

# MECHANISMS FOR ACHIEVING THE SEALAB BEAM MODES

From modelling to optimisation strategies

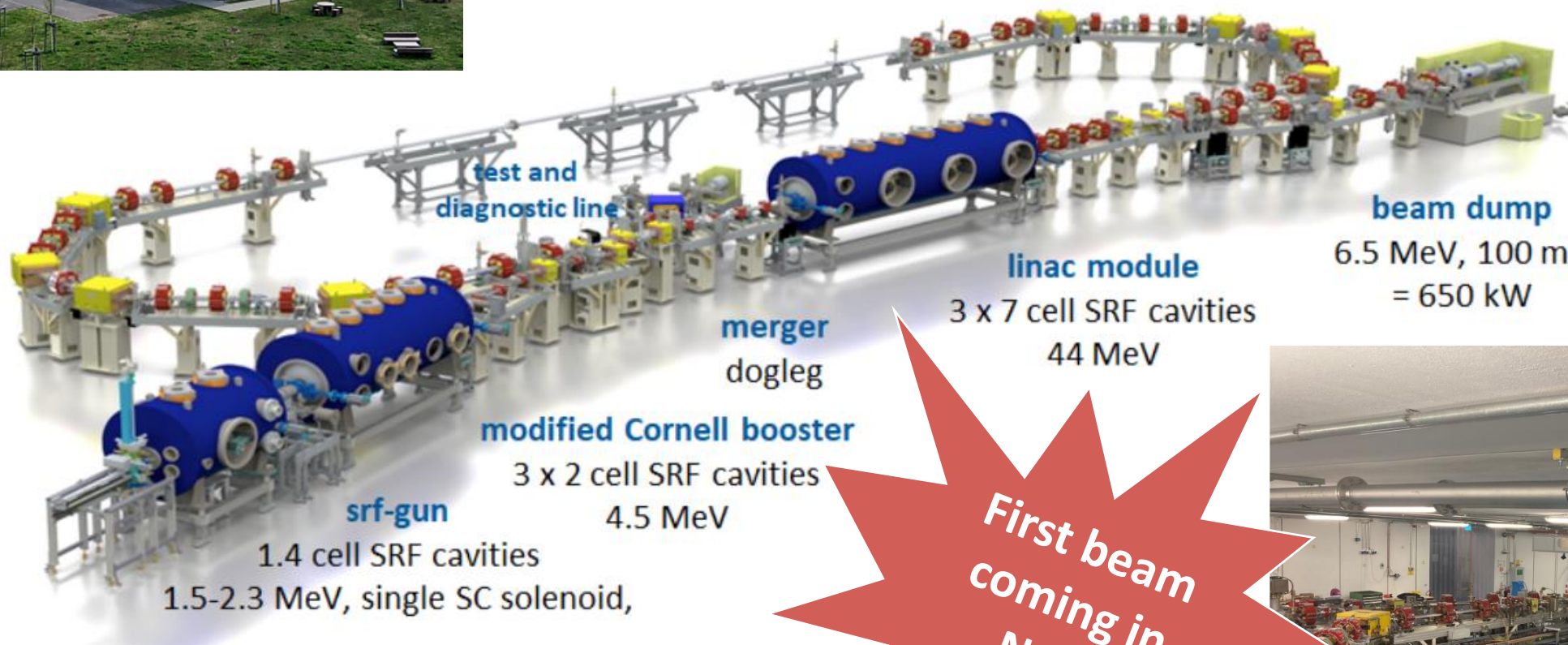
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ERL24, KEK

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*First beam  
coming in Nov!*

# The SEALab facility (bERLinPro successor)

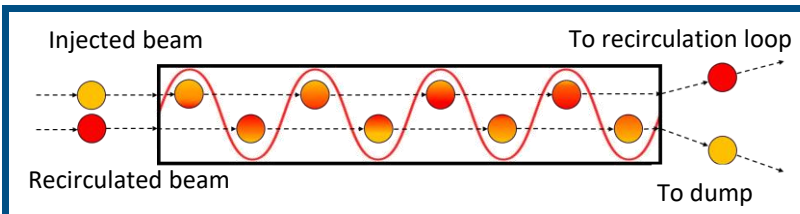
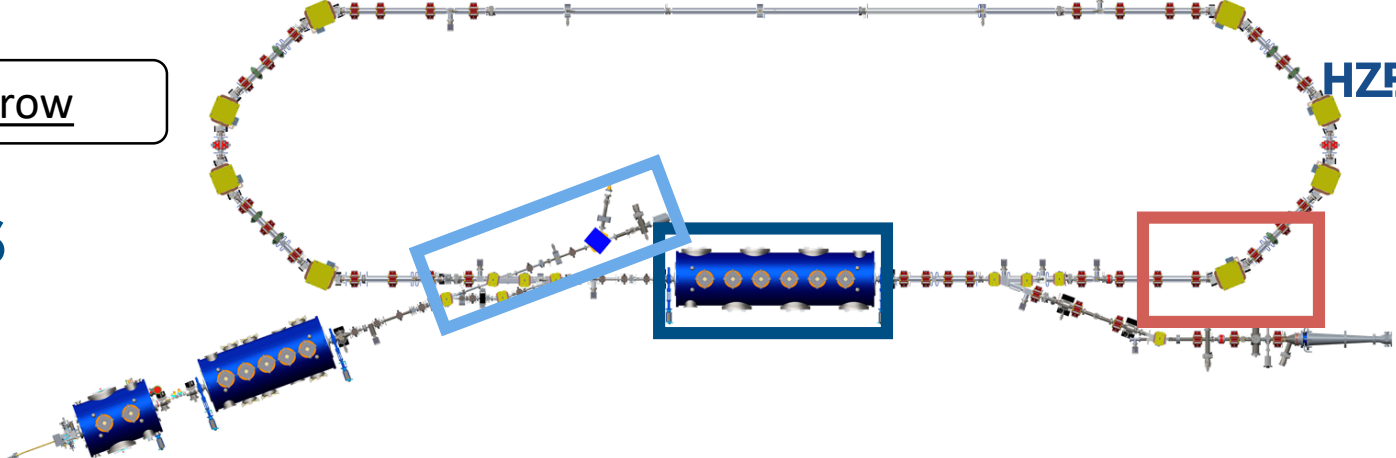


First beam coming in Nov!

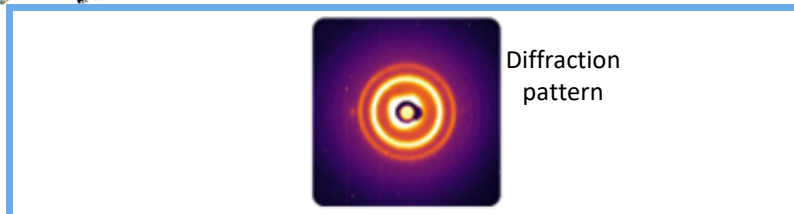


For more info, see Thorsten's talk tomorrow

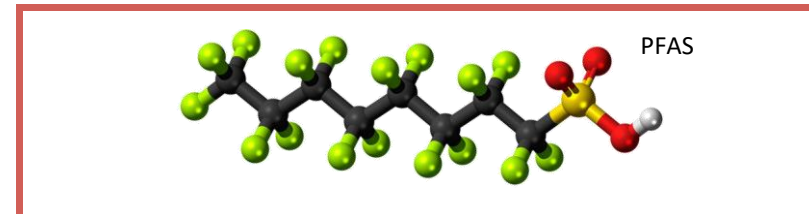
# Crucial Parameters



**Energy Recovery Linac**  
 Recycle recirculated beam energy for high-current operation and power saving



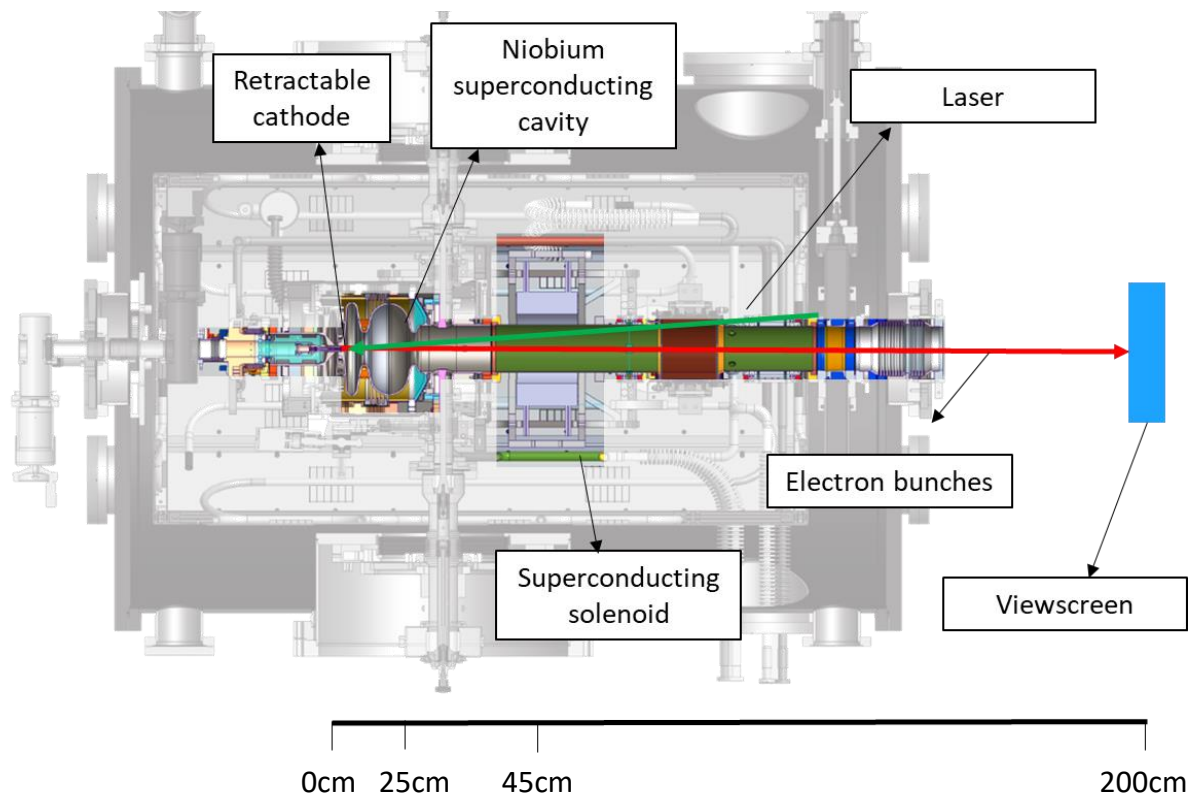
**Ultrafast Electron Diffraction**  
 Snapshots of atomic processes on femtosecond timescale



**Electron Beam Water Treatment**  
 Break down water pollutants using electron beams

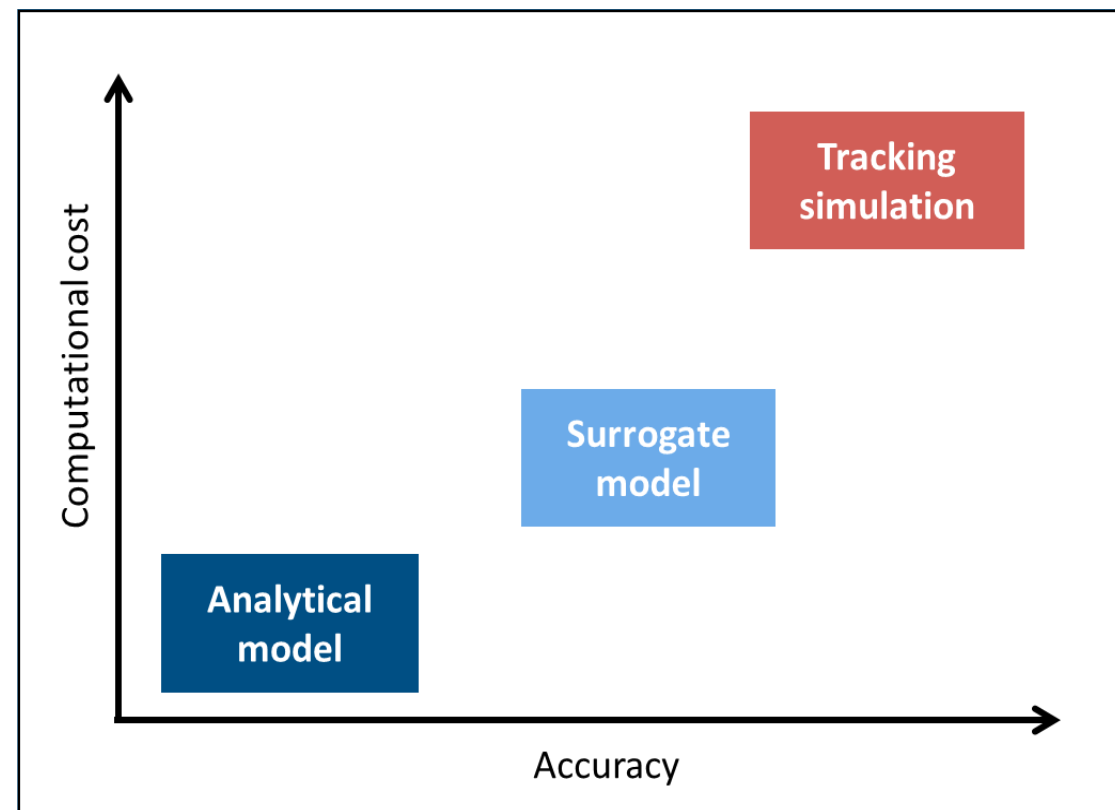
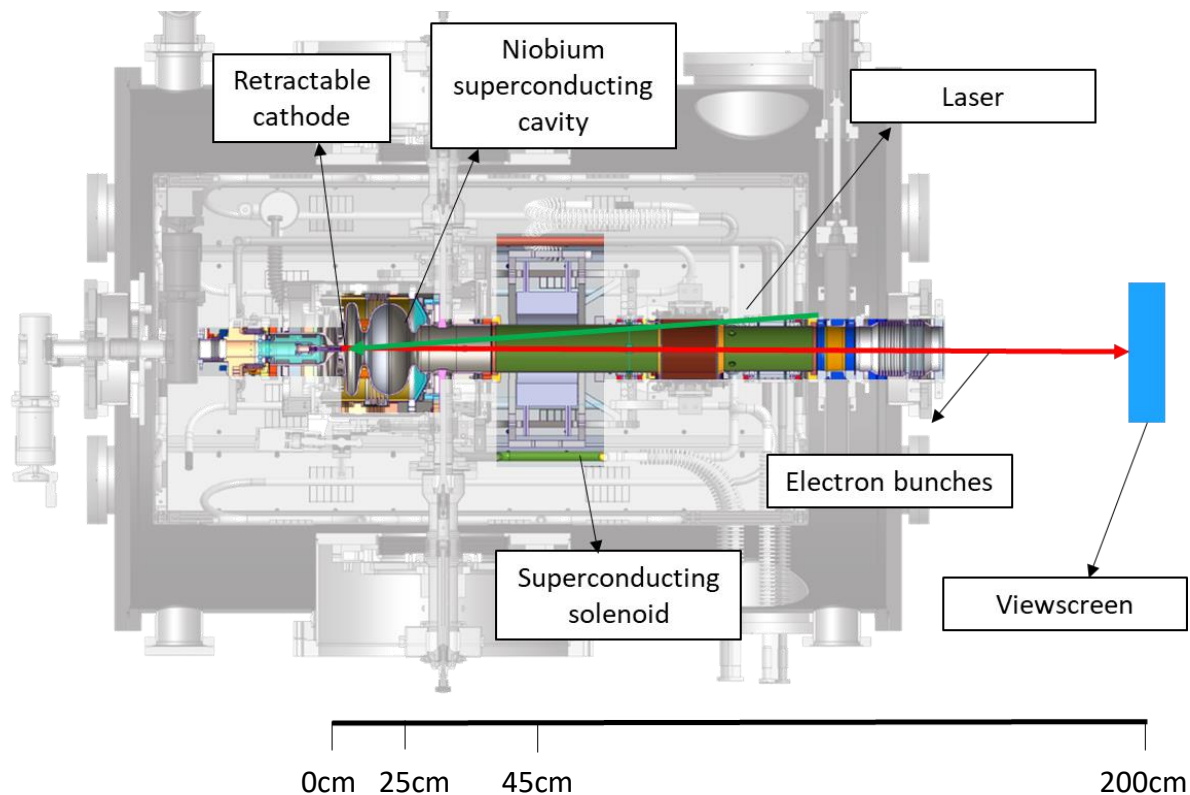
Spec	ERL	UED	EBWT
Rep rate	1.3 GHz	100 kHz	1.3 GHz
Emittance	<1 μm	10 <sup>-3</sup> μm	1-6 μm
Pulse length	2-3 ps	1 fs	2-10 ps
Bunch charge	77 pC	0.1 pC	77-500 pC
Av current	100 mA		20-100 mA
Kinetic energy	44 MeV	3.5 MeV	7 MeV

# SEALab Models for the SRF Gun and First Metre





# SEALab Models for the SRF Gun and First Metre



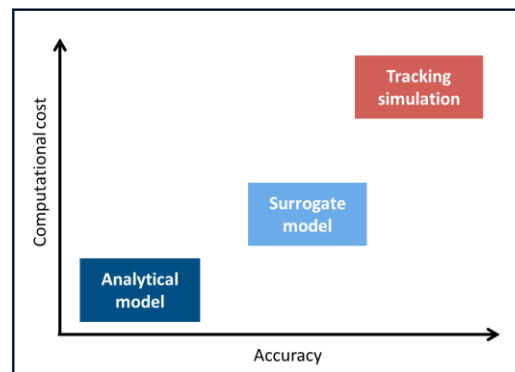
# 6-Dimensional Analytical Model

$$\begin{bmatrix} x_f \\ x'_f \\ y_f \\ y'_f \\ dE_f \\ dt_f \end{bmatrix} = \begin{bmatrix} M_{11} & M_{12} & M_{13} & M_{14} & 0 & 0 \\ M_{21} & M_{22} & M_{23} & M_{24} & 0 & 0 \\ M_{31} & M_{32} & M_{33} & M_{34} & 0 & 0 \\ M_{41} & M_{42} & M_{43} & M_{44} & 0 & 0 \\ 0 & 0 & 0 & 0 & M_{55} & M_{56} \\ 0 & 0 & 0 & 0 & M_{65} & M_{66} \end{bmatrix} \begin{bmatrix} x_i \\ x'_i \\ y_i \\ y'_i \\ dE_i \\ dt_i \end{bmatrix}$$

Final values

Transfer matrix

Initial values



## Properties:

- Fast, closed-form solutions from simplified dynamics
- First-order, linear approximations of beam behaviours in response to condition changes

## Application in SEALab:

- Initial commissioning
  - Setting initial parameters
  - Control system setup
  - Important controls/observables
- Beam matching
  - Match transverse properties at each stage of the accelerator

# Longitudinal Analytical Model

$$\begin{bmatrix} dE_f \\ dt_f \end{bmatrix} = \begin{bmatrix} M_{55} & M_{56} \\ M_{65} & M_{66} \end{bmatrix} \begin{bmatrix} dE_i \\ dt_i \end{bmatrix}$$

Cavity electric field:  $A_z(z, t) = A_0 \cos(kz) \sin(2\pi ft + \phi_0)$

Force:  $\mathbf{F} = m\ddot{z} = -eA_z(z)\hat{e}_z$

Energy gain:  $\frac{dE_f}{dt} = \mathbf{F} \cdot \mathbf{v}$  Field amplitude

Electric field strength:  $\alpha = \frac{eA_0}{2mc^2k}$  Wavenumber

Exit phase:  $\phi_e = \phi_0 + \frac{1}{2\alpha \sin(\phi_0)}$

Exit phase      Initial phase

Exit kinetic energy:  $E_f = \alpha mc^2 (n\pi \sin(\phi_e) + \cos(\phi_e))$

Number of cells

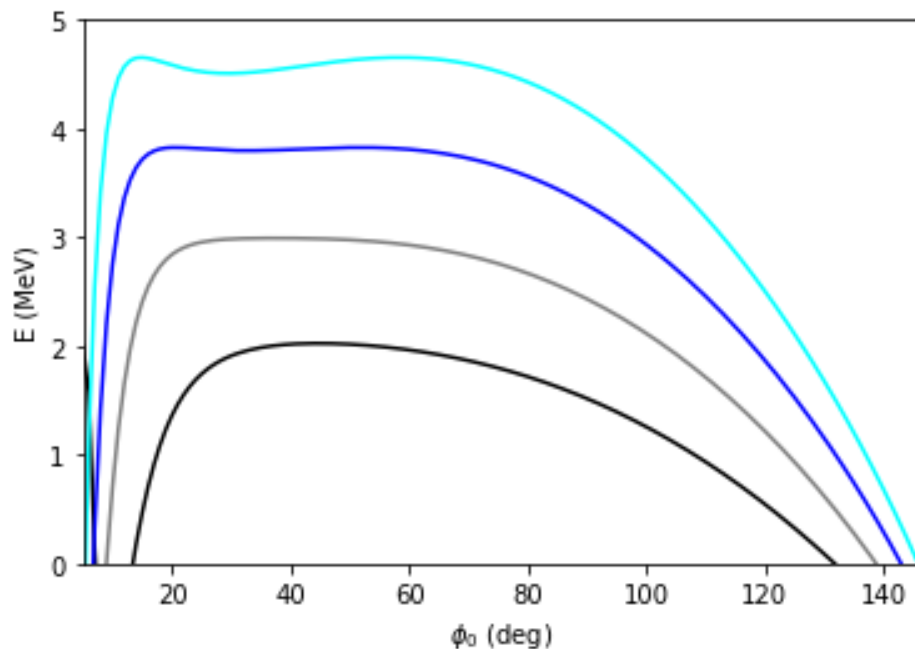
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# Longitudinal Analytical Model



— Model 20MV/m    — Model 30MV/m    — Model 40MV/m    — Model 50MV/m

Exit phase: 
$$\phi_e = \phi_0 + \frac{1}{2\alpha \sin(\phi_0)}$$

Exit kinetic energy: 
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## Properties:

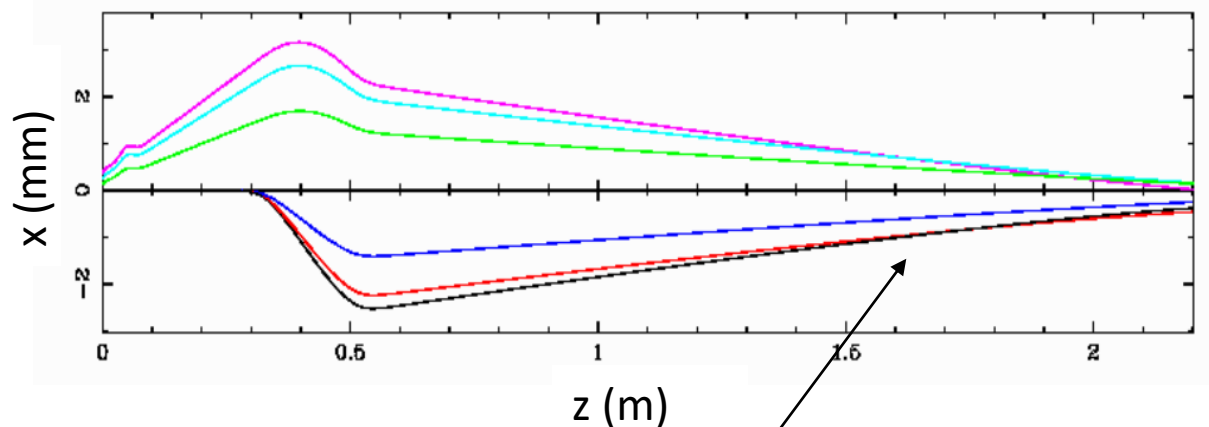
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## Application in SEALab:

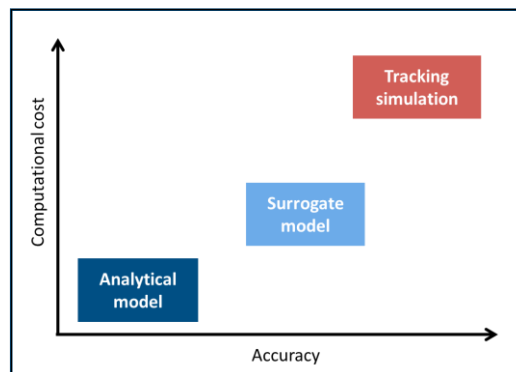
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# Tracking Simulations (ASTRA)



x-position of 6 particles, 3 started with non-zero x positions, 3 started on axis with y-offset.



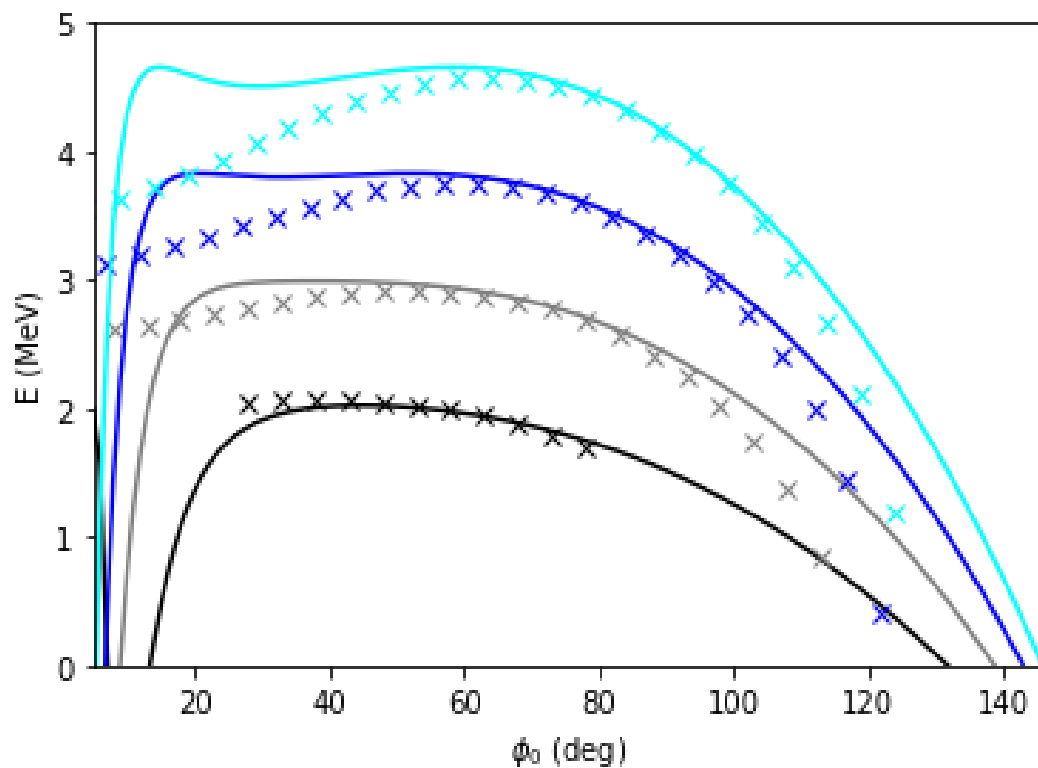
## Properties:

- Slow, precise numerical solutions to complex beam dynamics
- Incorporates higher order effects like space charge and non-linear field components

## Application in SEALab:

- Detailed beam dynamics studies
  - Understanding under realistic conditions
  - Modelling through the gun
- Higher-order effects
  - Modelling of space charge forces and halo generation
  - Design of gun solenoid for emittance compensation

# Tracking Simulations (ASTRA)



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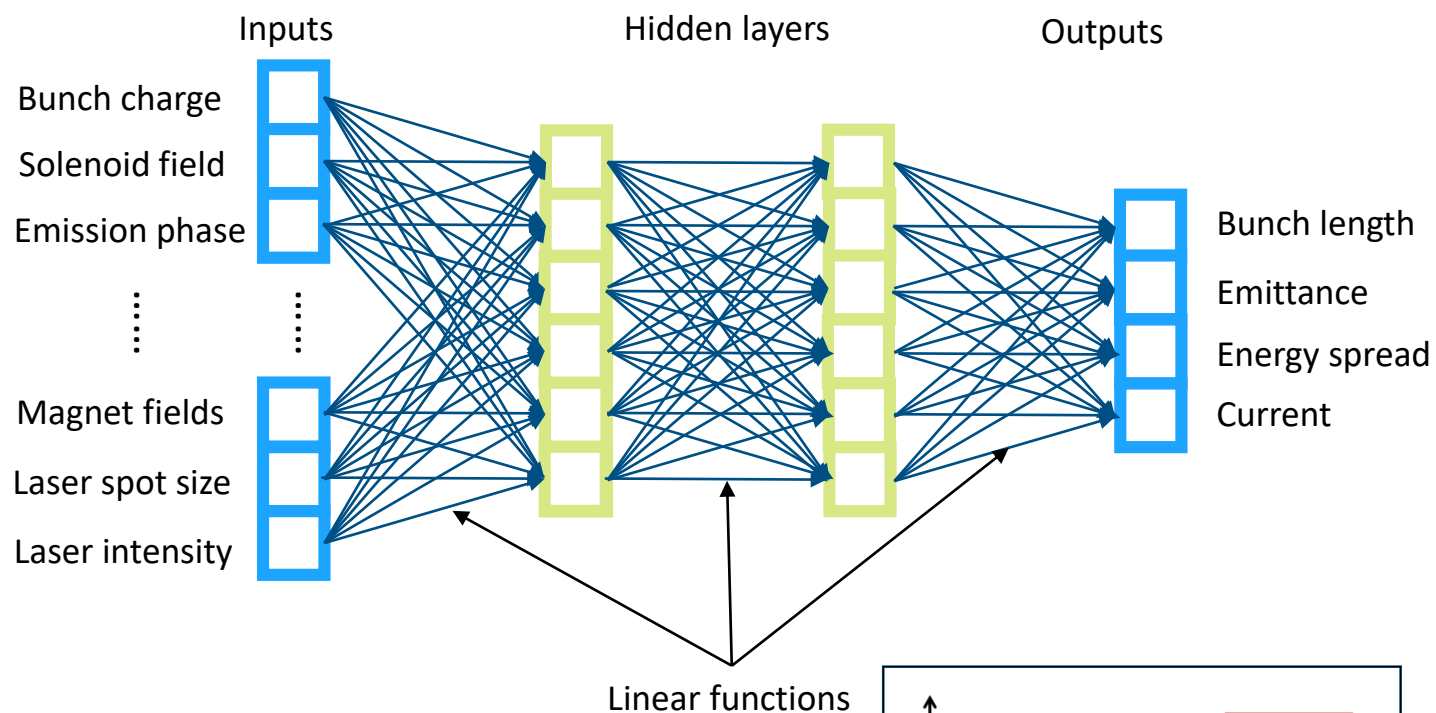
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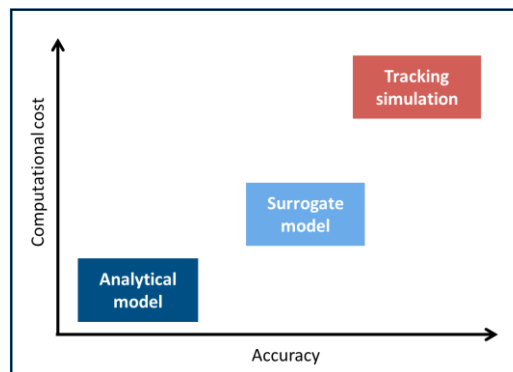
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# Surrogate Model



```
MLPRegressor(solver="adam",
alpha=0.01, activation="relu",
hidden_layer_sizes=(2000,500,150,20))
```

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## Properties:

- Fast estimates of computationally expensive simulations
- Require training (computationally expensive) but only once

## Application in SEALab:

- Real-time corrections
  - Quickly estimate beam properties
  - Predict beam parameter response to non-linear machine changes
- Online control system
  - Can be integrated into feedback loops and optimisation strategies
  - Enable fast decision-making

Based on B Esuain PhD thesis

# Surrogate Model

## Knobs

Control knob	Range
Bunch charge	7pC or 77pC
RMS laser time	[1e-3,10e-3] ns
RMS laser size	[0.5,2] mm
Emission phase	[-20,20] deg
Gun field amplitude	[10.25] MV/m
Solenoid amplitude	[0,0.05] T
Quadrupole gradients (x5)	[-0.1,0.1] T/m

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## Observables

Observable
Longitudinal emittance
Bunch length
Energy
Energy deviation
Transverse spot size (x5)
Emittance (x5)
Divergence (x5)

19

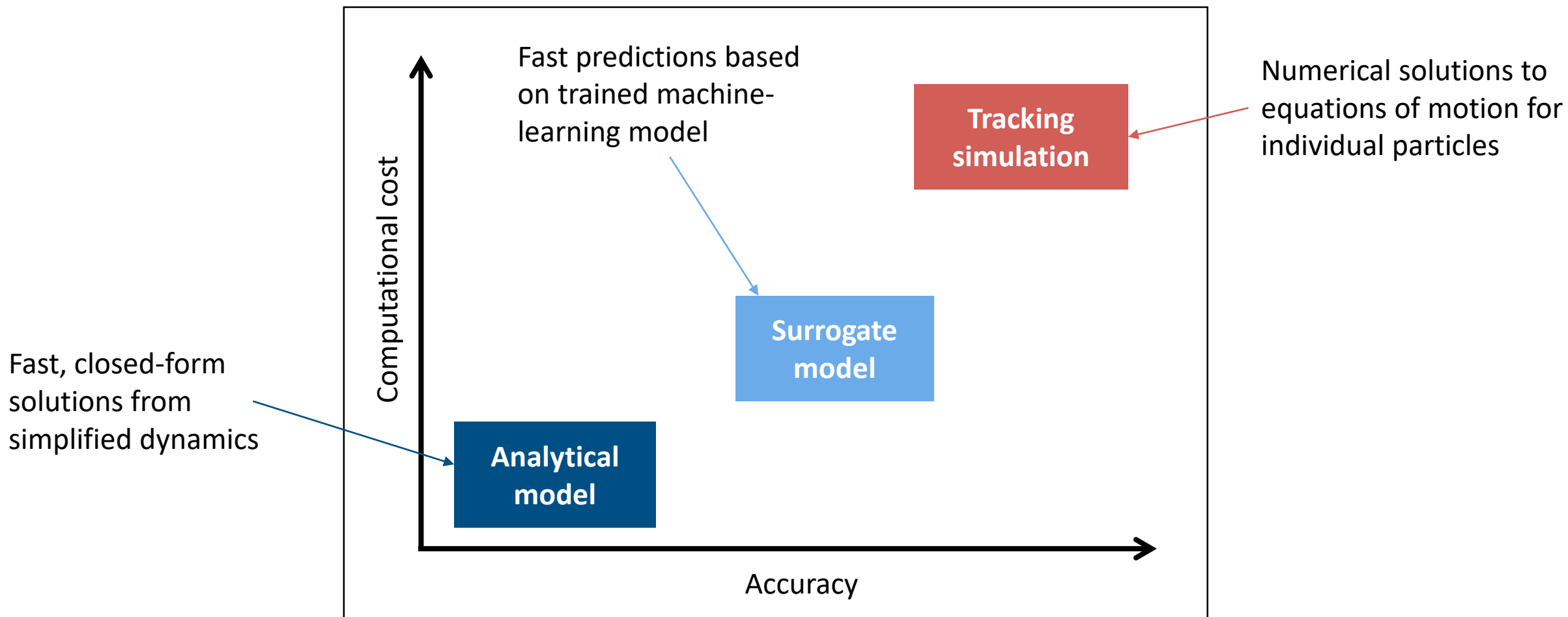
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# SEALab Models





# Introducing MOBO (Multi-Objective Bayesian Optimisation)

Optimisation algorithm which uses Bayesian methods to iteratively sample the optimal solutions to problems with competing objectives

Well-suited for high-dimensional problems with competing objectives

Balances exploration of new parameter space with exploitation of already known promising areas

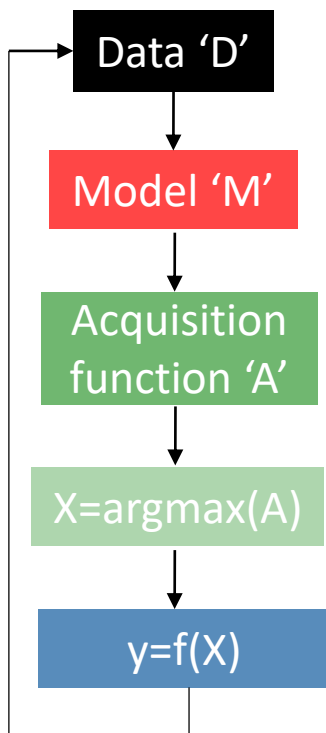
Probes the set of non-dominated solutions (Pareto Front) efficiently and widely

# Introducing MOBO (Multi-Objective Bayesian Optimisation)

Optimising an accelerator often requires finding a trade-off between two competing objectives (eg. minimise emittance and minimise bunch length)

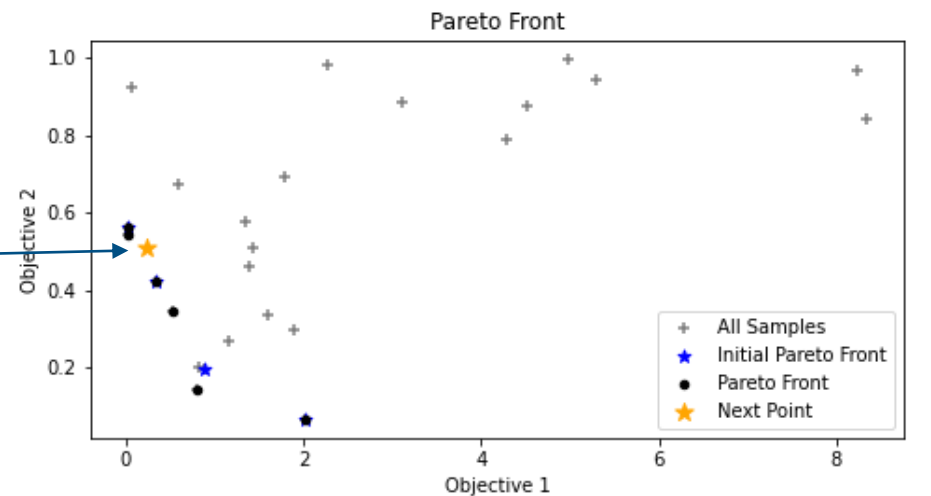
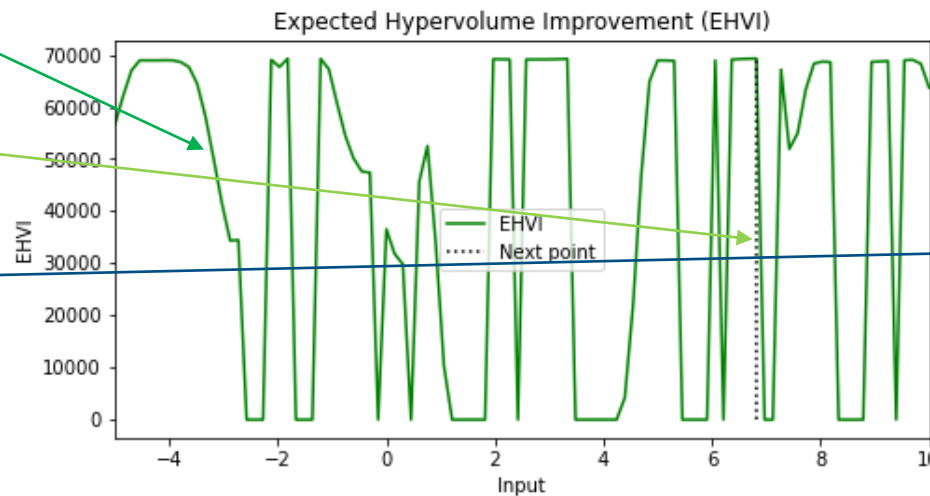
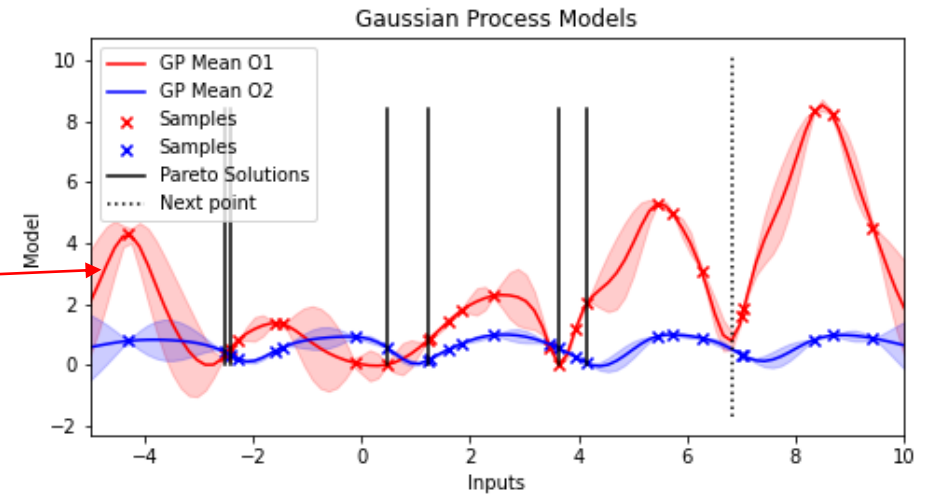
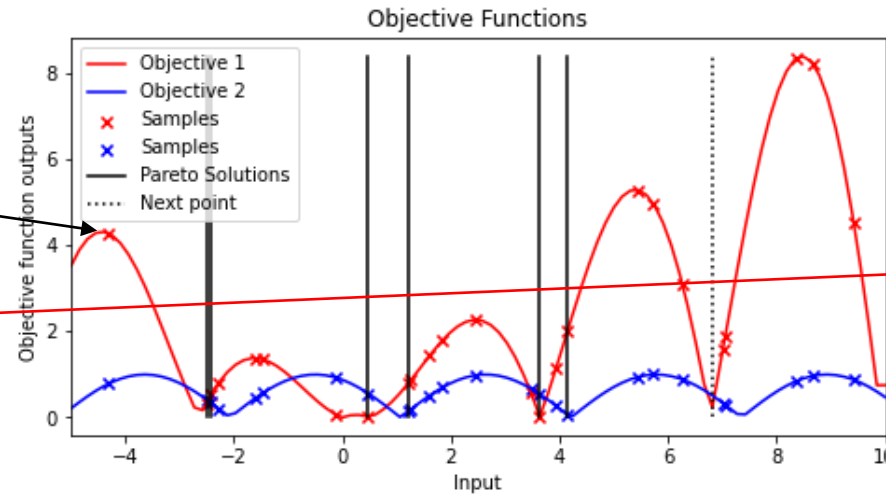
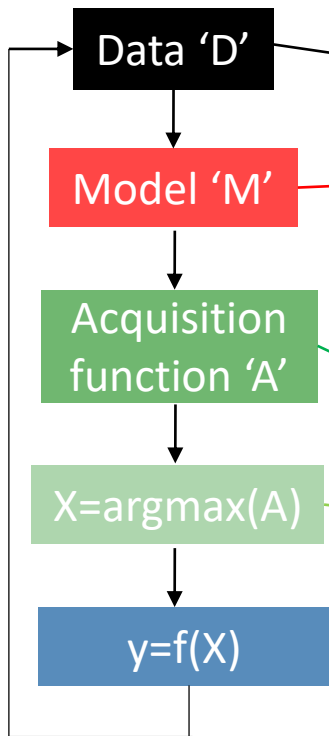
MOBO aims to find the optimal trade-off possibilities

1. Define objectives to optimise
2. Sample initial points
3. Use Gaussian Process modelling to fit the data
4. Evaluate the next point to sample at using an acquisition function
5. Sample at new point and add this to the dataset
6. Iterate until termination criteria is met



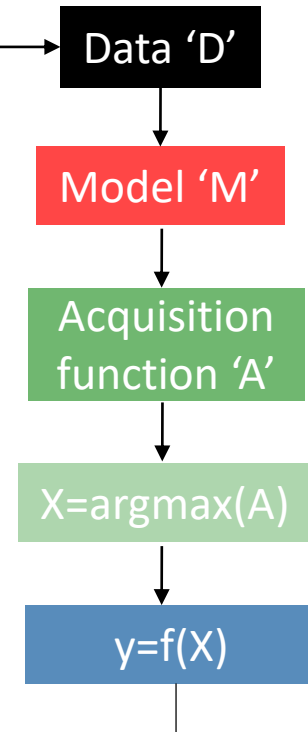
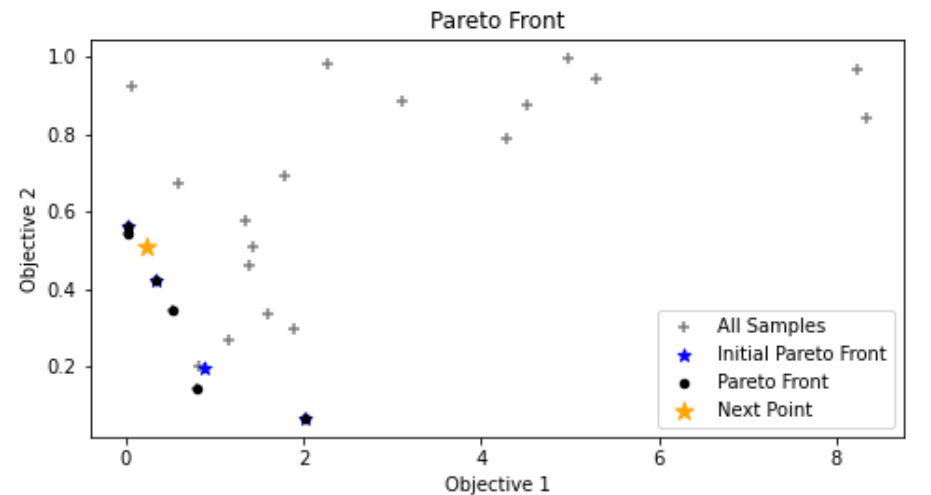
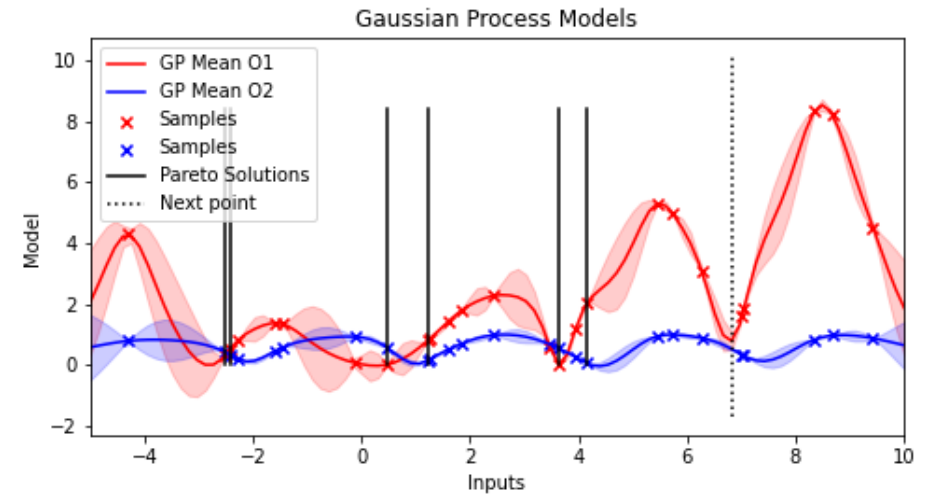
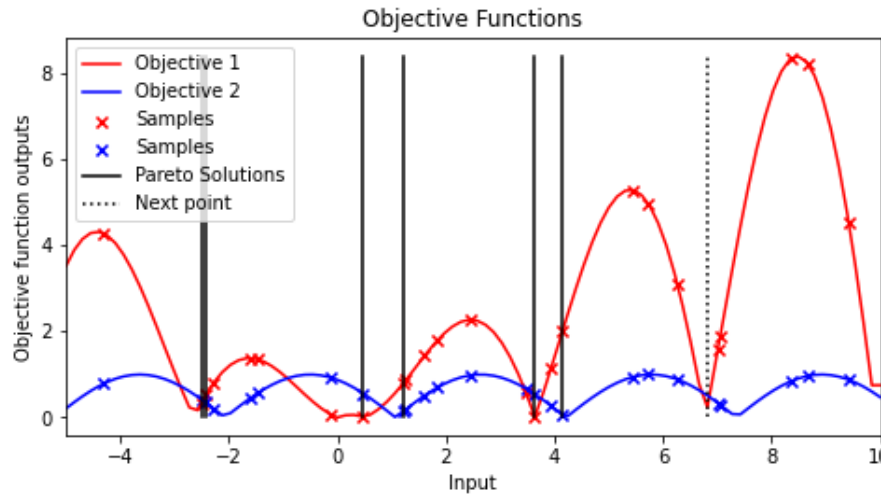
# Introduction to MOBO

Iteration 5



# Introduction to MOBO

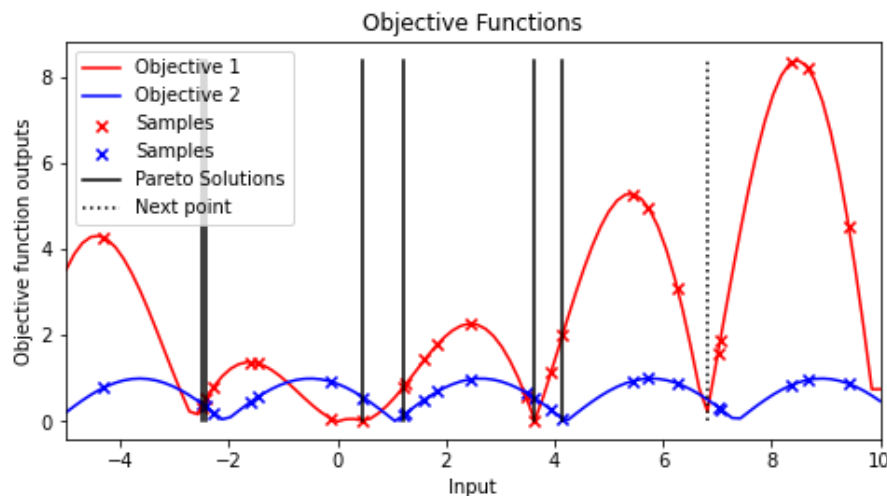
Iteration 5



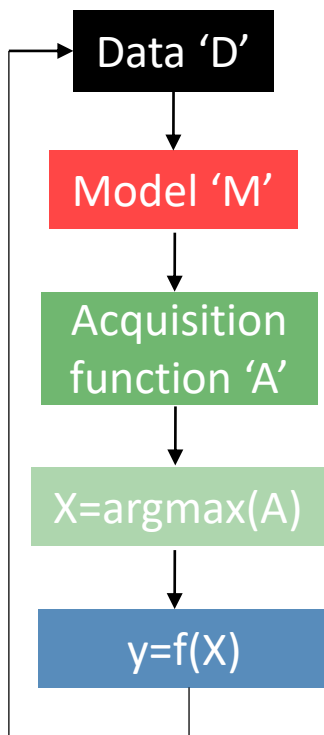
Replace measurements with a look-up to the surrogate model

# Introduction to MOBO

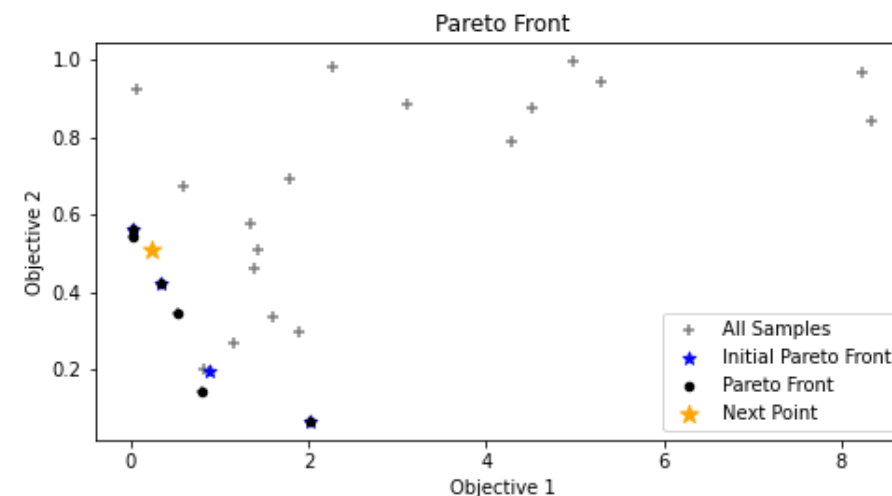
Iteration 5



The many modes of SEALab require many controls and observables  
This makes it a high-dimensional optimisation problem  
**MOBO is great for this!**



Replace measurements with a look-up to the surrogate model

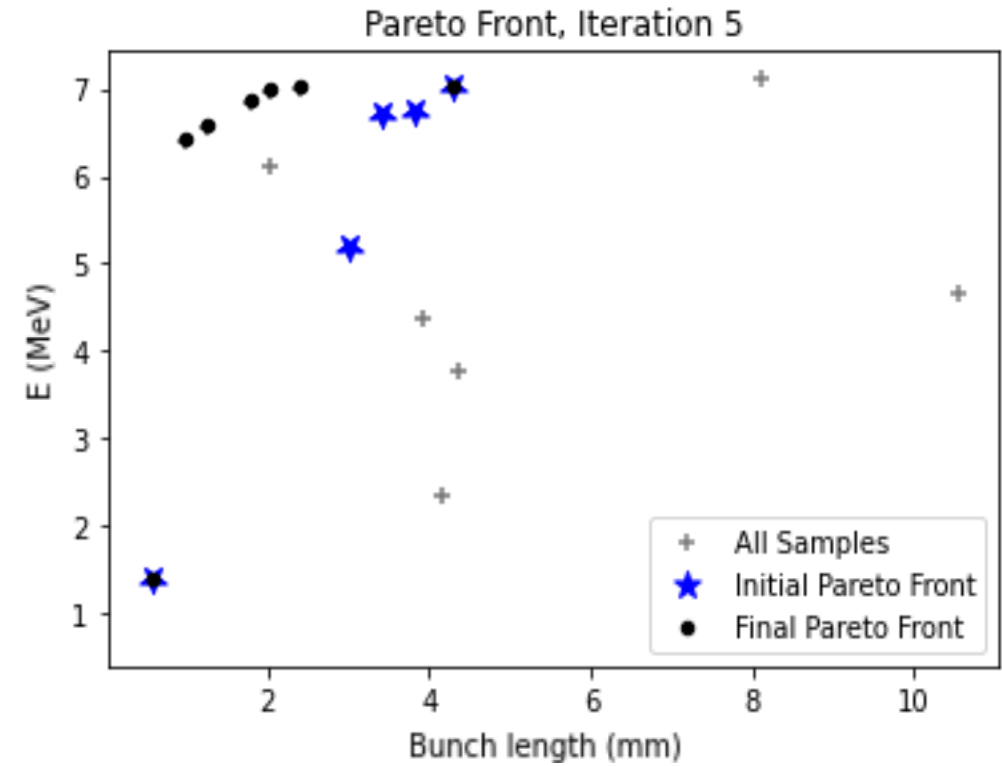
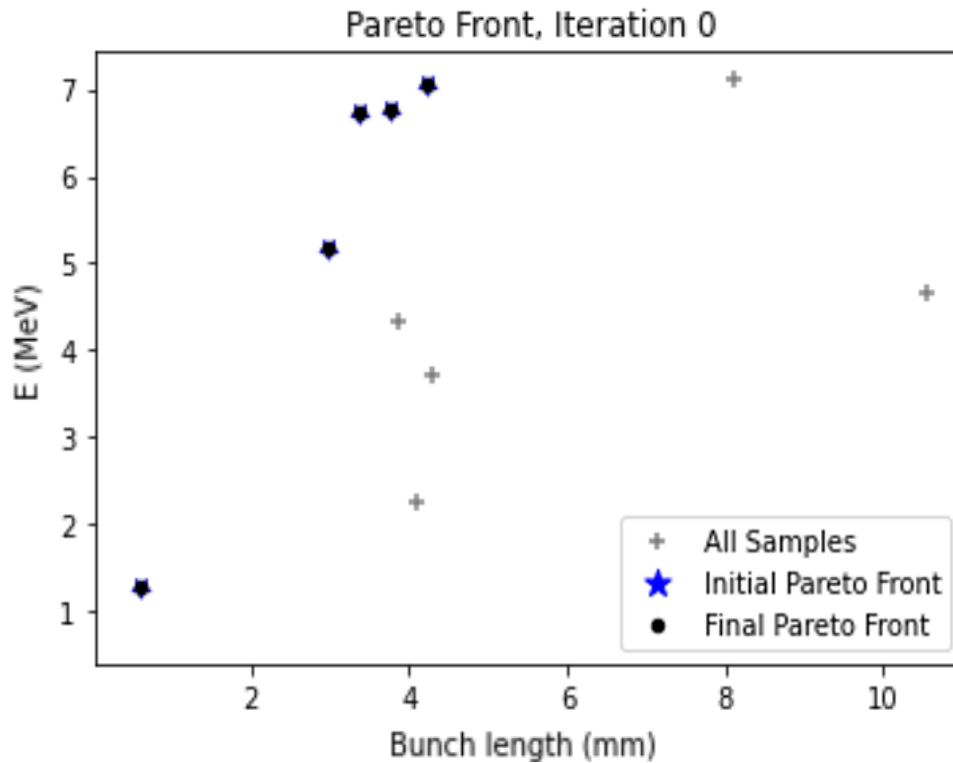
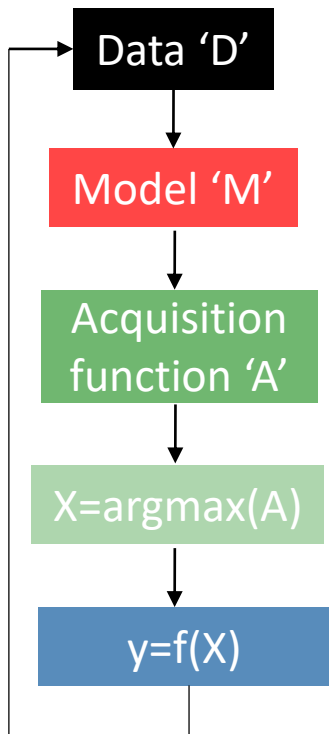




# MOBO with ASTRA

Problem: maximise kinetic energy and minimise bunch length

10 initial data points  
4 input parameters  
2 objectives  
5 iterations



# Key takeaways

SEALab has many diverse applications. This requires a flexible machine

Modelling and optimisation allow us to efficiently find solutions complex problems

MOBO provides a solid foundation for advanced, scalable optimisation strategies and has been tested on tracking simulations

Spec	ERL	UED	EBWT
Rep rate	1.3 GHz	100 kHz	1.3 GHz
Emittance	<1 $\mu\text{m}$	$10^{-3}$ $\mu\text{m}$	1-6 $\mu\text{m}$
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