

't Hooft line in 4D  $U(1)$  lattice gauge theory,  
and microscopic descriptions of dyon's statistics

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11/12/2024@KEK-Theory Workshop 2024

SO, arXiv:2412.xxxxx (to appear)

## 't Hooft lines with $\theta$ term

- ▶ Monopole: A singular nature of gauge theories  $\frac{1}{2\pi} \oint_{S^2} f \in \mathbb{Z}$

Here,  $S^2$  is a closed surface wrapping a monopole



- ▶ 't Hooft lines are world lines of monopoles

- ▶ In the presence of  $\theta$  term in the action:  $\frac{i\theta}{8\pi^2} \int_{M_4} f \wedge f \in i\theta\mathbb{Z}$ , monopoles obtain electric charges:

$$(0, m_{\text{mag}})_{\theta} = \left( \frac{m_{\text{mag}}\theta}{2\pi}, m_{\text{mag}} \right)_{\theta=0}$$

$\implies$  **Witten effect** (Witten'79) A monopole becomes a **dyon**

- ▶ Line operator level, 't Hooft line becomes a dyonic "surface"

$$\langle H(C)^{m_{\text{mag}}} \rangle_{\theta} = \langle H(C)^{m_{\text{mag}}} e^{\frac{im_{\text{mag}}\theta}{2\pi} \int_S f} \rangle_{\theta=0}, \quad C = \partial S$$

- ▶ Especially, when  $\theta = 2\pi$  (generally,  $\frac{m_{\text{mag}}\theta}{2\pi} \in \mathbb{Z}$ ), the dyonic "surface" becomes "line" operator (Dirac quantization):

$$e^{\frac{im_{\text{mag}}\theta}{2\pi} \int_S f} = e^{im_{\text{mag}} \oint_C a}$$

## What I want to do on a lattice

My mind: Lattice provide yet another viewpoints, and they are easier and “visible” compared to continuum

What I want to reproduce on a lattice:

▶ Witten effect:  $\langle H(C)^{m_{\text{mag}}} \rangle_{\theta} = \langle H(C)^{m_{\text{mag}}} e^{\frac{im_{\text{mag}}\theta}{2\pi} \int_S f} \rangle_{\theta=0}$

▶ When  $\frac{m_{\text{mag}}\theta}{2\pi} \in \mathbb{Z}$ , the dyonic surface becomes “line”

$$e^{\frac{im_{\text{mag}}\theta}{2\pi} \int_S f} = e^{i \oint_C a} \quad \text{[diagram: a red circle with diagonal lines] } \rightarrow \text{[diagram: a red circle with a dot in the center]}$$

A line op. satisfying the Dirac quantization should be a

**genuine** line op. Aharony, Seiberg, Tachikawa'13 Kapustin, Seiberg'14

▶ Statistical shift of dyons Goldhaber'76 Metlitski, Kane, Fisher'13

Even though both (1,0) and (0,1) are bosons, the dyon (1,1)

becomes **fermion** Generally,  $(\frac{m_{\text{mag}}\theta}{2\pi}, m_{\text{mag}})$  becomes **anyonic**

$\implies$  Witten effect should also capture such statistical nature

## Lattice formulation of U(1) Maxwell-th in 4D

Considering 4D U(1) Maxwell-th on the Euclidian lattice ( $\cong T^4$ )

Compact gauge field on link:  $u_\mu(n) \in U(1)$

$$f_{\mu\nu}(n) \equiv \frac{1}{i} \ln \square = \Delta_\mu a_\nu(n) - \Delta_\nu a_\mu(n) + 2\pi z_{\mu\nu}(n), \quad z_{\mu\nu}(n) \in \mathbb{Z}$$

$$\text{Action: } S_{\text{Maxwell}} \equiv \sum_n \frac{1}{4g_0^2} f_{\mu\nu}(n) f_{\mu\nu}(n) - \frac{i\theta}{8\pi^2} \sum_{h \in M_4} (f \cup f)_h$$

U: Lattice analogue of  $\wedge$  (preserving **Leibniz rule**)

We assume the **smoothness** called admissibility condition (Lüscher '82...):  $\sup |f| < \epsilon, 0 < \epsilon < \frac{\pi}{3} \implies \left(\frac{df}{2\pi}\right)_c = (dz)_c = 0$  e.g.

$$df = \Delta_\mu (\epsilon_{\mu\nu\rho} f_{\nu\rho})$$

Then, we can show (e.g. Fujiwara, Suzuki, Wu '00):

$$\frac{i\theta}{8\pi^2} \sum_{h \in M_4} (f \cup f)_h = \frac{i\theta}{2} \sum_{h \in M_4} (z \cup z)_h = i\theta \mathbb{Z}$$

$\theta$  term is **topological** even on the lattice

## Excision method

To introduce 't Hooft line on the lattice, We make a **boundary**

$(\partial M_4 = S^2 \times S^1) \implies$  **Excision method** (2D case Abe, et.al. '23)

't Hooft line  $H^{m_{\text{mag}}}(S^1): \frac{1}{2\pi} \sum_{p \in S^2} f_p = m_{\text{mag}} \in \mathbb{Z}$ ,  $|S^2| > \frac{2\pi|m_{\text{mag}}|}{\epsilon}$

**(Proof sketch)**

In the case of  $m_{\text{mag}} = 1$ ,  $f_p = \frac{2\pi}{S}$ ,  $S = \#$  of plaquette in the  $S^2$

If  $S$  is small like a cube (e.g.  $S = 6 \implies f_p = \frac{\pi}{3}$ ),

then inconsistent with admissibility  $\sup |f| < \epsilon < \frac{\pi}{3}$



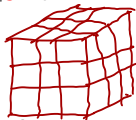
**When  $S$  is large,  $f_p$  can be small  $\implies f_p$  can be admissible**

while preserving  $\frac{1}{2\pi} \sum_{p \in S^2} f_p \neq 0$

$\implies$  A monopole definition as a "hole"

Prepare a certain 3-ball  $\mathcal{B}_3$  and remove the lattice in  $\mathcal{B}_3$ .

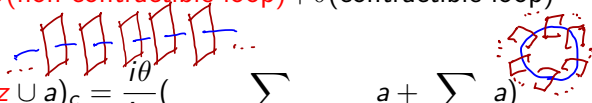
$\implies \partial \mathcal{B}_3 = S^2$ , extending to "time-direction"  $\rightarrow$  **'t Hooft loop**



## Witten effect

$$\begin{aligned} & \frac{i\theta}{8\pi^2} \sum_{h \in M_4} (f \cup f)_h \\ &= \underbrace{\frac{i\theta}{4\pi} \sum_{c \in \partial M_4} \left( \frac{a \cup da}{2\pi} + a \cup z + z \cup a \right)_c}_{\text{level } \theta \text{ Chern-Simons (CS)}} + \frac{i\theta}{2} \sum_{h \in M_4} (z \cup z)_h \end{aligned}$$

$dz = 0 \implies z|_{\partial M_4} = \delta(\text{non-contractible loop}) + \delta(\text{contractible loop})$

$$\frac{i\theta}{4\pi} \sum_{c \in \partial M_4} (a \cup z + z \cup a)_c = \frac{i\theta}{4\pi} \left( \sum_{\text{non-contractible loop}} a + \sum_{\text{shifted}} a \right)$$


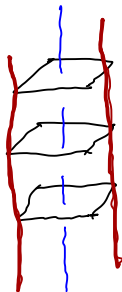
The # of “non-contractible loop” =  $m_{\text{mag}}$

$\implies m_{\text{mag}}$ -Wilson loops along the  $S^1$  are induced on the 't Hooft loop (“hole”  $\cong S^2 \times S^1$ )  $\implies$  **Witten effect**

In (naive) continuum limit,  $\approx \frac{im_{\text{mag}}\theta}{2\pi} \int_{\mathcal{R}} f + i\theta\mathbb{Z}$ ,  $\partial\mathcal{R} = \text{the } S^1$

# Statistical shift of dyons: A lattice description

$$\frac{i\theta}{4\pi} \sum_{c \in \partial M_4} \left( \frac{a \cup da}{2\pi} + \underbrace{a \cup z + z \cup a}_{\text{Lattice captures that nature!}} \right)_c$$



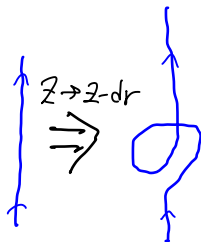
The CS term contain Wilson loops (due to Witten effect)

Gauge transf.  $a \rightarrow a + d\lambda + 2\pi r$ ,  $z \rightarrow z - dr$ ,  $r \in \mathbb{Z}$

$dr = \delta(\text{contractible loop}) \implies$  Gauge transf. deform Wilson loops

Actually, the CS is **not** gauge-inv.

$$(\text{CS}) \rightarrow (\text{CS}) + \frac{i\theta}{2} \underbrace{(r \cup z + z \cup r + dr \cup r)}_{\# \text{ of "twists" caused by } dr}$$



$\theta = 2\pi \implies e^{i\pi \times \#} \implies$  **Fermionic!**

$\theta = \frac{2\pi p}{N} \implies e^{\frac{\pi p i}{N} \times \#} \implies$  **Anyonic!**

**How about bulk term?**  $\frac{i\theta}{2} \sum_{h \in M_4} (z \cup z)_h$

Naively, the bulk dependence implies **non-genuine** op.

In the case of  $\theta = 2\pi$ :  $e^{i\pi \sum_{h \in M_4} (z \cup z)_h} = e^{i \sum_{p \in \Sigma} z_p} \mathcal{Z}_{\gamma, \partial M_4}[z]$  Chen'19

$$\mathcal{Z}_{\gamma, \partial M_4}[z] \equiv \left( \prod_{p \in \partial M_4} \int d\gamma_p d\bar{\gamma}_p \right) \left( \prod_{c \in \partial M_4} h_c[z] \right) \left( \prod_{p \in \partial M_4} (1 + \bar{\gamma}_p \gamma_p) \right)$$

$$h_c[z] \equiv \gamma_{c+\hat{x}/2}^{z_{c+\hat{x}/2}} \gamma_{c-\hat{z}/2}^{z_{c-\hat{z}/2}} \gamma_{c+\hat{y}/2}^{z_{c+\hat{y}/2}} \bar{\gamma}_{c-\hat{x}/2}^{z_{c-\hat{x}/2}} \bar{\gamma}_{c+\hat{z}/2}^{z_{c+\hat{z}/2}} \bar{\gamma}_{c-\hat{y}/2}^{z_{c-\hat{y}/2}}$$

That is a theory of fermionic world lines along  $[z]$  ( $z \equiv \delta([z])$ ), and can be written by **d.o.f on  $\partial M_4$**

$\implies$  We can obtain fermionic **genuine** line





In the case of  $\theta = \frac{2\pi p}{N}$ ,  $m_{\text{mag}} = N$ ,  $\frac{m_{\text{mag}}\theta}{2\pi} \in \mathbb{Z}$ ,  $N \in \text{odd}$ :

$$e^{\frac{i\pi p}{N} \sum_{h \in M_4} (z \cup z)_h} = (e^{i \sum_{p \in \Sigma} z_p} \mathcal{Z}_{\gamma, \partial M_4}[z])^p \times \# \cdot \mathcal{Z}_{\partial M_4 \cong S^2 \times S^1}^{p(N+1)}[z]$$

$\mathcal{Z}_{\partial M_4 \cong S^2 \times S^1}^{p(N+1)}$  is the non-invertible axial symmetry op.

(cf. Honda, Morikawa, SO, Suzuki'24)

Here,

$$\mathcal{Z}_{S^2 \times S^1}^{p(N+1)}[z] \propto \left( \prod_{\ell \in \partial M_4} \int d c_\ell \right) \delta_N[(d c_\ell - z)_p] e^{-\frac{ip(N+1)\pi}{N} \sum_{c \in S^2 \times S^1} (z \cup c)_c}$$

$e^{-\frac{ip(N+1)\pi}{N} \sum_{c \in S^2 \times S^1} (z \cup c)_c}$  can be interpreted as a anyonic line along

$[z]$  and can be written by **d.o.f on  $\partial M_4$**

$\implies$  We can obtain anyonic **genuine** line



## 't Hooft anomaly captures the dyon's statistics

4D Maxwell th. has global  $\mathbb{Z}_{N^e}$  1-form symmetry:  $u_\mu \rightarrow e^{\frac{2\pi i}{N^e} p_\mu} u_\mu$

Gauging  $\implies$  Coupling 2-form gauge field  $B^e$  to  $U(1)$  gauge field

$$\square \rightarrow \square e^{\frac{2\pi i}{N^e} B_{\mu\nu}^e(n)} \quad B_{\mu\nu}^e \rightarrow B_{\mu\nu}^e - \Delta_\mu p_\nu + \Delta_\nu p_\mu \pmod{N^e}$$

$$f^{B^e} \equiv \frac{1}{i} \ln \square e^{\frac{2\pi i}{N^e} B^e} = da + 2\pi z + \frac{2\pi}{N^e} B^e$$

Admissibility is modified:

$$\sup |f^{B^e}| < \epsilon, \quad 0 < \epsilon < \frac{\pi}{3N^e} \implies \left(\frac{df^{B^e}}{2\pi}\right)_c = \left(d\left(z + \frac{B^e}{N^e}\right)\right)_c = 0$$

In the presence of the “hole”, We can define conserved magnetic charge:

$$m_{mag} = \frac{1}{2\pi} \sum_{p \in S^2} f^{B^e} = \sum_{p \in S^2} \left(z + \frac{B^e}{N^e}\right)_p \in \frac{\mathbb{Z}}{N^e}$$

Unit of  $m_{mag}$  becomes  $\frac{1}{N^e}$

Gauged action:  $S_{\text{Maxwell}}[B^e] \equiv S_{\text{kinetic term}}[B^e] - S_\theta[B^e]$

$$\begin{aligned}
 S_\theta[B^e] &\equiv \frac{i\theta}{8\pi^2} \sum_{h \in M_4} (f^{B^e} \cup f^{B^e})_h \\
 &= \frac{i\theta}{8\pi^2} \sum_{c \in \partial M_4} \left[ \left\{ a \cup da + 2\pi a \cup \left(z + \frac{B^e}{N^e}\right) + 2\pi \left(z + \frac{B^e}{N^e}\right) \cup a \right\}_c \right. \\
 &\quad \left. + \frac{i\theta}{2} \sum_{h \in M_4} \left\{ \left(z + \frac{B^e}{N^e}\right) \cup \left(z + \frac{B^e}{N^e}\right) \right\}_h \right]
 \end{aligned}$$

Considering a shift  $\theta \rightarrow \theta + 2\pi N^e$

$\Delta S[B^e] =$  (**level  $N^e$  Chern–Simons th.** coupled w/  $B^e$  on 't Hooft loop)

$$+ i\pi N^e \sum_{h \in M_4} \left\{ \left(z + \frac{B^e}{N^e}\right) \cup \left(z + \frac{B^e}{N^e}\right) \right\}_h$$

Bulk dependence:

$$\exp\left\{\frac{2\pi i}{2N^e} \sum_{h \in M_4} \{(N^e z + B^e) \cup (N^e z + B^e)\}_h\right\} =$$

$$\begin{cases} e^{i\pi \sum_{\partial M_4} B \cup 1z} e^{\frac{2\pi i}{2N^e} \sum_{h \in M_4} P_2(B^e)} & (N^e \in \text{even}) \\ e^{i \sum_{p \in \Sigma} (N^e z + B^e)_p} \mathcal{Z}_{\gamma, \partial M_4}[N^e z + B^e] e^{\frac{2\pi i(N^e + 1)}{2N^e} \sum_{h \in M_4} P_2(B^e)} & (N^e \in \text{odd}) \end{cases}$$

The bulk terms only depend on  $B^e \implies$  level  $N^e$  **3d** Chern–Simons

th. has **'t Hooft anomaly** for  $\mathbb{Z}_{N^e}$  1-form symmetry

(Gaiotto, Kapustin, Seiberg, Willet'14, Jacobson, Sulejmanpasic'23...)

Generally, 't Hooft anomalies imply non-trivial **statistics of symmetry operator** (e.g. Projective representation)

$\mathbb{Z}_{N^e}$  1-form sym. op. in 3d CS th.  $\rightarrow$  (framed) Wilson lines

(framed) Wilson lines  $\frac{iN^e}{2} \sum$  't Hooft loop  $\left[ a \cup \left( z + \frac{B^e}{N^e} \right) + \left( z + \frac{B^e}{N^e} \right) \cup a \right]_c$

$\implies$  Contribute to the dyon's statistics !

# Summary and Future Directions

## Summary

- ▶ Using the definition of the lattice 't Hooft line based on the Excision method, we achieve direct and intuitive understandings of the Witten effect and the non-trivial statistics of dyons  
Natures of CS th. (especially gauge covariance) are crucial

## Future Directions

- ▶ fermion+boson or fermion+fermion cases cf. Gaiotto, Kapustin '15
- ▶ Non-abelian cases  
Our “admissible method” would apply to non-abelian cases  
cf. Lüscher'82

## Back up

Correspondence of  $\theta$  between  $\mathbb{Z}_N$  gauging case and usual  $U(1)$  case

$$2\pi N_e \iff \frac{2\pi}{N_e} \text{ "Anyonic case"}$$

$$2\pi N_e^2 \iff 2\pi \text{ "Fermionic case"}$$

That observations are consistent with the fact:

e.g. Choi, Cordva, Hsin, Lam, Shao'21

$$\tau \xrightarrow{\mathbb{Z}_{N_e} \text{ gauging}} \frac{\tau}{N_e^2} \text{ Especially, } \theta \rightarrow \frac{\theta}{N_e^2}$$
$$\left( \tau \equiv \frac{4\pi i}{g^2} + \frac{\theta}{2\pi} \right)$$