

# Complex Langevin studies of the Lorentzian IKKT Matrix Model

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IKKT matrix model : nonperturbative formulation of superstring theory

$$S_b = -\frac{N}{4} \text{tr} ([A^\mu, A^\nu][A_\mu, A_\nu])$$

$N \times N$  Hermitian matrices

$A_\mu (\mu = 0, \dots, 9)$  Lorentz vector

$$S_f = -\frac{N}{2} \text{tr} (\bar{\Psi} \Gamma^\mu [A_\mu, \Psi])$$

$\Psi_\alpha (\alpha = 1, \dots, 16)$  Majorana-Weyl spinor

$SO(9,1)$  Lorentz symmetry

Space-time does not exist a priori, but emerges from d.o.f. of matrices.

$$Z = \int dA e^{iS_b} \text{Pf} \mathcal{M}(A)$$

pure phase factor    polynomial in  $A$

sign problem



Complex Langevin method

# Plan of talk

## 0. Introduction

## 1. Defining the Lorentzian IKKT matrix model

## 2. How to apply the complex Langevin method

## 3. Numerical results

## 4. Summary and discussions

# 1. Defining the Lorentzian IKKT matrix model

# Lorentzian model vs Euclidean model

Wick rotation on the worldsheet and in the target space

$$A_0 = -ie^{i\frac{\pi}{8}} \tilde{A}_0 = e^{-i\frac{3}{8}\pi} \tilde{A}_0$$

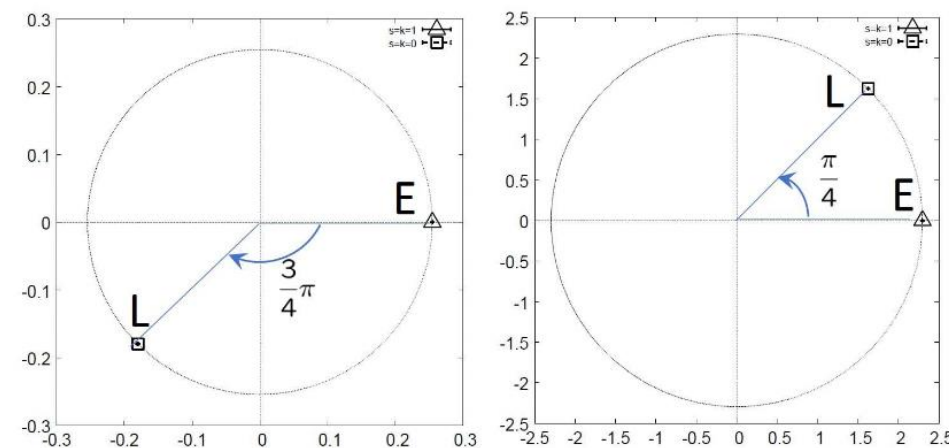
$$A_i = e^{i\frac{\pi}{8}} \tilde{A}_i$$

[Hatakeyama-Anagnostopoulos-Azuma-Hirasawa-Ito-Nishimura-Papadoudis-Tsuchiya('22)]

$$\left\langle \frac{1}{N} \text{Tr}(A_0)^2 \right\rangle_L = e^{-i\frac{3\pi}{4}} \left\langle \frac{1}{N} \text{Tr}(\tilde{A}_0)^2 \right\rangle_E$$

$$\left\langle \frac{1}{N} \text{Tr}(A_i)^2 \right\rangle_L = e^{i\frac{\pi}{4}} \left\langle \frac{1}{N} \text{Tr}(\tilde{A}_i)^2 \right\rangle_E$$

real positive



Euclidean model and Lorentzian model are equivalent.

∴ Space-time becomes complex.

# Lorentz invariant mass term

Introduce a Lorentz invariant mass term :  $S_\gamma = -\frac{1}{2}N\gamma\text{Tr}(A_\mu)^2 = \frac{1}{2}N\gamma\left\{\text{tr}(A_0)^2 - \text{tr}(A_i)^2\right\}$

Lorentzian model

[Anagnostopoulos-Azuma-Hatakeyama-  
Hirasawa-Nishimura-Tsuchiya-Stratos('22)]

$$Z = \int dA e^{-\tilde{S}} \quad F_{\mu\nu} = i[A_\mu, A_\nu], \quad \gamma > 0$$

$$\tilde{S} = -\frac{i}{4}N\left\{-2\text{tr}(F_{0i})^2 + \text{tr}(F_{ij})^2\right\} - \frac{i}{2}N\gamma\left\{\text{tr}(A_0)^2 - \text{tr}(A_i)^2\right\}$$



$$A_0 = e^{-i\frac{3}{8}\pi} \tilde{A}_0, \quad A_i = e^{i\frac{\pi}{8}} \tilde{A}_i$$

$$\tilde{S} = \frac{1}{4}N\left\{2\text{tr}(\tilde{F}_{0i})^2 + \text{tr}(\tilde{F}_{ij})^2\right\} + \frac{1}{2}N\gamma e^{i\frac{3}{4}\pi} \left\{\text{tr}(\tilde{A}_0)^2 + \text{tr}(\tilde{A}_i)^2\right\}$$

real part becomes negative → equivalence does not hold

Equation of motion

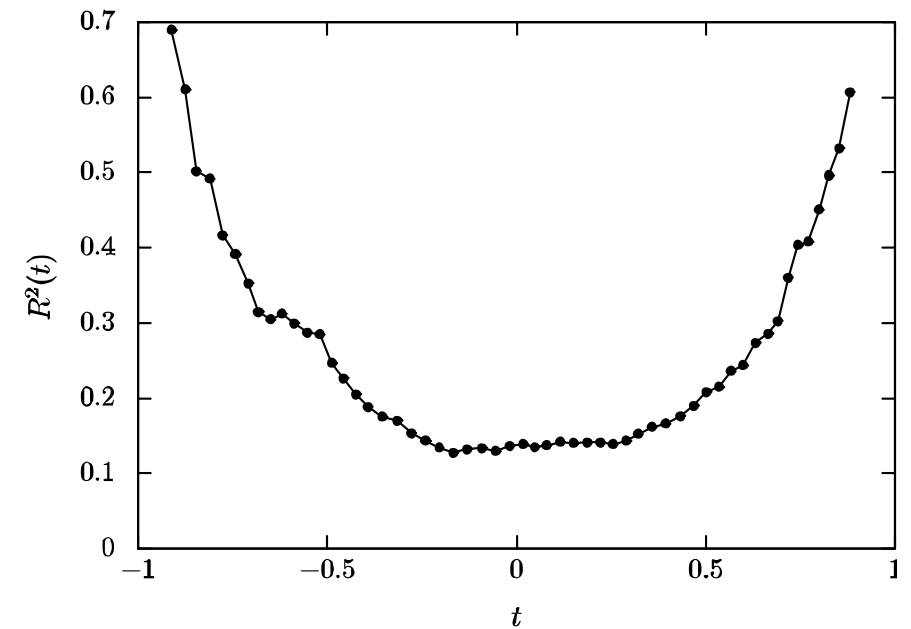
$$[A^\nu, [A_\nu, A_\mu]] - \gamma A_\mu = 0$$

Typical classical solutions show expanding behavior for  $\gamma > 0$ .

For  $\gamma < 0$ , only the trivial solutions ( $A = 0$ ) exist.

However, dimension of space-time is not determined at the classical level.

Hatakeyama-Matsumoto-Nishimura  
-Tsuchiya-Yosprakob  
PTEP 2020 (2020) 4, 043B10



The partition function diverges due to the Lorentz symmetry.  $Z = \int dA e^{iS_b} \text{Pf} \mathcal{M}(A)$

Conventional approach: introduce a cutoff in the Lorentz invariant mass term

$$S_\gamma^{(\varepsilon)} = \frac{1}{2} N \gamma \left\{ e^{i\varepsilon} \text{tr}(A_0)^2 - e^{-i\varepsilon} \text{tr}(A_i)^2 \right\}$$

breaks Lorentz symmetry

The artifact of Lorentz symmetry breaking cutoff remains even in the limit  $\varepsilon \rightarrow 0$ .

Asano, Nishimura, Piensuk, NY,  
Phys. Rev. Lett. 134, 041603

minimize  $\text{tr}(A_0)^2$  under the Lorentz transformation

“gauge-fixed” model

$$\Downarrow \quad \begin{pmatrix} A'_0 \\ A'_j \end{pmatrix} = \begin{pmatrix} \cosh \sigma & \sinh \sigma \\ \sinh \sigma & \cosh \sigma \end{pmatrix} \begin{pmatrix} A_0 \\ A_j \end{pmatrix}$$

$$\text{tr}(A_0 A_j) = 0 \quad \text{for all } j = 1, \dots, 9$$

$$Z_{\text{g.f.}} = \int dA e^{i(S_b + S_\gamma)} \text{Pf} \mathcal{M}(A) \Delta_{\text{FP}}(A) \prod_{i=1}^9 \delta(\text{tr}(A_0 A_i))$$

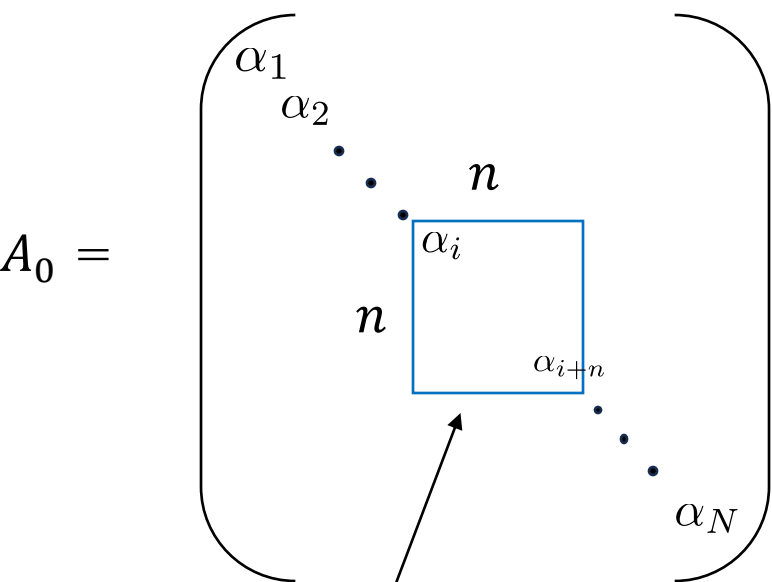
$$\Delta_{\text{FP}}(A) = \det \Omega, \quad \Omega_{ij} = \text{tr}(A_0)^2 \delta_{ij} + \text{tr}(A_i A_j)$$



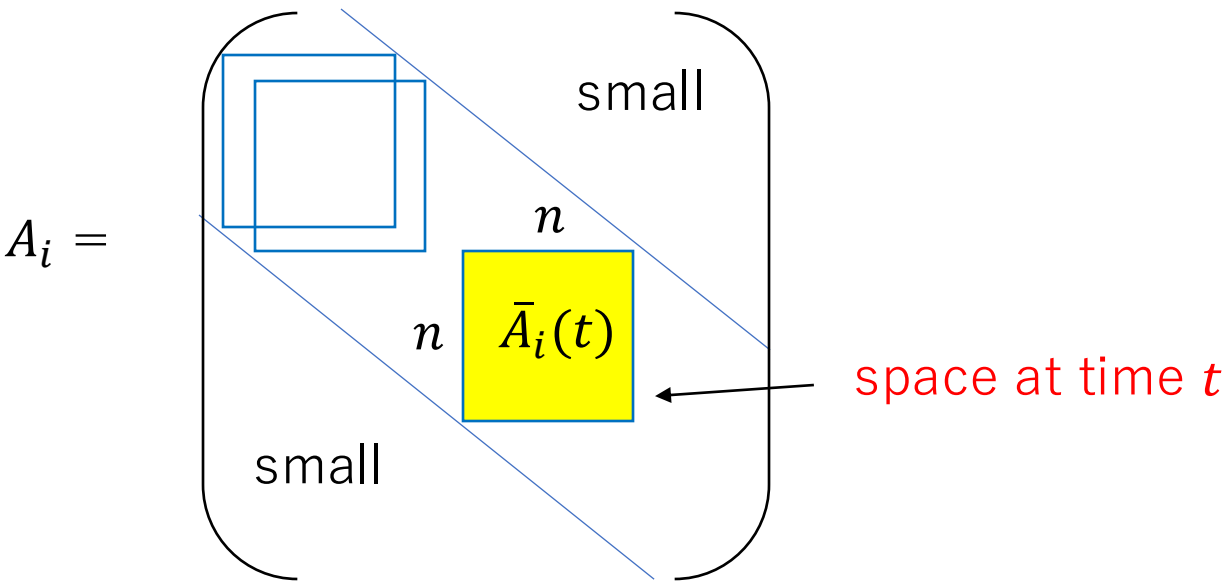
## 2. How to apply the complex Langevin method

Diagonalize  $A_0$  by the  $SU(N)$  symmetry  $A_\mu \rightarrow A'_\mu = U A_\mu U^\dagger, \quad U \in SU(N)$

$$A_0 = \text{diag}(\alpha_1, \alpha_2, \cdots, \alpha_N), \quad (\alpha_1 < \alpha_2 < \cdots < \alpha_N)$$



$$\bar{\alpha}_i = \frac{1}{n} \sum_{\nu=1}^n \langle \alpha_{i+\nu} \rangle \quad t_a = \sum_{i=1}^a |\bar{\alpha}_i - \bar{\alpha}_{i-1}|$$



$$R^2(t) = \left\langle \frac{1}{n} \text{tr} (\bar{A}_i(t))^2 \right\rangle \quad : \text{extent of space at time } t$$

the effective action of Lorentzian model :  $Z = \int dA e^{-S_{\text{eff}}}$

$$S_{\text{eff}} = -iN \left( \frac{1}{2} \text{tr}[A_0, A_i]^2 - \frac{1}{4} \text{tr}[A_i, A_j]^2 \right) - \log \Delta(\alpha) - \sum_{a=1}^{N-1} \tau_a$$

$\tau_a$ : auxiliary variables that impose  $\alpha_1 < \alpha_2 < \dots < \alpha_N$  automatically  $\alpha_i = \sum_{k=1}^{i-1} e^{\tau_k}$  ( $i = 2, 3, \dots, N$ )

Nishimura, Tsuchiya, JHEP 1906 (2019) 077

complex Langevin equation

$$\begin{aligned} \frac{d\tau_a}{dt} &= -\frac{\partial S_{\text{eff}}}{\partial \tau_a} + \eta_a(t) , \\ \frac{d(A_i)_{ab}}{dt} &= -\frac{\partial S_{\text{eff}}}{\partial (A_i)_{ba}} + (\eta_i)_{ab}(t) \end{aligned}$$

$\tau_a$ : complex variables

$A_i$ : general complex matrices

$\eta_a, \eta_i$ : Gaussian noise

### 3. Numerical results

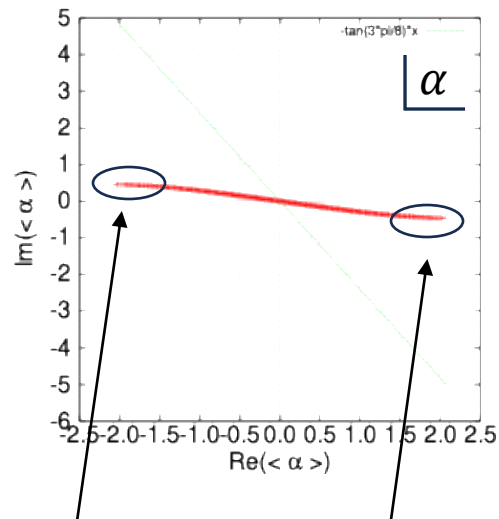
# Numerical result for bosonic model

$$S = S_b + S_\gamma = -\frac{N}{4} \text{tr}([A^\mu, A^\nu][A_\mu, A_\nu]) - \frac{N}{2} \gamma \text{tr}(A_\mu)^2$$

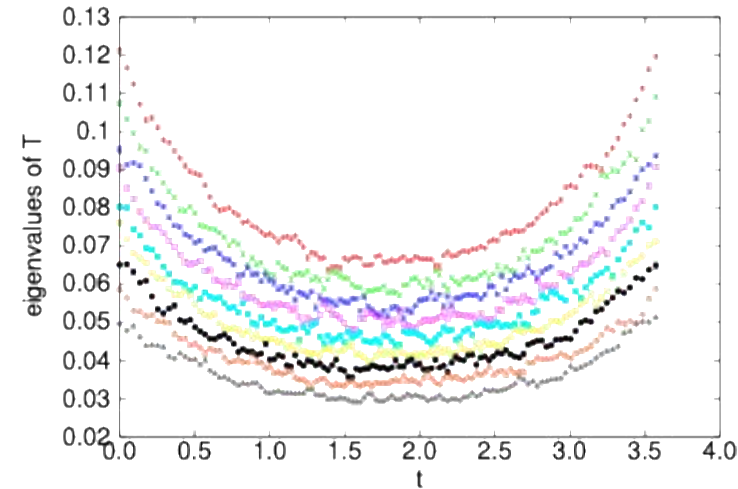
$$T_{ij}(t) = \left\langle \frac{1}{n} \text{tr}(\bar{A}_i(t) \bar{A}_j(t)) \right\rangle$$

eigenvalues of  $A_0$

extent of space in the 9 directions



The time increment becomes real in this region.



No SSB of  $SO(9)$  spatial symmetry  
(the small variance is due to finite  $N$  effects.)

When Dirac operator has zero mode, complex Langevin method does not work.

To avoid this, we add the mass term to the fermionic action.

$$S_f = -\frac{N}{2} \text{tr} \left( \bar{\Psi}_\alpha (\Gamma^\mu)_{\alpha\beta} [A_\mu, \Psi_\beta] + \underbrace{im_f \bar{\Psi}_\alpha (\Gamma_7 \Gamma_8^\dagger \Gamma_9)_{\alpha\beta} \Psi_\beta}_{m_f \rightarrow \infty : \text{bosonic model}} \right) \quad m_f \rightarrow 0 : \text{SUSY}$$

Complex Langevin method works only for  $m_f \geq 3.5$ .

But the results for  $m_f = 3.5$  are still qualitatively the same as the bosonic model.

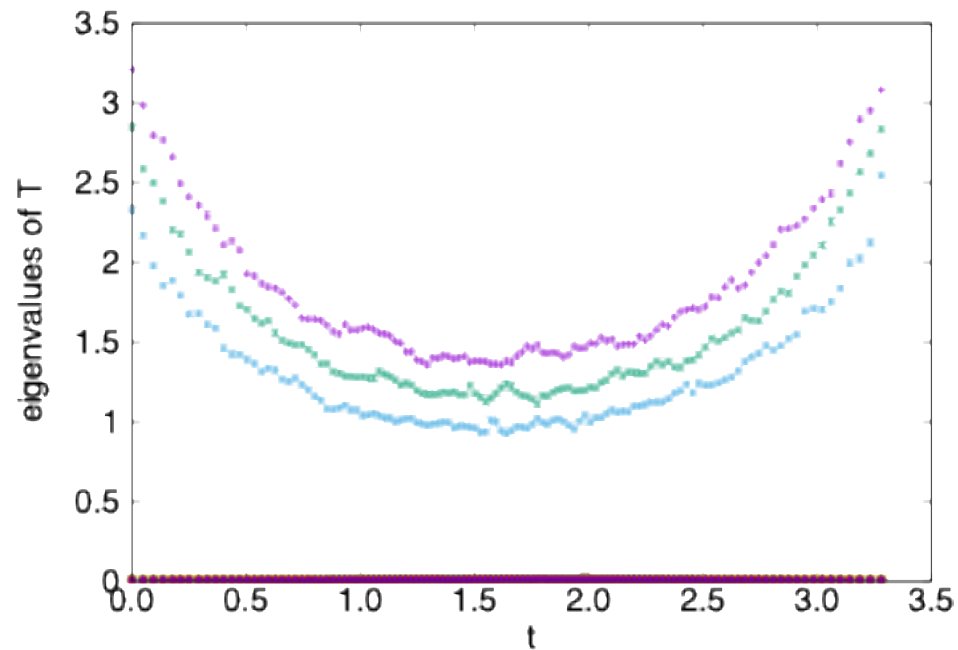
To mimic the **SUSY deformation**, [G. Bonelli, JHEP 08 \(2002\) 922](#)

We introduce some anisotropy in the Lorentz invariant mass term.

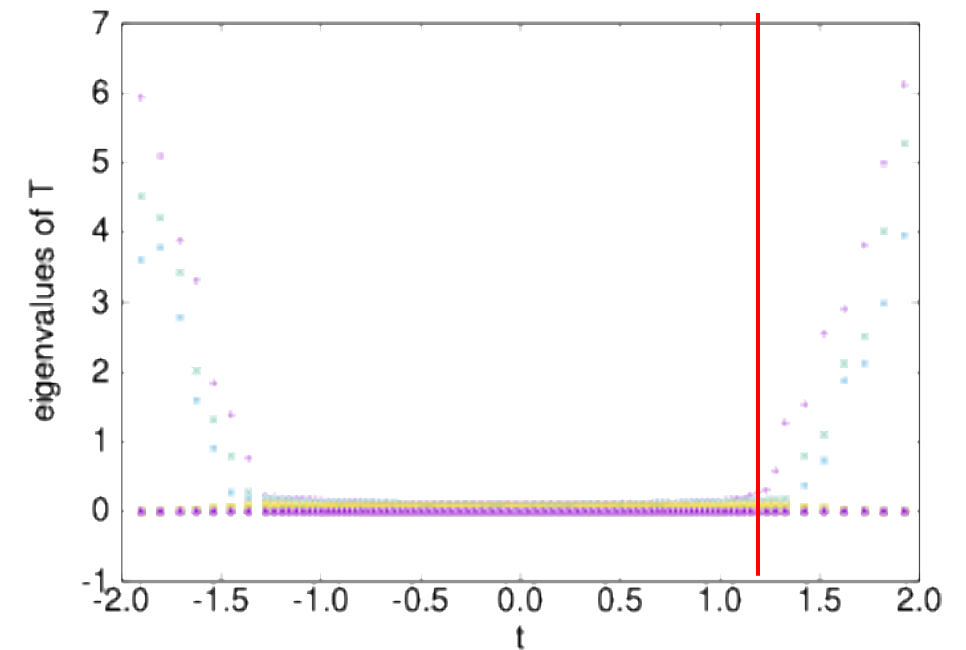
$$S_\gamma = \frac{1}{2} N \gamma \left\{ \text{tr} (A_0)^2 - \sum_{i=1}^{\tilde{d}} \text{tr} (A_i)^2 - \xi \sum_{j=\tilde{d}+1}^9 \text{tr} (A_j)^2 \right\}. \quad (\xi > 1)$$

$$N = 128, \gamma = 4, m_f = 5.0, \tilde{d} = 5, \xi = 10$$

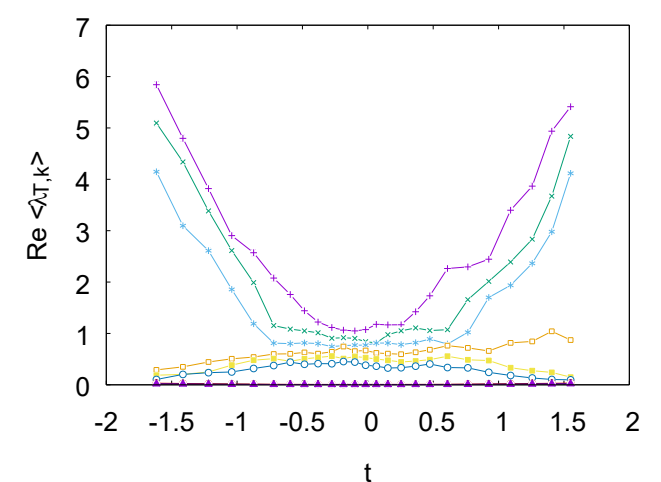
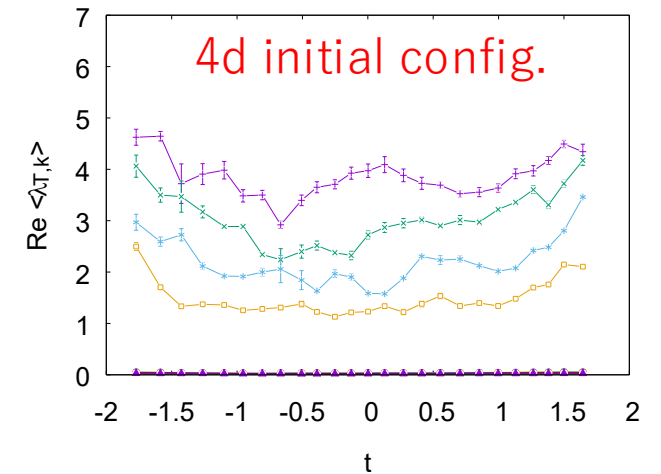
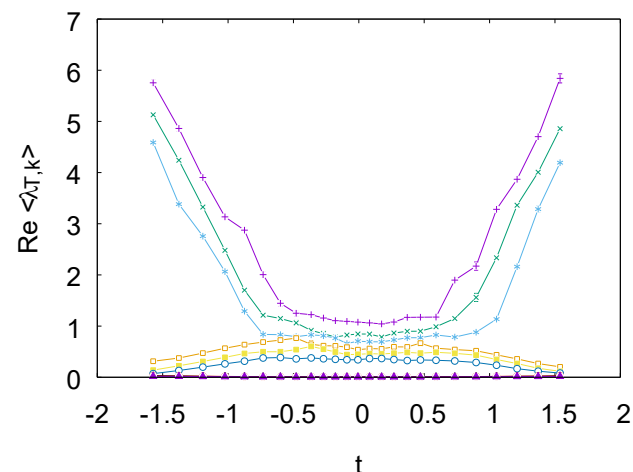
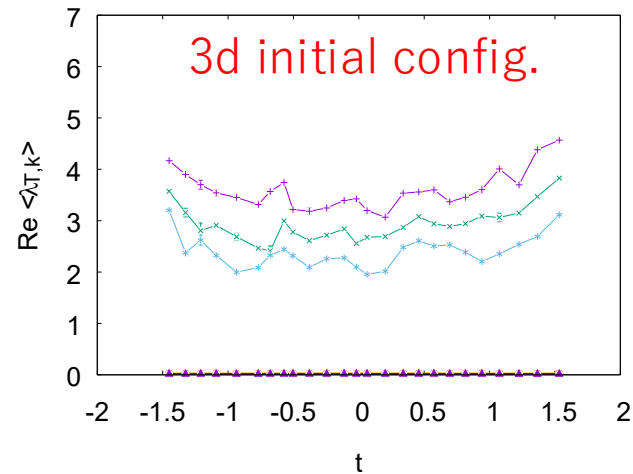
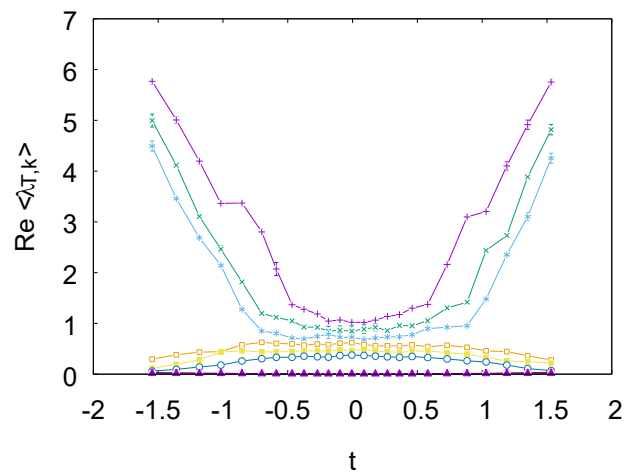
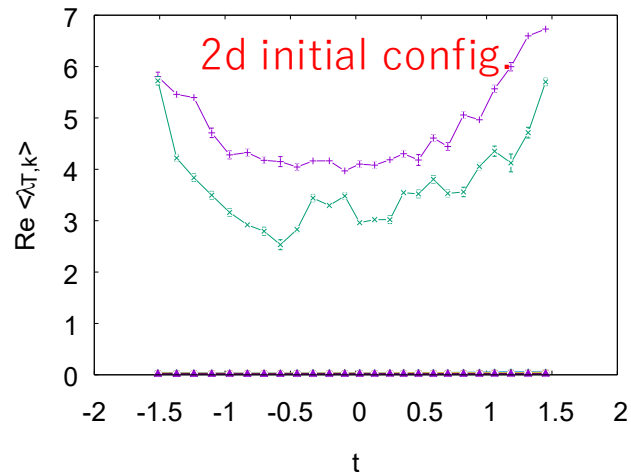
3d initial configuration



Emergence of (3+1)D expanding space-time at late time



$$N = 32, \gamma = 6, m_f = 2, \tilde{d} = 6, \xi = 10$$



(3+1)D expanding space-time emerges even for 2D and 4D initial configurations.



# SUSY deformed model

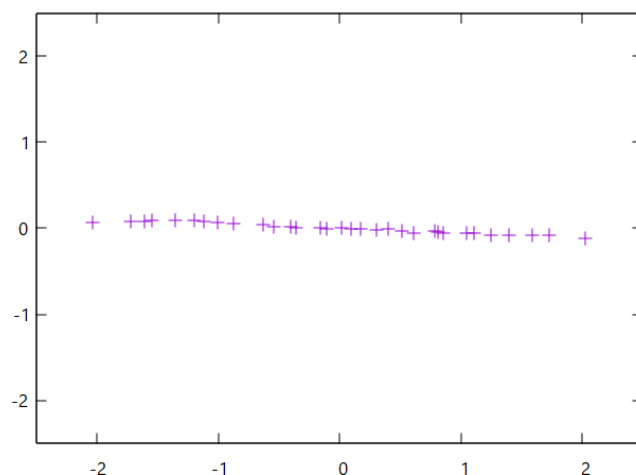
SUSY deformation of Lorentzian model

$$\left\{ \begin{array}{l} \text{add the Myers term : } S_{\text{Myers}} = -iN\mu \text{tr} (A_7[A_8, A_9]) \in \mathbb{R} \\ \text{set } \gamma = -\frac{\mu^2}{32}, m_f = \frac{\mu}{4}, \xi = 3, \tilde{d} = 6 \end{array} \right.$$

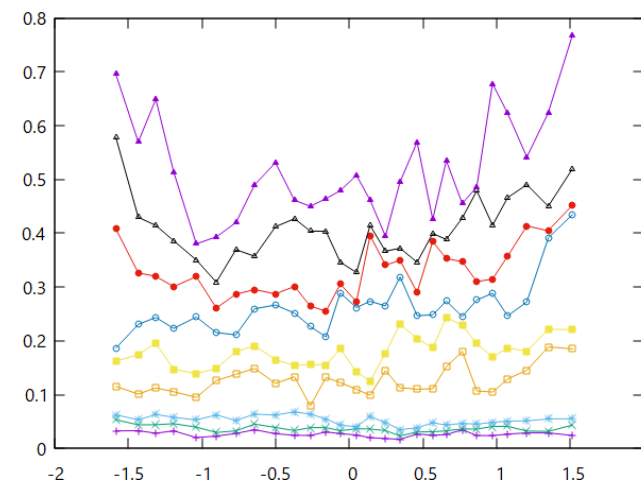
We target  $N = 32, \mu = 16i, \gamma = 8, m_f = 4i, \xi = 3, \tilde{d} = 6$ .

preliminary result at  $N = 32, \mu = 16i, \gamma = 8, m_f = 4e^{0.8\pi i/2}, \xi = 4, \tilde{d} = 6$

$\alpha$  distribution



eigenvalues of  $T_{ij}(t)$



## 4. Summary and discussions

# Summary and discussions

- IKKT matrix model : nonperturbative formulation of superstring theory
- “gauge-fixed” model : definition that preserves Lorentz symmetry
- bosonic model : No SSB of spatial  $SO(9)$  symmetry
- model with the effect of SUSY :  $(3+1)D$  expanding space-time at late time
- $(3+1)D$  expanding space-time emerges even for 2D and 4D initial configurations.

## Future prospects

- analysis for SUSY deformed model (ongoing at  $N=32$ )
- mechanism for the emergence of 3d space

The Pfaffian prefers collapsed configurations, but it becomes zero for configurations with not more than 2 extended directions. Krauth-Nicolai-Staudacher ('98)  
Nishimura-Vernizzi ('00)

Backup slides

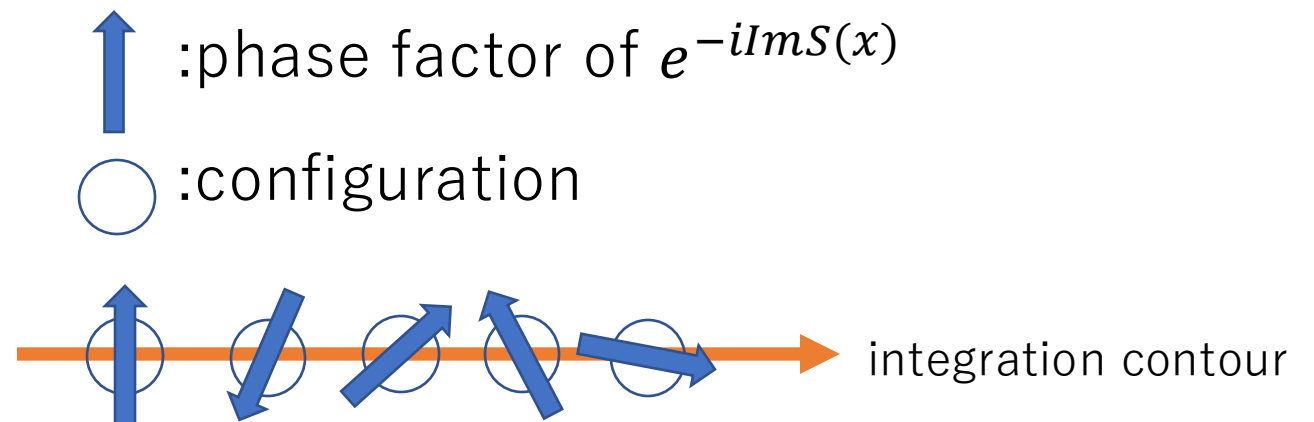
Numerical calculation in the path integral formalism

Monte Carlo calculation : treating  $e^{-S}$  as probability density

$S$  is complex  $e^{-S}$

→reweighiting : treat only  $e^{-\text{Re}S}$  as probability density

$$\begin{aligned}\langle O \rangle &= \frac{\int dx e^{-\text{Re}S(x)} e^{-i\text{Im}S(x)} O(x)}{\int dx e^{-\text{Re}S(x)} e^{-i\text{Im}S(x)}} \\ &= \frac{\langle e^{-i\text{Im}S} O \rangle_{\text{rew}}}{\langle e^{-i\text{Im}S} \rangle_{\text{rew}}} \sim \frac{0}{0}\end{aligned}$$



Idea : “Gauge-fix” Lorentz symmetry from the beginning

Gauge-fixing condition : minimize  $\text{tr}(A_0)^2$  under the Lorentz transformation

↓

$$\begin{pmatrix} A'_0 \\ A'_j \end{pmatrix} = \begin{pmatrix} \cosh\sigma & \sinh\sigma \\ \sinh\sigma & \cosh\sigma \end{pmatrix} \begin{pmatrix} A_0 \\ A_j \end{pmatrix}$$

Asano, Nishimura, Piensuk, NY,  
arXiv : 2404.14045 [hep-th], Phys. Rev.  
Lett. 134, 041603

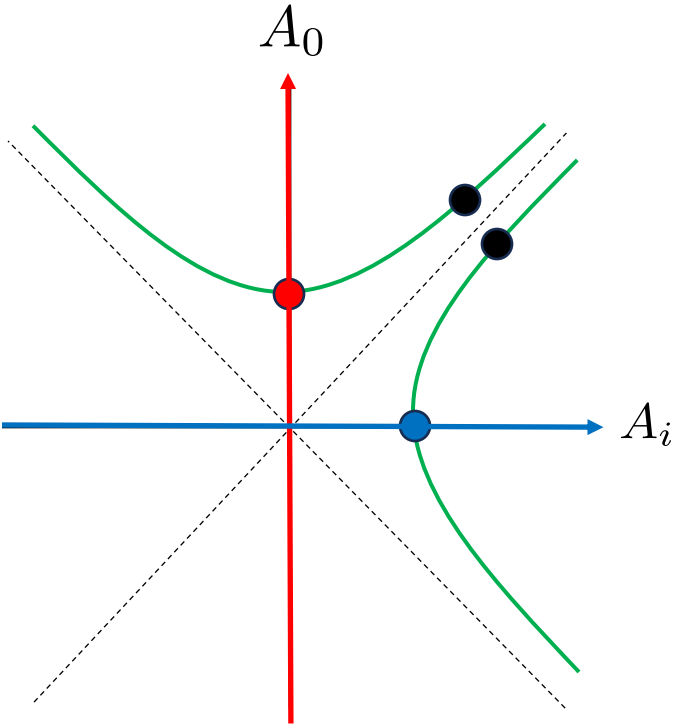
$$\text{tr}(A_0 A_j) = 0 \quad \text{for all } j = 1, \dots, 9$$

Integrate over  
only representative  
configurations

“Gauge-fixed” model

$$Z_{\text{g.f.}} = \int dA e^{i(S_b + S_\gamma)} \text{Pf} \mathcal{M}(A) \Delta_{\text{FP}}(A) \prod_{i=1}^9 \delta(\text{tr}(A_0 A_i))$$

The extra weight needed for representative configurations  
(  $\Delta_{\text{FP}}(A) = \det \Omega$ ,  $\Omega_{ij} = \text{tr}(A_0)^2 \delta_{ij} + \text{tr}(A_i A_j)$  )



$$Z = \int dA d\Psi e^{i(S_b + S_\gamma + S_f)} = \int dA e^{i(S_b + S_\gamma)} \text{Pf} \mathcal{M}(A)$$

When the eigenvalues of  $\mathcal{M}(A)$  come close to zero, [Nishimura-Shimasaki\(2015\)](#)  
the complex Langevin simulation does not work (singular drift problem).

To avoid this problem, we add the mass term to fermionic action.

$$S_{m_f} = -i \frac{N}{2} m_f \text{Tr} \left[ \bar{\Psi}_\alpha \left( \Gamma_7 \Gamma_8^\dagger \Gamma_9 \right)_{\alpha\beta} \Psi_\beta \right] \quad m_f \rightarrow \infty : \text{bosonic model}$$

Complex Langevin method works only for  $m_f \geq 3.5$ .

But the results for  $m_f = 3.5$  are still qualitatively the same as the bosonic model.

In fact, there is one parameter deformation of this model preserving SUSY.

(Actually  $m_f$  appears in this deformation, too.)

G. Bonelli, JHEP 08 (2002) 922

Inspired by this deformation, we modify the Lorentz invariant mass term as

$$S_\gamma = \frac{1}{2} N \gamma \left\{ \text{tr} (A_0)^2 - \sum_{i=1}^{\tilde{d}} \text{tr} (A_i)^2 - \xi \sum_{j=\tilde{d}+1}^9 \text{tr} (A_j)^2 \right\}. \quad (\xi > 1)$$

This deformation breaks Lorentz symmetry as  $SO(9,1) \rightarrow SO(d,1)$ ,  
but we can discuss the SSB of  $SO(d,1)$ .