

Perturbative superstring theory and the IKKT Matrix Model

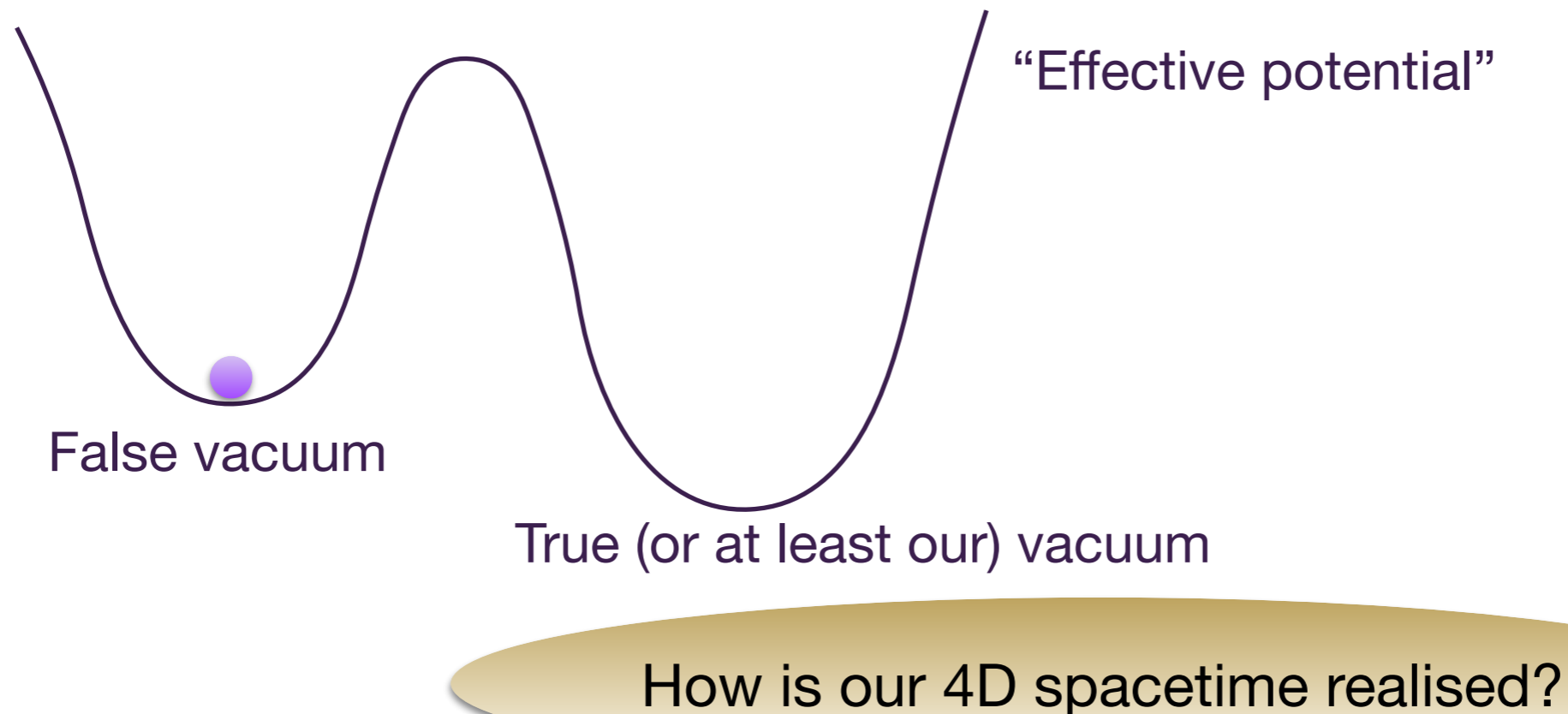
**Yuhma Asano (University of Tsukuba)
Nov. 29, 2023 @KEK-TH**

Introduction

What's wrong with superstring theory?

The established string theory is merely based on perturbation theory.

- There're infinitely many candidates of the vacuum, and it's unpredictable.

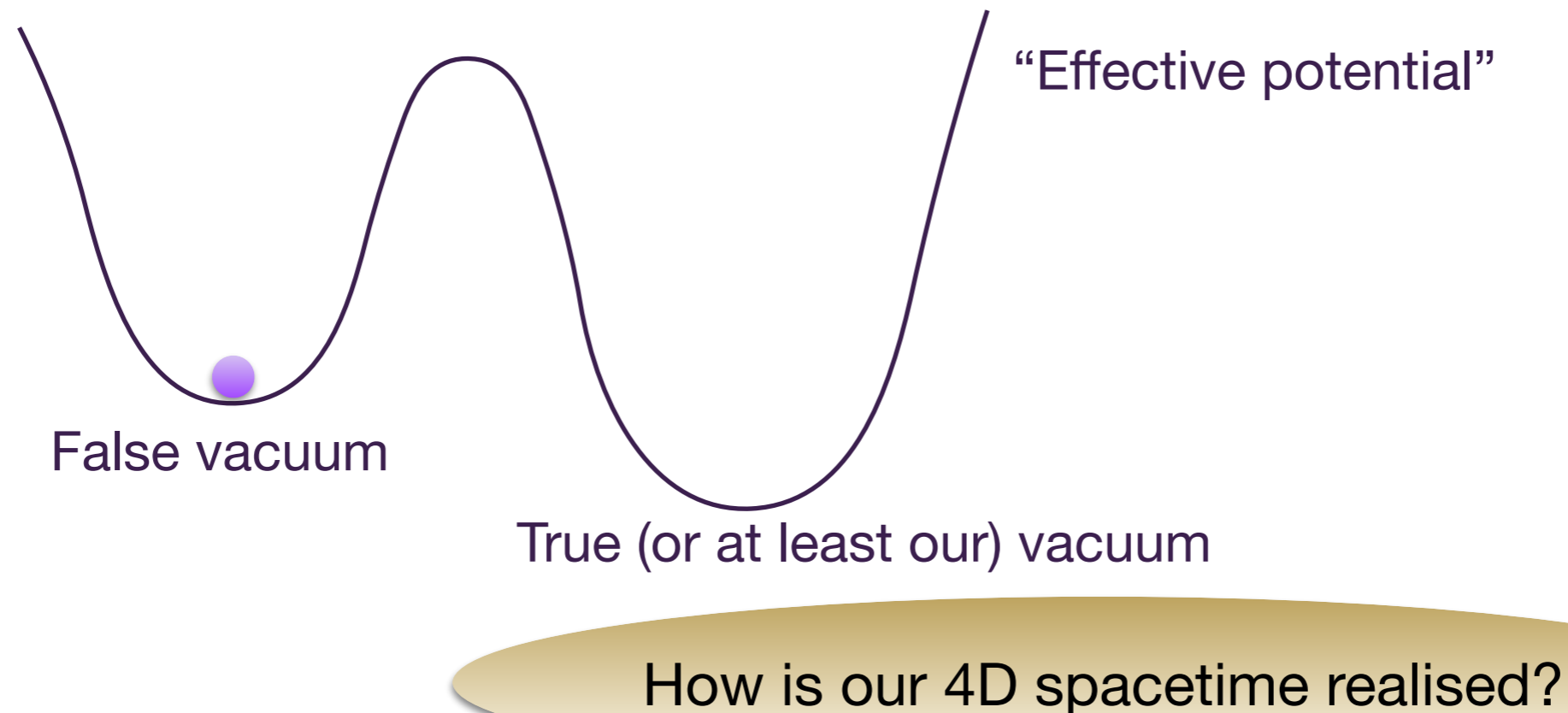


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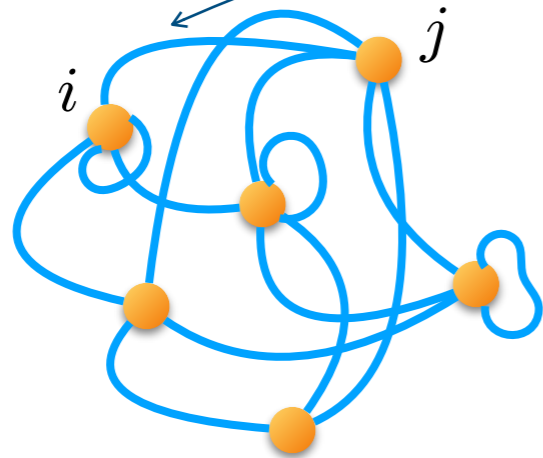
- There're infinitely many candidates of the vacuum, and it's unpredictable.



We need **non-perturbative formulation** to make it genuinely predictable quantum gravity theory!

Introduction

Matrix Model



branes connected by strings

$$X^\mu = \begin{pmatrix} X_{11}^\mu & X_{12}^\mu & \dots & \dots & X_{1N}^\mu \\ X_{21}^\mu & \dots & & & \vdots \\ \vdots & & & & \vdots \\ \vdots & & & & \vdots \\ X_{N1}^\mu & \dots & \dots & \dots & X_{NN}^\mu \end{pmatrix}$$

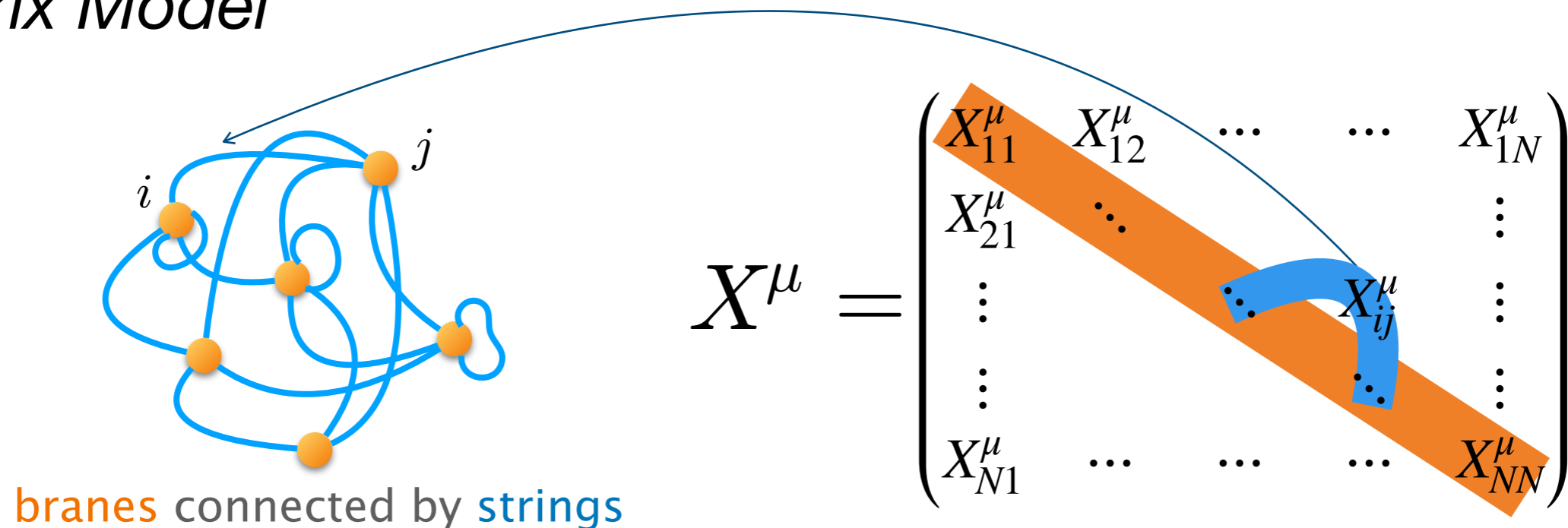
proposed as **non-perturbative** formulation of string theory

[Banks, Fischler, Shenker, Susskind '96; Ishibashi, Kawai, Kitazawa, Tsuchiya '96; ...]

➔ Genuinely predictable quantum gravity theory

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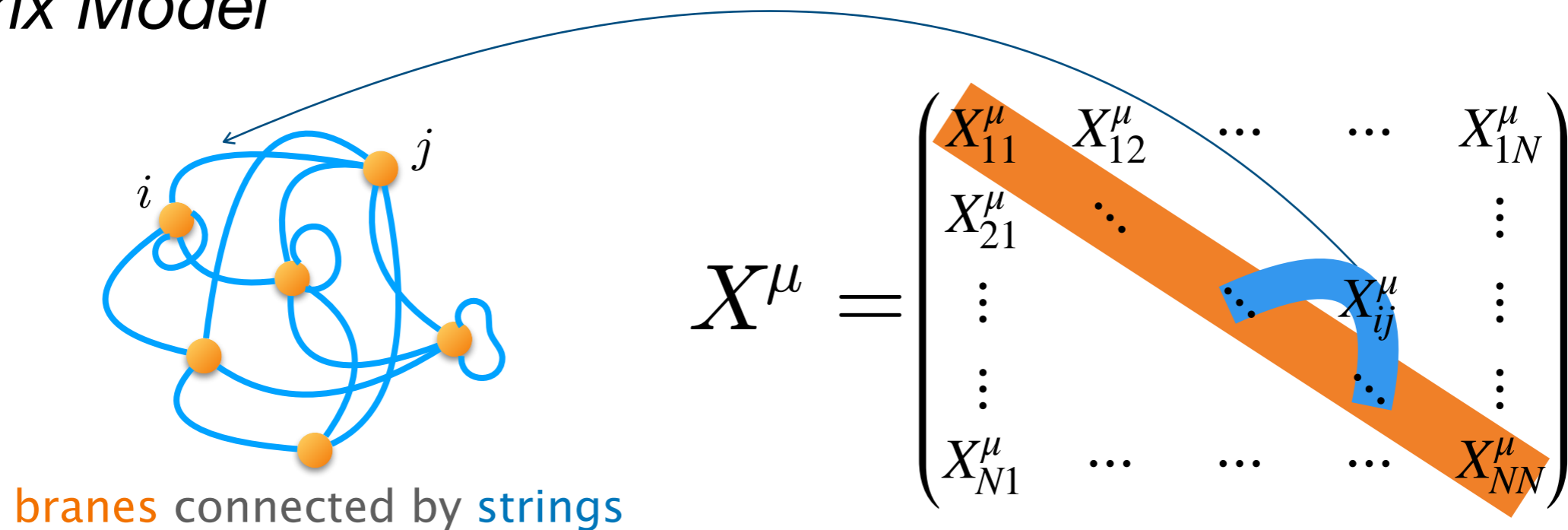
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We expect (in the IKKT matrix model)

- to find a well-defined true vacuum, which we hope is 4D spacetime
 - Dynamics of the diagonal part forms 4D [Aoki, Iso Kawai, Kitazawa, Tada '98]
 - SSB to $SO(3)$ is observed [Anagnostopoulos, Azuma, Ito, Nishimura, Okubo, Papadoudis '20]

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- to predict non-perturbative physics such as black holes and the early universe.

Introduction

Problem: How is the 0D theory defined?

The IKKT action:

[Ishibashi, Kawai, Kitazawa, Tsuchiya '96]

$$S[X, \psi; G_{\mu\nu}] = N \operatorname{tr} \left[\frac{1}{4} G_{\mu\rho} G_{\nu\sigma} [X^\mu, X^\nu] [X^\rho, X^\sigma] + \frac{1}{2} \psi^T G_{\mu\nu} \Gamma^\mu [X^\nu, \psi] \right]$$

X^μ : bosonic $N \times N$ matrices ($\mu = 0, \dots, 9$) ψ : Majorana-Weyl fermionic $N \times N$ matrices

$$G_{\mu\nu} = \eta_{\mu\nu} \text{ or } \delta_{\mu\nu} \quad \left(\eta_{\mu\nu} = \operatorname{diag}(-1, 1, \dots, 1)_{\mu\nu} \right)$$

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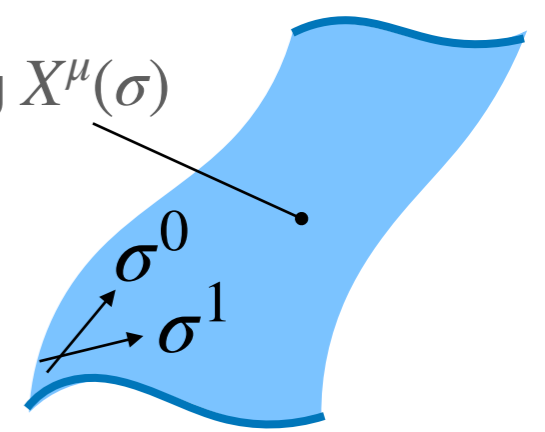
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“Euclidean IKKT model”

“Lorentzian IKKT model”

Green-Schwarz formalism

embedding $X^\mu(\sigma)$



Nambu-Goto-type action

$$S_{\text{GS}} = -\frac{1}{2\pi} \int d^2\sigma \left\{ \sqrt{-\det(\eta_{\mu\nu} \Pi_a^\mu \Pi_b^\nu)} - i\varepsilon^{ab} \partial_a X^\mu (\theta^{1T} \Gamma_\mu \partial_b \theta^1 - \theta^{2T} \Gamma_\mu \partial_b \theta^2) + \varepsilon^{ab} \theta^{1T} \Gamma^\mu \partial_a \theta^1 \theta^{2T} \Gamma_\mu \partial_b \theta^2 \right\}$$

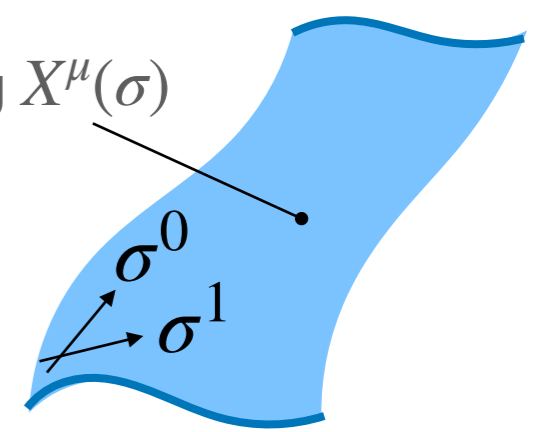
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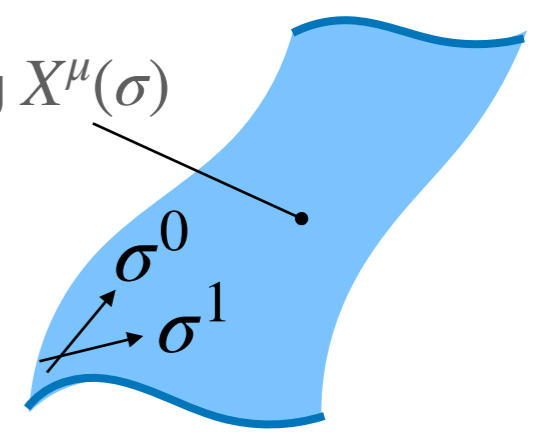
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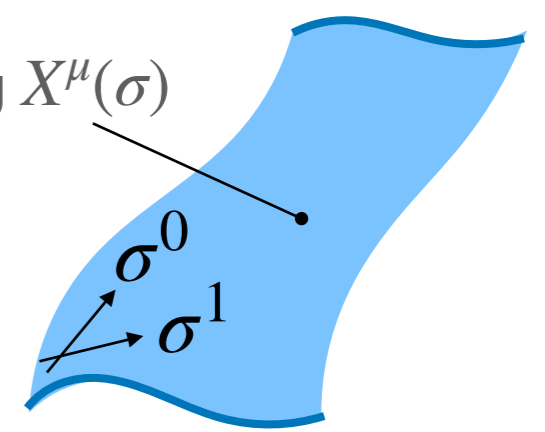
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Obstacles of quantisation in the G-S formalism:

- κ symmetry has an infinite series of gauge symmetry
- κ symmetry is not closed off-shell $[\delta_{\kappa_1}^f, \delta_{\kappa_2}^f] = \delta_{\nu_3}^b + \delta_{\kappa_3}^f + \delta_{\lambda_3}^\lambda + (\text{E.o.M.})$

Schild-type action

The Nambu-Goto-type action is equivalent to the following Schild-type action:

$$S_{\text{Schild}} = -\frac{1}{2\pi} \int d^2\sigma \left\{ \underline{-\frac{1}{2} \left(\frac{h}{e_g} - e_g \right)} - i\varepsilon^{ab} \partial_a X^\mu (\theta^{1T} \Gamma_\mu \partial_b \theta^1 - \theta^{2T} \Gamma_\mu \partial_b \theta^2) + \varepsilon^{ab} \theta^{1T} \Gamma^\mu \partial_a \theta^1 \theta^{2T} \Gamma_\mu \partial_b \theta^2 \right\}$$

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Remarkably, the fermionic gauge symmetry is formally enhanced:

$$\delta^f X^\mu = -i(\delta^f \theta^{1T} \Gamma^\mu \theta^1 + \delta^f \theta^{2T} \Gamma^\mu \theta^2)$$

$$\delta^f e_g = \frac{4ie_g^2}{e_g^2 + h} \sum_{A=1}^2 \left(\frac{-h}{e_g} h^{ab} + (-1)^{A+1} \varepsilon^{ab} \right) \delta^f \theta^{AT} \Gamma_\mu \Pi_a^\mu \partial_b \theta^A$$

$\delta^f \theta^A$ is **not projected** by $\frac{1}{2}(\mathbf{1} \pm \tilde{\Gamma})$.

[Y.A. to appear]

Enhanced kappa symmetry

Algebra of the enhanced kappa symmetry

Each sector of the “enhanced” κ transformation

$$\delta^f = \delta^{f\varphi} + \delta^{f\psi} \quad \text{with} \quad \varphi = \frac{1}{2}(\theta_1 + i\theta_2) \quad \psi = \frac{1}{2}(\theta_1 - i\theta_2)$$

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➔ BRST quantisation w/o ghosts of ghosts

“Derivation” of the IKKT model

To obtain the IKKT model by the matrix regularisation, we need to make the worldsheet Euclidean.

Unlike the Polyakov-type action, we can find a Wick rotation that rigorously connects the Lorentzian and Euclidean for the Schild-type action:

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$$\exp[iS_{\text{Schild}}] = \exp \left[\frac{i}{2\pi} \int d\sigma^0 d\sigma^1 \left(\frac{1}{4} \{X^i, X^j\}_{\hat{P}}^2 - \frac{1}{2} \{X^0, X^i\}_{\hat{P}}^2 - \frac{1}{2} + 2i\psi^T \Gamma_i \{X^i, \psi\}_{\hat{P}} + 2i\psi^T \Gamma_0 \{X^0, \psi\}_{\hat{P}} \right) \right]$$

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Matrix Regularisation by a map from a function

$$X(\sigma) = \sum_{l=0}^{\infty} \sum_{m=-l}^l \tilde{X}_{lm} \underline{Y_{lm}(\sigma)} \longrightarrow \sum_{l=0}^{N-1} \sum_{m=-l}^l \tilde{X}_{lm} (Y_{lm})_{ij} = \underline{X_{ij}} \quad \{f_1, f_2\}_{\hat{P}}^{(E)}$$

Fixing th

spherical harmonics

$$\exp[iS_{\text{Schild}}] = \exp \left[\frac{i}{2\pi} \int d\sigma^0 d\sigma^1 \left(\frac{1}{4} \{X^i, X^j\}_{\hat{P}}^2 - \frac{1}{2} \{X^0, X^i\}_{\hat{P}}^2 - \frac{1}{2} + 2i\psi^T \Gamma_i \{X^i, \psi\}_{\hat{P}} + 2i\psi^T \Gamma_0 \{X^0, \psi\}_{\hat{P}} \right) \right]$$

$$\xrightarrow{\theta \rightarrow \frac{\pi}{2}} \exp \left[-\frac{1}{2\pi} \int d\sigma^1 d\sigma^2 \left(\frac{1}{4} \{X^m, X^n\}_{\hat{P}}^{(E)2} + 2\psi^{(E)T} \Gamma_m \{X^m, \psi^{(E)}\}_{\hat{P}} + \frac{1}{2} \right) \right] \quad (m = 1, \dots, 9, 10)$$

$$\text{Mat. Reg.} \longrightarrow \exp \left[-N \text{tr} \left(-\frac{1}{4} [X^m, X^n]^2 - \frac{i}{2} \psi^{(E)T} \Gamma_m [X^m, \psi^{(E)}] + \frac{1}{4N} \right) \right]$$

“Derivation” of the IKKT model

To obtain the IKKT model by the matrix regularisation, we need to make the worldsheet Euclidean.

Unlike the Polyakov-type action, we can find a Wick rotation that rigorously connects the Lorentzian and Euclidean for the Schild-type action:

Matrix Regularisation by a map from a function

$$X(\sigma) = \sum_{l=0}^{\infty} \sum_{m=-l}^l \tilde{X}_{lm} \underline{Y_{lm}(\sigma)} \longrightarrow \sum_{l=0}^{N-1} \sum_{m=-l}^l \tilde{X}_{lm} (Y_{lm})_{ij} = \underline{X_{ij}} \quad \{f_1, f_2\}_{\hat{P}}^{(E)}$$

Fixing the

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We have “derived” the **Euclidean weight w/ the Euclidean metric IKKT action** from the perturbative superstring theory.

Vertex operators

The BRST transformation on the worldsheet is

$$\delta^{\text{BRST}} X^\mu = -2i\epsilon\gamma^T\Gamma^\mu\psi + \epsilon\{c, X^\mu\}_{\hat{p}}, \quad \delta^{\text{BRST}}\psi = \epsilon\{c, \psi\}_{\hat{p}}, \quad \delta^{\text{BRST}}\varphi = \epsilon\gamma + \epsilon\{c, \varphi\}_{\hat{p}},$$

A BRST inv. vertex: $\int d^2\sigma e^{ik_\mu(X^\mu + 2i\varphi^T\Gamma^\mu\psi)} \rightarrow \int d^2\sigma e^{ik_\mu X^\mu}$ (momentum- k_μ mode)

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➔ In the matrix model, $V^\Phi = \text{tr} e^{ik_\mu X^\mu}$

This forms **a massless multiplet of type-IIB SUGRA** by acting the supercharge operator Q onto this vertex.

Perturbative
String states

massless
multiplet of
type-IIB SUGRA



Matrix model
Operators

massless
multiplet of
type-IIB SUGRA

[Kitazawa '02; Iso, Terachi, Umetsu '04;
Kitazawa, Mizoguchi, Saito '07]

Summary

- We find there is **a gauge trf. that closes algebra off-shell** for the string action in the G-S formalism by rewriting the action to the Schild type. It allows us to quantise the theory without an infinite tower of ghosts.
- If we assume the IKKT matrix model is derived by the matrix regularisation of the Schild-type action, it is **the Euclidean action w/ e^{-S}** .
 - ※ doesn't mean the IKKT model should be Euclidean
- The matrix regularised massless-mode vertex $\int d^2\sigma e^{ik_\mu(X^\mu + 2i\varphi^T \Gamma^\mu \psi)}$, invariant under the BRST trf., is the suggested **matrix-model vertex operator $\text{tr} e^{ik_\mu X^\mu}$ of a string (or D1)**, which forms a massless multiplet of type IIB SUGRA by acting the supercharge operator.

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- I plan to find out the **exact relationship between perturbative superstring d.o.f. and matrix d.o.f.**, starting with this identification for the vertex operator.

[cf. Fukuma, Kawai, Kitazawa, Tsuchiya '97]