

# Revisiting Froggatt-Nielsen mechanism

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Work in progress

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# Contents

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- 1. Flavor puzzles and  
Froggatt-Nielsen(FN) mechanism**
- 2. Good FN charge**
- 3. Summary**

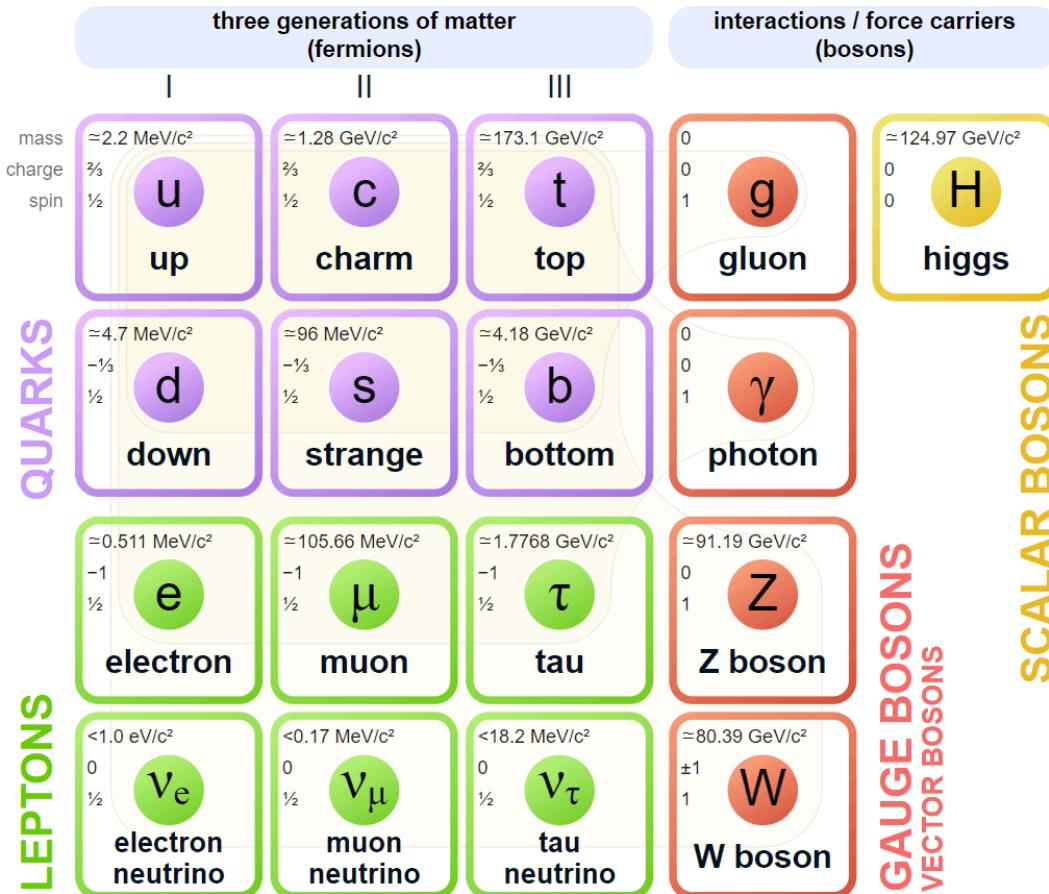
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# The standard model(SM)

## Standard Model of Elementary Particles



- Very successful

# The standard model(SM)

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	I	II	III
mass	$\approx 2.2 \text{ MeV}/c^2$	$\approx 1.28 \text{ GeV}/c^2$	$\approx 173.1 \text{ GeV}/c^2$
charge	$\frac{2}{3}$	$\frac{2}{3}$	$\frac{2}{3}$
spin	$\frac{1}{2}$	$\frac{1}{2}$	$\frac{1}{2}$
QUARKS	<b>u</b> up	<b>c</b> charm	<b>t</b> top
	$\approx 4.7 \text{ MeV}/c^2$	$\approx 96 \text{ MeV}/c^2$	$\approx 4.18 \text{ GeV}/c^2$
	$-\frac{1}{3}$	$-\frac{1}{3}$	$-\frac{1}{3}$
	$\frac{1}{2}$	$\frac{1}{2}$	$\frac{1}{2}$
	<b>d</b> down	<b>s</b> strange	<b>b</b> bottom
LEPTONS	<b>e</b> electron	<b><math>\mu</math></b> muon	<b><math>\tau</math></b> tau
	$\approx 0.511 \text{ MeV}/c^2$	$\approx 105.66 \text{ MeV}/c^2$	$\approx 1.7768 \text{ GeV}/c^2$
	-1	-1	-1
	$\frac{1}{2}$	$\frac{1}{2}$	$\frac{1}{2}$
	<b><math>\nu_e</math></b> electron neutrino	<b><math>\nu_\mu</math></b> muon neutrino	<b><math>\nu_\tau</math></b> tau neutrino

- Very successful
- Repetitive structure of quarks and leptons

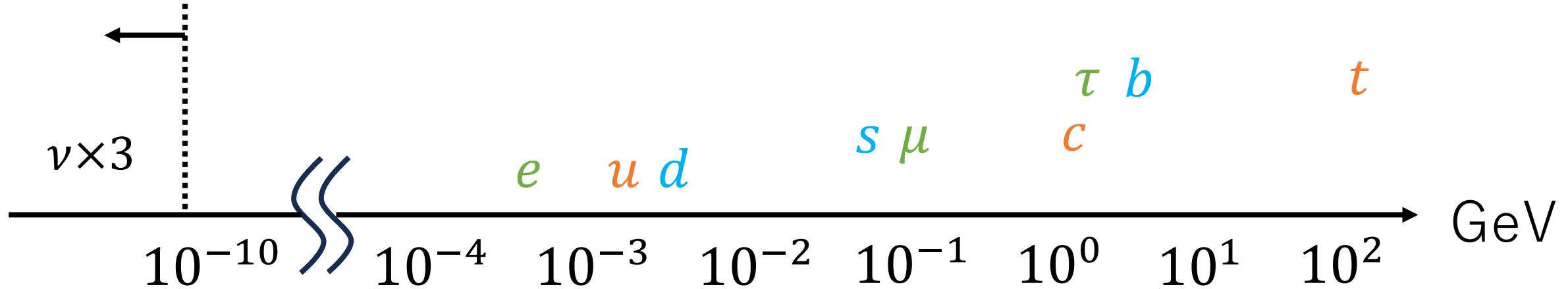
# Situation

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There exist flavor puzzles in the SM !

# Fermion mass structure

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There is hierarchical mass structure. e.g.,  $\frac{m_t}{m_u} = O(10^5)$

# Structure of mixings

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$$|V_{\text{CKM}}| = \left[ \begin{array}{ccc} \text{large blue circle} & \text{medium blue circle} & \text{small blue circles} \\ \text{medium blue circle} & \text{large blue circle} & \text{small blue circle} \\ \text{small blue circle} & \text{small blue circle} & \text{large blue circle} \end{array} \right] \quad \text{hierarchical}$$

$$|V_{\text{PMNS}}| = \left[ \begin{array}{ccc} \text{large green circle} & \text{large green circle} & \text{small green circle} \\ \text{medium green circle} & \text{medium green circle} & \text{large green circle} \\ \text{small green circle} & \text{small green circle} & \text{large green circle} \end{array} \right] \quad \text{anarchical}$$

Mixing matrices have distinctive structures.

# Froggatt-Nielsen (FN) mechanism

C.D.Froggatt and H.B.Nielsen, Nucl.Phys.B 147 (1979)

- SM fermions have charges under new U(1) symmetry

$$f_i : q(f_i), \quad (i: \text{generation})$$

- We cannot write ordinary Yukawa interactions

$$f_i f_j H \longrightarrow \cancel{f_i f_j H}$$

- If we introduce new scalar  $S : -1$ , new operators can be written

$$\kappa_{ij} f_i f_j H \left(\frac{S}{M_{\text{Pl}}}\right)^{|q(f_i)+q(f_j)|} \quad \kappa_{ij} = O(1)$$

# FN mechanism

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- If  $S$  obtains VEV, Yukawa interactions arise,

$$\kappa_{ij} f_i f_j H \left( \frac{S}{M_{\text{Pl}}} \right)^{|q(f_i) + q(f_j)|} \xrightarrow{S \rightarrow \langle S \rangle} \kappa_{ij} f_i f_j H \left( \frac{\langle S \rangle}{M_{\text{Pl}}} \right)^{|q(f_i) + q(f_j)|},$$

$$y_{ij} = \kappa_{ij} \times \epsilon^{|q(f_i) + q(f_j)|}, \quad \epsilon = \langle S \rangle / M_{\text{Pl}} < 1$$

- **Hierarchy is realized naturally by this mechanism.**

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# Many studies

## Hierarchy of Quark Masses, Cabibbo Angles and CP Violation

C.D. Froggatt (CERN and Glasgow U.), Holger Bech Nielsen (Bohr Inst.)

Jun, 1978

22 pages

Published in: *Nucl.Phys.B* 147 (1979) 277-298

Published: 1979

DOI: [10.1016/0550-3213\(79\)90316-X](https://doi.org/10.1016/0550-3213(79)90316-X)

Report number: CERN-TH-2519

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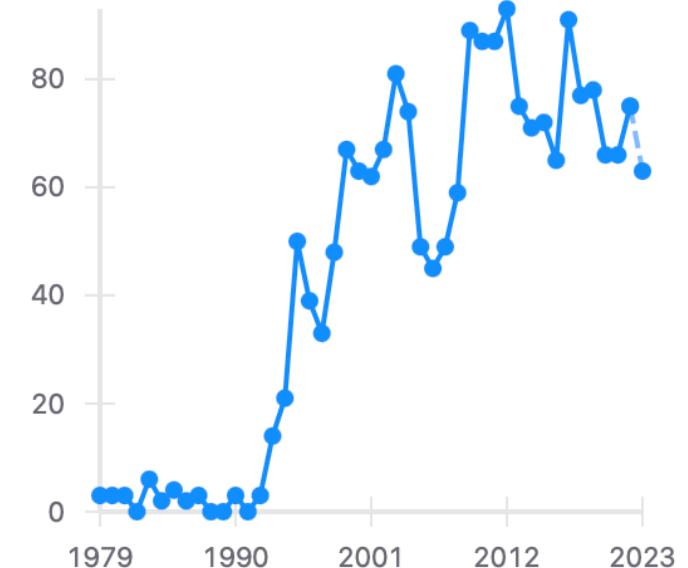
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 reference search

 2,008 citations

## Citations per year



# How to find good charges

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- Compare the plausibility of multiple different FN charge assignments
- We adopt **the Bayes factor**

( J. Bergstrom, D. Meloni and L. Merlo, PRD 89 (2014) 9, 093021 )

# Bayes theorem

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$$P(M_i|Data) \propto P(Data|M_i) \times P(M_i)$$

$P(M_i|Data)$ : posterior probability of models

$P(M_i)$ : prior probability of models

$P(Data|M_i)$ : marginal likelihood

# Bayes theorem

---

$$P(M_i|Data) \propto P(Data|M_i) \times P(M_i)$$

$$P(Data|M_i) = \int d\theta P(Data|\theta, M_i) \times P(\theta|M_i)$$

$P(\theta|M_i)$ : prior distribution of model parameters

$P(Data|\theta, M_i)$ : likelihood function

# Bayes factor

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$$\frac{P(M_i | Data)}{P(M_j | Data)} \propto \boxed{\frac{P(Data | M_i)}{P(Data | M_j)}} \times \frac{P(M_i)}{P(M_j)}$$

**Bayes factor: Comparison of the plausibility of two models**

# Set up(SM)

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SM part

$$-\mathcal{L}_Y = y_{ij}^{(u)} Q_i \bar{u}_j H + y_{ij}^{(d)} Q_i \bar{d}_j H^\dagger + y_{ij}^{(e)} L_i \bar{e}_j H^\dagger + h.c.,$$

# Set up ( $\nu$ masses)

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dim-5 operators

$$-\mathcal{L}_Y = y_{ij}^{(\nu)} \frac{(L_i H)(L_j H)}{2\Lambda_N} + h.c., \quad m_{ij}^{(\nu)} = y_{ij}^{(\nu)} \frac{\nu_{EW}^2}{\Lambda_N}$$

seesaw ( $q(\bar{N}_a) = 0$ )

$$-\mathcal{L}_Y = y_{ia}^{(D)} L_i \bar{N}_a H + \frac{1}{2} y_{ab}^{(R)} M_R \bar{N}_a \bar{N}_b + h.c.,$$

$$m_{ij}^{(\nu)} = (y^{(D)} (y^{(R)})^{-1} (y^{(D)})^T)_{ij} \frac{\nu_{EW}^2}{M_R}$$

# Concrete function forms

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$$P(M_i | Data) = \int d\theta P(Data | \theta, M_i) \times P(\theta | M_i) \times P(M_i)$$

$$P(M_i) = P(M_j) \text{ for } i \neq j$$

$$P(\theta = \kappa_{ij}, \epsilon | M_i) \propto \exp(-|\kappa_{ij}|^2), \quad (0.1 \leq \epsilon \leq 0.3)$$

$$P(Data = x_a | \theta, M_i) \propto \delta(x_a(\theta) - x_a^{\text{obs}})$$

# Parameters

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Fermion Yukawa

$$y_u, y_c, y_t, y_d, y_s, y_b, y_e, y_\mu, y_\tau$$

CKM and PMNS  
parameters

$$s_{12}^{\text{CKM}}, s_{23}^{\text{CKM}}, s_{13}^{\text{CKM}}, \delta^{\text{CKM}}, \\ s_{12}^{\text{PMNS}}, s_{23}^{\text{PMNS}}, s_{13}^{\text{PMNS}}$$

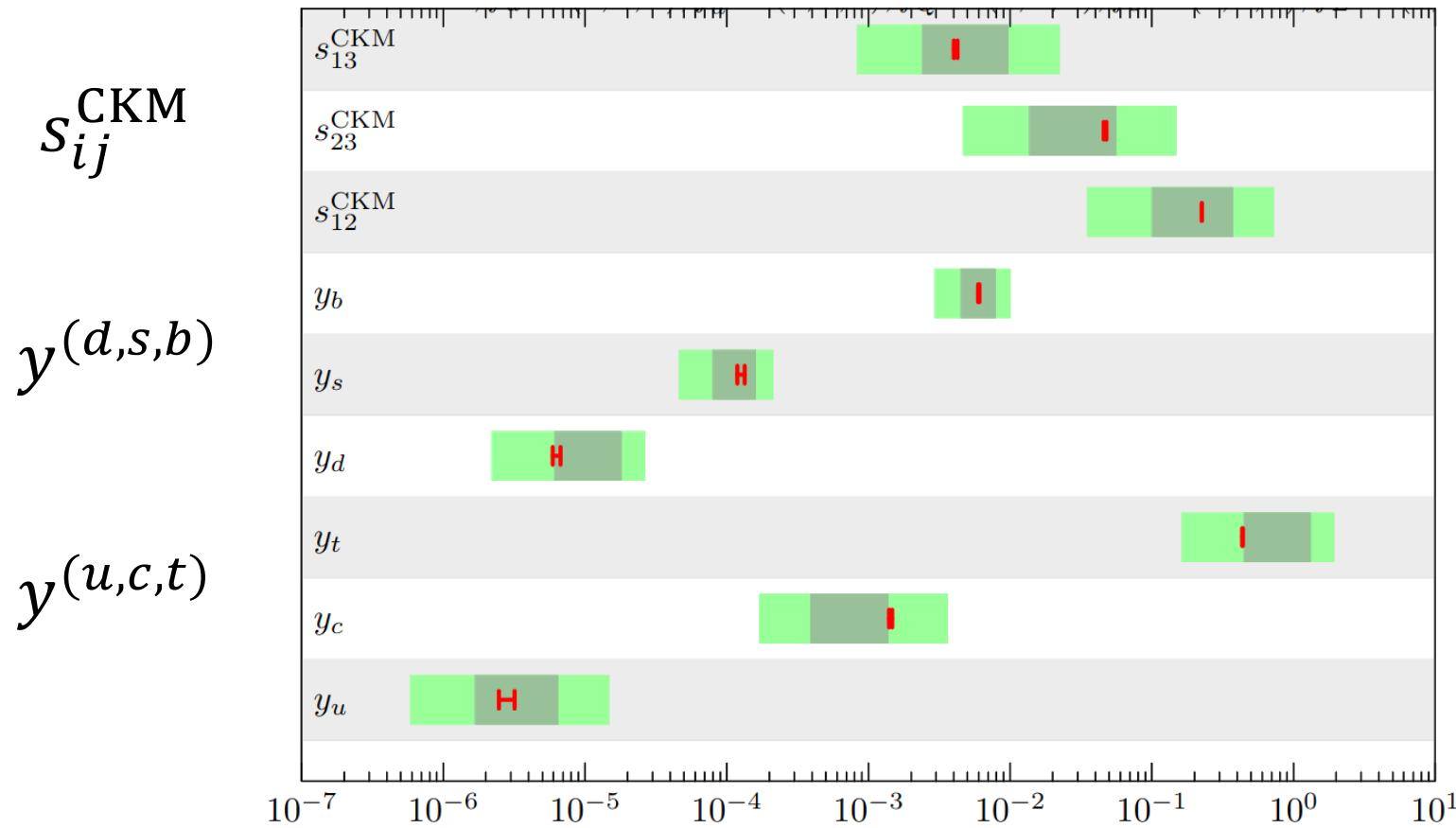
Neutrino mass ratio

$$\Delta m_{12}^2 / \Delta m_{13}^2 = (m_1^2 - m_2^2) / (m_1^2 - m_3^2)$$

# Results(quarks)

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$$\epsilon = 0.14, \quad q(Q) = (3, 2, 0), \quad q(\bar{u}) = (4, 2, 0), \quad q(\bar{d}) = (3, 3, 3)$$



# Results(quarks)

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$f_Q$	$f_{\bar{u}}$	$f_{\bar{d}}$	$\log_{10}(Z/Z_0)$	$\epsilon$
3, 2, 0	4, 2, 0	3, 3, 3	$96.34 \pm 0.10$	$0.141 \pm 0.005$
4, 2, 0	6, 3, 1	6, 4, 4	$96.03 \pm 0.12$	$0.253 \pm 0.006$
5, 3, 0	7, 3, 0	5, 5, 5	$95.94 \pm 0.12$	$0.302 \pm 0.007$
5, 3, 0	6, 3, 0	5, 5, 4	$95.86 \pm 0.13$	$0.285 \pm 0.007$
4, 3, 0	7, 3, 1	6, 5, 4	$95.82 \pm 0.10$	$0.291 \pm 0.007$
3, 2, 0	4, 2, 0	-9, 3, 3	$95.81 \pm 0.11$	$0.145 \pm 0.006$
4, 3, 0	6, 3, 0	5, 4, 4	$95.76 \pm 0.13$	$0.255 \pm 0.007$
5, 3, 0	6, 3, 0	5, 5, 5	$95.73 \pm 0.12$	$0.297 \pm 0.007$
4, 2, 0	6, 3, 1	5, 4, 4	$95.69 \pm 0.11$	$0.248 \pm 0.007$
4, 2, 0	5, 3, 1	6, 4, 4	$95.68 \pm 0.13$	$0.247 \pm 0.006$

# Results(leptons)

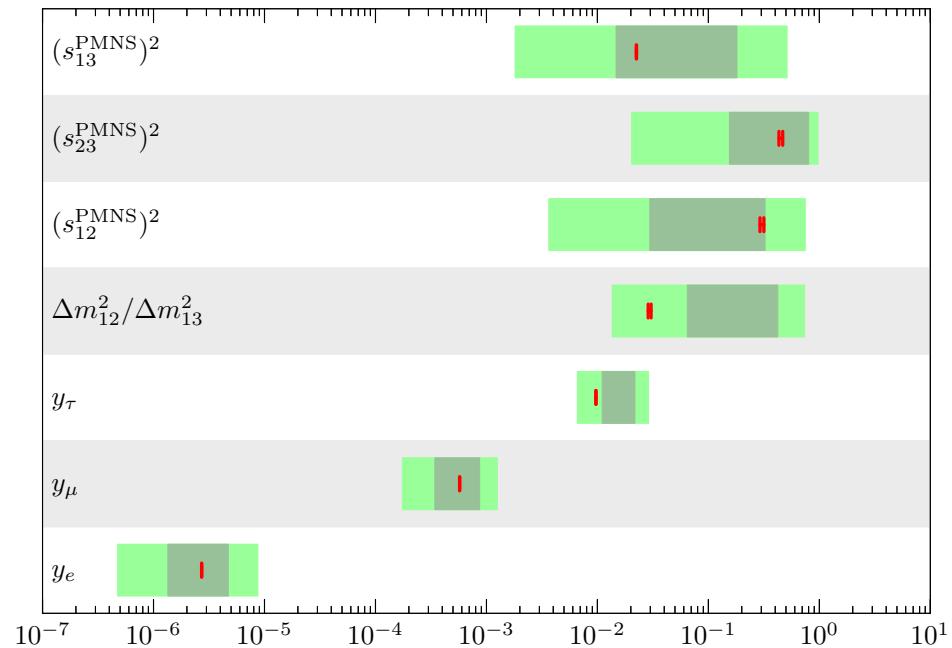
dim-5 operators

$$\epsilon = 0.26, \quad q(L) = (5, 4, 4), \\ q(\bar{e}) = (5, 2, 0)$$

$$(s_{ij}^{\text{PMNS}})^2$$

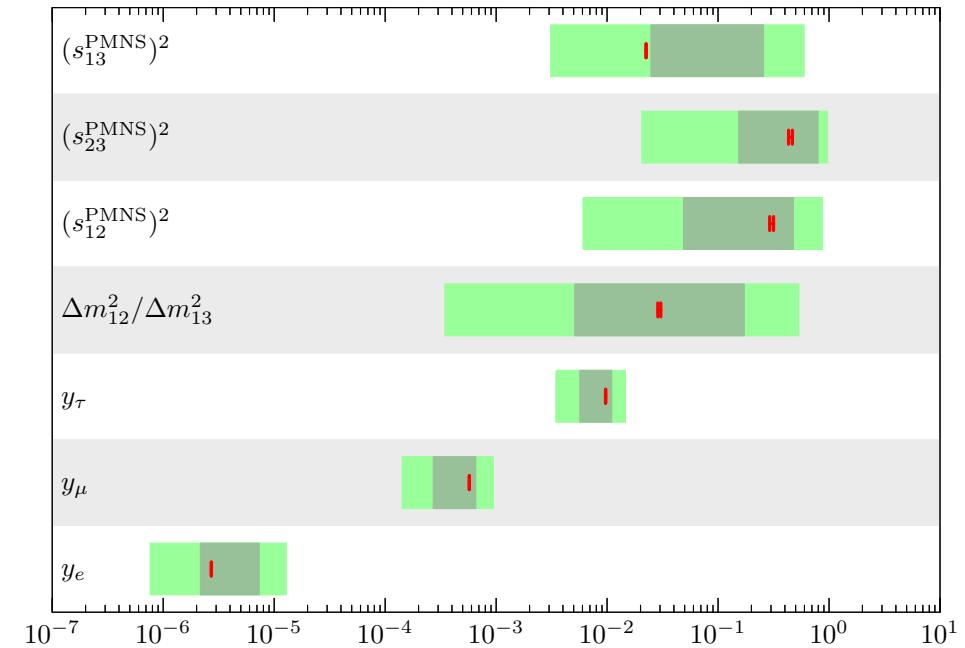
$$\Delta m_{12}^2 / \Delta m_{13}^2$$

$$y^{(e,\mu,\tau)}$$



seesaw

$$\epsilon = 0.28, \quad q(L) = (5, 4, 4), \\ q(\bar{e}) = (5, 2, 0)$$



# Results(leptons)

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dim-5 operators

$f_L$	$f_{\bar{e}}$	$\log_{10}(Z/Z_0)$	$\epsilon$
5, 4, 4	5, 2, 0	$57.22 \pm 0.13$	$0.264 \pm 0.010$
7, 6, 6	3, -2, 0	$57.00 \pm 0.15$	$0.264 \pm 0.009$
6, 6, 5	3, -2, 0	$56.95 \pm 0.15$	$0.231 \pm 0.010$
5, 5, 4	6, 2, 0	$56.84 \pm 0.12$	$0.300 \pm 0.010$
5, 5, 4	5, 2, 0	$56.77 \pm 0.20$	$0.290 \pm 0.011$
7, 6, 6	4, -2, 0	$56.66 \pm 0.14$	$0.284 \pm 0.009$
4, 3, 3	4, 2, 0	$56.65 \pm 0.22$	$0.193 \pm 0.009$
7, 7, 6	3, -2, 0	$56.59 \pm 0.22$	$0.291 \pm 0.011$
7, 7, 6	4, -2, 0	$56.58 \pm 0.08$	$0.300 \pm 0.010$
6, 5, 5	2, -2, 0	$56.54 \pm 0.20$	$0.194 \pm 0.010$

seesaw

$f_L$	$f_{\bar{e}}$	$\log_{10}(Z/Z_0)$	$\epsilon$
5, 4, 4	5, 2, 0	$51.18 \pm 0.22$	$0.282 \pm 0.011$
4, 4, 4	6, 2, 0	$50.96 \pm 0.19$	$0.278 \pm 0.010$
5, 4, 4	6, 2, 0	$50.83 \pm 0.26$	$0.298 \pm 0.010$
4, 3, 3	5, 2, 0	$50.80 \pm 0.18$	$0.224 \pm 0.009$
4, 3, 3	4, 2, 0	$50.54 \pm 0.22$	$0.208 \pm 0.010$
4, 4, 4	5, 2, 0	$50.42 \pm 0.22$	$0.268 \pm 0.011$
4, 4, 3	5, 2, 0	$50.41 \pm 0.12$	$0.245 \pm 0.010$
5, 4, 4	4, 2, 0	$50.38 \pm 0.19$	$0.274 \pm 0.012$
3, 3, 3	5, 2, 0	$50.27 \pm 0.20$	$0.204 \pm 0.009$
5, 5, 5	3, -2, 0	$50.23 \pm 0.26$	$0.204 \pm 0.009$

# Results(quark + lepton)

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non-GUT

$$\epsilon = 0.26, \quad q(Q) = (4, 3, 0), \quad q(\bar{u}) = (6, 3, 0), \quad q(\bar{d}) = (5, 4, 4) \\ q(L) = (5, 4, 4), \quad q(\bar{e}) = (5, 2, 0)$$

SU(5)-GUT

$$\epsilon = 0.26, \quad q(10) = (5, 3, 0), \quad q(\bar{5}) = (5, 4, 3),$$

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# Summary

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- The FN mechanism is a promising mechanism to explain the flavor puzzles
- We are searching for good FN charge assignments which can explain the flavor puzzles

**Thank you for your attention!**

# Integral measure

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$$A = U_L \Sigma U_R^\dagger \quad \Sigma = \text{diag}(\sigma_1, \sigma_2, \sigma_3)$$

$$\begin{aligned} & \prod_{i,j} d \operatorname{Re}(A_{ij}) d \operatorname{Im}(A_{ij}) \\ &= (\sigma_1^2 - \sigma_2^2)^2 (\sigma_2^2 - \sigma_3^2)^2 (\sigma_3^2 - \sigma_1^2)^2 d\sigma_1^2 d\sigma_2^2 d\sigma_3^2 \frac{dU_L dU_R}{d\phi_1 d\phi_2 d\phi_3} \end{aligned}$$

# Prior function

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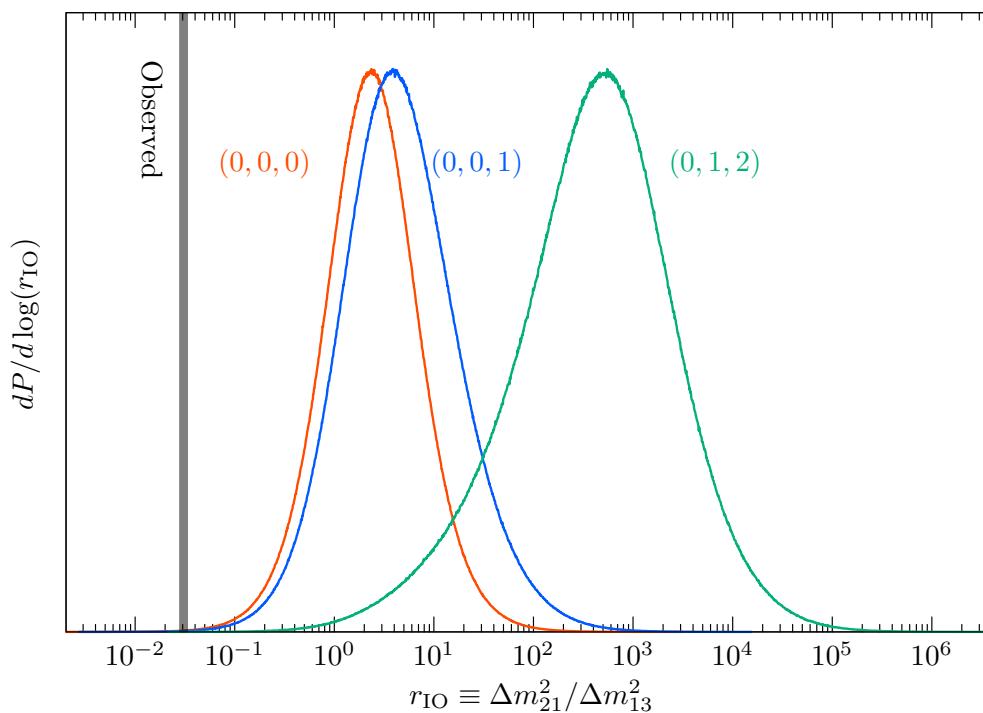
$$\begin{aligned}\pi(\kappa_u) &= \pi\left(y_u \circ \delta^{-|q_i+u_j|}\right) \\ &= \exp(-\text{tr}[\left(y_u \circ \delta^{-|q_i+u_j|}\right)^\dagger (y_u \circ \delta^{-|q_i+u_j|})]/2)\end{aligned}$$

$$y_u = U_{uL} \Sigma_u U_{uR}^\dagger, \quad \Sigma = \text{diag}(\sigma_{u1}, \sigma_{u2}, \sigma_{u3})$$

# $\nu$ masses (Inverted ordering)

prior distributions of  $r_{\text{IO}} = \Delta m_{21}^2 / \Delta m_{13}^2$

dim-5 operators



seesaw

