

# Search for $B \rightarrow \tau\nu$ with the Hadronic tag at the Belle II experiment

---

Michele Aversano<sup>A</sup>, Guglielmo De Nardo<sup>B</sup>, Giovanni Gaudino<sup>B,C</sup>, Toru Iijima<sup>A,D,E</sup>, Mario Merola<sup>B</sup>

Nagoya University<sup>A</sup>, INFN Napoli – University of Napoli Federico II<sup>B</sup>, INFN Napoli –  
Scuola Superiore Meridionale<sup>C</sup>, Nagoya KMI<sup>D</sup>, KEK IPNS<sup>E</sup>



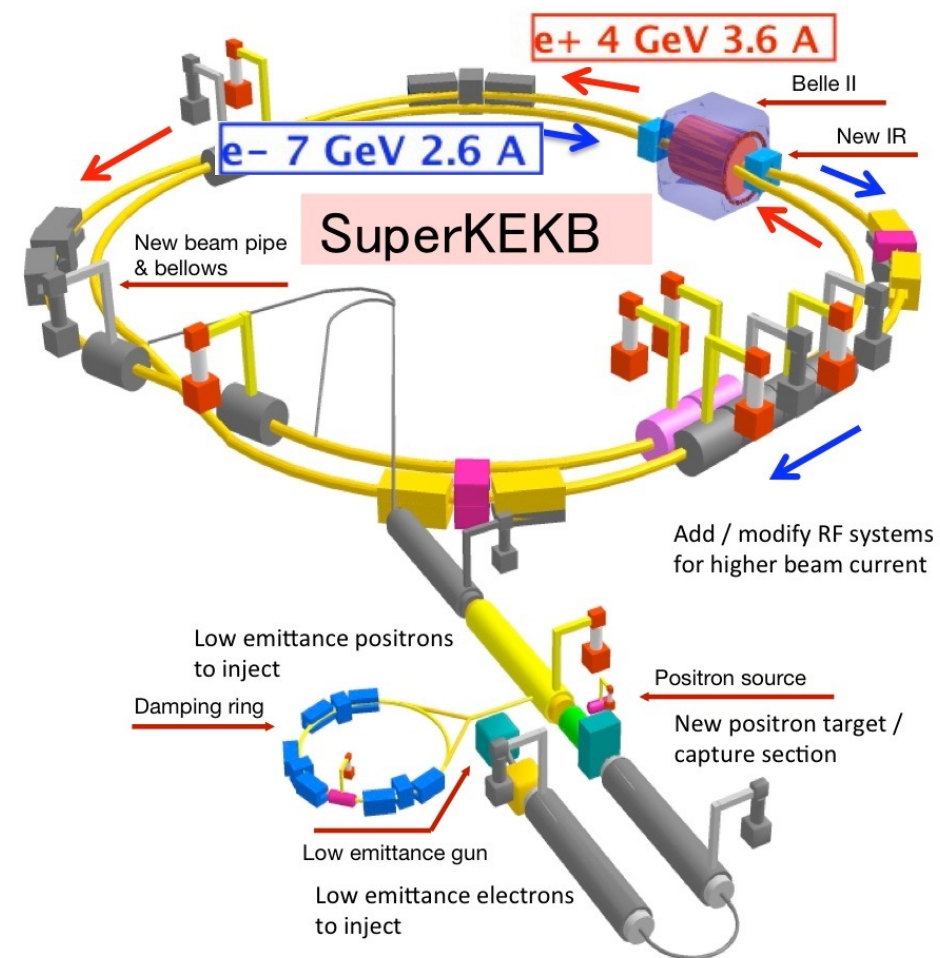
Flavor Physics Workshop 2023  
2023/11/23

# The Belle II Experiment

The Belle II experiment (Tsukuba, Japan) -> Particle Physics experiment that primarily study B mesons properties.

## SuperKEKB asymmetric collider

->  $\sqrt{s} = 10.58 \text{ GeV}$  ->  $Y(4S)$  resonance (mainly decays in B meson pairs).



## Belle II Detector

Pixel Detector (PXD)

Silicon Vertex Detector (VXD)

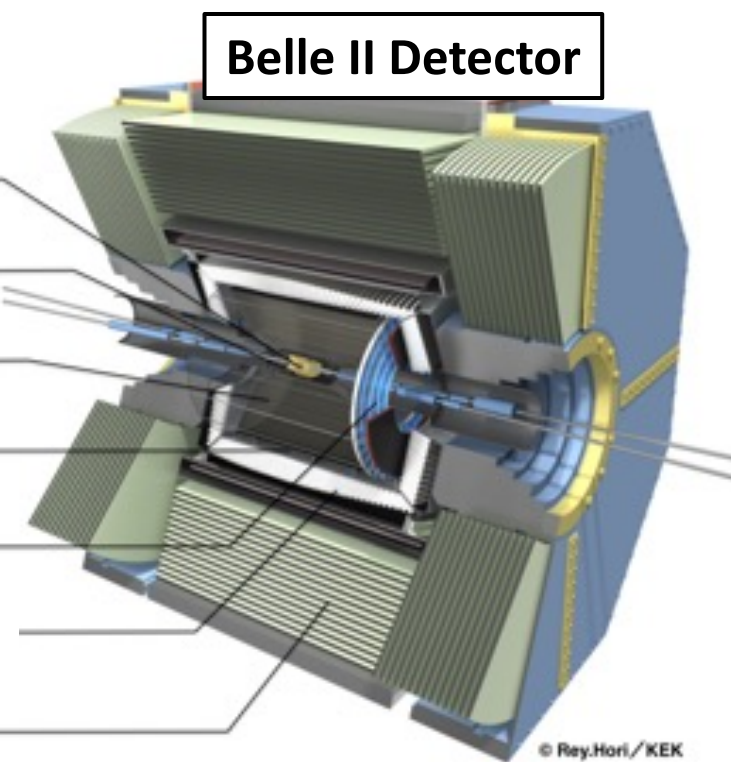
Central Drift Chamber (CDC)

TOP counter (TOP)

Aerogel RICH counter (ARICH)

Electromagnetic Calorimeter (ECL)

$K_L^0$  / Muon Detector (KLM)



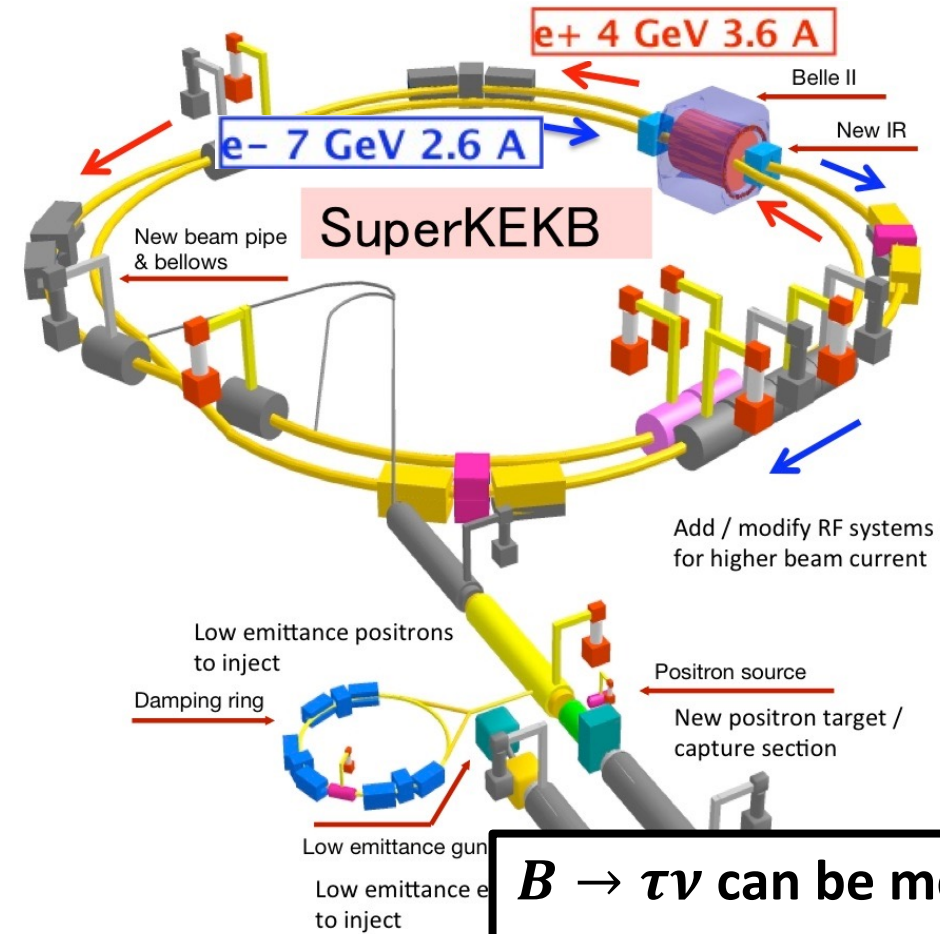
The Belle II Detector -> a general-purpose detector.

# The Belle II Experiment

The Belle II experiment (Tsukuba, Japan) -> Particle Physics experiment that primarily study B mesons properties.

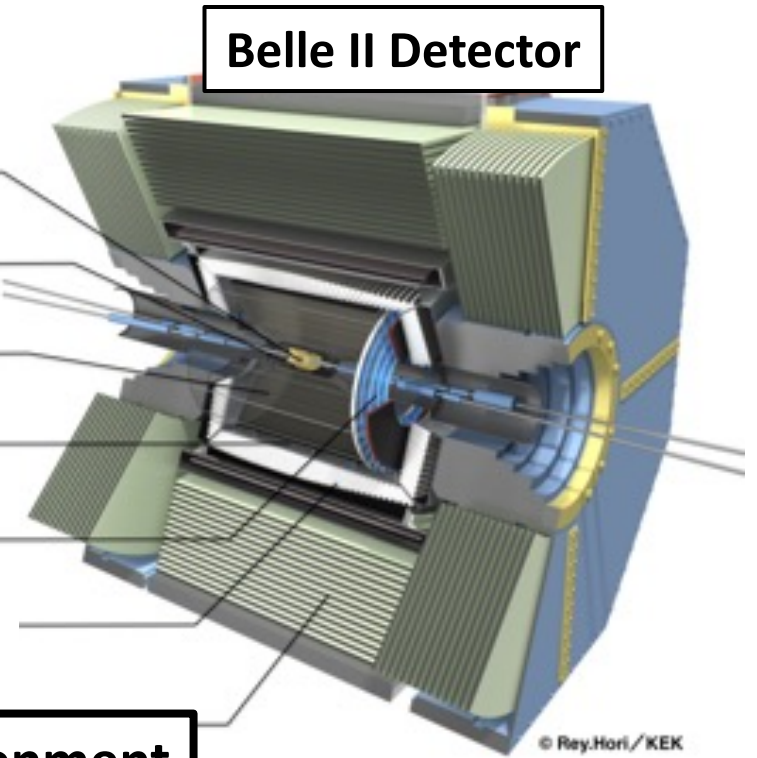
## SuperKEKB asymmetric collider

->  $\sqrt{s} = 10.58 \text{ GeV}$  ->  $Y(4S)$  resonance (mainly decays in B meson pairs).



## Belle II Detector

- Pixel Detector (PXD)
- Silicon Vertex Detector (VXD)
- Central Drift Chamber (CDC)
- TOP counter (TOP)
- Aerogel RICH counter (ARICH)
- Electromagnetic Calorimeter (ECL)

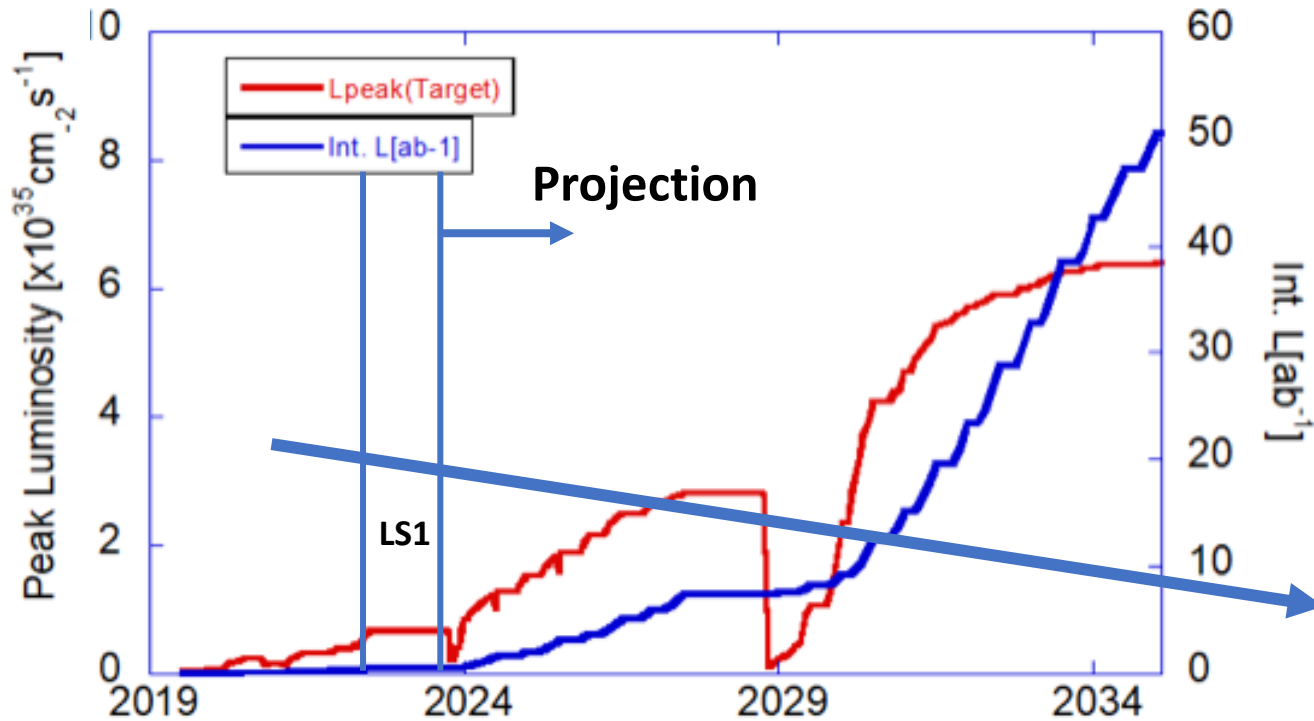


$B \rightarrow \tau \nu$  can be measured only in a clean environment as the one in Belle II.

purpose detector.

# The Belle II Experiment

Collisions with the complete Belle II detector:  $L_{int} = 50 \text{ ab}^{-1}$  expected  $\sim 2035$ .

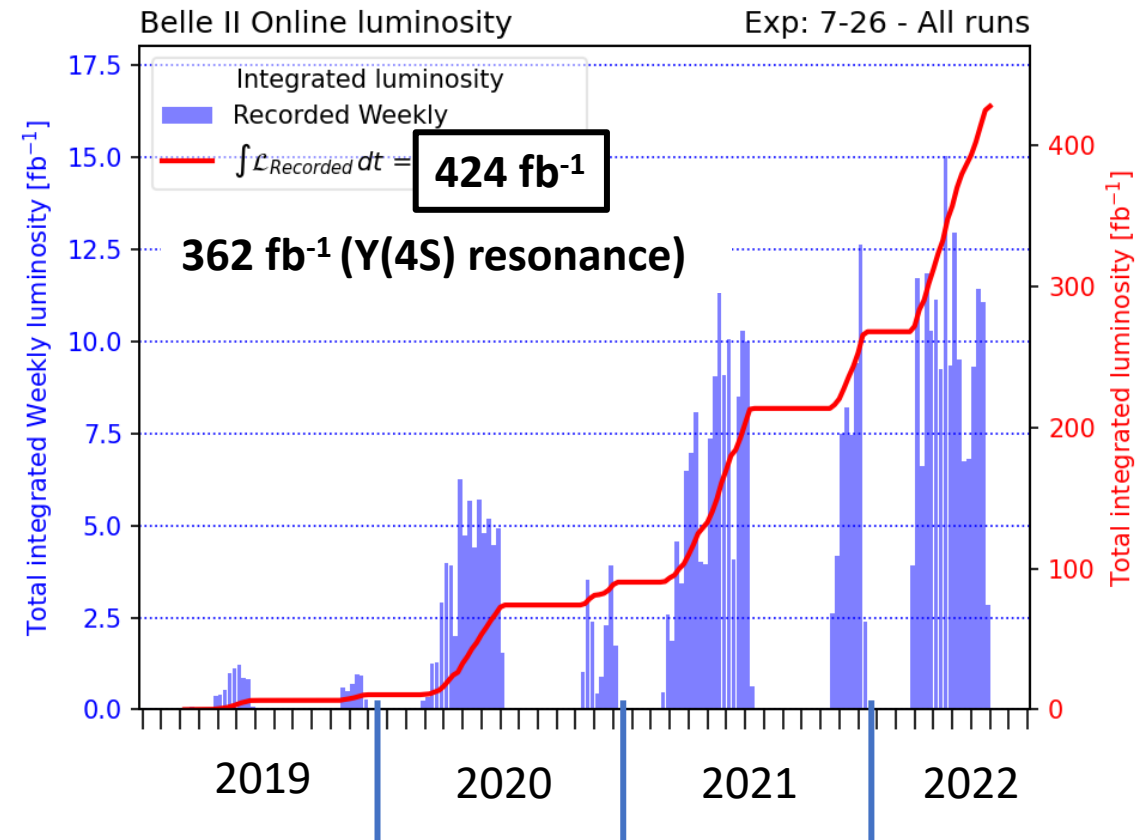


From 2022/06  $\rightarrow$  Long Shutdown 1 (LS1)

**Operation will restart next year**

Until now:

- $L_{peak} \sim 4.7 \cdot 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$  (world record)



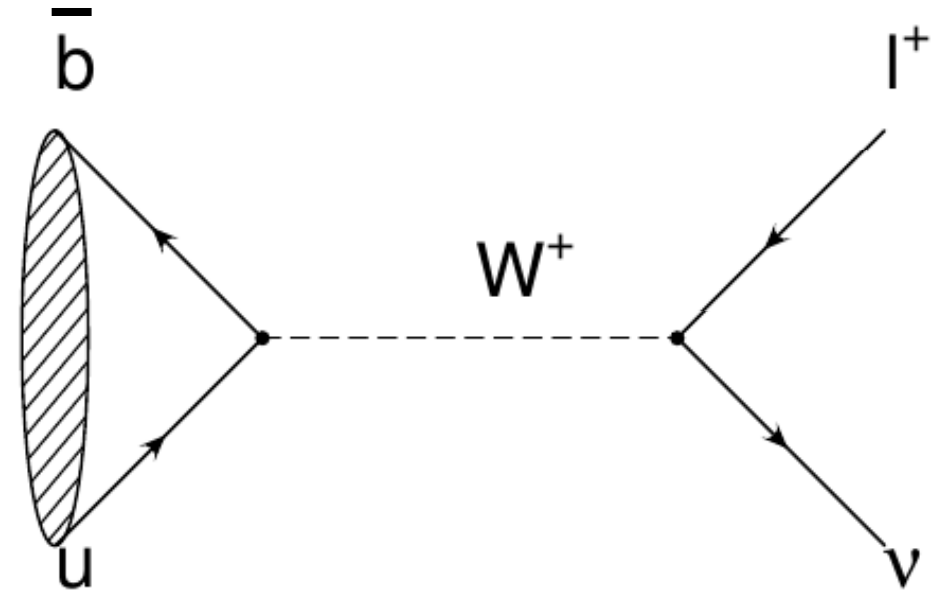
# B meson: Leptonic Decays

In SM decays through a b/u quark annihilation mediated by W bosons.

-> Decays with helicity suppression

$$BR(B^+ \rightarrow l^+ \nu_l) = \frac{G_F^2 m_B}{8\pi} m_l^2 \left(1 - \frac{m_l^2}{m_B^2}\right)^2 f_B^2 |V_{ub}|^2 \tau_B \quad (l = e, \mu, \tau)$$

- Electrons and muons strongly suppressed.
- Neither Belle nor BaBar observed at "5 $\sigma$ "  $B \rightarrow \tau \nu$ .



	$BR(B \rightarrow \tau \nu)$ Had Tag	Luminosity
<i>Belle</i>	$(0.72_{-0.25}^{+0.27}(\text{stat.}) \pm 0.11(\text{sist.})) \times 10^{-4}$	771 fb $^{-1}$
<i>BABAR</i>	$(1.83_{-0.49}^{+0.53}(\text{stat.}) \pm 0.29(\text{sist.})) \times 10^{-4}$	426 fb $^{-1}$
<i>PDG</i>	$(1.09 \pm 0.24) \times 10^{-4}$	
<i>SM</i>	$(1.18 \pm 0.16) \times 10^{-4}$	

**We target to measure the BR with the current dataset of 362 fb $^{-1}$ .**



# B meson: Leptonic Decays

In SM decays through a b/u quark annihilation mediated by W bosons.

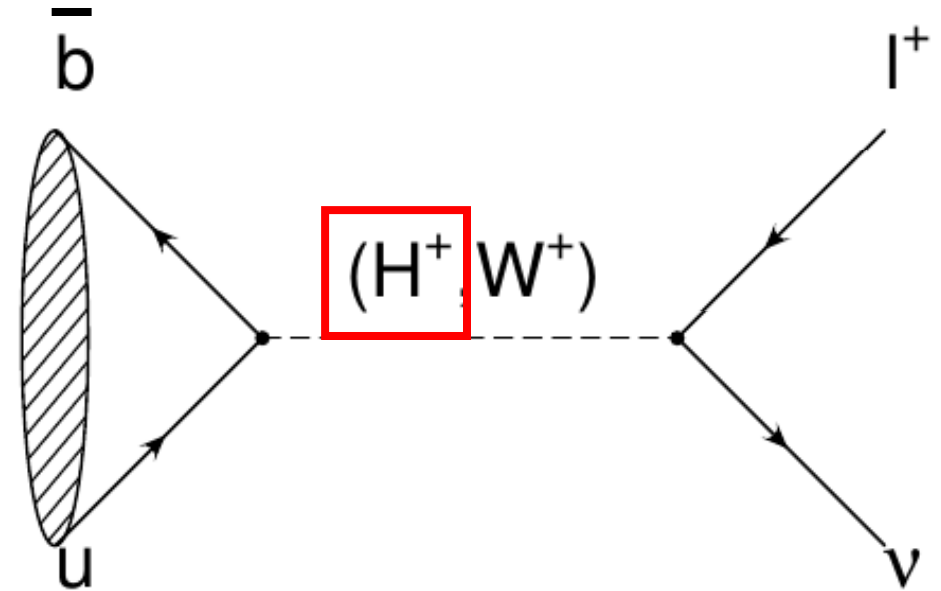
-> Decays with helicity suppression

$$BR(B^+ \rightarrow l^+ \nu_l) = \frac{G_F^2 m_B}{8\pi} m_l^2 \left(1 - \frac{m_l^2}{m_B^2}\right)^2 f_B^2 |V_{ub}|^2 \tau_B \quad (l = e, \mu, \tau)$$

- Electrons and muons strongly suppressed.
- Neither Belle nor BaBar observed at "5 $\sigma$ "  $B \rightarrow \tau \nu$ .

The  $B \rightarrow \tau \nu$  is also important in New Physics for:

- **two-doublet Higgs model – type II.**
- lepton flavour universality test (with a future  $B \rightarrow \mu \nu$  measurement).



# Analysis Workflow

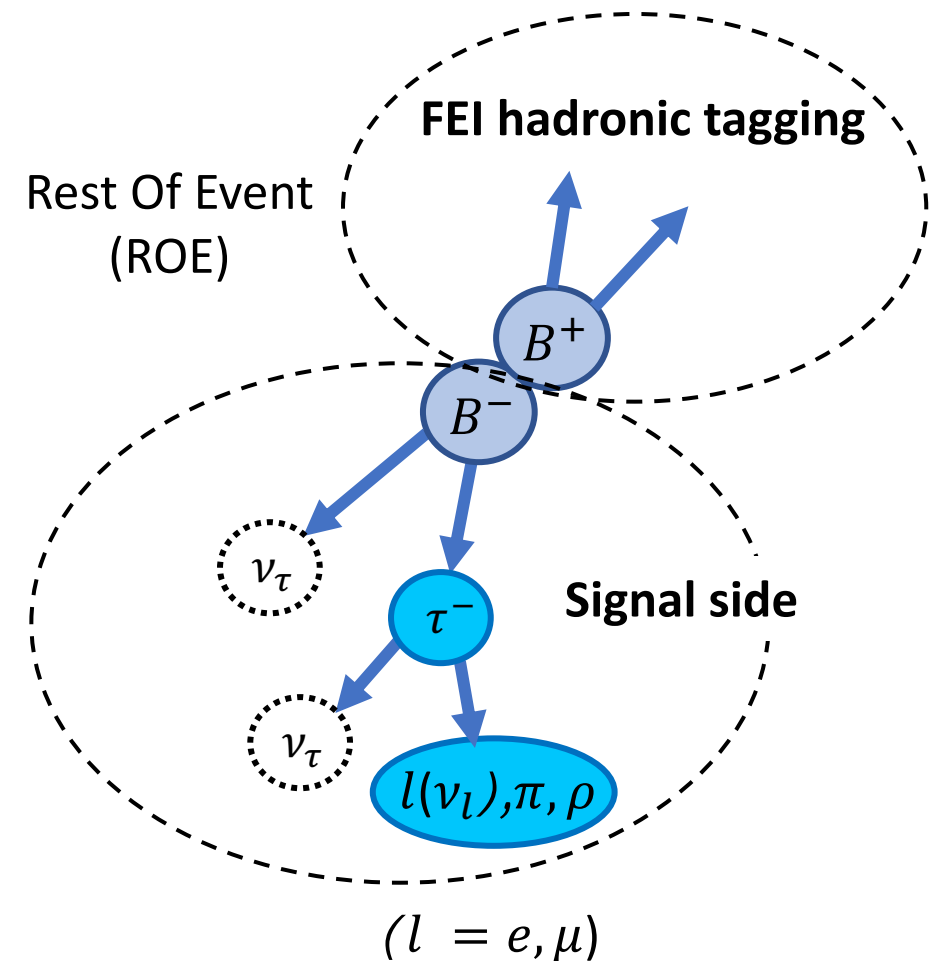
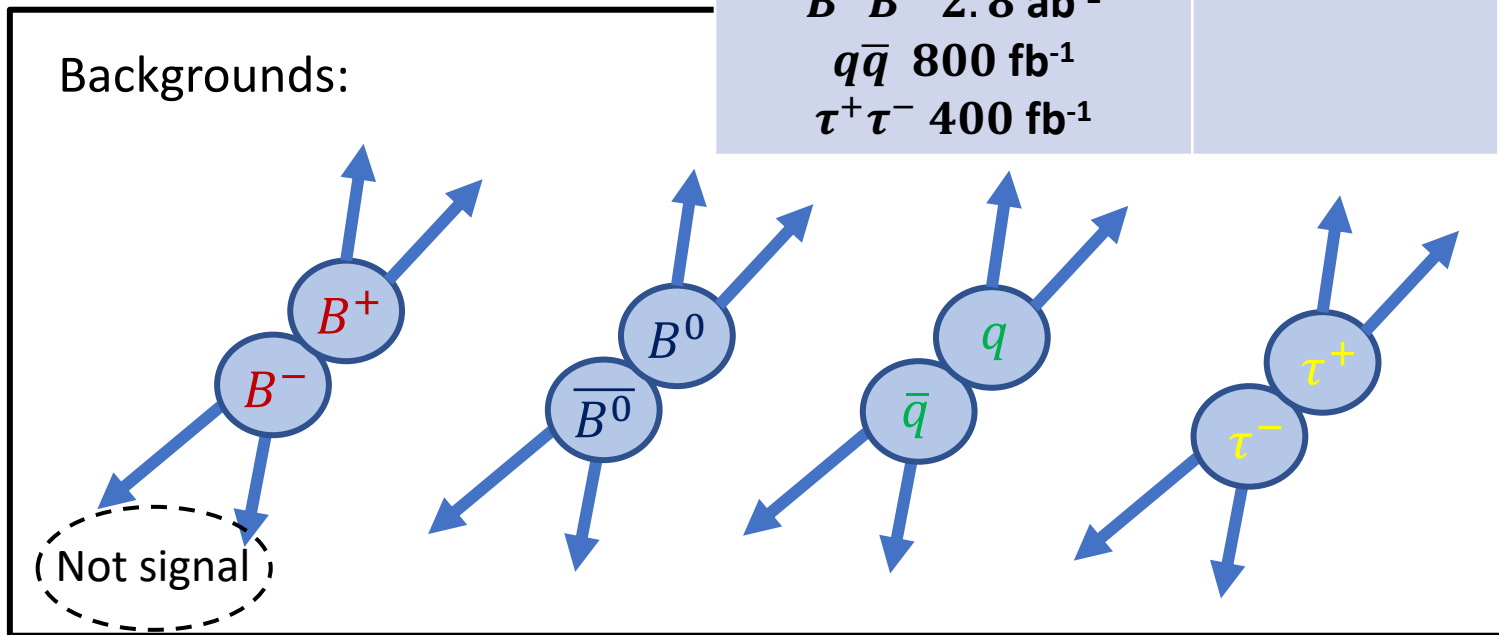
- Reconstruction (ParticleID and track requests)
- Continuum Reweight FastBDT
- Continuum Suppression FastBDT
- Signal best candidate selection
- $E_{extra}-M_{miss}^2$  2D fit and BR extraction
  - $E_{extra}$  pdfs data-driven correction
  - ToyMC studies
  - Validation with control samples
- Systematic uncertainties estimation

# Search for $B \rightarrow \tau \nu$ decay

Signal is searched through  $\tau$  decays (1-prong):

- $\tau \rightarrow e \nu_e \nu_\tau$  ~71% of the  $\tau$
- $\tau \rightarrow \mu \nu_\mu \nu_\tau$  Branching Fraction
- $\tau \rightarrow \pi \nu_\tau$
- $\tau \rightarrow \rho \nu_\tau$  with  $\rho \rightarrow \pi^\pm \pi^0$

MC	Data
Signal $\sim 40 \cdot 10^6$ events	362 fb <sup>-1</sup> + Off-res 42fb <sup>-1</sup>
$B^0 \bar{B}^0$ 2.8 ab <sup>-1</sup>	
$B^+ B^-$ 2.8 ab <sup>-1</sup>	
$q \bar{q}$ 800 fb <sup>-1</sup>	
$\tau^+ \tau^-$ 400 fb <sup>-1</sup>	



→ No Extra Tracks  
(from IP)



# Tag Side Reconstruction

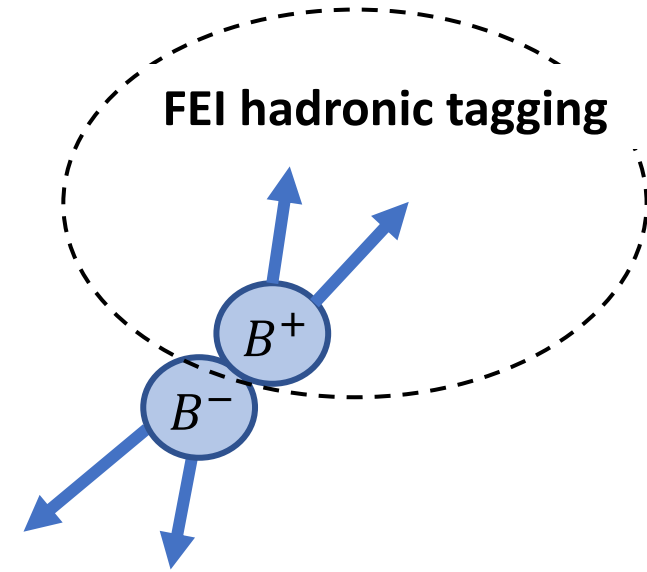
Signal events ( $B_{sig}$ ) have weak experimental signature -> the other B ( $B_{tag}$ ) is fully reconstructed.

- **Full Event Interpretation (FEI)**

[Keck, T., Abudinén, F., Bernlochner, F.U. et al. The Full Event Interpretation. Comput Softw Big Sci 3, 6 \(2019\).](#)

- > multivariate tagging method
- > hierarchical reconstruction of more than 30 exclusive B meson decay chains (e.g.  $B \rightarrow D n\pi$ ,  $B \rightarrow D^* n\pi$ ,  $B \rightarrow J/\psi K, \dots$ )
- > output  $\rightarrow$  sigProb

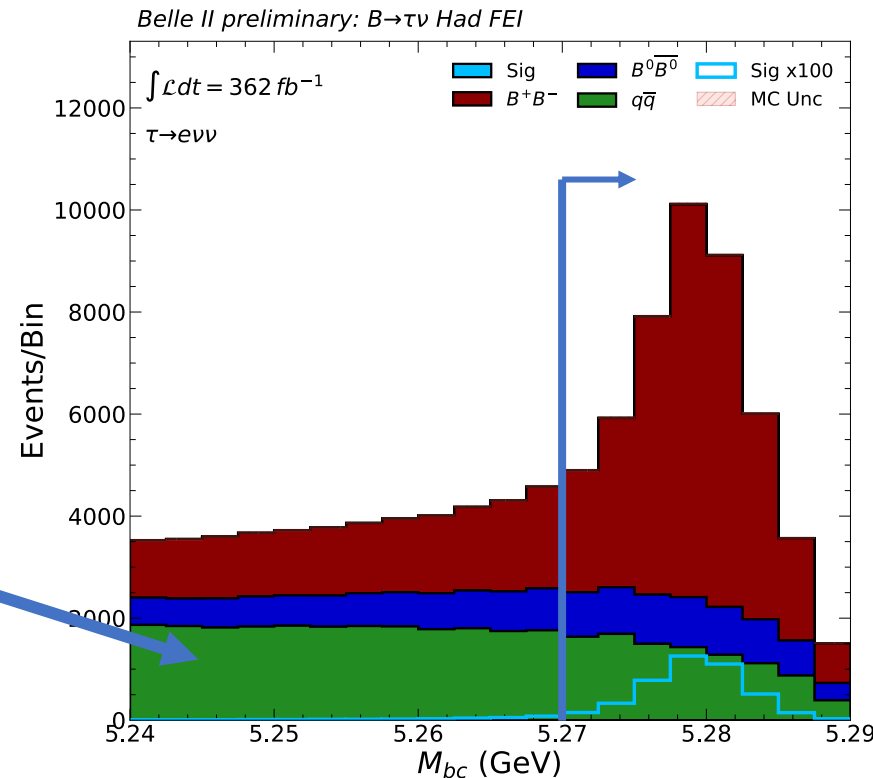
	$B^+$	$B^0$
$\epsilon_{tag}$	$\sim 0.3\%$	$\sim 0.2\%$



- **Beam energy-constrained mass**

$$M_{bc} = \sqrt{E_{beam}^2 - p_B^2}$$

We call **continuum** the  $e^+e^- \rightarrow q\bar{q}$  and  $\tau^+\tau^-$  events



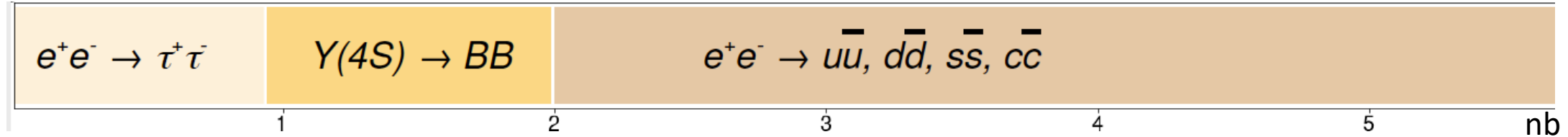
**sigProb > 0.01**  
 **$M_{bc} > 5.27$  GeV**  
**(for all the decay modes)**

# Off-Resonance data

To understand if the MC simulation describes well the continuum, we compare it with Off-Resonance data.

**Why?**

For  $e^+e^-$  collisions at 10.58 GeV ( $Y(4S)$  resonance), we have:

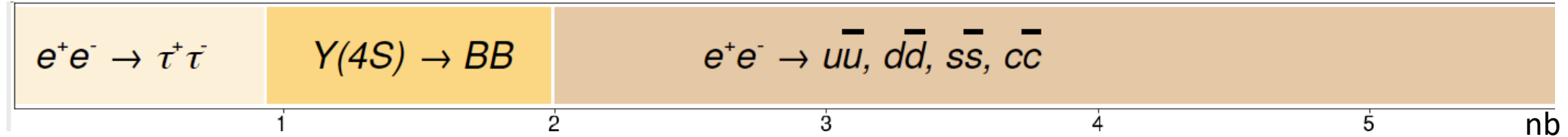


# Off-Resonance data

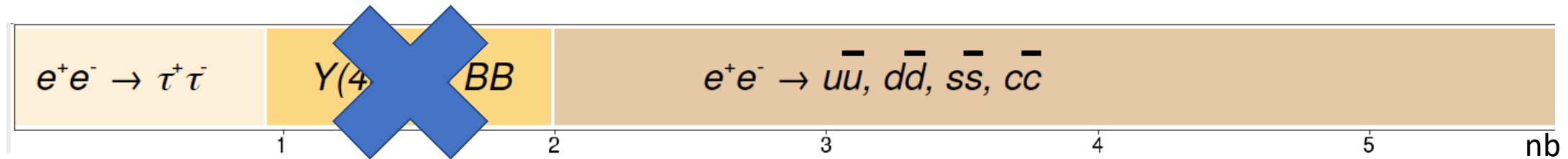
To understand if the MC simulation describes well the continuum, we compare it with Off-Resonance data.

**Why?**

For  $e^+e^-$  collisions at 10.58 GeV (Y(4S) resonance), we have:



If we reduce the energy under 10.58 GeV  $\rightarrow$  no B mesons, but just continuum.



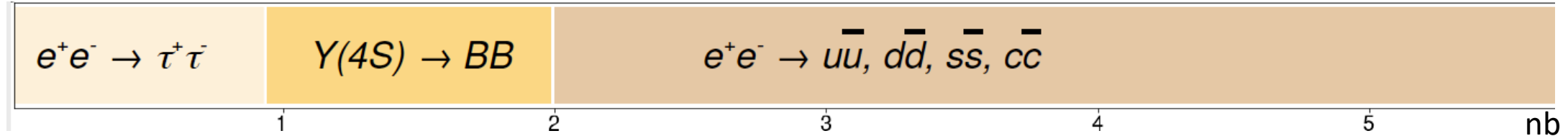
**Off-Resonance data** is taken with 60 MeV under Y(4S) resonance  $\rightarrow$  **pure continuum data sample**.

# Off-Resonance data

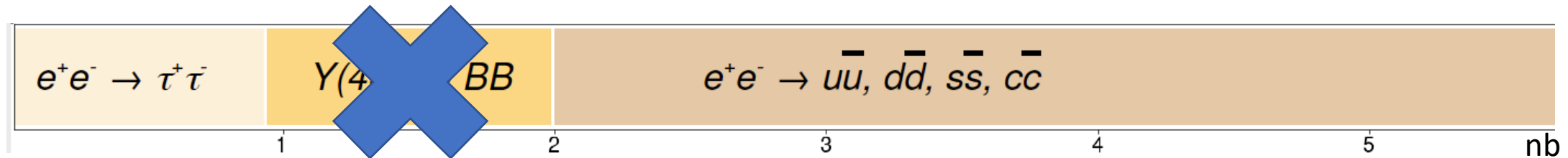
To understand if the MC simulation describes well the continuum, we compare it with Off-Resonance data.

**Why?**

For  $e^+e^-$  collisions at 10.58 GeV ( $Y(4S)$  resonance), we have:



If we reduce the energy under 10.58 GeV  $\rightarrow$  no B mesons, but just continuum.



**Off-Resonance data** is taken with 60 MeV under  $Y(4S)$  resonance  $\rightarrow$  **pure continuum data sample.**

**One problem, small integrated luminosity  $\rightarrow$  combine MC statistics and Off-Res data shapes.**

# Continuum Reweight

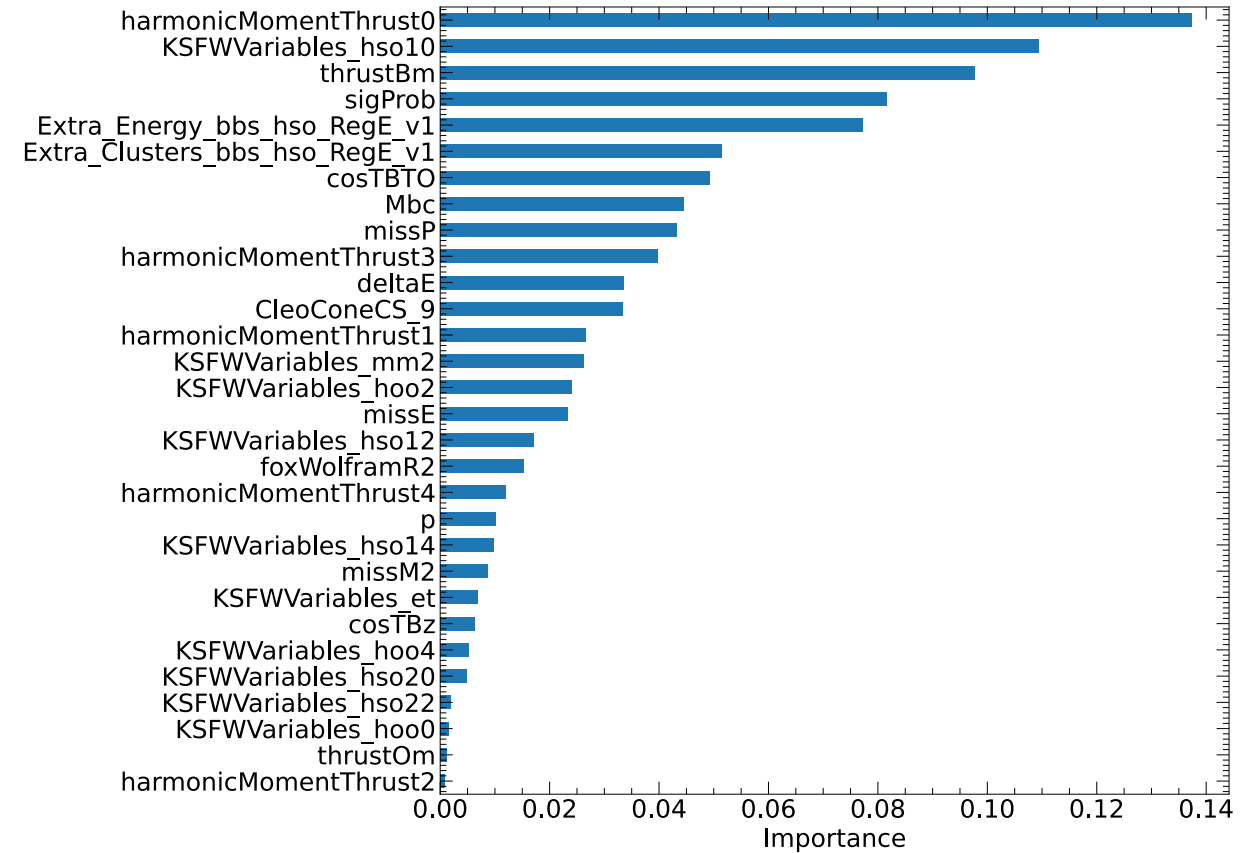
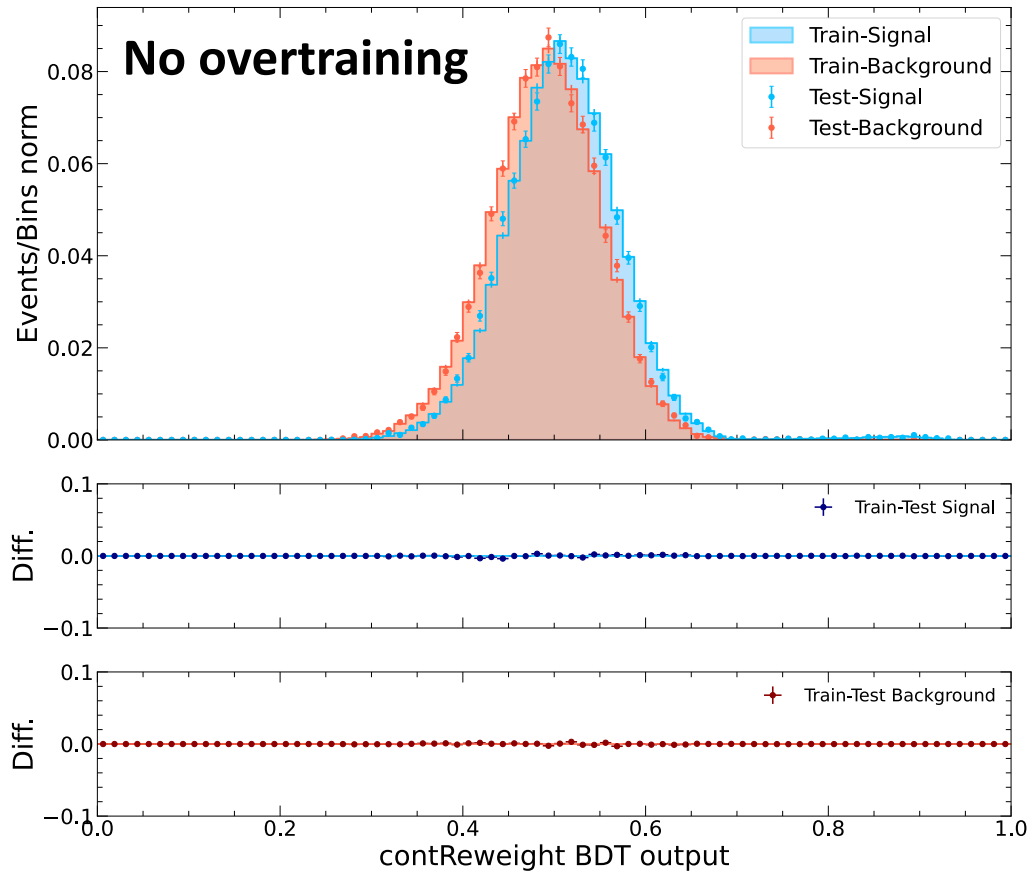
[FastBDT: A speed-optimized and cache-friendly implementation of stochastic gradient-boosted decision trees for multivariate classification](#)

[Advanced event reweighting using multivariate analysis](#)

We train a FastBDT using **OffRes** data as "Signal" and **MC** continuum as "Background"

- Train/Test sample 80%/20%
- Signal/Background events ratio = 1

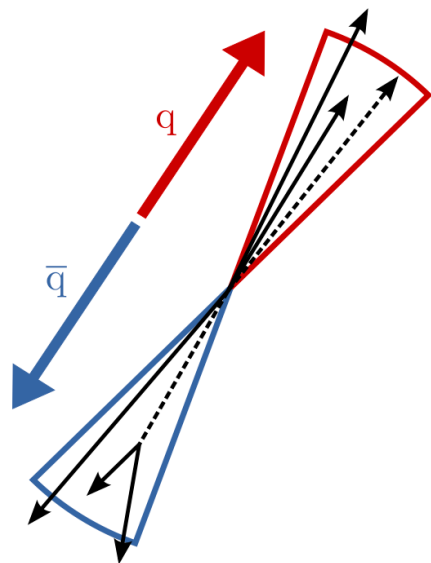
$$W_{ContReweight} = \frac{BDT_{output}}{1 - BDT_{output}}$$



# Continuum Suppression

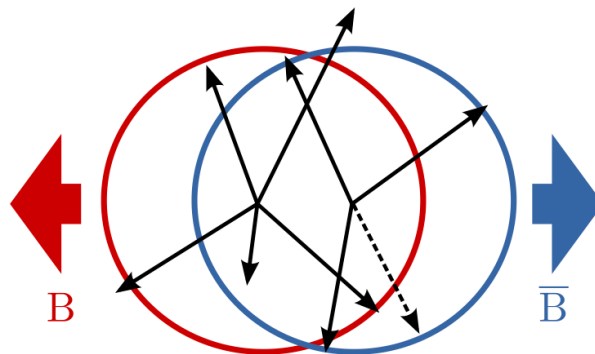
If we look at the **shape of continuum** and  $B\bar{B}$ , i.e. the momentum-weighted distribution of all particles in the detector  $\rightarrow$  **different behaviour**.

Continuum



$$e^+e^- \rightarrow q\bar{q} \quad (q \in \{u, d, s, c\})$$

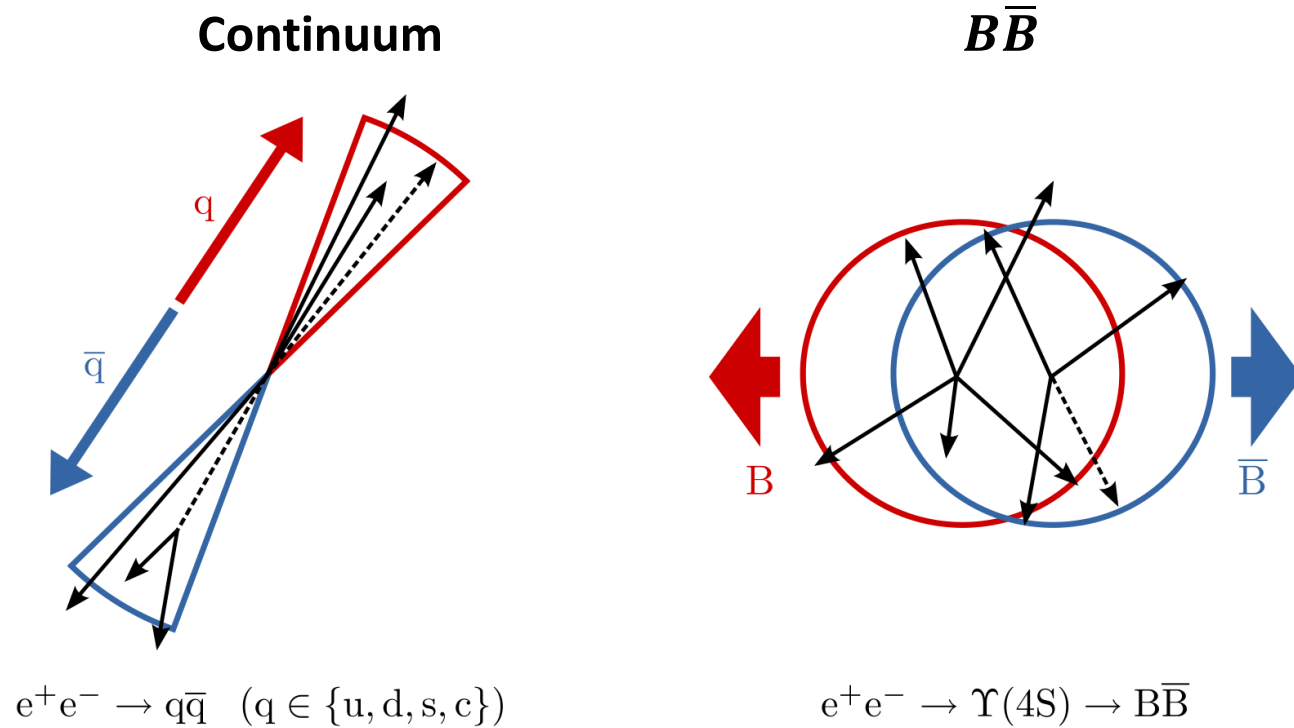
$B\bar{B}$



$$e^+e^- \rightarrow \Upsilon(4S) \rightarrow B\bar{B}$$

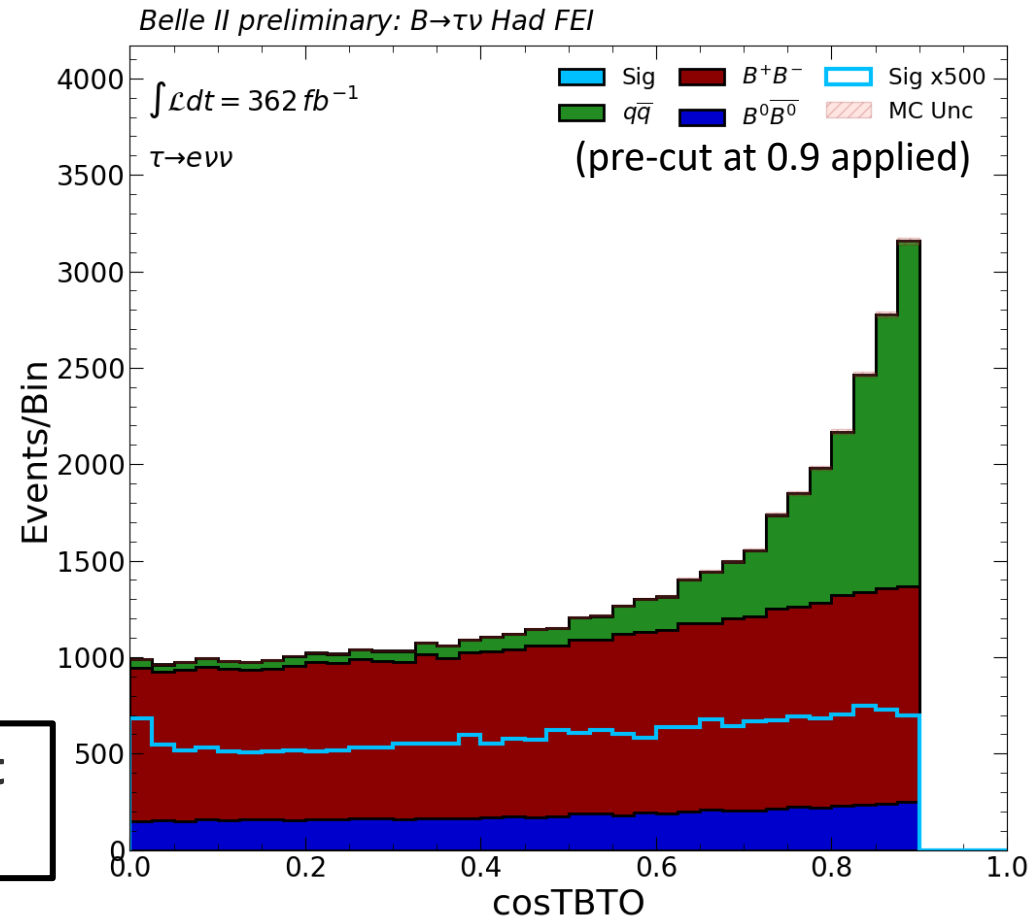
# Continuum Suppression

If we look at the **shape of continuum and  $B\bar{B}$** , i.e. the momentum-weighted distribution of all particles in the detector  $\rightarrow$  **different behaviour**.



**cosTBTO: cosine of angle between thrust axis of  $B_{sig}$  and thrust axis of ROE.**

**We use variables related to the event shape.**



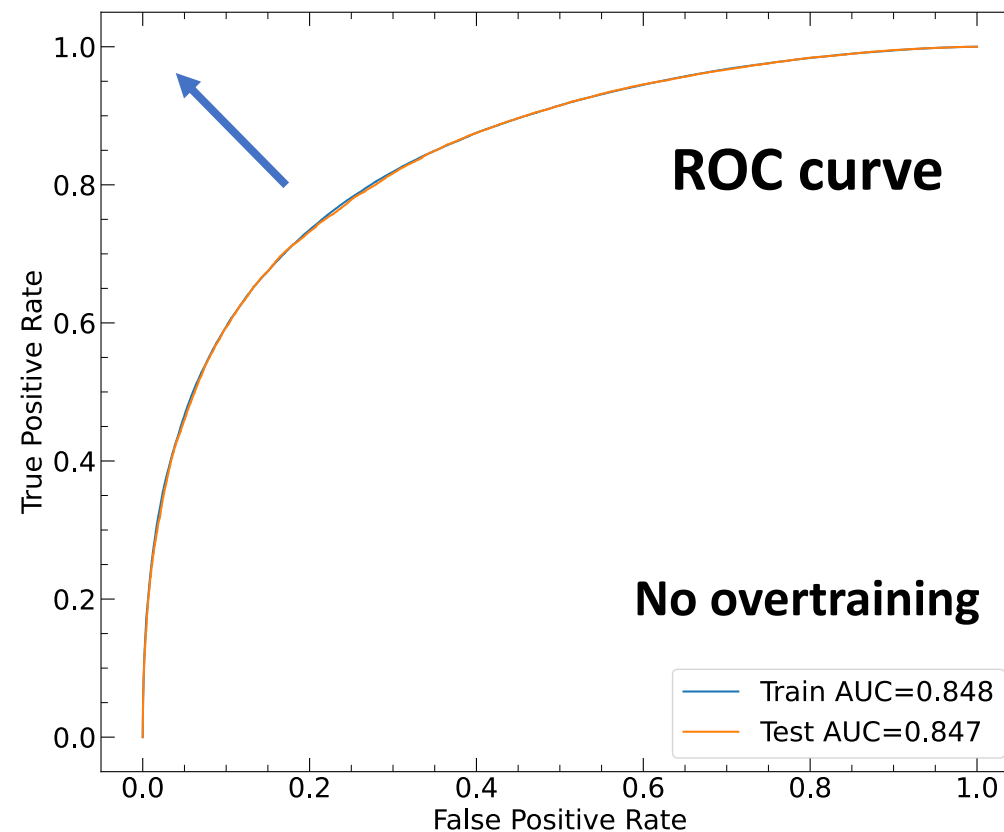
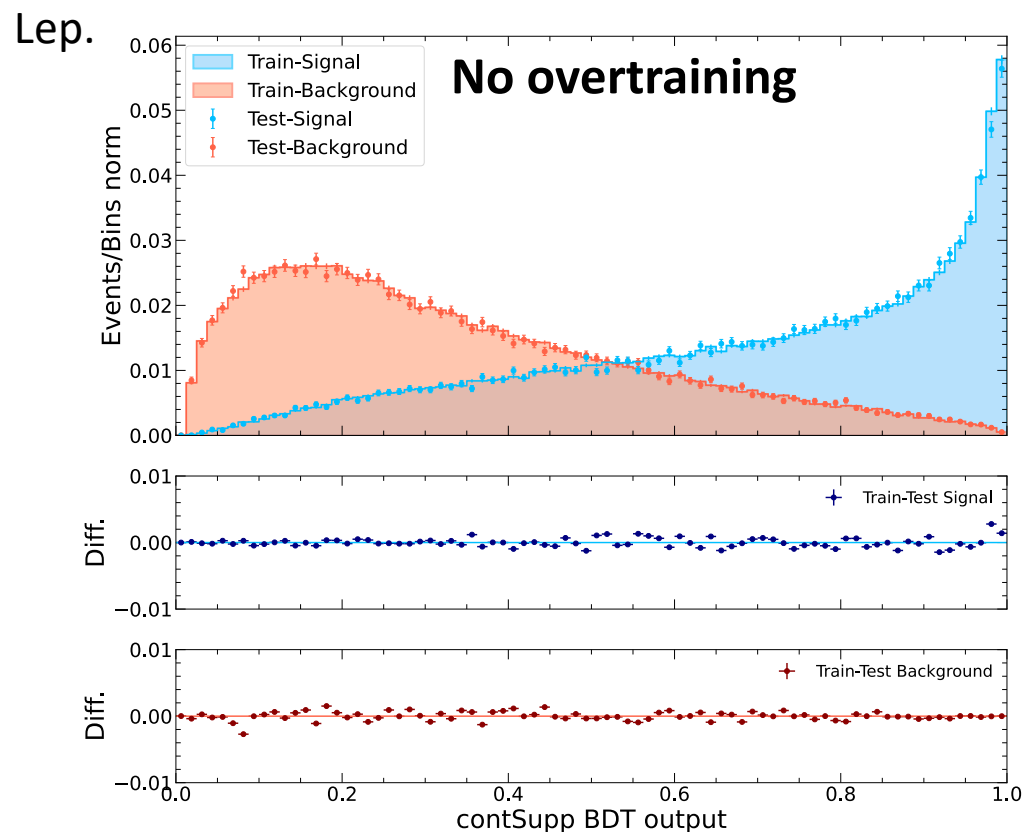


# Continuum Suppression

To suppress continuum, we train **2 FastBDT**, one for Leptons and one for Hadrons, using **MC continuum as "Signal"** and **MC  $B\bar{B}$  as "Background"**.

In the training, the weights from continuum reweighting are used

- Train/Test sample 80%/20%
- Signal/Background events ratio = 1
- Features = only variables with good Data/MC agreement and less correlated with our fit variables.

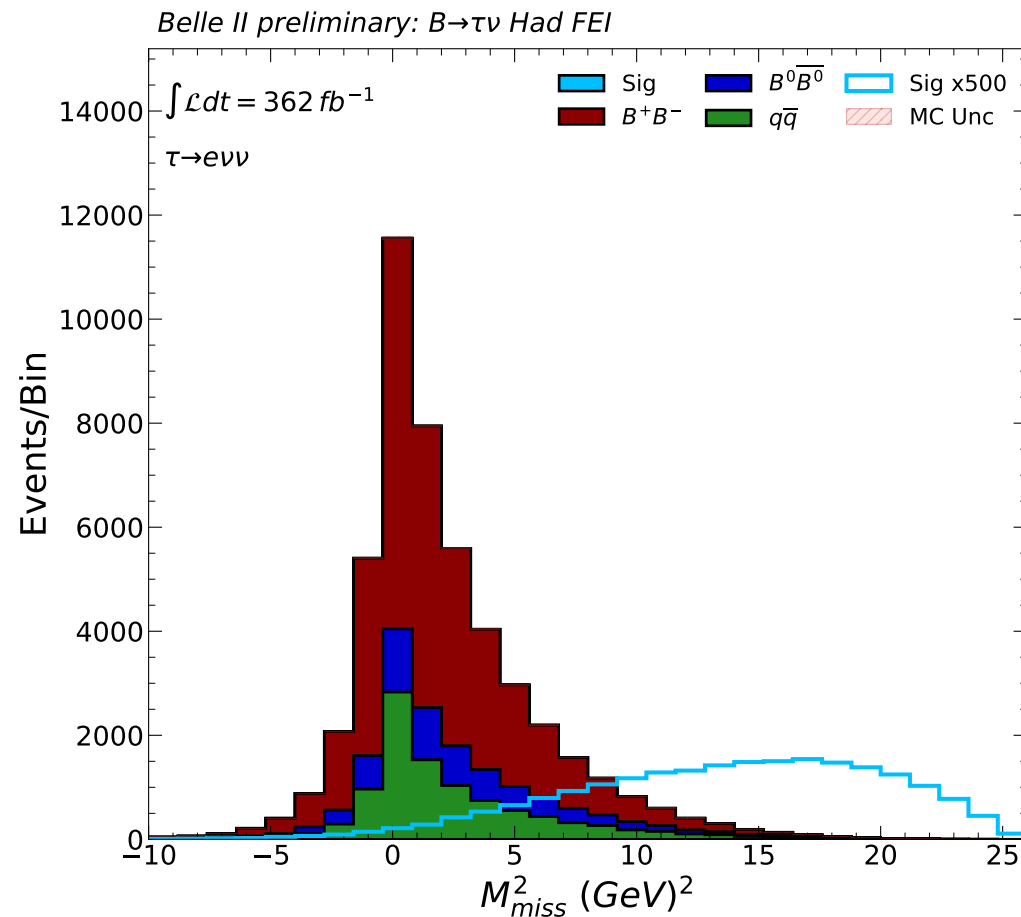
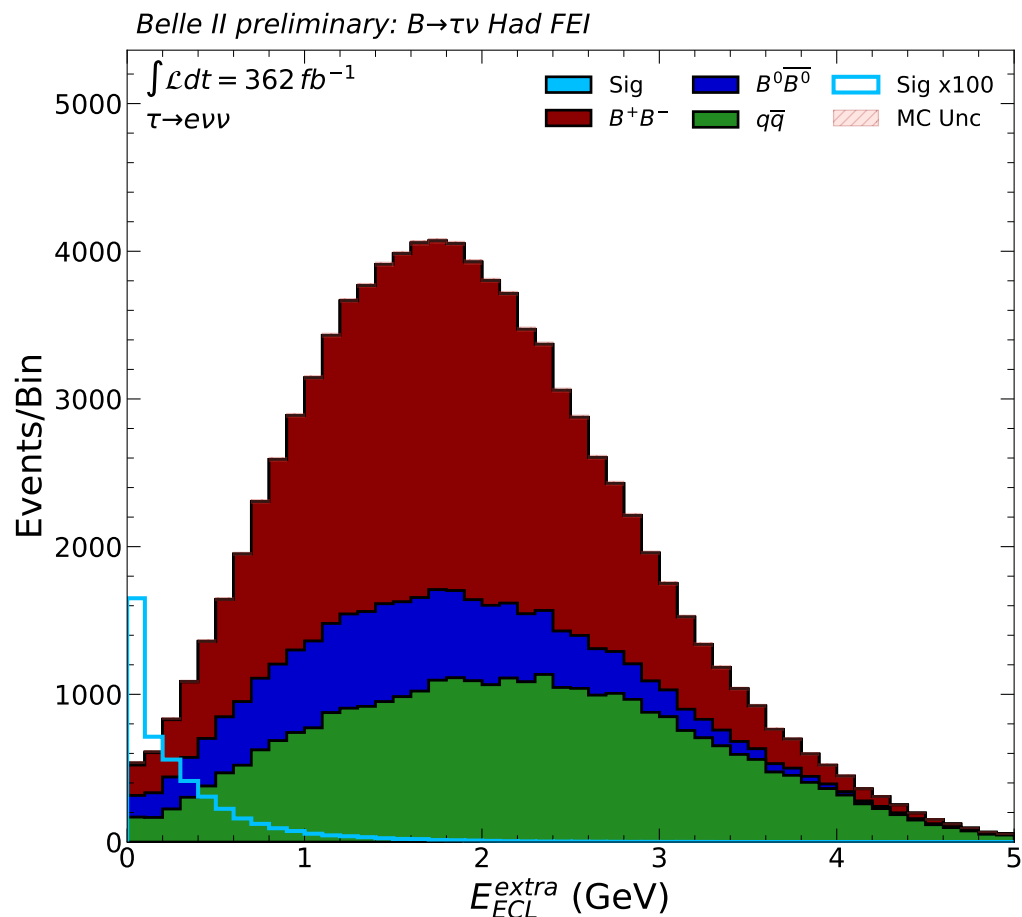


# Signal selection

Most discriminating variables for signal:

- $E_{ECL}^{extra}$ , the extra energy not associated with the  $B_{tag}$  and  $B_{sig}$  (Rest of Event).
- $M_{miss}^2 = E_{miss}^2 - p_{miss}^2$ , squared magnitude of the four-momentum  $p_{miss}$ .

A data-driven correction is applied to both the variables to correct the distributions.



# Signal selection

Most discriminating variables for signal:

- $E_{ECL}^{extra}$ , the extra energy not associated with the  $B_{tag}$  and  $B_{sig}$  (Rest of Event).
- $M_{miss}^2 = E_{miss}^2 - p_{miss}^2$ , squared magnitude of the four-momentum  $p_{miss}$ .

A data-driven correction is applied to both the variables to correct the distributions.

The best cuts have been optimized:

- minimizing a FOM obtained through 5000 Extended ML ToyMC study on the  $E_{extra}$ - $M_{miss}^2$  2D distribution for each cut combination.

$$FOM = \frac{\bar{\sigma}_S}{\bar{N}_S}$$

( $\bar{N}_S$  and  $\bar{\sigma}_S$  are the mean signal yield and error of the ToyMC)

	$eID$	$\mu ID$	$\pi ID$	sigProb	$M_{bc}$ (GeV)	$p$ (GeV)	ContSupp	$\epsilon(10^{-4})$
$e$	>0.9			>0.01	>5.27	>0.5	<0.8	7.3
$\mu$		>0.9		>0.01	>5.27	>0.5	<0.8	7.6
$\pi$			>0.6	>0.01	>5.27	>1.4	<0.6	3.4
$\rho$			>0.6	>0.01	>5.27	>1.65	<0.7	3.1

$$\left( \epsilon = \frac{n_{sel}}{n_{gen}} \right)$$

# $BR(B \rightarrow \tau\nu)$ Extraction with ToyMC

The sensitivity is estimated by producing 10,000 pseudo-datasets through a Simultaneous Extended Maximum Likelihood 2D Fit on  $E_{ECL}^{extra}$  and  $M_{miss}^2$ .

- $BR$  set to the PDG value.
- PDFs from the MC.

The Likelihood for each k-mode:  $(k = e, \mu, \pi, \rho)$

$$L_k = \frac{e^{-(n_{s,k} + n_{b,k})}}{(n_{s,k} + n_{b,k})!} \prod_{i=1}^{n_{s,k} + n_{b,k}} \{n_{s,k} \cdot P_k^s(E_{extra}^{i,k}, M_{miss}^{2 i,k}) + n_{b,k} \cdot P_k^b(E_{extra}^{i,k}, M_{miss}^{2 i,k})\}$$

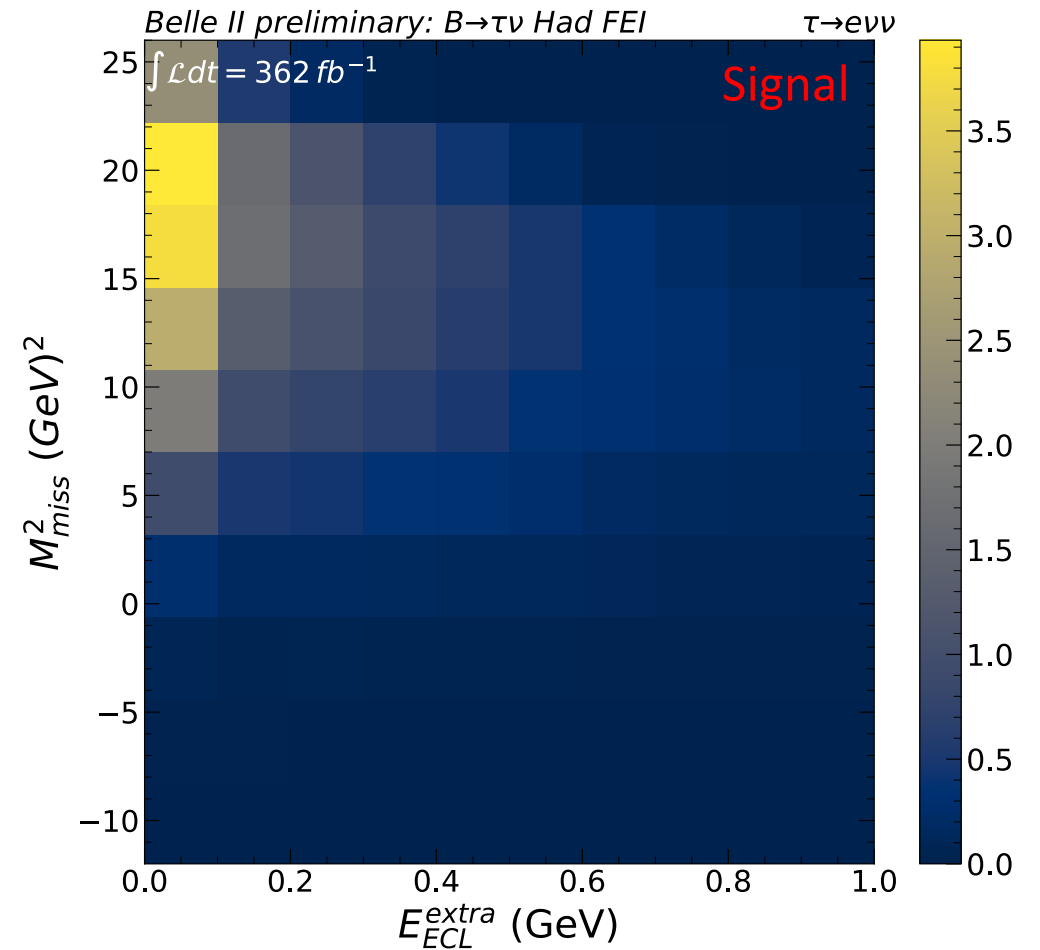
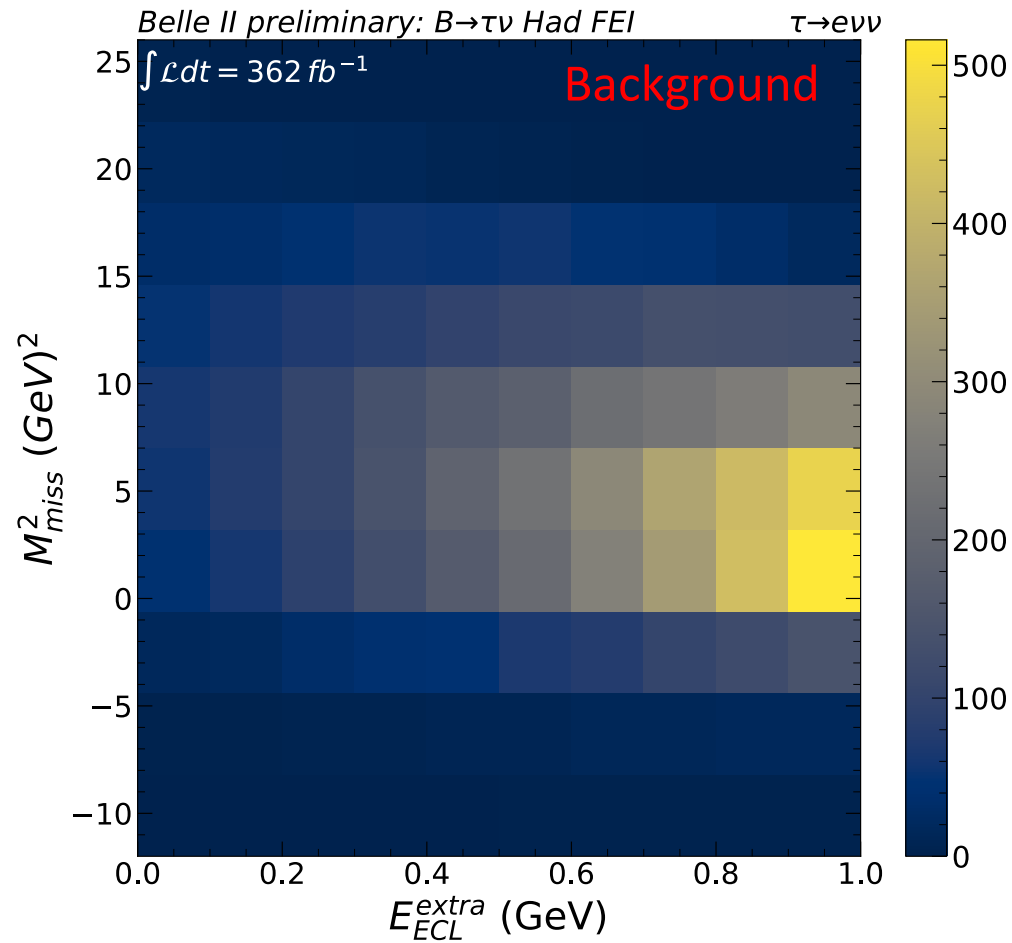
Where:  $n_{s,k}$  e  $n_{b,k}$  sig and bkg yields.

$$n_{s,k} = N^{MEASURED}(\tau \rightarrow k - mode) = N_{BB} \cdot \epsilon_k \cdot BR(B \rightarrow \tau\nu)$$

# $BR(B \rightarrow \tau\nu)$ Extraction with ToyMC

The sensitivity is estimated by producing 10,000 pseudo-datasets through a Simultaneous Extended Maximum Likelihood 2D Fit on  $E_{ECL}^{extra}$  and  $M_{miss}^2$ .

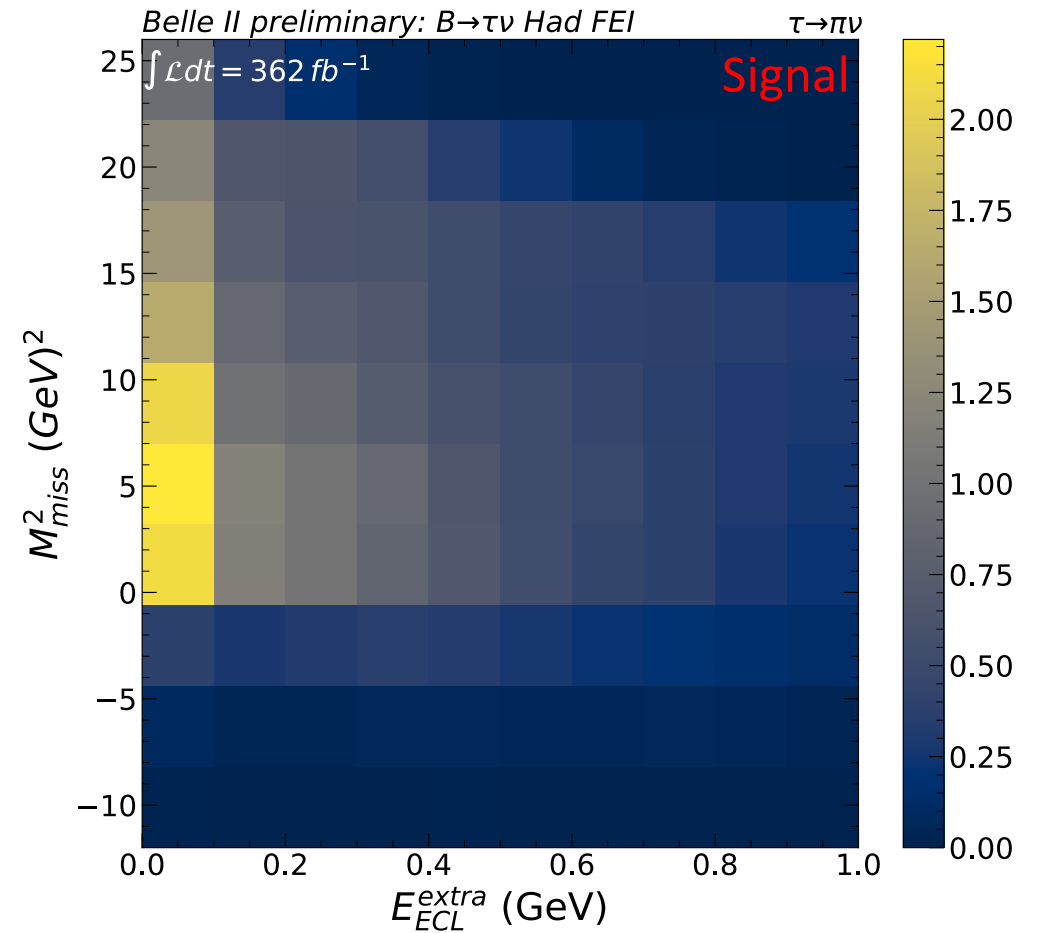
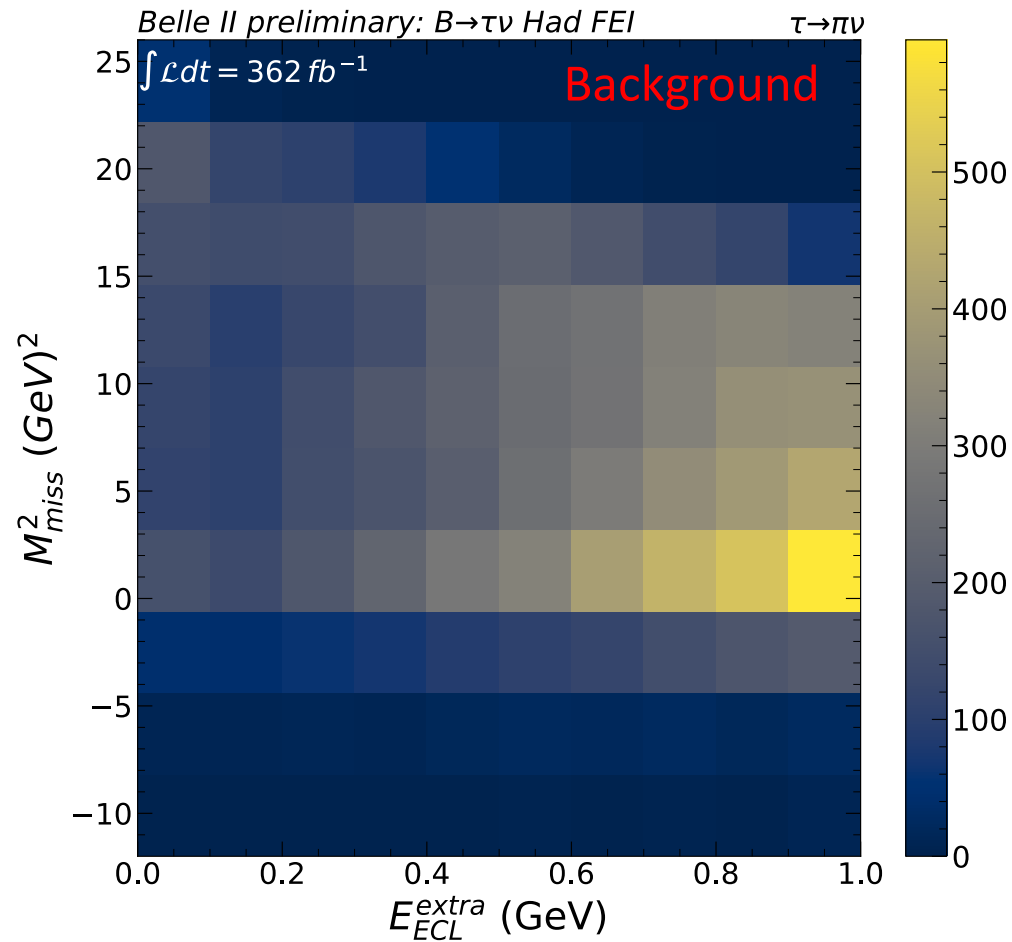
Electron channel (same for muon channel):



# $BR(B \rightarrow \tau\nu)$ Extraction with ToyMC

The sensitivity is estimated by producing 10,000 pseudo-datasets through a Simultaneous Extended Maximum Likelihood 2D Fit on  $E_{ECL}^{extra}$  and  $M_{miss}^2$ .

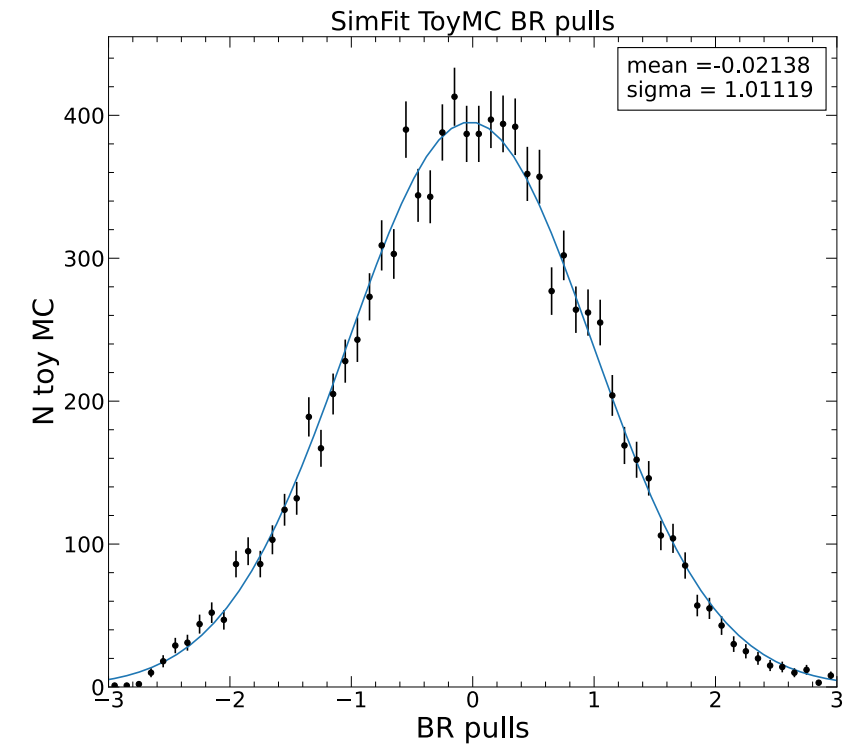
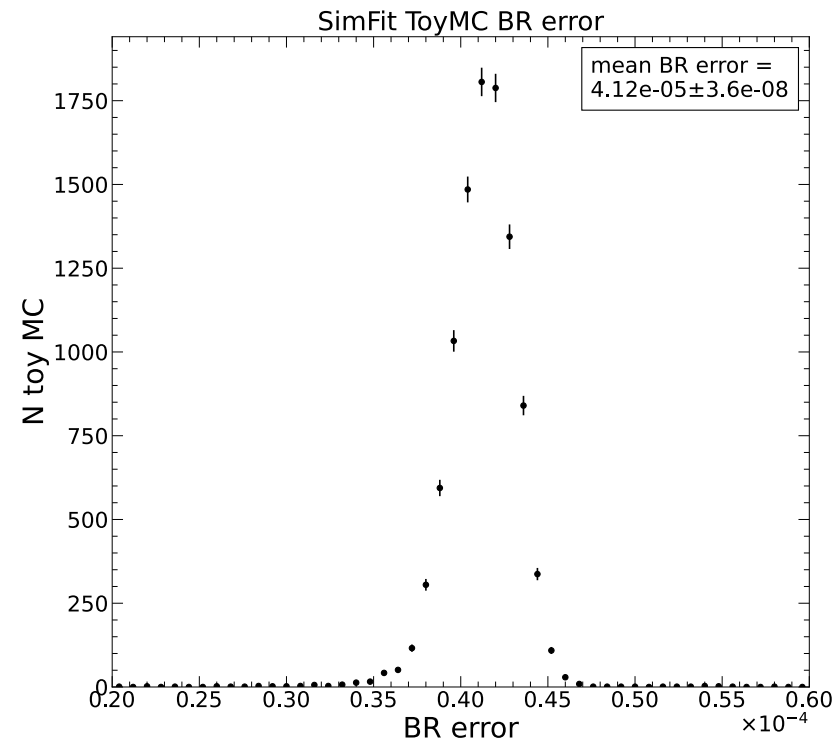
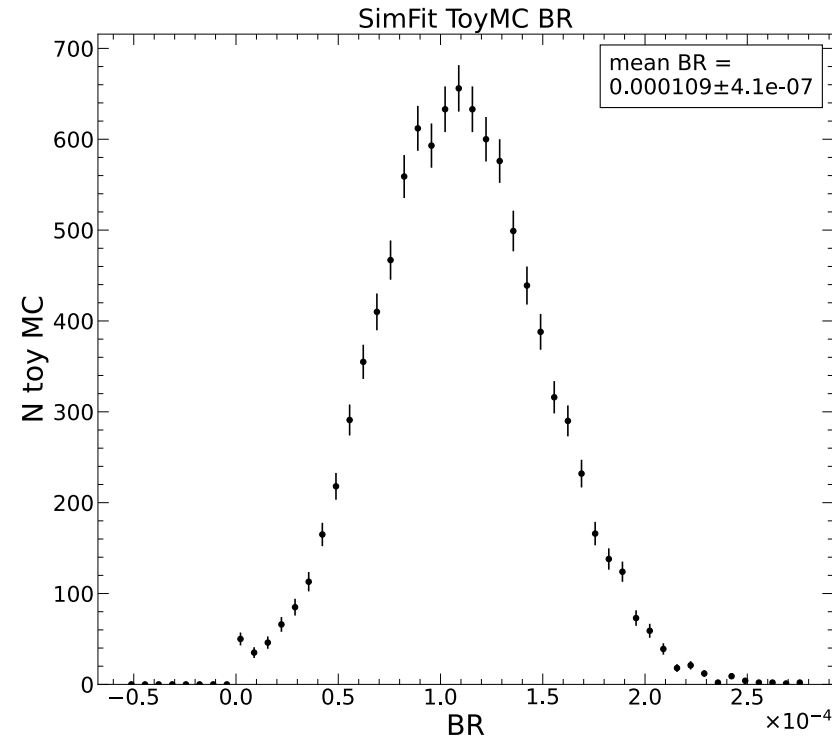
Pion channel (same for rho channel):



# $BR(B \rightarrow \tau\nu)$ Extraction with ToyMC

The sensitivity is estimated by producing 10,000 pseudo-datasets through a Simultaneous Extended Maximum Likelihood 2D Fit on  $E_{ECL}^{extra}$  and  $M_{miss}^2$ .

$$BR_{input}(B \rightarrow \tau\nu) = 1.09 \times 10^{-4}$$



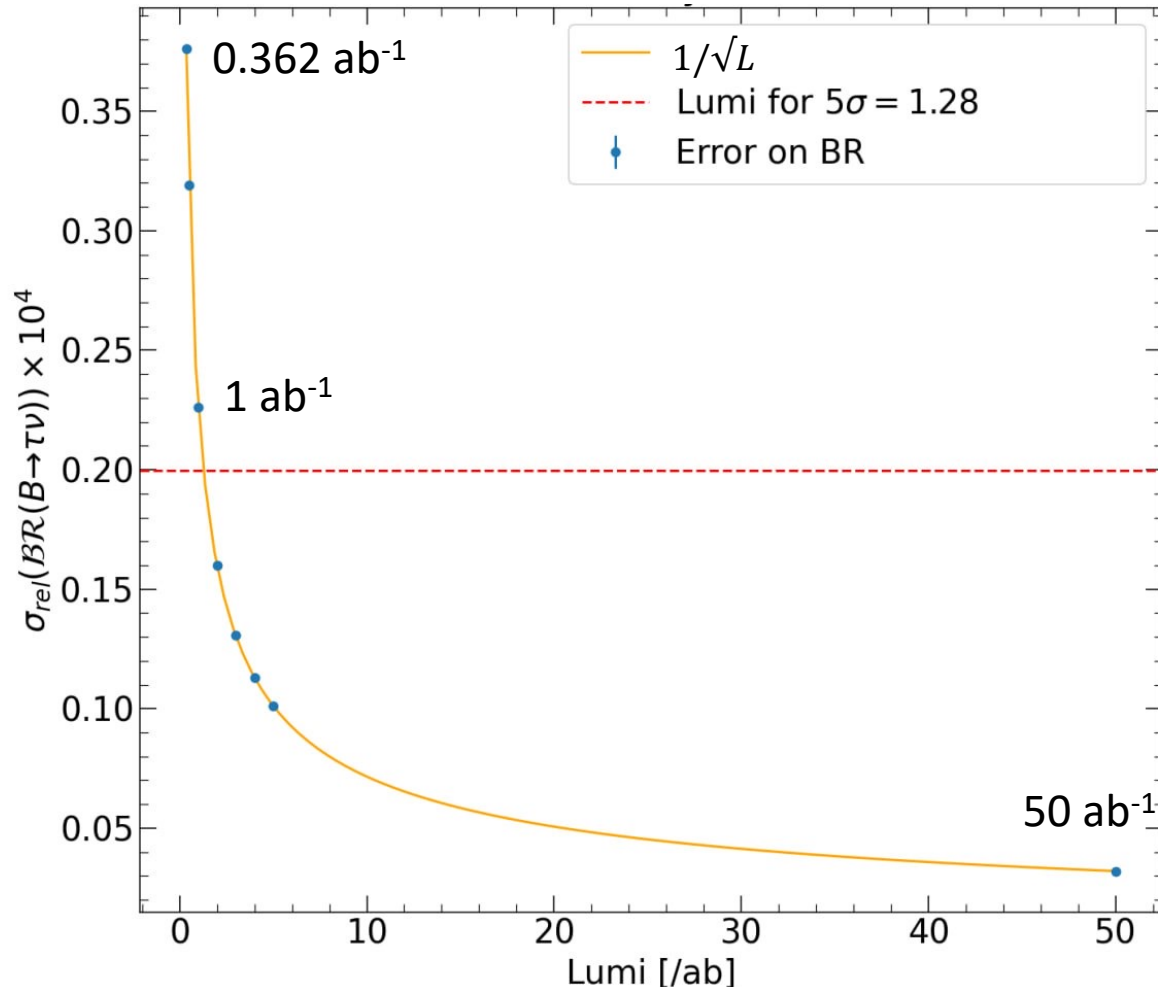
**No bias** and expected statistical unc. from ToyMC is **~37%**



# $BR(B \rightarrow \tau\nu)$ Extraction with ToyMC

The sensitivity is estimated by producing 10,000 pseudo-datasets through a Simultaneous Extended Maximum Likelihood 2D Fit on  $E_{ECL}^{extra}$  and  $M_{miss}^2$ .

ToyMC result for increasing luminosity:



**At 1.28 ab<sup>-1</sup> we expect a «5 $\sigma$ » measurement (only stat. uncertainty).**

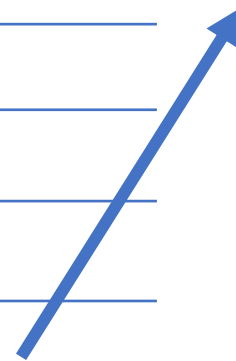
**\*At 50 ab<sup>-1</sup> the dominant uncertainty will be the systematic one.**

(expected systematic taken from Prog. Theor. Exp. Phys. 2019, 123C01 [arXiv:1808.10567](https://arxiv.org/abs/1808.10567) [hep-ex])

# Systematic Uncertainties

Source	Sys. Unc. estimation
$n_{B\bar{B}}$	1.5 %
$BR(Y(4S) \rightarrow B^+B^-) (f_{+0})$	1 %
Tracking efficiency	0.24 %
Particle Identification	0.5 %
$\pi^0$ reconstruction efficiency	0.6 %
Tag $B$ reconstruction efficiency	1.7 %
$E_{extra}$ PDF	+12.8% -12.5%
<b>Total</b>	<b>+13.1%</b> <b>-12.8%</b>

$E_{extra}$ PDF	Sys. Unc. estimation
MC Statistics	9.9 %
Continuum Reweighting	2.4 %
Data-driven Corr.	+7.7 % -7.2%
<b>Total</b>	<b>+12.8%</b> <b>-12.5%</b>



# Conclusions

The  $B \rightarrow \tau\nu$  decay sensitivity was studied with MC simulations corresponding to  $L_{int} = 362 \text{ fb}^{-1}$ .

- The Continuum background is reweighted using Off-res data and suppressed through a FastBDT discriminator trained on MC.
- The signal selection was optimized on MC and ToyMC result:  
**Bias negligible, Statistical uncertainty  $\sim 37\%$  ( $2.8\sigma$  from null hypothesis), Systematic uncertainty  $\sim 13\%$ ,  $5\sigma$  expected with  $1.28 \text{ ab}^{-1}$ .**
- **First Belle II result of  $B \rightarrow \tau\nu$  Branching Ratio very soon!**

**Backup**

# $BR(B \rightarrow \tau\nu)$ Extraction with ToyMC

- $BR$  set to the Belle value ( $0.72 \times 10^{-4}$ ).

ToyMC result for  $771 \text{ fb}^{-1}$  :

Expected statistical uncertainty  $\sim 38\%$ .

Belle  $\sim 38\%$ .

- $BR$  set to the BaBar value ( $1.83 \times 10^{-4}$ ).

ToyMC result for  $426 \text{ fb}^{-1}$  :

Expected statistical uncertainty  $\sim 20\%$ .

BaBar  $\sim 28\%$ .