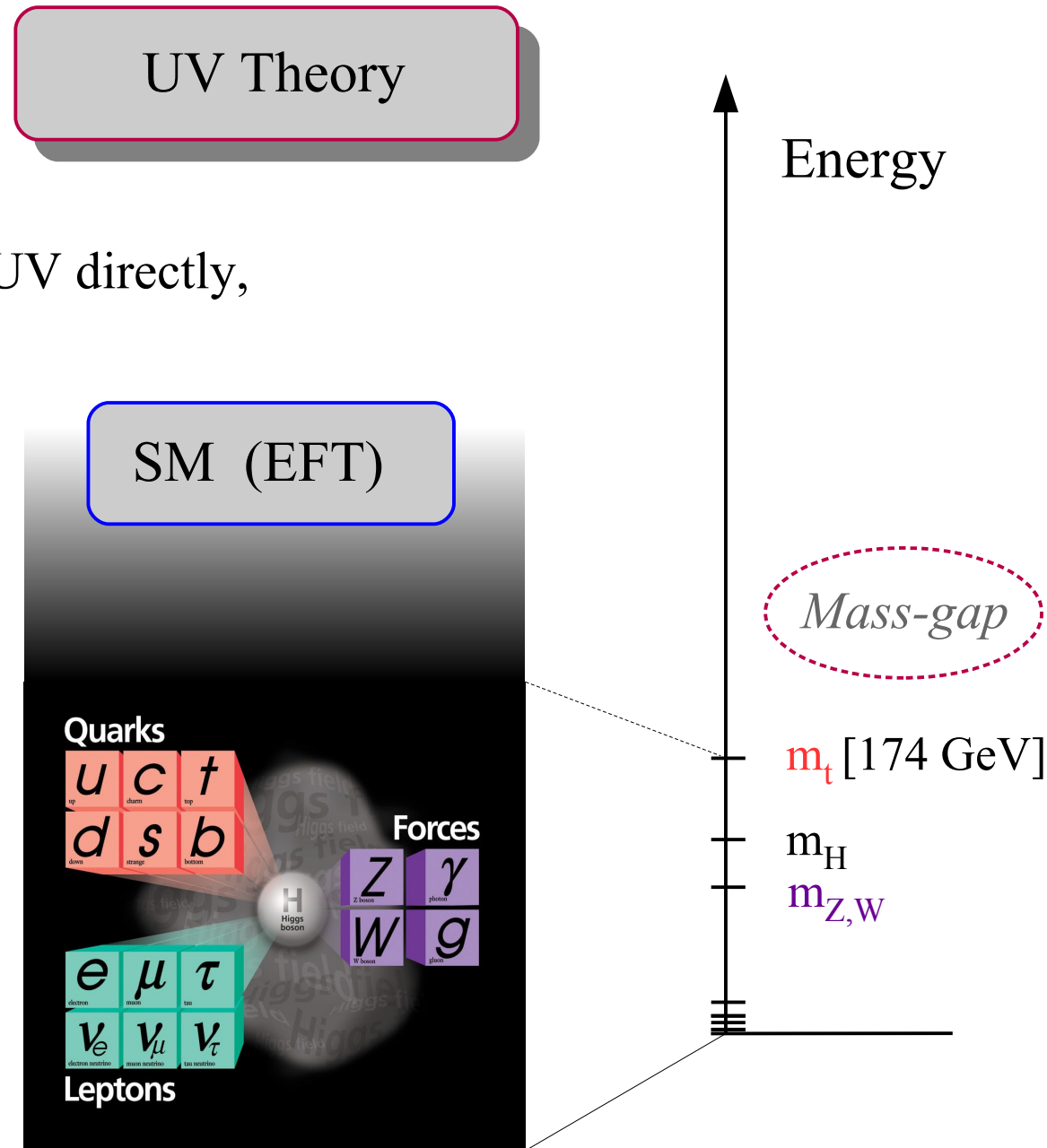
## ► Introduction

There are several reasons why we think the SM must be extended at high energies

Ideally, we would like to probe the UV directly, via high-energy experiments

However, for a long time this will not be possible....

For the time being, the best we can do is exploring the low-energy limit of the EFT trying to understand better its consistency, and possibly extract indirect infos about the UV



## ► Introduction

There are several reasons why we think the SM must be extended at high energies:

Electroweak hierarchy problem

→ *Instability of the Higgs mass term*

Flavor puzzle

U(1) charges

Neutrino masses

→ *Ad hoc tuning in the model parameters*

Dark-matter

Dark-energy

Inflation

→ *Cosmological implementation of the SM*

Quantum gravity

→ *General problem of any QFT*

*...indicating*

non-trivial properties of the SM Lagrangian if interpreted as EFT



Useful hints for its UV completion

*The two flavor puzzles*  
[*Two (modern) lessons from KM*]

$$V_{\text{CKM}} \sim \begin{pmatrix} \text{black} & \text{dark gray} & \text{light gray} \\ \text{dark gray} & \text{black} & \text{light gray} \\ \text{light gray} & \text{light gray} & \text{black} \end{pmatrix}$$



## ► *The two flavor puzzles*

Even forgetting current anomalies, there are two (long-standing) open issues in flavor physics:

- I. The observed pattern of SM Yukawa couplings does not look accidental

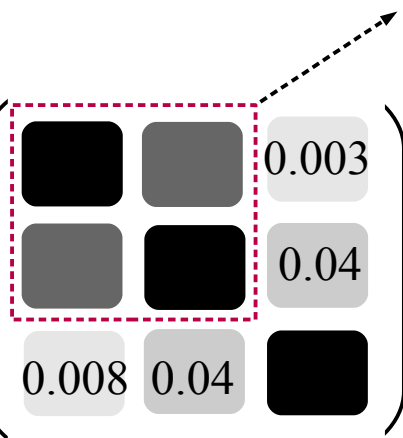
[*SM flavor puzzle*]

→ Is there a deeper explanation for this peculiar structures?

## ► The two flavor puzzles

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- I. The observed pattern of SM Yukawa couplings does not look accidental:

$$V_{\text{CKM}} \sim \begin{pmatrix} \blacksquare & \blacksquare & 0.003 \\ \blacksquare & \blacksquare & 0.04 \\ 0.008 & 0.04 & \blacksquare \end{pmatrix}$$


unitarity violation of the  
 $2 \times 2$  (light) block below  $10^{-3}$  !

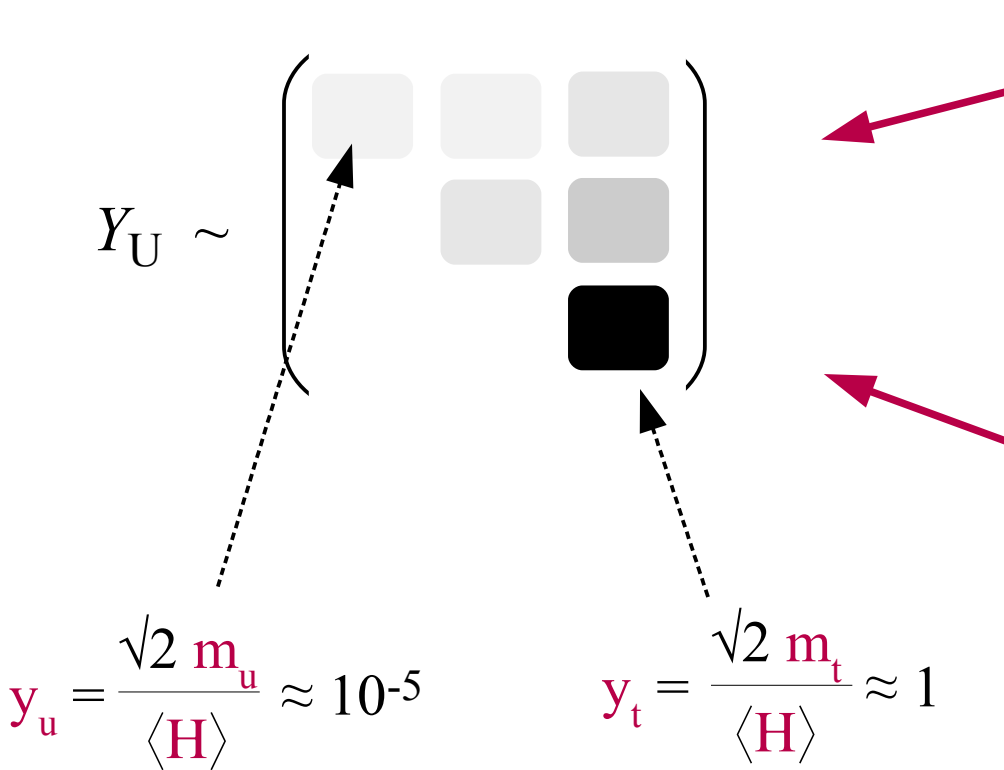
Despite the very good knowledge we have nowadays about the CKM matrix, we are not able to detect the presence of the 3<sup>rd</sup> family by looking only at the  $2 \times 2$  block (*as one naively would have expected...*)

1<sup>st</sup> KM lesson: don't be discouraged to put forward new ideas (*if they are good*) by the lack of some exp. evidence and/or “tuning” in model space...

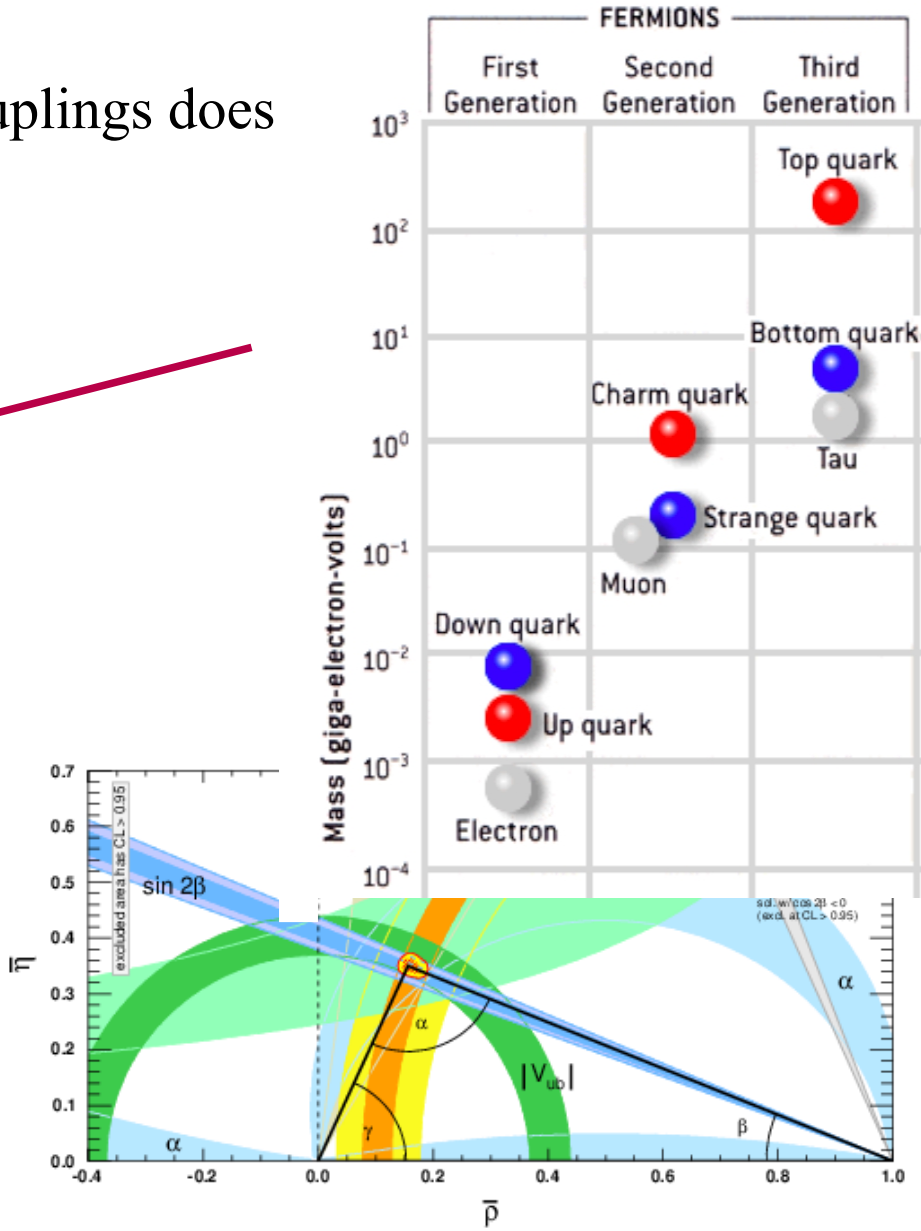
► The two flavor puzzles

Even forgetting current anomalies, there are two (long-standing) open issues in flavor physics:

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[ $Y_U$  in the basis where  $Y_D$  is diagonal]



## ► The two flavor puzzles

Even forgetting current anomalies, there are two (long-standing) open issues in flavor physics:

- I. The observed pattern of SM Yukawa couplings does not look accidental [*SM flavor puzzle*]  
→ Is there a deeper explanation for this peculiar structures?
- II. If the SM is only an effective theory, valid below an ultraviolet cut-off, why we do not see any deviation from the SM predictions in the (suppressed) flavor changing processes? What constraints these observations imply on physics beyond the SM? [*NP flavor puzzle*]  
→ Which is the flavor structure of physics beyond the SM?

► The two flavor puzzles

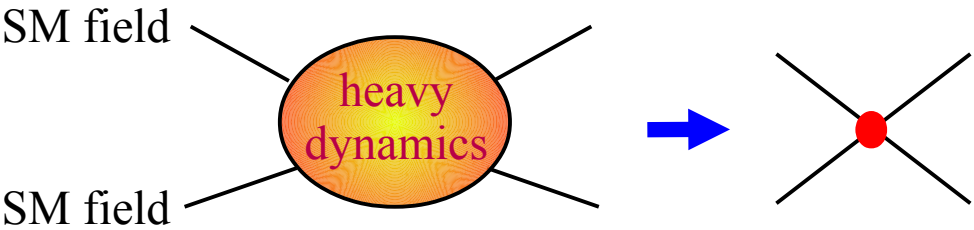
$$\mathcal{L}_{\text{SM-EFT}} = \mathcal{L}_{\text{gauge}} + \mathcal{L}_{\text{Higgs}} + \sum_i \frac{1}{\Lambda_i^{d-4}} \mathcal{O}_i^{d \geq 5}$$

Interactions surviving @ large distances  
(operators with  $d \leq 4$ )

Long-range forces  
of the SM particles  
+  
ground state (Higgs)

Local contact interactions  
( operators with  $d > 4$ )

“Remnant” of the heavy  
dynamics at low energies



# ▶ The two flavor puzzles

$$\mathcal{L}_{\text{SM-EFT}} = \mathcal{L}_{\text{gauge}} + \mathcal{L}_{\text{Higgs}} + \sum_i \frac{1}{\Lambda_i^{d-4}} \mathcal{O}_i^{d \geq 5}$$

Large flavor symmetry

Three identical replica of the basic fermion family  
[ $U(3)^5$  symmetry]

Flavor-degeneracy broken by the Yukawa interaction

$$y_{ij} \psi_L^i \psi_R^j H \rightarrow \mathbf{m}_{ij} \psi_L^i \psi_R^j$$

“Peculiar” breaking structure

Exact & approximate (*accidental* ?) symmetries

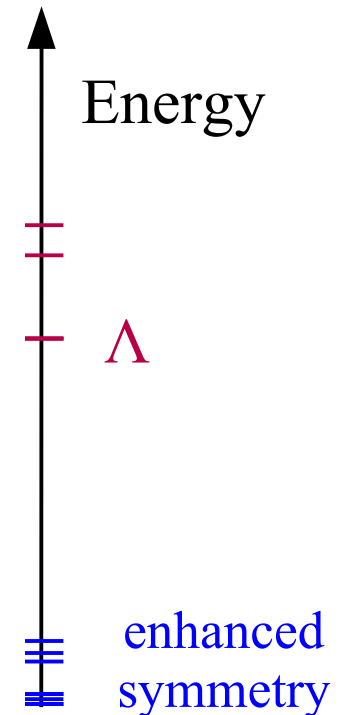
- Eg:
- $U(1)_{L_e} \times U(1)_{L_\mu} \times U(1)_{L_\tau} =$  (individual) Lepton Flavor [*exact symmetry*]
  - $m_u \approx m_d \approx 0 \rightarrow$  Isospin symmetry [*approximate symmetry*]

► Accidental symmetries in QFT [a brief detour]

$$\mathcal{L}_{\text{SM-EFT}} = \mathcal{L}_{\text{gauge}} + \mathcal{L}_{\text{Higgs}} + \sum_{d,i} \frac{c_i^{[d]}}{\Lambda^{d-4}} \mathcal{O}_i^{d \geq 5}$$

(long-distance interactions)      (local contact interact.)

“**Accidental symmetries**” are symmetries which are not fundamental properties of the theory, but emerge accidentally at low energies / large distances → not enough “variables” to describe the violation of the symmetry [ *~ multipole expansion* ]



## ► Accidental symmetries in QFT [a brief detour]

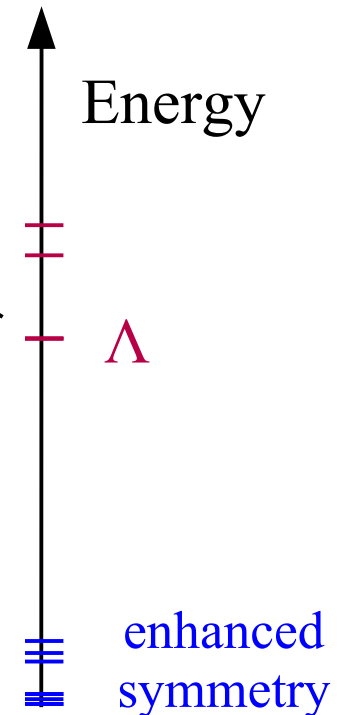
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If a symmetry arises accidentally in the low-energy theory, we expect it to be violated by higher dim. ops

Violations of  
**accidental symmetries**



*How to explain CP violation in the SM, and the history of the KM mechanism, are a wonderful illustration of this effect*



# Accidental symmetries in QFT [a brief detour]

$$\mathcal{L}_{\text{SM-EFT}}^{\text{[SM-2]-EFT}} = \mathcal{L}_{\text{gauge}} + \mathcal{L}_{\text{Higgs}} + \sum_{d,i} \frac{c_i^{[d]}}{\Lambda^{d-4}} \mathcal{O}_i^{d \geq 5}$$

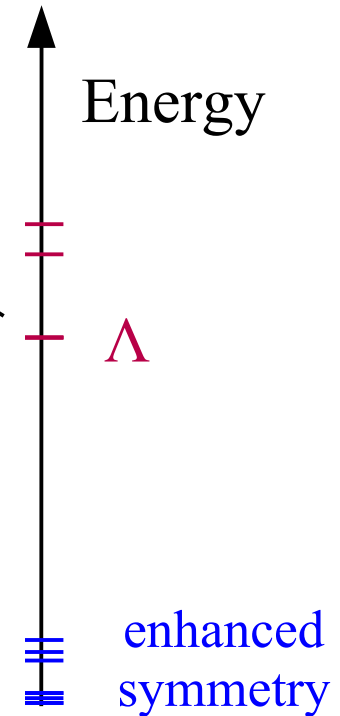
(long-distance interactions)
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Back in 1973: SM with 2 generations, as “reference model” → CP violation is an accidental symmetry [KM, '73]

But CP violation is observed in K mixing [→ remnant of “heavy NP”]

$$\Lambda_{\text{CP}} \sim 10^4 \text{ TeV}$$

$$\frac{e^{i\delta}}{\Lambda_{\text{CP}}^2} (\bar{s} \Gamma d)^2$$



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SM-3  
[KM, '73]

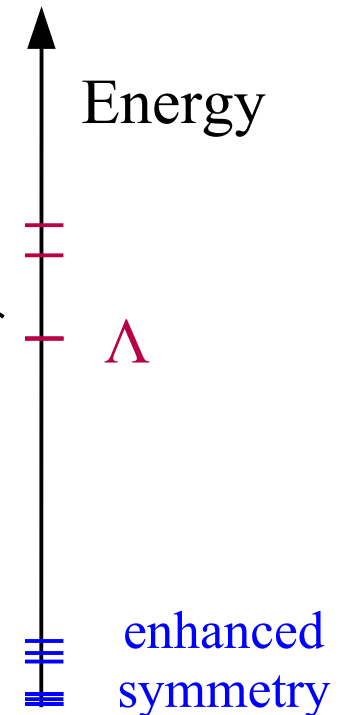
$$\frac{e^{i\delta}}{\Lambda_{\text{CP}}^2} (\bar{s} \Gamma d)^2$$

“Super-weak” interaction  
[L. Wolfenstein, '64]

Citations  
@ end of '75:

5 cit. (now ~ 10K)

130 cit. (now ~ 800)



## ► Accidental symmetries in QFT [a brief detour]

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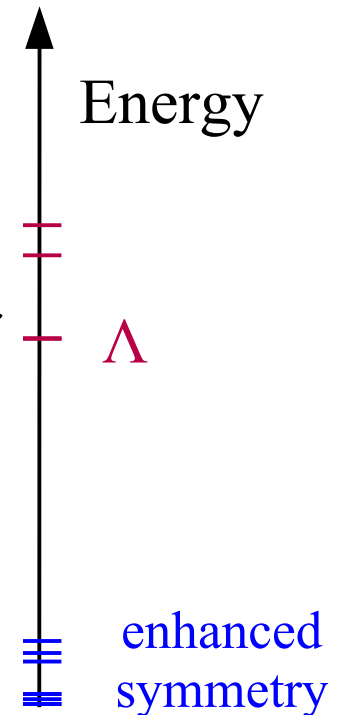
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SM-3  
[KM, '73]

$$\frac{1}{\Lambda_{\text{CP}}^2} \sim \frac{(G_F m_t V_{ts} V_{td})^2}{4\pi^2}$$

Ellis, Gaillard,  
Nanopoulos, '76

$$\frac{e^{i\delta}}{\Lambda_{\text{CP}}^2} (\bar{s} \Gamma d)^2$$



2<sup>nd</sup> KM lesson: beware of seemingly high scales in EFT approaches: they can be a “mirage”...

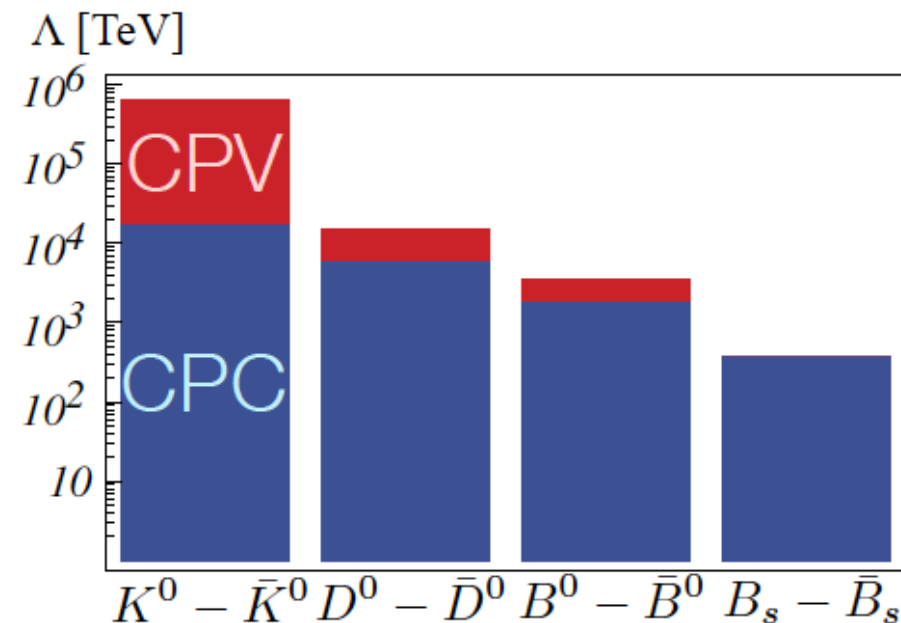
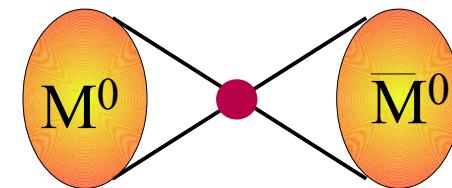
## ► The two flavor puzzles

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In principle, we could expect many violations of the accidental symmetries from the heavy dynamics  $\rightarrow$  *new flavor violating effects*

However, beside the B-physics anomalies we observe none

Stringent bounds on the scale of possible new flavor non-universal interactions especially from meson-antimeson mixing



*The NP Flavor puzzle*

## ► The two flavor puzzles

$$\mathcal{L}_{\text{SM-EFT}} = \mathcal{L}_{\text{gauge}} + \mathcal{L}_{\text{Higgs}} + \sum_{d,i} \frac{c_i^{[d]}}{\Lambda^{d-4}} \mathcal{O}_i^{d \geq 5}$$

Flavor-degeneracy:  
 $U(3)^5$  symmetry

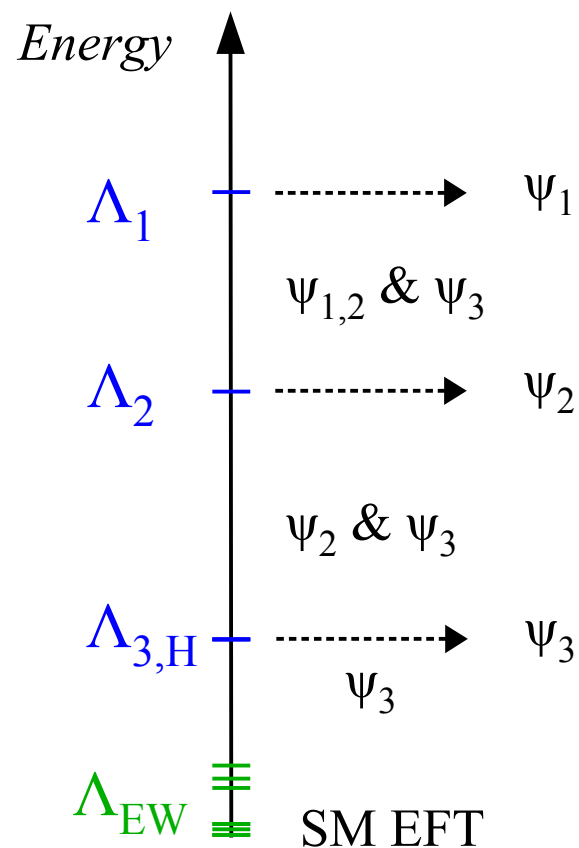
$U(3)^5$  symmetry  
broken by  
Yukawa couplings

Stringent bounds  
on generic  
flavor-violating ops.

### The big questions in flavor physics:

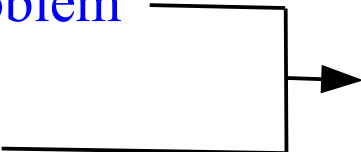
- Can we find an explanation for the Yukawa hierarchies?
- Are all the accidental flavor symmetries of the SM broken in the other sectors of the SM-EFT? If yes, at which scale(s) are they broken?  
Can be there multiple scales behind the origin of flavor?

# *Flavor non-universal interactions*



## ► Flavor non-universal interactions

For a long time, the vast majority of model-building attempts to extend the SM was based on the following two (*implicit*) hypotheses:

- Concentrate on the Higgs hierarchy problem
  - Postpone (*ignore*) the flavor problem
- 
- The “MFV paradigm”

“Protect” the Higgs sector with (TeV-scale) flavor universal NP  
(*supersymmetry or Higgs compositeness*)

While this was a very motivated option in the pre-LHC era, it has become a less and less compelling case after the high- $p_T$  results from run-I and run-II:

*No clear sign of NP from direct searches*



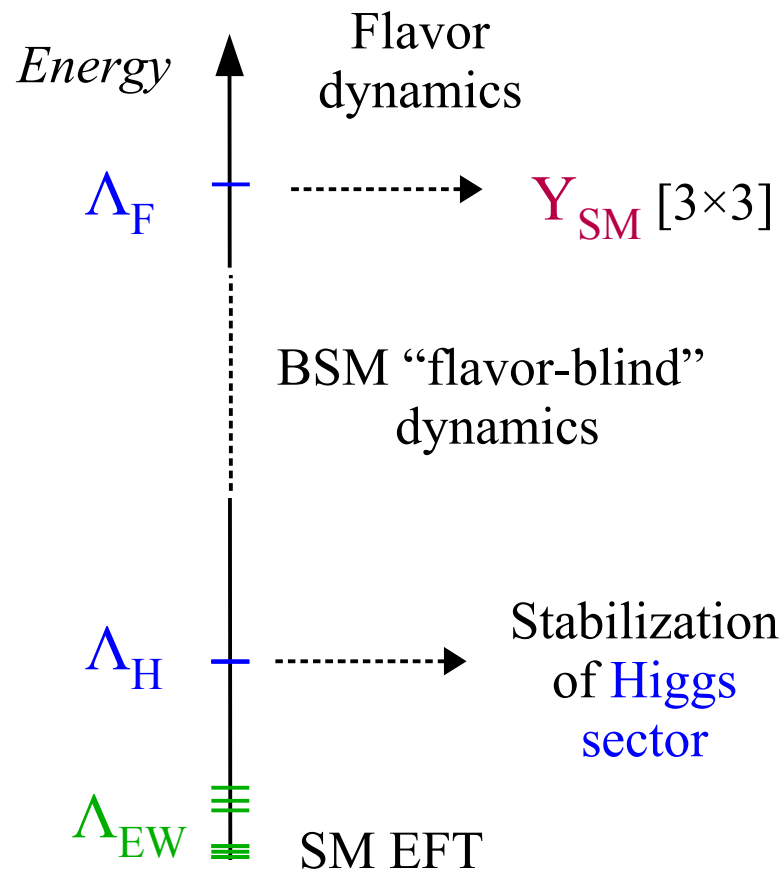
*strong bounds on NP if coupled universally to all SM families*



worsening of the Higgs hierarchy problem

## ► Flavor non-universal interactions

For a long time, the vast majority of model-building attempts to extend the SM was based on the following two (*implicit*) hypotheses:



- Concentrate on the **Higgs hierarchy problem**
- Postpone **the flavor problem** to higher scales

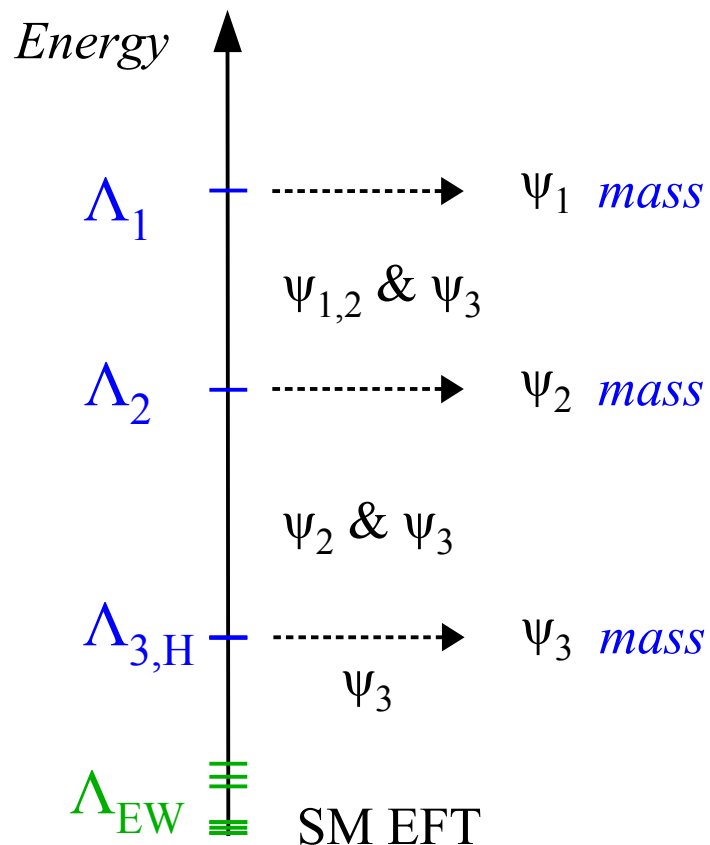


3 gen. = “identical copies”  
up to high energies



## ► Flavor non-universal interactions

New paradigm to address both the Higgs hierarchy problem and the flavor puzzle:  
multi-scale UV completion with *flavor non-universal* interactions



Dvali & Shifman '00  
 Panico & Pomarol '16  
 ⋮  
 Bordone *et al.* '17  
 Allwicher, GI, Thomsen '20  
 Barbieri '21

*Main idea:*

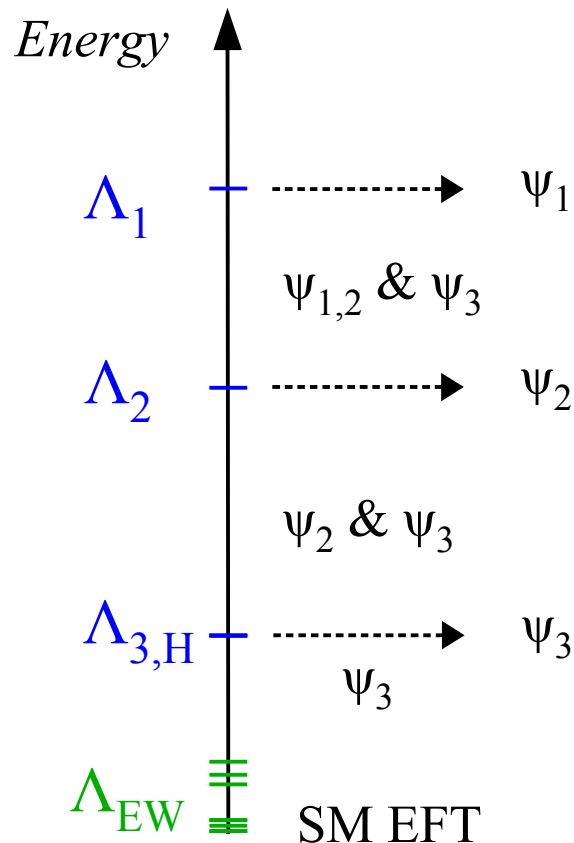
- Flavor **non-universal interactions** already at the **TeV scale**:
- **1<sup>st</sup> & 2<sup>nd</sup> gen.** have small masses because they are coupled to **NP at heavier scales**



~~3 gen. = “identical copies”  
up to high energies~~

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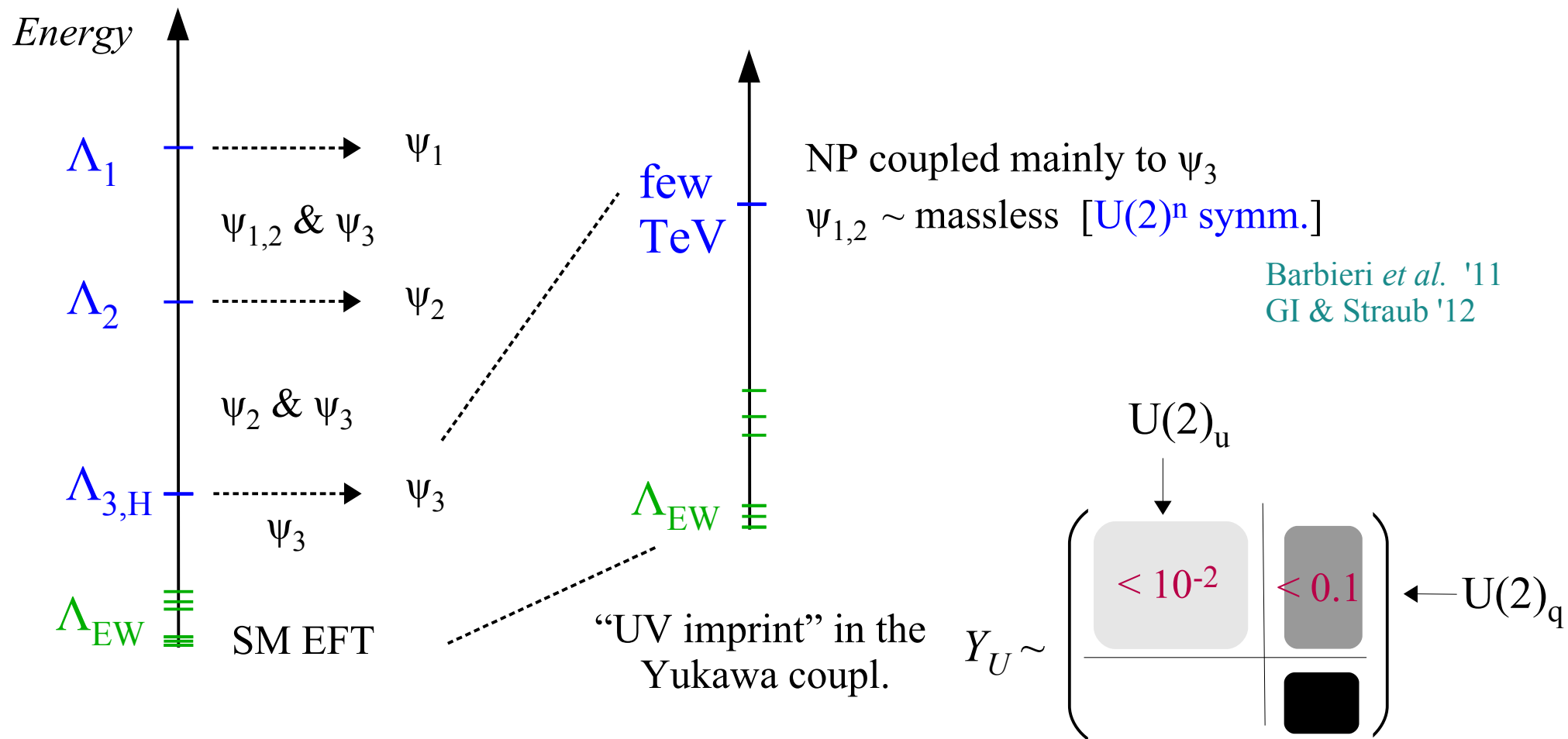
Recent phenomenological “boost” to this type of approach given but the B-physics anomalies (*hinting to violations of lepton flavor universality, mainly in 3<sup>rd</sup> generation*)

“Even if (some of the) anomalies will go away in the future, they have had a very beneficial impact in enlarging our horizon on flavor physics BSM”

[ G. Isidori – HL-LHC Workshop, CERN March 2019 ]

## ► Flavor non-universal interactions

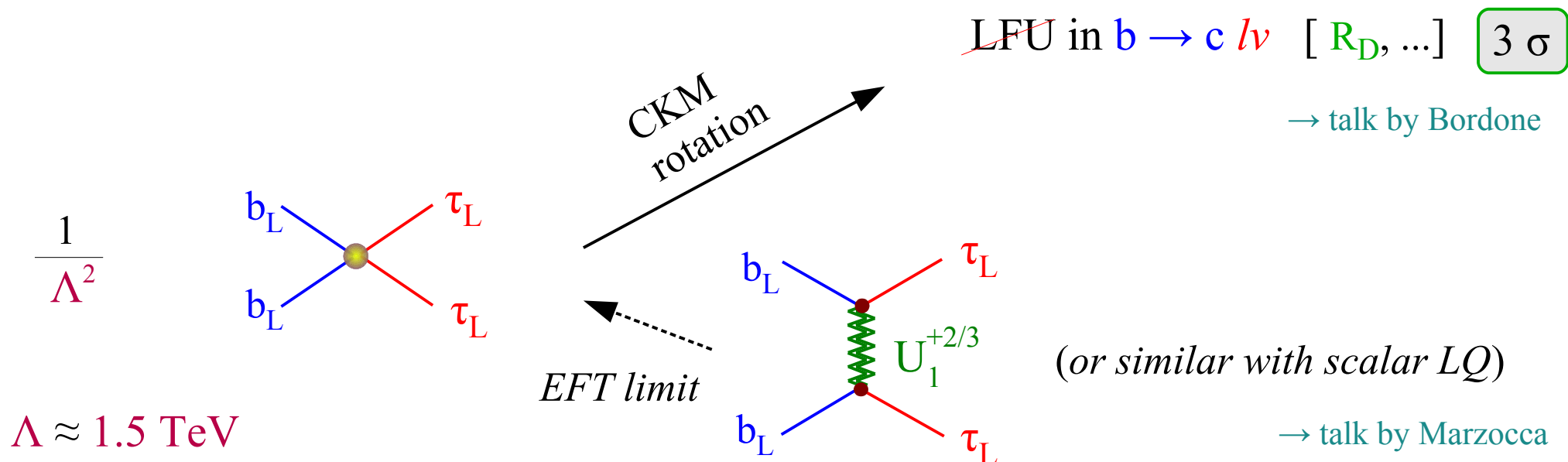
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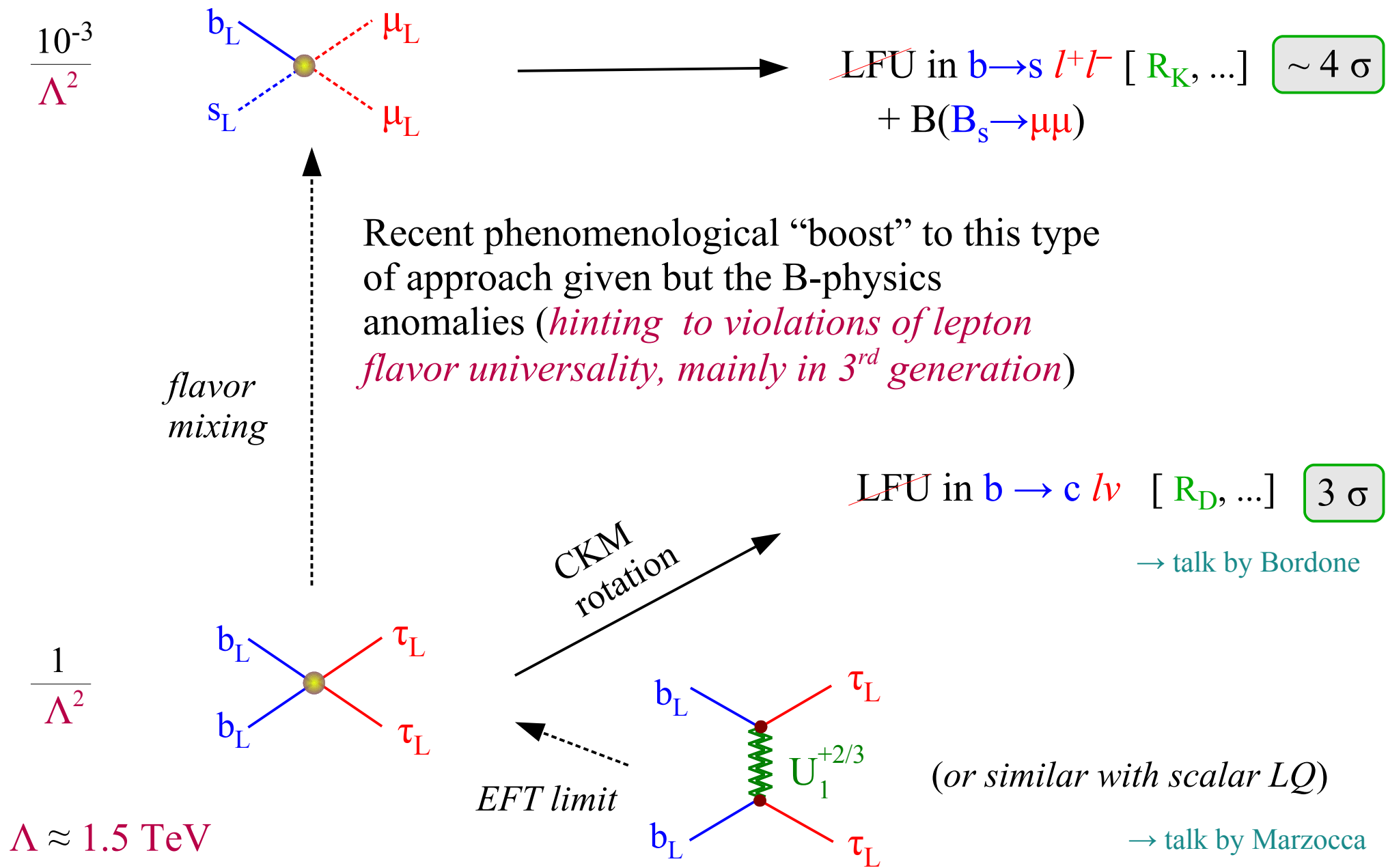
Effective organizing principle for the **flavor structure** of the **SMEFT**

## ► Flavor non-universal interactions

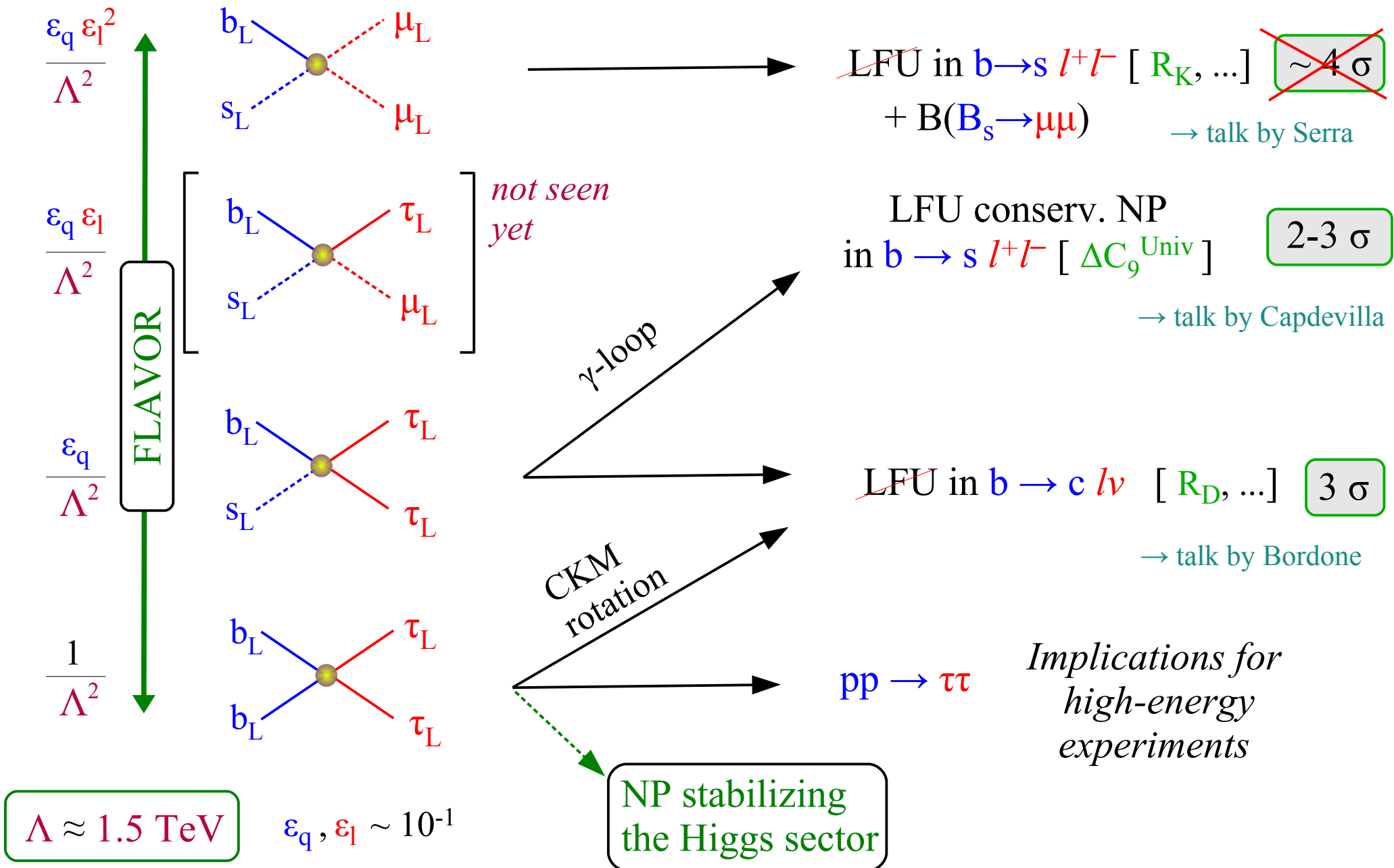
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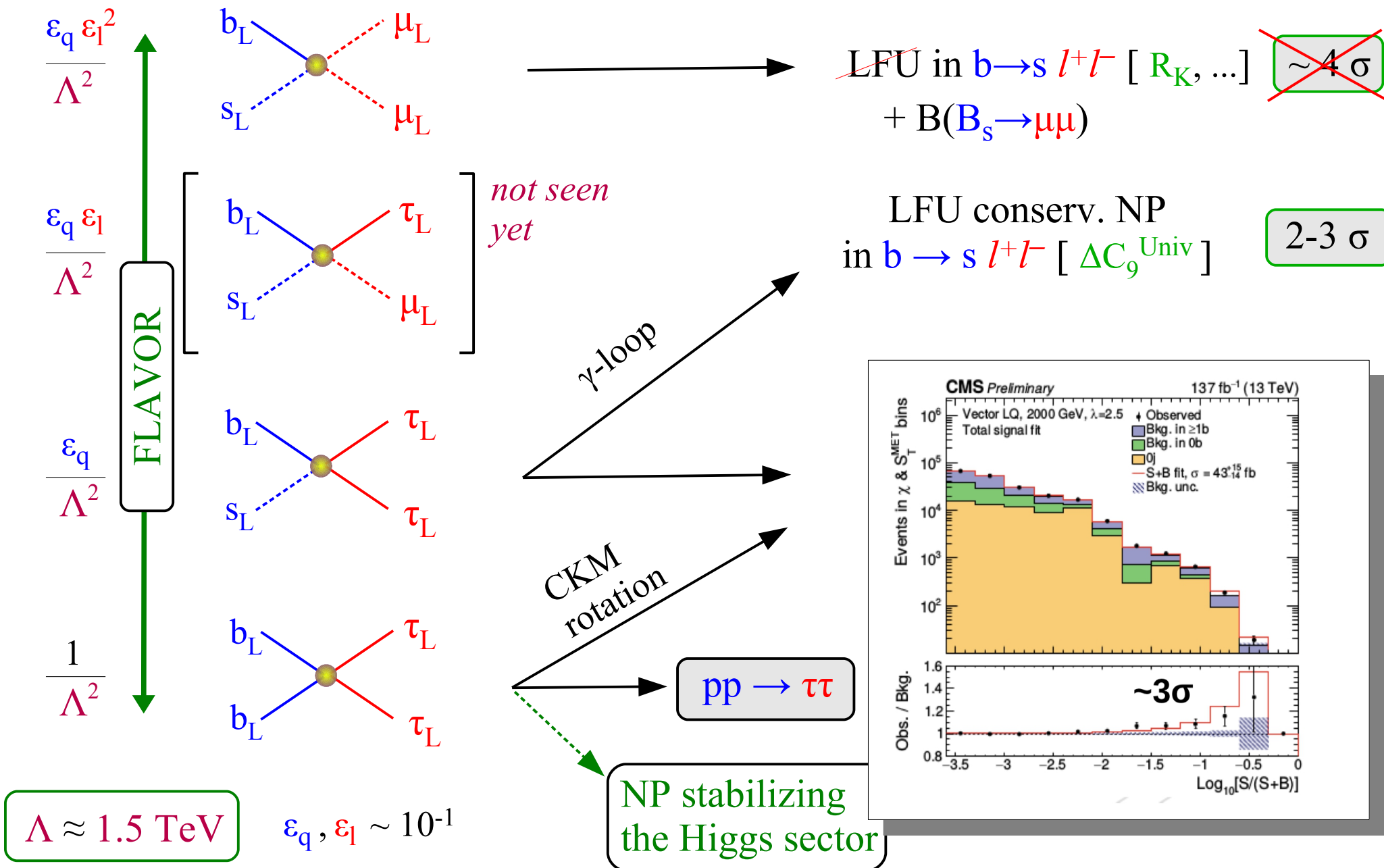
► Flavor non-universal interactions



# ► Flavor non-universal interactions



# ► Flavor non-universal interactions



## *Future prospects*

*“Prediction is very difficult, especially if it's about the future.”*

Niels Bohr

*“As I get older, I realize being wrong isn't a bad thing like they teach you in school. It is an opportunity to learn something.”*

Richard Feynman



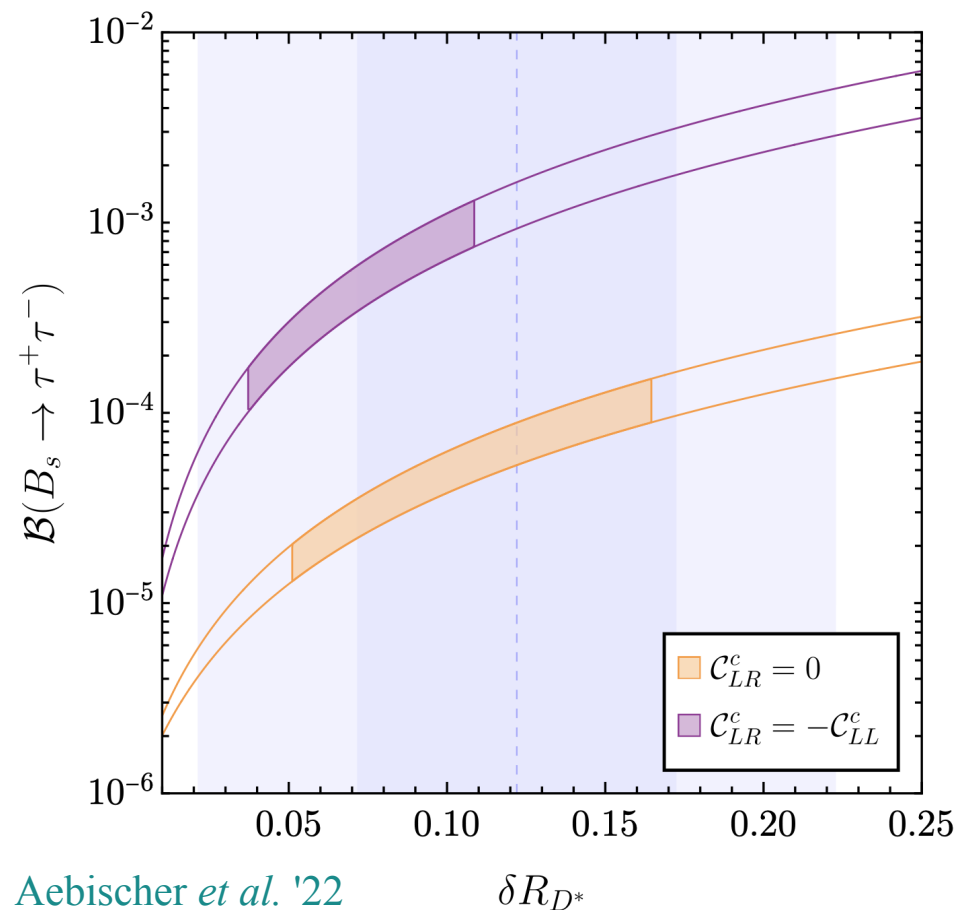
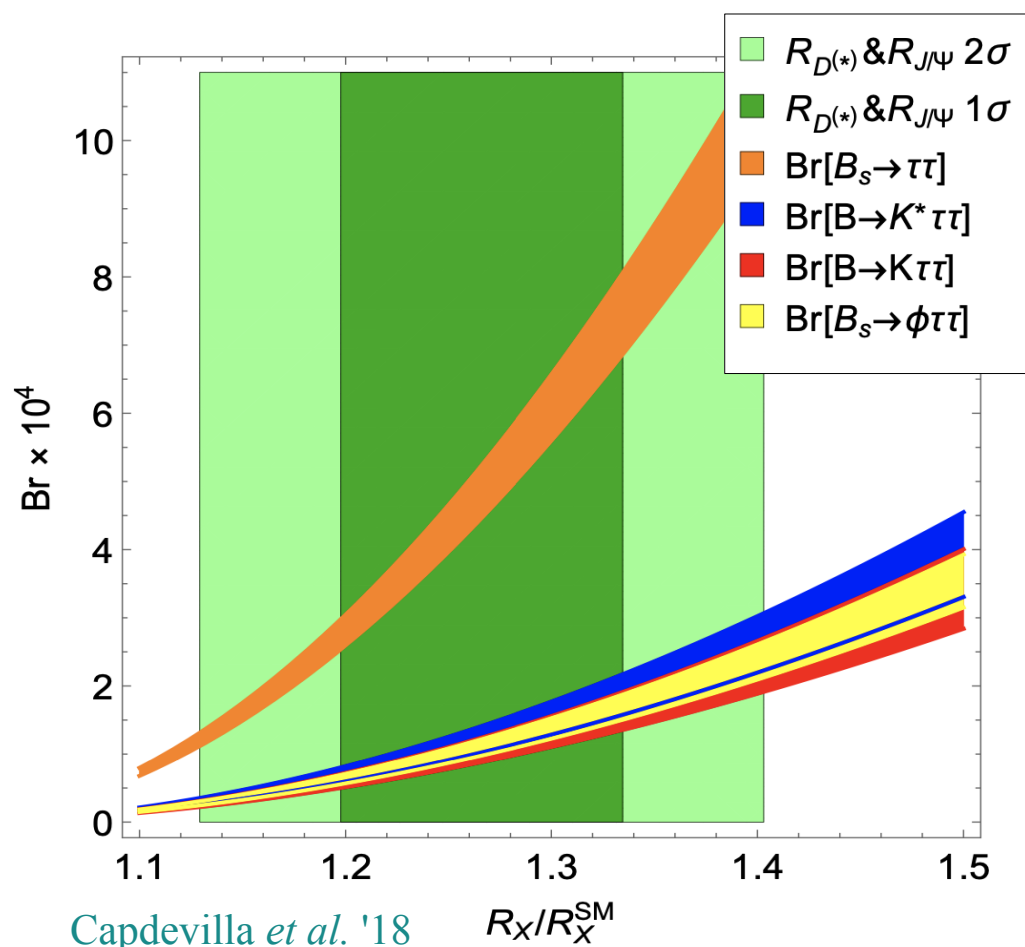
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The idea of flavor non-universal interactions – with a 1<sup>st</sup> layer of new physics already at the TeV scale – has several interesting implications for future precision measurements (*with different degree of model-dependence*)

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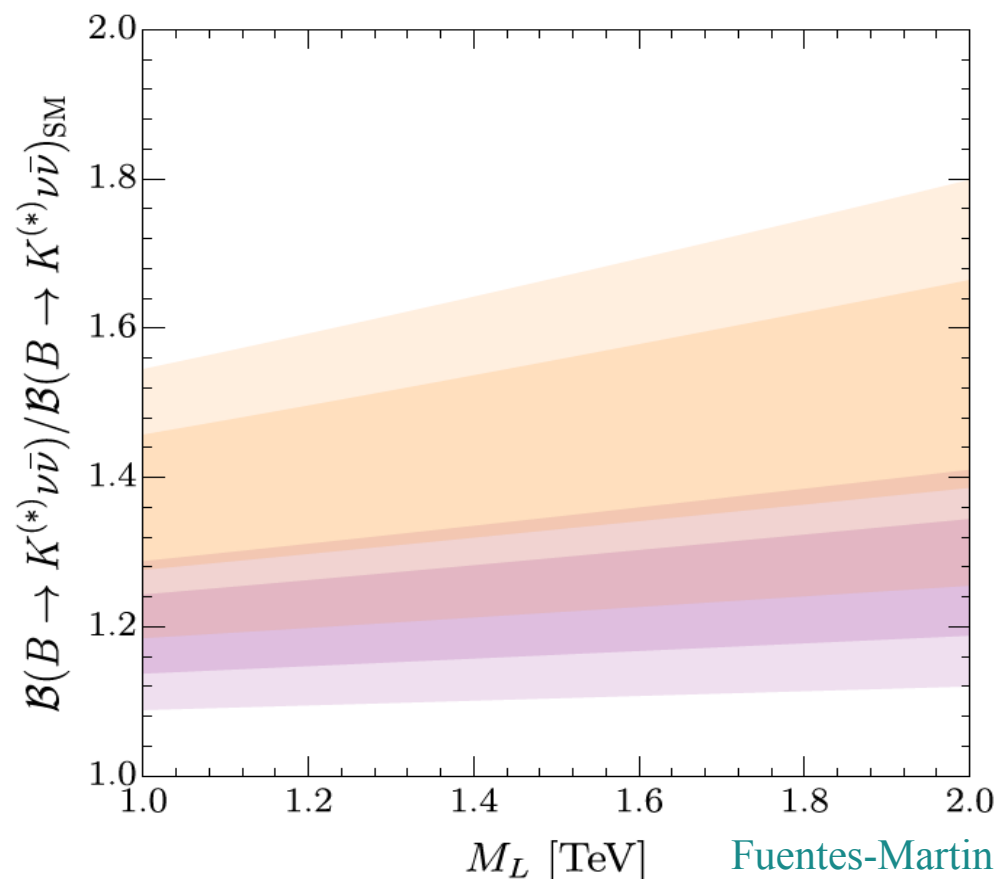
E.g.: I) Possible huge enhancements in  $b \rightarrow s \tau \tau$  rates



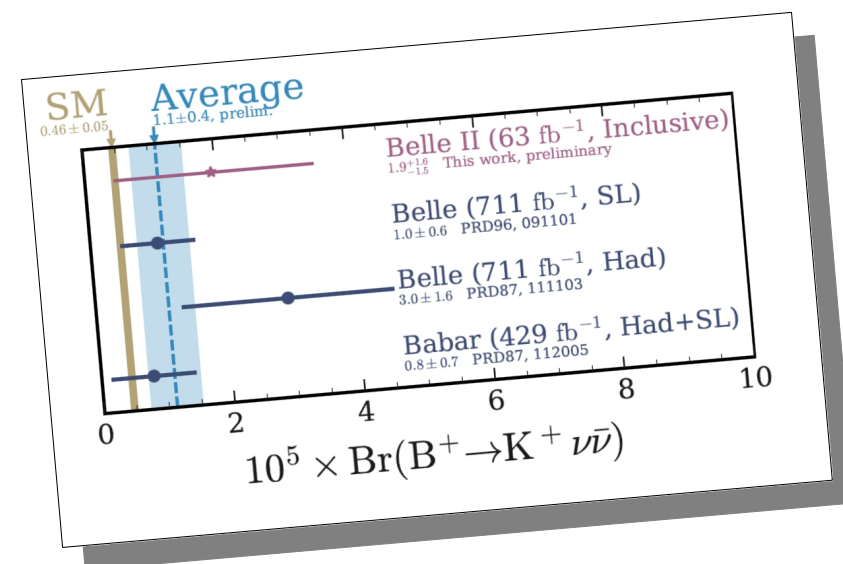
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E.g.: II) O(10%) enhancement in  $b \rightarrow s \nu \bar{\nu}$  rates [ 3<sup>rd</sup> gen.  $\nu$  in the final state ]



*Very interesting prospect for Belle-II, already now...*

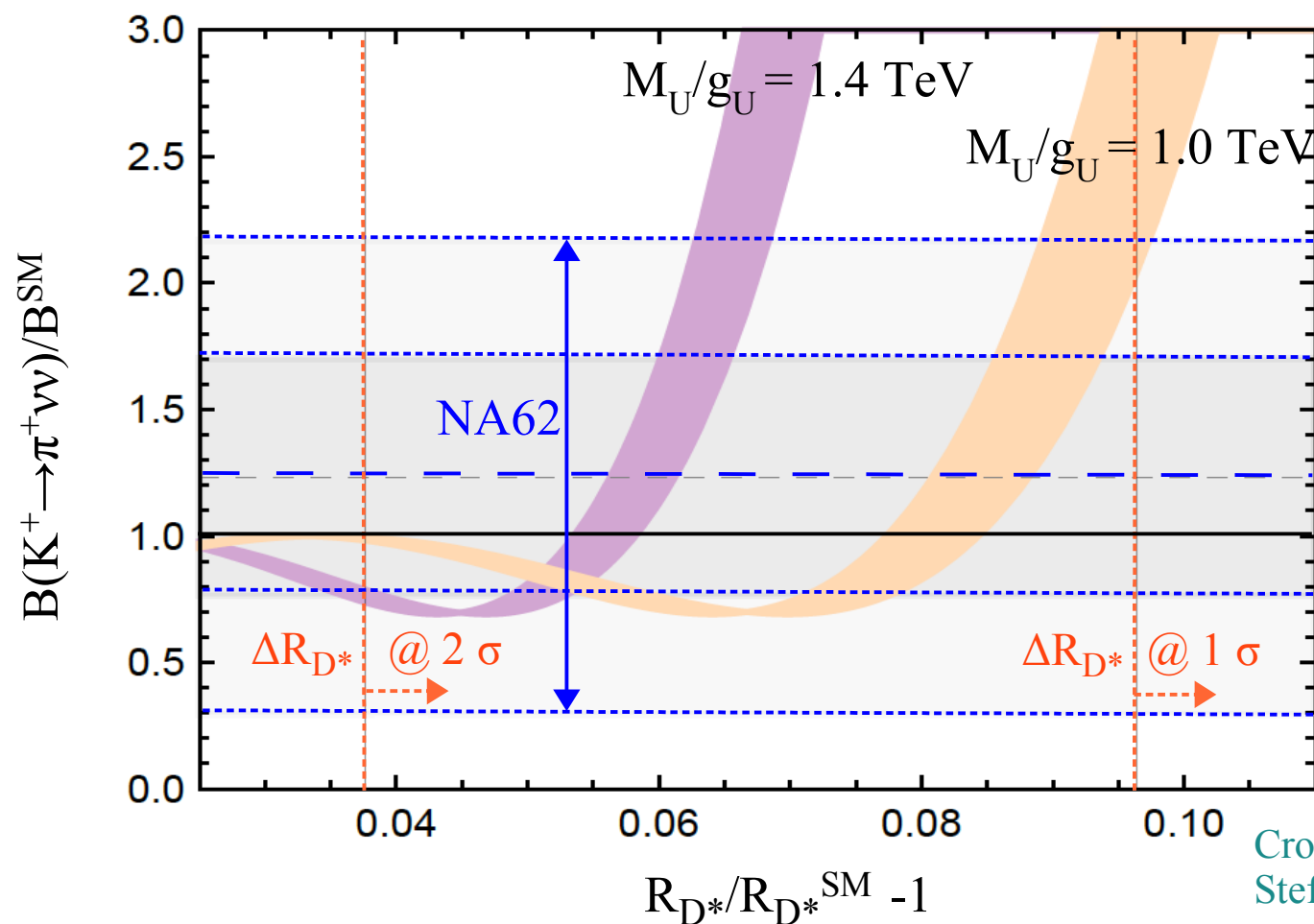


Fuentes-Martin, GI, Konig, Selimovic, '20  
Cornella *et al.* '21

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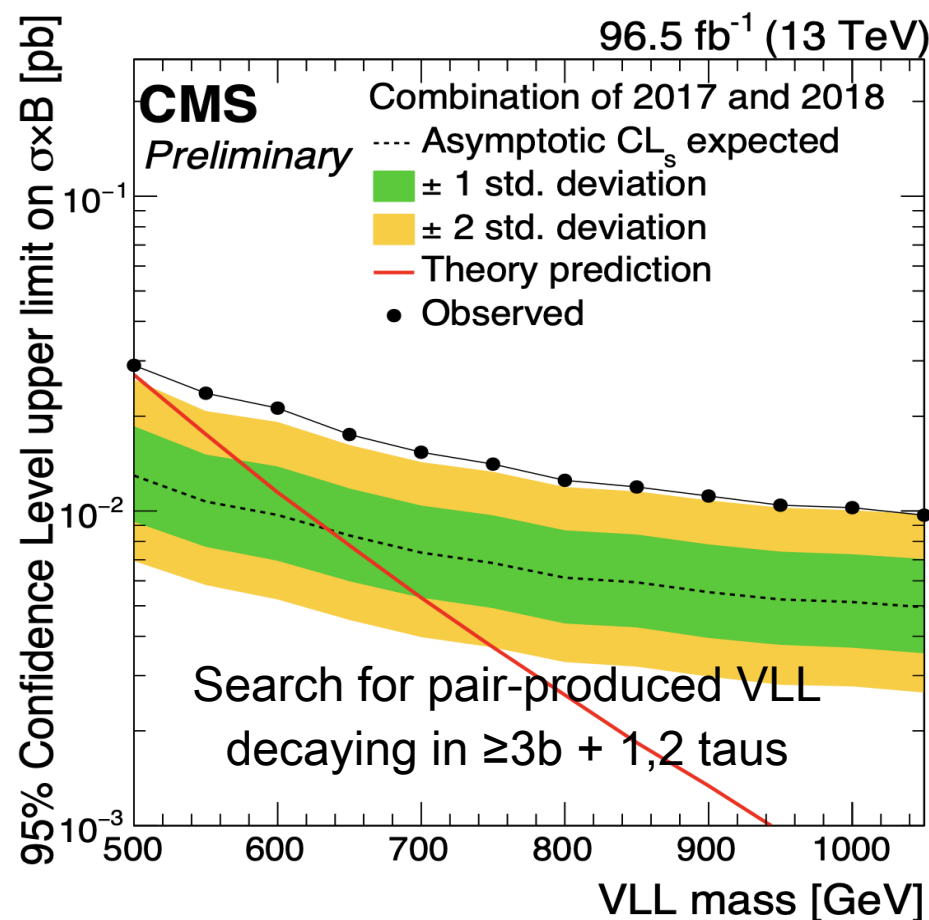
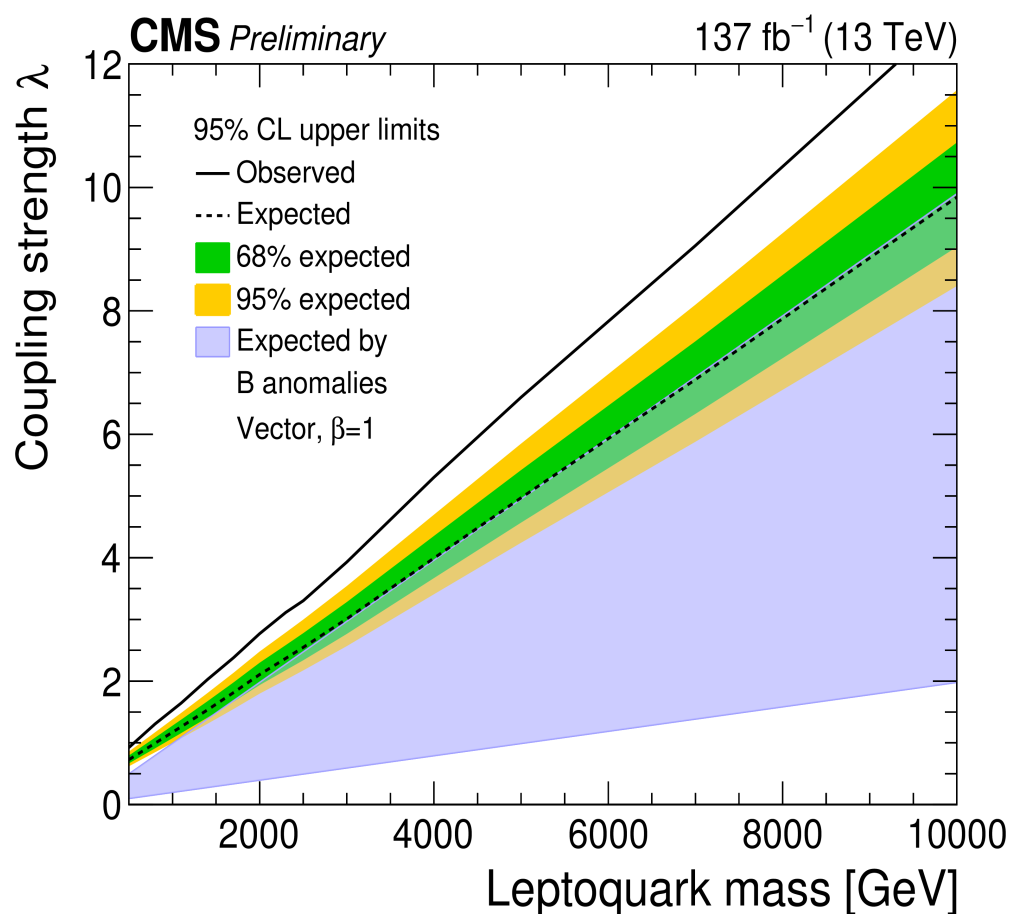
Crosas, GI, Lizana,  
Stefanek, Selimovic, '22

## Future prospects

The idea of flavor non-universal interactions – with a 1<sup>st</sup> layer of new physics already at the TeV scale – has several interesting implications for future precision measurements + high-energy measurements

E.g.:  $pp \rightarrow \tau\bar{\tau}$

$pp \rightarrow (\chi_{\tau} \rightarrow b\bar{b}\tau) + (\bar{\chi}_{\tau} \rightarrow b\bar{b}\bar{\tau})$

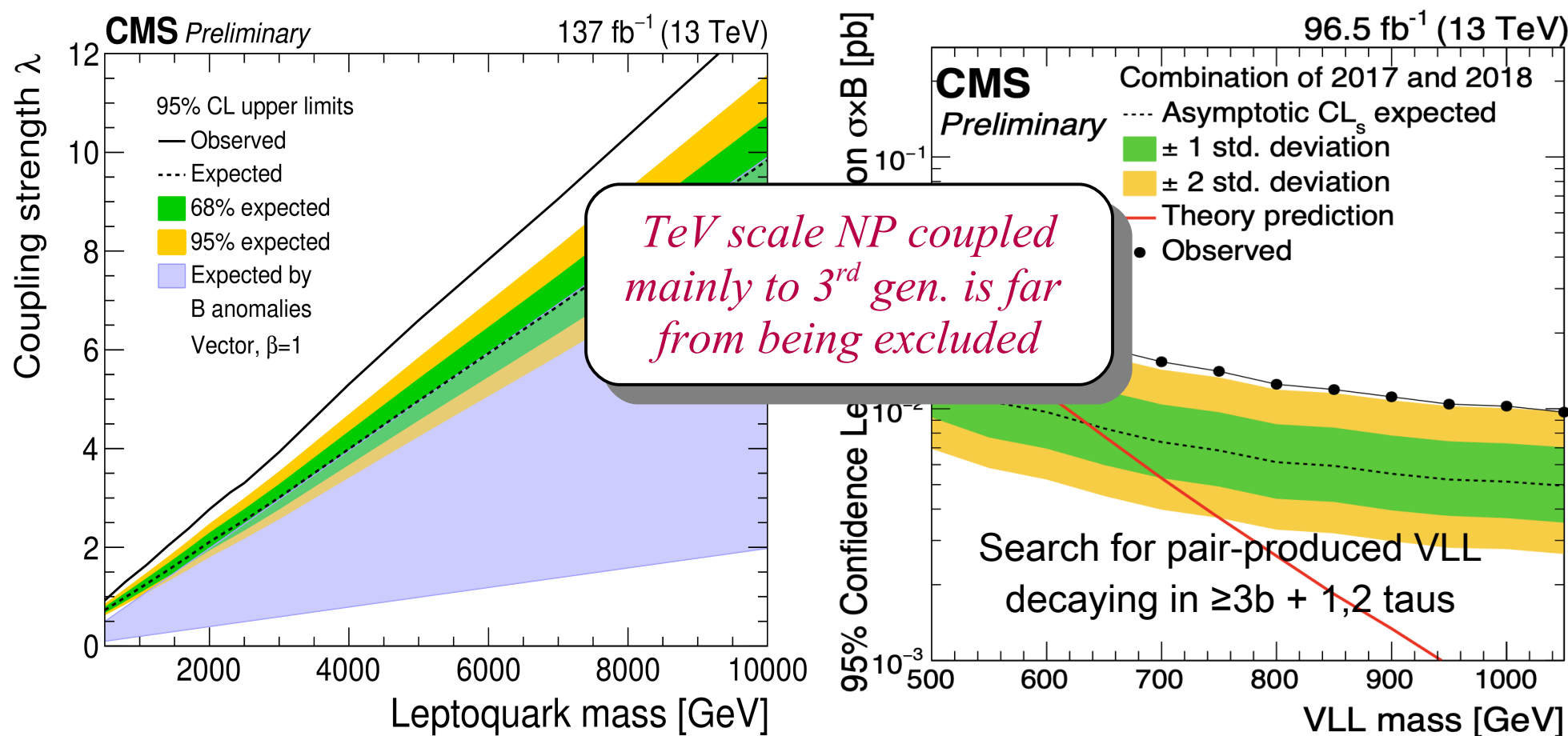


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## Conclusions

- The KM mechanism has played a major role in our understanding of fundamental interactions



- As I tried to show, there are valuable lessons we can deduce from the KM paper which are still valuable today, when applied to the search for physics beyond the Standard Model
- The most general one is that *flavor physics still hides interesting puzzles* and *might be the key to understand the nature of physics above the electroweak scale*