

# No-boundary Proposal and Hořava-Lifshitz Gravity

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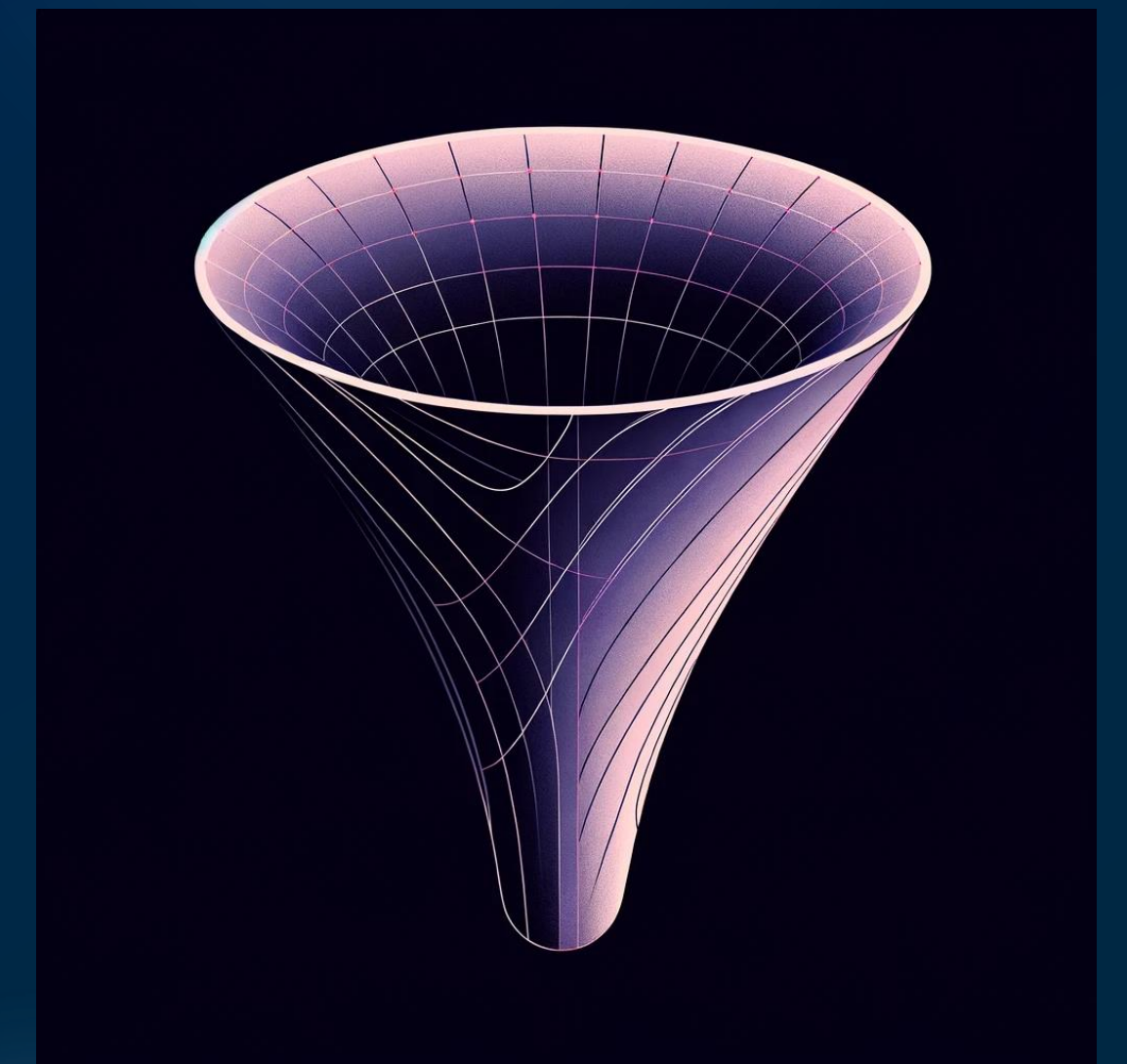
[H. Matsui, and S. Mukohyama, Phys. Rev. D 109, 023504 (2024)]

# Basic Idea of Our Work

**No-boundary/Tunneling Proposal**  
which describes the quantum creation of the universe



**Horava–Lifshitz Gravity**  
Gravity theories satisfying renormalizability/unitarity.



# Outline of Our Work

No-boundary/Tunneling  
Proposal



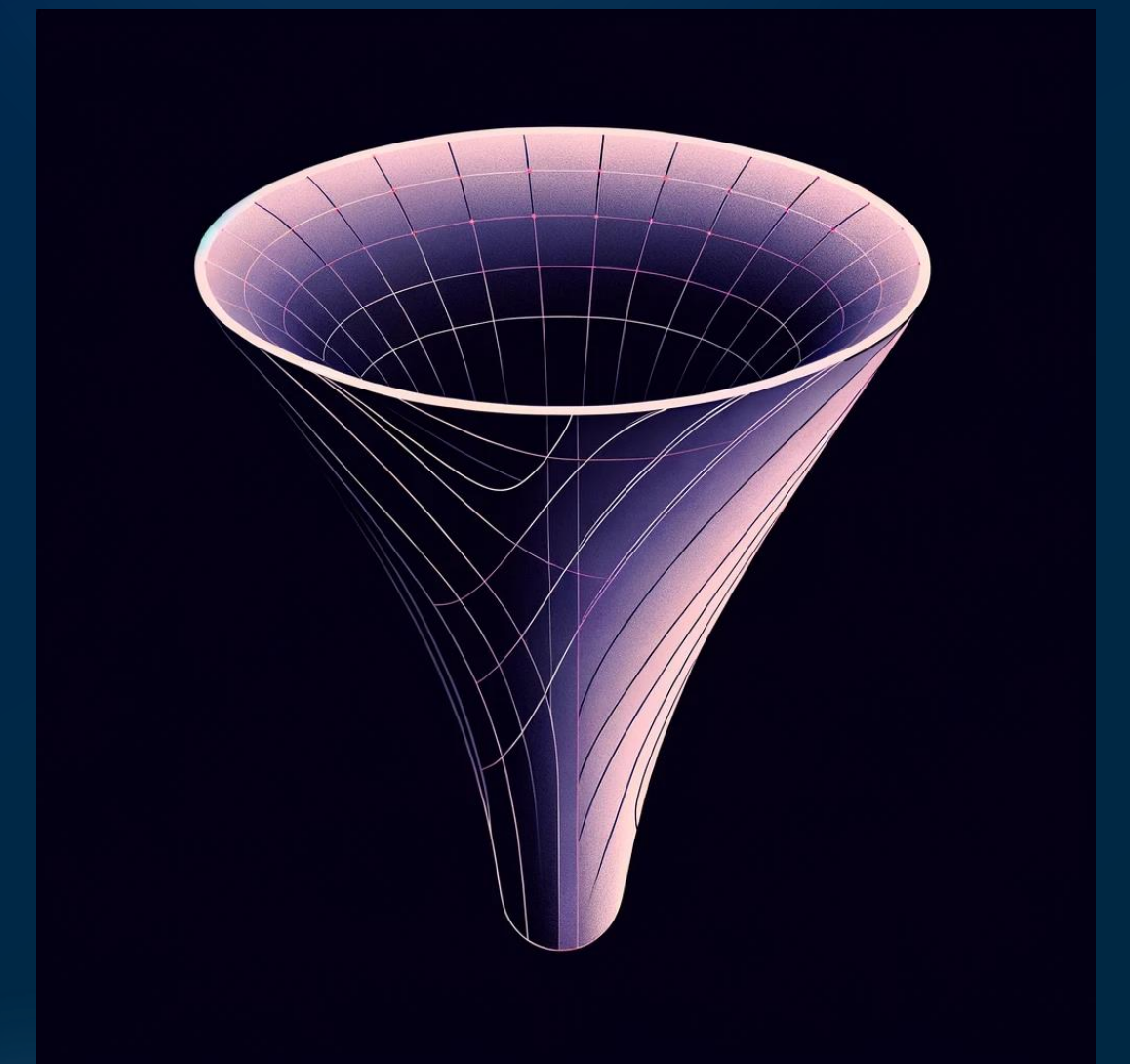
Horava–Lifshitz Gravity

$$G[q(t_f); q(t_i)] = \sqrt{\frac{i\mathcal{V}}{2\pi\hbar}} \int \frac{dN}{N^{1/2}} \exp \left( \frac{iS_{\text{on-shell}}[q(t_i), q(t_f), N]}{\hbar} \right)$$

H. Matsui, and S. Mukohyama,  
Phys. Rev. D 109, 023504 (2024)

$$q(t_i) \rightarrow 0 \quad \infty$$

1. Renormalization group (RG) flow of Gravity
2. Neumann or Robin boundary conditions



# Talk plan

In this talk, I will discuss the application of Horava–Lifshitz gravity — a candidate quantum gravity theory — to the no-boundary and tunneling proposal, which describe the quantum creation of the universe.

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1. Review of Quantum Cosmology

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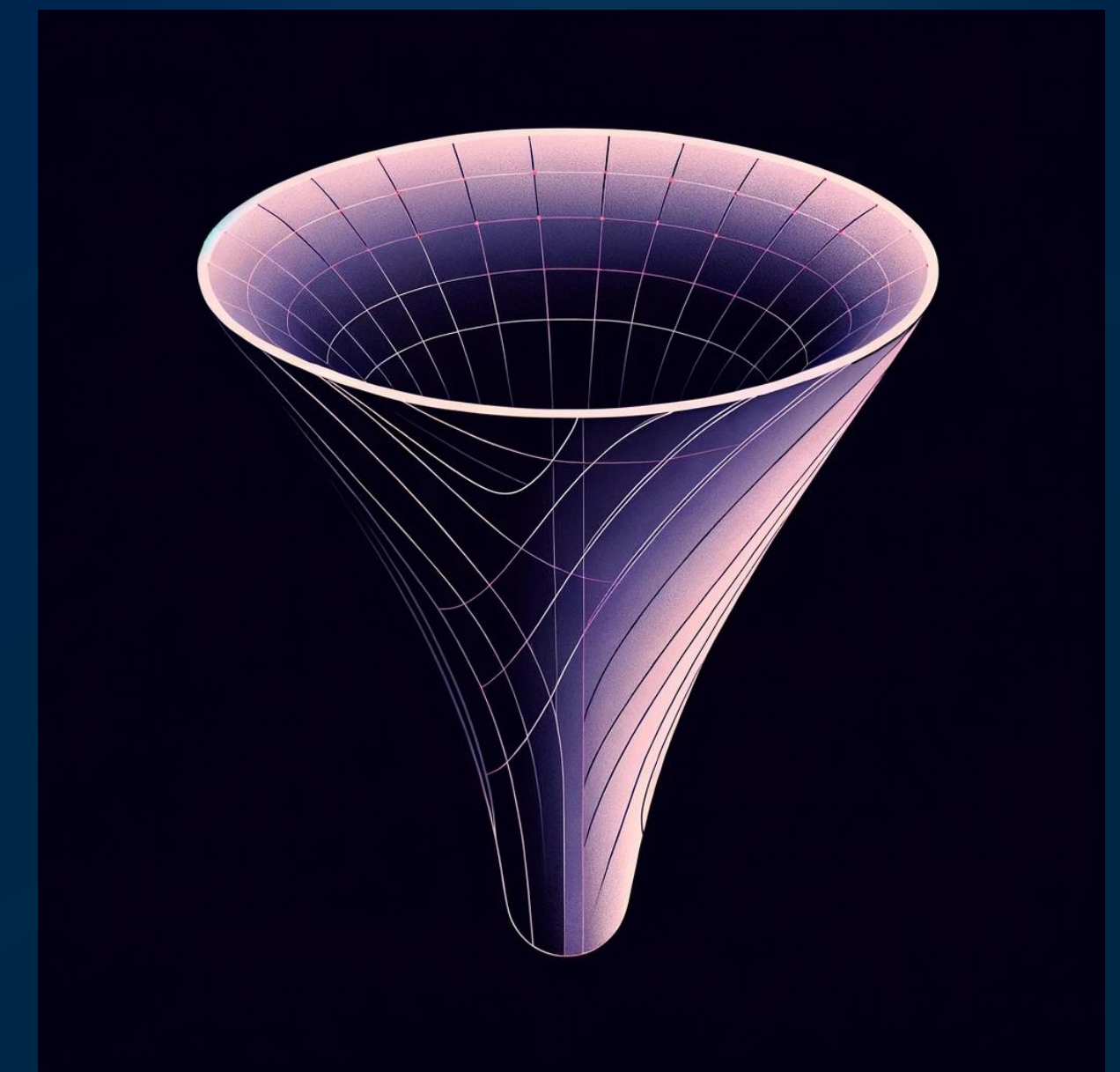
2. Review of Horava–Lifshitz gravity

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3. Application of HL gravity to no-boundary and tunneling proposal

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4. Problems on HL no-boundary proposal: RG flow of Gravity, Robin boundary condition



# Quantum Cosmology

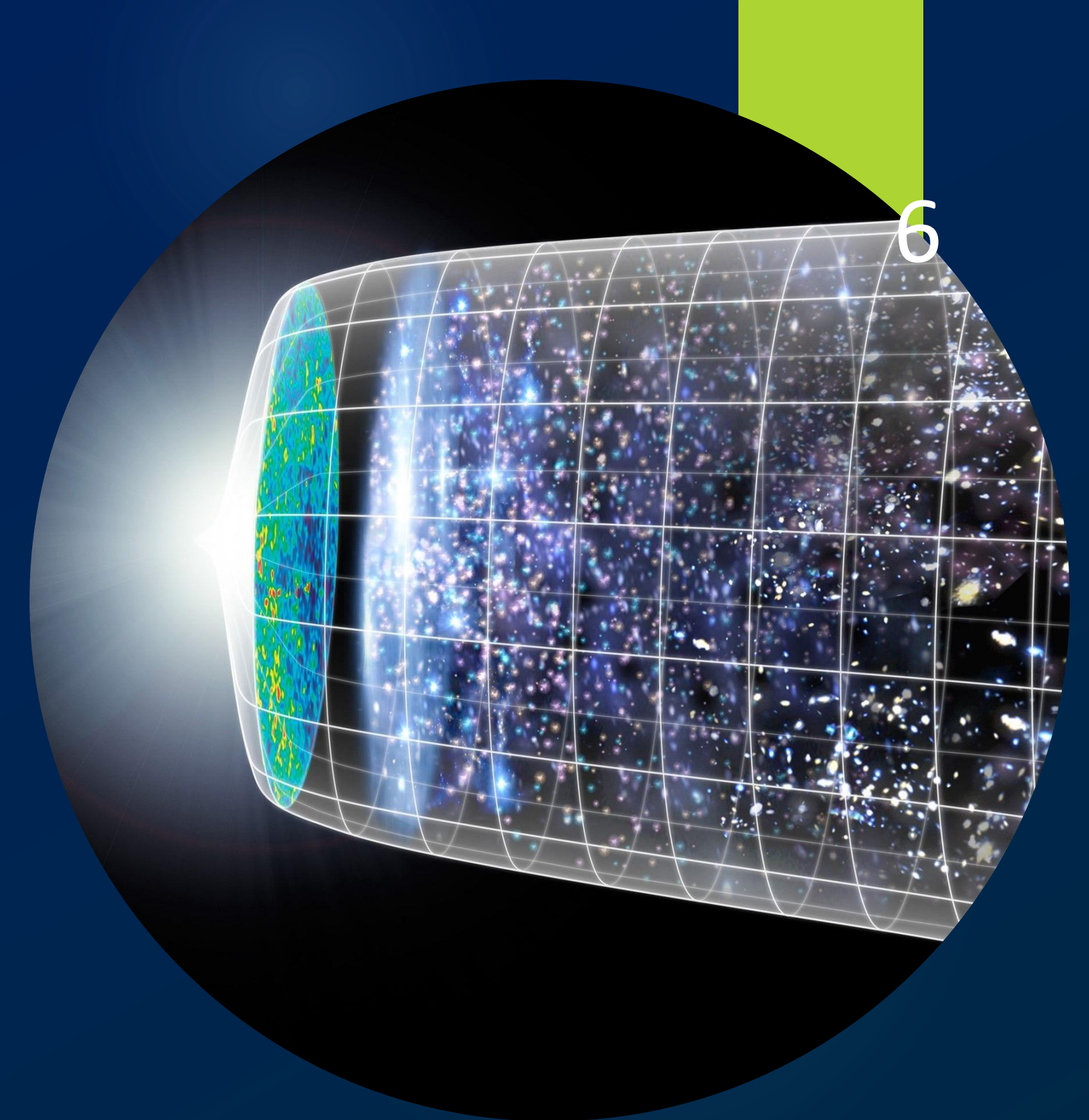
# Quantum Cosmology

Quantum cosmology analyses how the universe was created and evolved in quantum gravity theory. It introduces the wave function of the universe.

## Wave function of the Universe

$$\mathcal{H}\Psi = \left[ -16\pi G_N \mathcal{G}_{ijkl} \frac{\partial^2}{\partial g_{ij} \partial g_{kl}} + \frac{\sqrt{g}}{16\pi G_N} (-R + 2\Lambda) \right] \Psi = 0$$

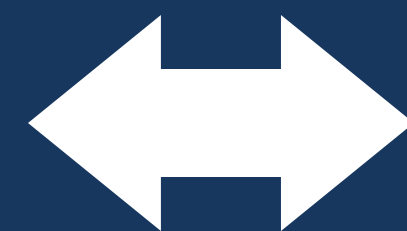
$$\Psi(g, \phi) = \int^{(g, \phi)} \mathcal{D}g_{\mu\nu} \mathcal{D}\phi e^{iS[g_{\mu\nu}, \phi]/\hbar}$$



# Hartle-Hawking no-boundary proposal

**No-boundary wave function**  
which describes the universe created  
from nothing

Phys. Rev. D 28 (1983) 2960-2975



**Euclidean Path Integral of Gravity**  
which integrates the compact and regular  
Euclidean metric

$$\Psi_{\text{HH}} = \int_{\text{no-boundary}}^{(g, \phi)} \mathcal{D}g_{\mu\nu} \mathcal{D}\phi e^{-S_E[g_{\mu\nu}, \phi]/\hbar}$$

**Conformal factor problem**

$$S_E[g_{\mu\nu}, \phi] < 0$$

Euclidean action

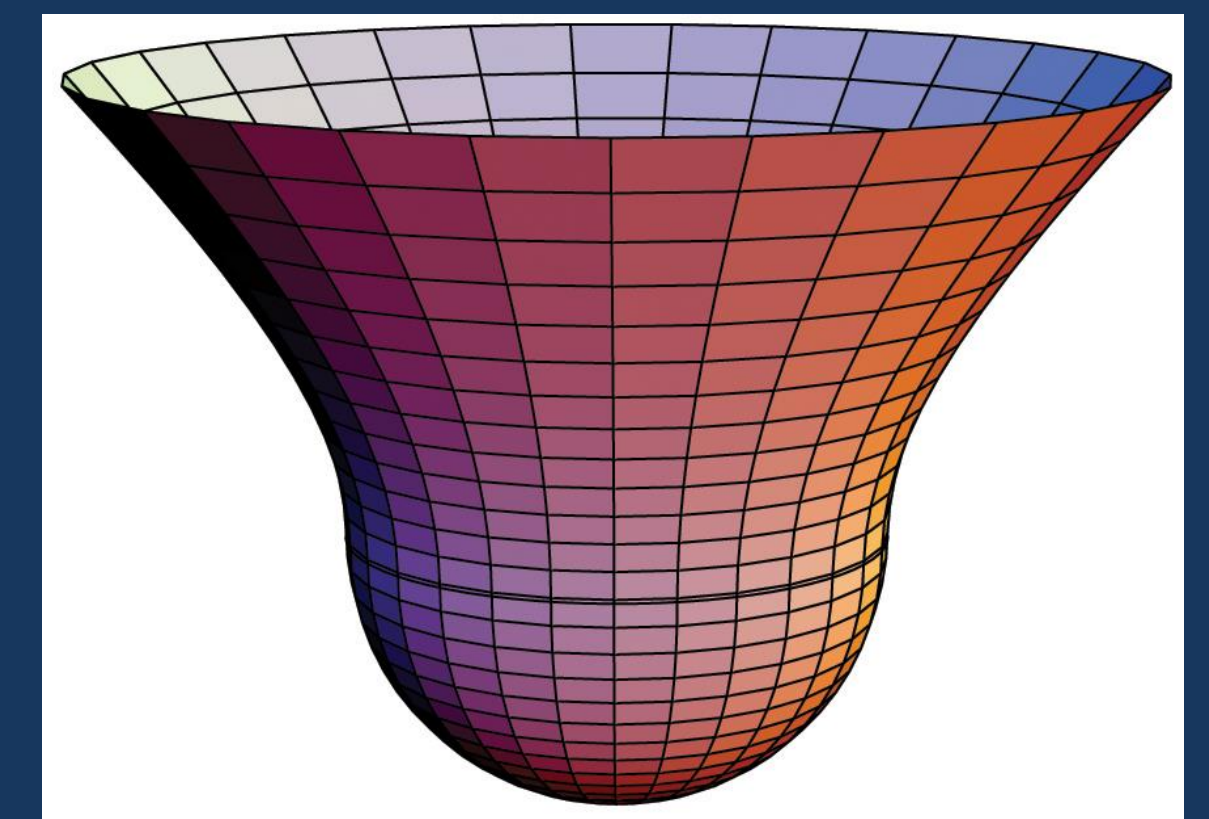
$$\int \mathcal{D}a \mathcal{D}\phi e^{-S_E[a, \phi]/\hbar} \rightarrow +\infty$$



Stephen Hawking



James B. Hartle



# Lorentzian Quantum Cosmology

**No-boundary wave function** which describes the universe created from nothing

$$\Psi_{\text{HH}} = \int_{\text{no-boundary}}^{(g,\phi)} \mathcal{D}g_{\mu\nu} \mathcal{D}\phi e^{-S_E[g_{\mu\nu}, \phi]/\hbar}$$

**Lorentzian Quantum Cosmology**

$$G[q_f] = \int dN \int_{q(t=t_i)=0}^{q(t=t_f)=q_f} \mathcal{D}q \exp(iS_{\text{GR}}[N, q]/\hbar)$$



J. Feldbrugge



J. Lehnert



N. Turok

Phys. Rev. D 95 (2017) 103508

**Lorentzian Path Integral of Gravity**  
which integrates real and Lorentzian metric



Euclidean → Lorentzian

# Horava-Lifshitz Gravity

# Horava-Lifshitz Gravity



Higher derivative gravity theory (proposed by P. Horava in 2009), a candidate quantum gravity theory satisfying renormalizability and unitarity

Anisotropic scaling between space and time

Phys. Rev. D 79 (2009) 084008

$$\begin{cases} t \rightarrow b^z t \\ \vec{x} \rightarrow b \vec{x} \end{cases} \implies \text{Lorentz-invariance is broken at UV (for } z \neq 1)$$

Power counting renormalizability at UV ( $z=3$ )

Higher derivative terms in spatial direction

$$\frac{1}{2} \int dt d^3x \left[ \dot{h}^2 - h (-\Delta)^z h \right] \implies h \rightarrow b^{\frac{z-3}{2}} h, \quad (z = 3)$$

$$c_1 \nabla_i R_{jk} \nabla^i R^{jk} + c_2 \nabla_i R \nabla^i R + c_3 R^j_i R^k_j R^i_k + c_4 R R^j_i R^i_j + c_5 R^3 + (c_6 R^j_i R^i_j + c_7 R^2)$$

# Projectable Horava-Lifshitz Gravity

3 + 1 dimensional metric as ADM formalism

$$ds^2 = N^2 dt^2 + g_{ij} (dx^i + N^i dt) (dx^j + N^j dt)$$

Lapse function    Spatial metric    Shift vector

Projectable HL gravity

$$N = N(t) , \quad N^i = N^i(t, \mathbf{x}) , \quad g_{ij} = g_{ij}(t, \mathbf{x})$$

Non-projectable HL gravity

3 + 1 dimensional action of projectable HL gravity

$$S = \frac{\mathcal{M}_{\text{HL}}^2}{2} \int dt d^3x N \sqrt{g} (K^{ij} K_{ij} - \lambda K^2 + c_g^2 R - 2\Lambda + \mathcal{O}_{z>1})$$

$$N = N(t, \mathbf{x}) , \quad N^i = N^i(t, \mathbf{x}) , \quad g_{ij} = g_{ij}(t, \mathbf{x}) .$$

Higher dimensional operators at UV (z=3)

$$\begin{aligned} \frac{\mathcal{O}_{z>1}}{2} = & c_1 \nabla_i R_{jk} \nabla^i R^{jk} + c_2 \nabla_i R \nabla^i R + c_3 R_i^j R_j^k R_k^i \\ & + c_4 R R_i^j R_j^i + c_5 R^3 + c_6 R_i^j R_j^i + c_7 R^2 \end{aligned}$$



Anisotropic scaling

$$t \rightarrow b^z t , \quad \vec{x} \rightarrow b \vec{x}$$

# Global Hamiltonian Constraint

Projectable HL gravity

$$N = N(t) , \quad N^i = N^i(t, \mathbf{x}) , \quad g_{ij} = g_{ij}(t, \mathbf{x})$$

Counting all local 3-dimensional universe  $\Sigma_\alpha$ , the global Hamiltonian constraint must be imposed.

Global Hamiltonian constraint

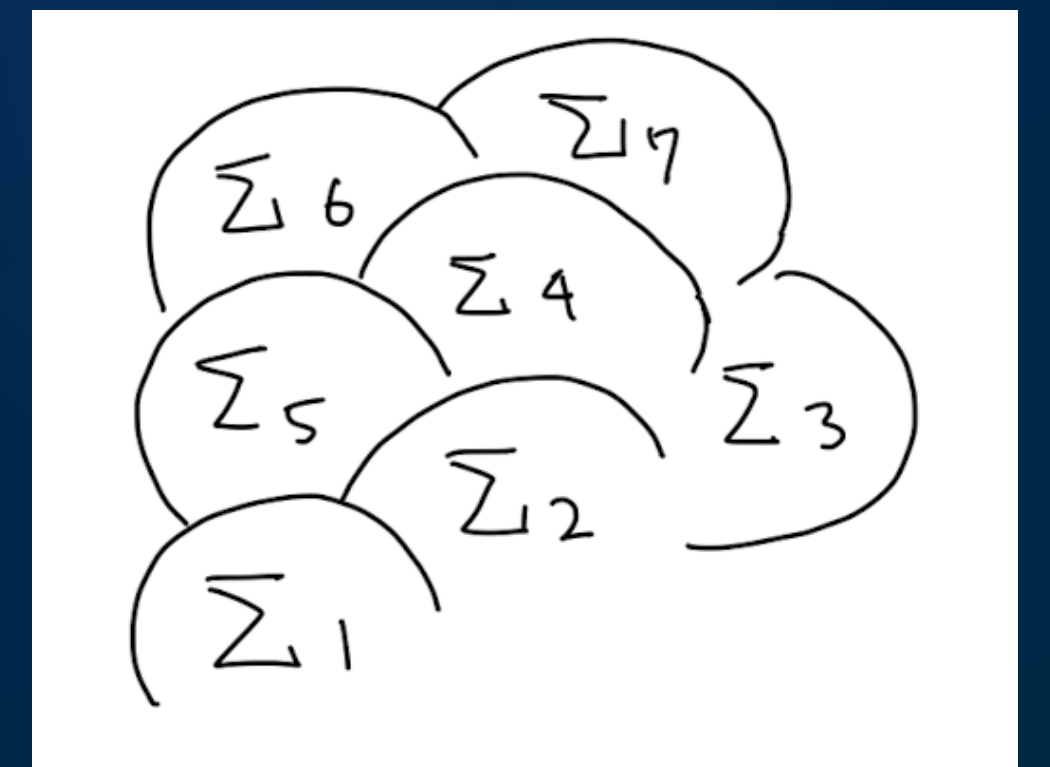
$$\sum_{\alpha} \int_{\Sigma_{\alpha}} d^3x \mathcal{H}_{g\perp} = 0 \quad \mathcal{H}_{g\perp} = \frac{\mathcal{M}_{\text{HL}}^2}{2} \sqrt{g} (K^{ij} K_{ij} - \lambda K^2 - c_g^2 R + 2\Lambda - \mathcal{O}_{z>1})$$

Local closed FLRW universe

$$N_{\alpha}^i = 0 , \quad g_{ij}^{\alpha} = a_{\alpha}^2(t) [\Omega_{ij}(\mathbf{x})]$$

Local Hamiltonian constraint is non-zero

$$S[\{a_{\alpha}\}, N] = \int_{t_i}^{t_f} dt \sum_{\alpha} V(p_{a_{\alpha}} \dot{a}_{\alpha} - N \mathcal{H}(\{a_{\alpha}, p_{a_{\alpha}}\})) \longrightarrow \int_{\{\Sigma_{\alpha}\}} d^3x \mathcal{H}_{g\perp} \neq 0$$



# Lorentzian Quantum Cosmology

## Lorentzian path integral

$$\begin{aligned} G[\{a_\alpha\}] &= \int dN(t_f - t_i) \int \prod_\alpha \mathcal{D}a_\alpha \mathcal{D}p_{a_\alpha} \exp \left( i \int_{t_i}^{t_f} dt \sum_\alpha V (p_{a_\alpha} \dot{a}_\alpha - N \mathcal{H}) / \hbar \right) \\ &= \int dN(t_f - t_i) \int \prod_\alpha \mathcal{D}a_\alpha \exp (i S[\{a_\alpha\}, N] / \hbar) \end{aligned}$$

Projectable HL action

$$S[\{a_\alpha\}, N] = \sum_\alpha V \int_{t_i}^{t_f} dt (N a_\alpha^3) \left[ \frac{3(1-3\lambda)}{2} \left( \frac{\dot{a}_\alpha}{N a_\alpha} \right)^2 + \frac{\alpha_3}{a_\alpha^6} + \frac{\alpha_2}{a_\alpha^4} + c_g^2 \frac{3}{a_\alpha^2} - \Lambda \right]$$

$$g_K = 3c_g^2 \left( \frac{9}{4} \right)^{\frac{1}{3}} \frac{1}{3(3\lambda-1)}, \quad g_\Lambda = \Lambda \left( \frac{9}{4} \right) \frac{1}{3(3\lambda-1)}, \quad q_\alpha(t) = \frac{2}{3} a_\alpha(t)^{\frac{3}{2}}$$

$$g_2 = \alpha_2 \left( \frac{4}{9} \right)^{\frac{1}{3}} \frac{1}{3(3\lambda-1)}, \quad g_3 = \alpha_3 \left( \frac{4}{9} \right) \frac{1}{3(3\lambda-1)}, \quad \mathcal{V} = 3(3\lambda-1)V,$$

# Lorentzian Quantum Cosmology

$$S[\{q_\alpha\}, N] = \sum_\alpha \mathcal{V} \int_{t_i=0}^{t_f=1} N dt \left[ -\frac{\dot{q}_\alpha^2}{2N^2} + g_K q_\alpha^{\frac{2}{3}} - g_\Lambda q_\alpha^2 + g_2 q_\alpha^{-\frac{2}{3}} + g_3 q_\alpha^{-2} \right] + S_B.$$

Equation of motion

Projectable HL action

$$\frac{\ddot{q}_\alpha}{N^2} = -\frac{2}{3}g_K q_\alpha^{-\frac{1}{3}} + 2g_\Lambda q_\alpha + \frac{2}{3}g_2 q_\alpha^{-\frac{5}{3}} + 2g_3 q_\alpha^{-3} \longrightarrow \frac{\ddot{q}_\alpha}{N^2} - \frac{2g_3}{q_\alpha^3} = 0 \quad q_\alpha(t) = \pm \frac{\sqrt{b_{\alpha 1}^2 (b_{\alpha 2} + t)^2 + 2g_3 N^2}}{\sqrt{b_{\alpha 1}}}$$

Lorentzian path integral

$$G[\{q_\alpha(t_f)\}; \{q_\alpha(t_i)\}] = \sqrt{\frac{i\mathcal{V}}{2\pi\hbar}} \int_{0, -\infty}^{\infty} \frac{dN}{N^{1/2}} \exp\left(\frac{iS_{\text{on-shell}}[\{q_\alpha(t_i), q_\alpha(t_f)\}, N]}{\hbar}\right)$$

$$S_{\text{on-shell}}[\{q_\alpha(t)\}, N] = \sum_\alpha \mathcal{V} \left\{ \sqrt{2g_3} \left( \tan^{-1} \left[ \frac{b_{\alpha 1}(b_{\alpha 2} + 1)}{\sqrt{2g_3}N} \right] - \tan^{-1} \left[ \frac{b_{\alpha 1}b_{\alpha 2}}{\sqrt{2g_3}N} \right] \right) - \frac{b_{\alpha 1}}{2N} \right\}$$

# No-boundary/Tunneling proposal

## Dirichlet boundary condition

$$q_\alpha \big|_{t_i=0}^{t_f=1} = \text{fixed} \implies -\frac{\mathcal{V}}{N} \dot{q}_\alpha \delta q_\alpha \big|_{t_i=0}^{t_f=1} = 0$$

$$q_\alpha(t_i = 0) = q_{\alpha i}, \quad q_\alpha(t_f = 1) = q_{\alpha f}$$

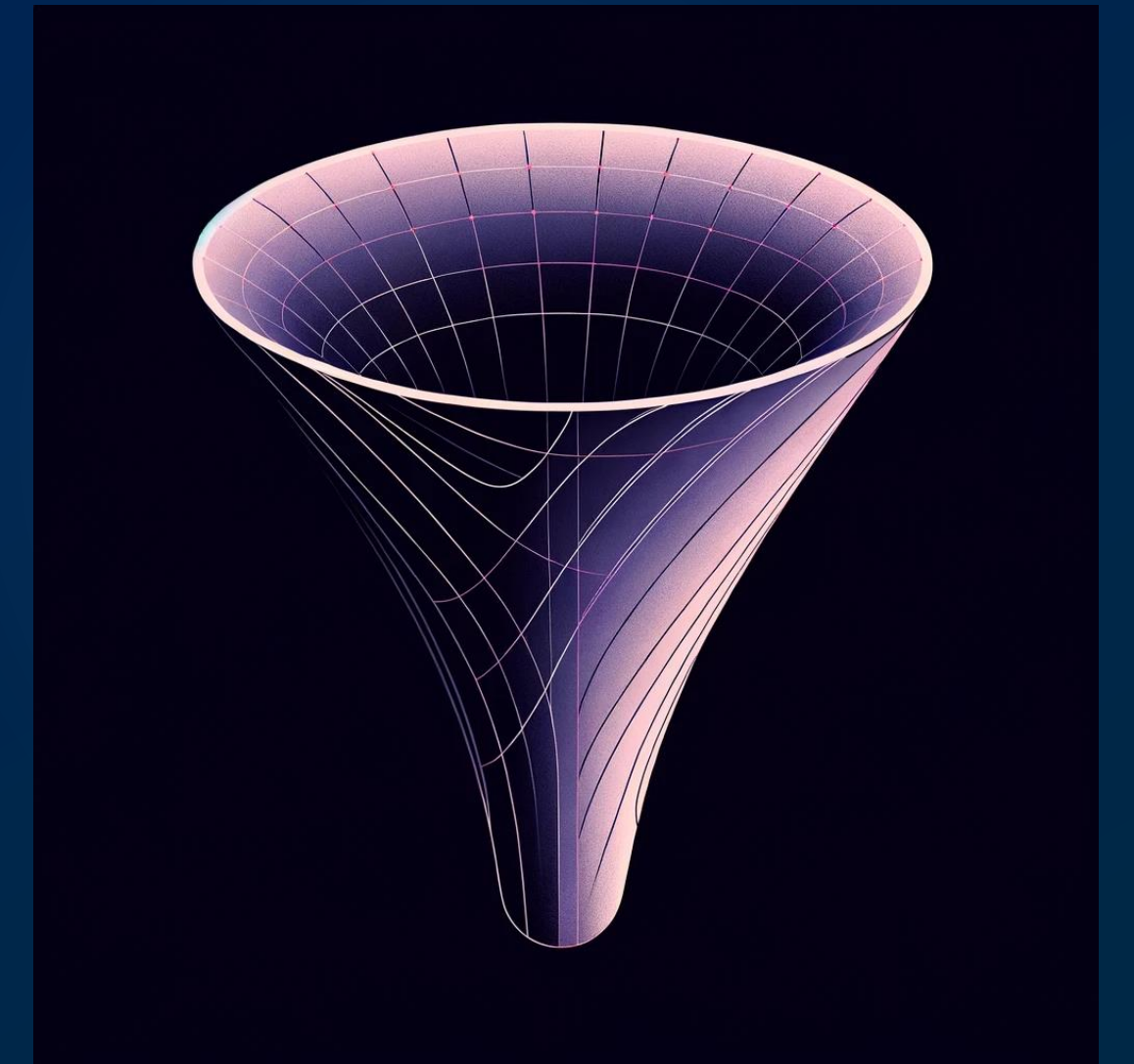
## On-shell action

$$S_{\text{on-shell}}[\{q_{\alpha i}, q_{\alpha f}\}, N] = \sum_\alpha \mathcal{V} \left\{ \mp \sqrt{2g_3} \left( \tan^{-1} \left[ \frac{\mp q_{\alpha i}^2 + \sqrt{q_{\alpha i}^2 q_{\alpha f}^2 - 2g_3 N^2}}{\sqrt{2g_3} N} \right] \right. \right. \\ \left. \left. + \tan^{-1} \left[ \frac{\mp q_{\alpha f}^2 + \sqrt{q_{\alpha i}^2 q_{\alpha f}^2 - 2g_3 N^2}}{\sqrt{2g_3} N} \right] \right) - \frac{q_{\alpha i}^2 + q_{\alpha f}^2 \mp 2\sqrt{q_{\alpha i}^2 q_{\alpha f}^2 - 2g_3 N^2}}{2N} \right\}$$

## Divergent on-shell action

$$\lim_{\epsilon \rightarrow 0} S_{\text{on-shell}}[\{\epsilon, q_{\alpha f}\}, N] = \sum_\alpha \mathcal{V} \left\{ \sqrt{2g_3} \left( \mp i \tanh^{-1} \left( 1 \pm \frac{i q_{\alpha f}^2}{\sqrt{2g_3} N} \right) \mp i \tanh^{-1}(1) \pm i \right) - \frac{q_{\alpha f}^2}{2N} \right\}$$

$$q_\alpha(t_f = 1) = q_{\alpha f}$$



$$q_\alpha(t_i = 0) = q_{\alpha i}$$

# Problems on On-shell Action

No-boundary/Tunneling  
proposal



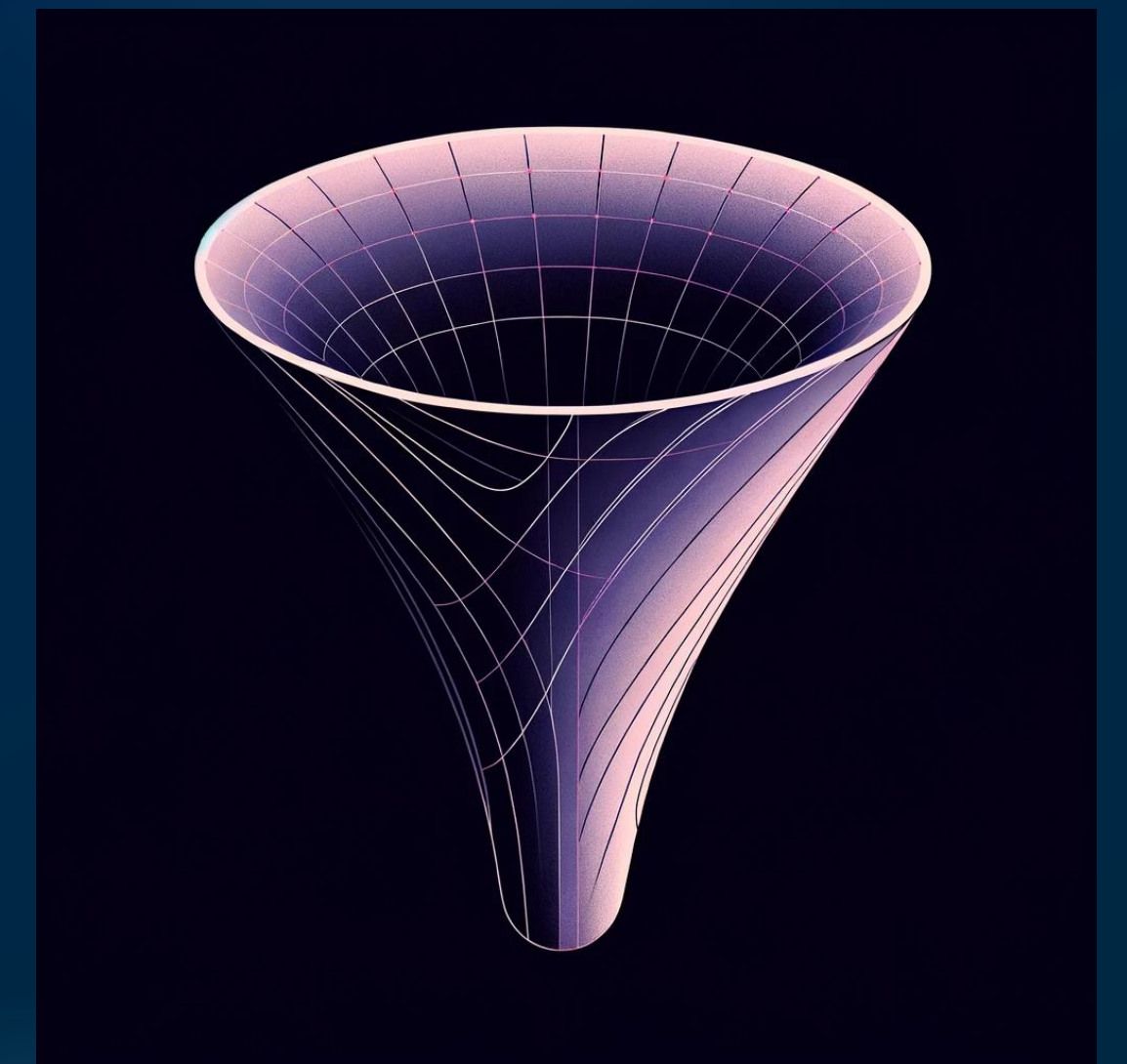
Horava-Lifshitz Gravity

$$G[q(t_f); q(t_i)] = \sqrt{\frac{i\mathcal{V}}{2\pi\hbar}} \int \frac{dN}{N^{1/2}} \exp \left( \frac{i S_{\text{on-shell}}[q(t_i), q(t_f), N]}{\hbar} \right)$$

$$q(t_i) \rightarrow 0$$



$$\lim_{\epsilon \rightarrow 0} S_{\text{on-shell}}[\{\epsilon, q_{\alpha f}\}, N] = \sum_{\alpha} \mathcal{V} \left\{ \sqrt{2g_3} \left( \mp i \tanh^{-1} \left( 1 \pm \frac{i q_{\alpha f}^2}{\sqrt{2g_3} N} \right) \mp i \tanh^{-1}(1) \pm i \right) - \frac{q_{\alpha f}^2}{2N} \right\}$$



# 1: Renormalization group flow

No-boundary/Tunneling  
proposal



Horava-Lifshitz Gravity

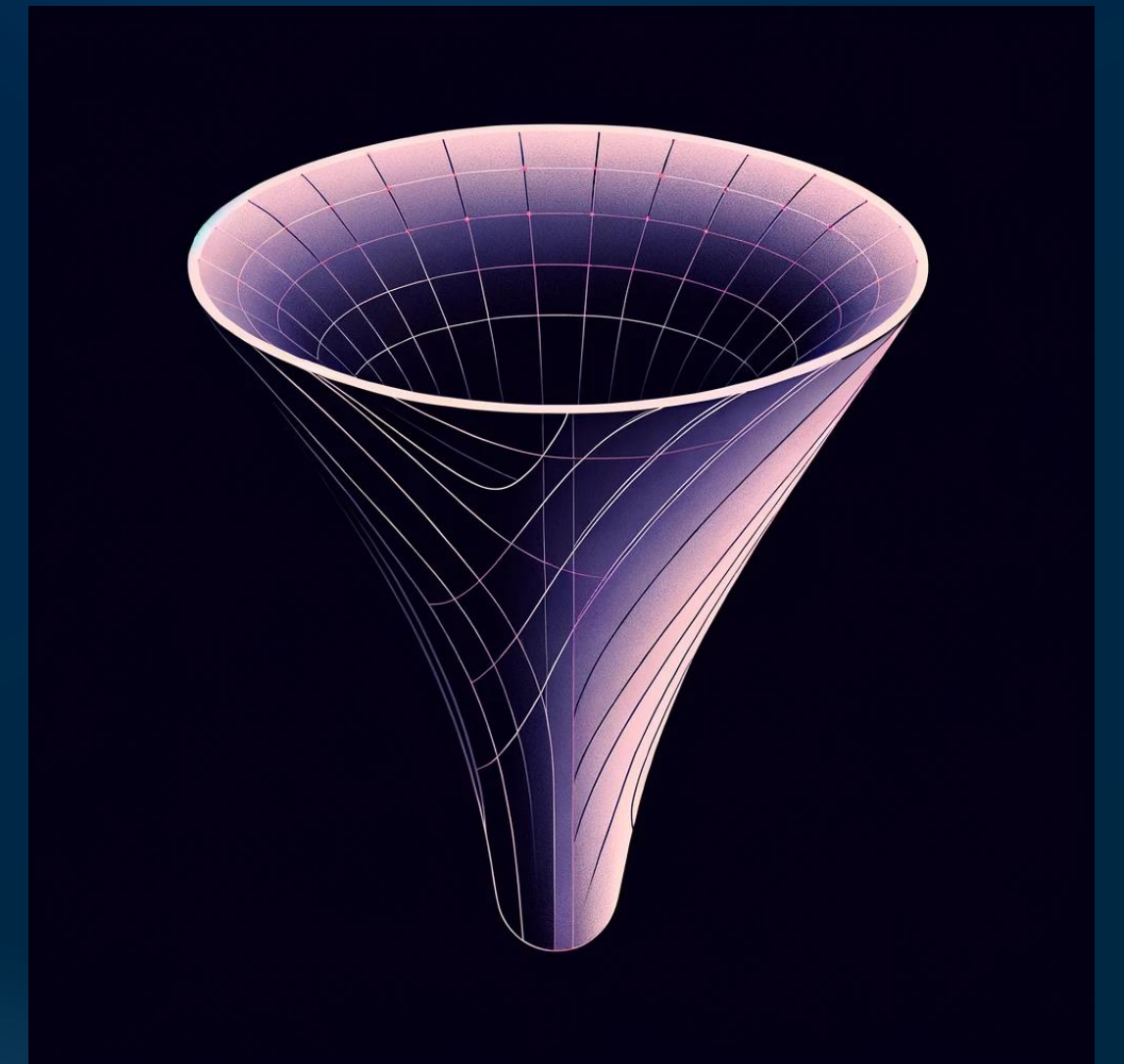
$$S[\{a_\alpha\}, N] = \sum_\alpha V \int_{t_i}^{t_f} dt (N a_\alpha^3) \left[ \underbrace{\frac{3(1-3\lambda)}{2} \left( \frac{\dot{a}_\alpha}{N a_\alpha} \right)^2}_{f(a) \propto a^{-2\alpha}} + \underbrace{\frac{\alpha_3}{a_\alpha^6} + \frac{\alpha_2}{a_\alpha^4} + c_g^2 \frac{3}{a_\alpha^2}}_{h(a) \propto a^{2\beta}} - \Lambda \right]$$

$$\lambda \rightarrow \infty \quad a \rightarrow 0 \quad f(a) \propto a^{-2\alpha} \quad h(a) \propto a^{2\beta}$$

A. E. Gumrukcuoglu and S. Mukohyama, Phys. Rev. D 83 (2011) 12403,

J. I. Radkovski and S. M. Sibiryakov, Phys. Rev. D 108 (2023) 046017

$$L = -\frac{2h(a)}{a^3} \propto \tau^{-1 + \frac{\beta - \alpha}{3 - \alpha - \beta}} \longrightarrow 0 < \alpha < \beta < 3 - \alpha$$



# 2: Robin boundary condition

Robin boundary term

$$\frac{1}{N} q_\alpha^2 \delta \left( \frac{\dot{q}_\alpha}{q_\alpha} \right) = 0 \implies \frac{1}{N} \frac{\dot{q}_\alpha}{q_\alpha} \Big|_{t_i=0}^{t_f=1} = \text{fixed}$$

$$S_B = \frac{1}{2\zeta} \sum_\alpha \int_{\Sigma_\alpha} d^3x \sqrt{\gamma} K = \sum_\alpha \frac{\mathcal{V}}{2N} q_\alpha \dot{q}_\alpha \Big|_{t_i=0}^{t_f=1} = \sum_\alpha \frac{\mathcal{V}}{2N} q_\alpha^2 \frac{\dot{q}_\alpha}{q_\alpha} \Big|_{t_i=0}^{t_f=1}$$

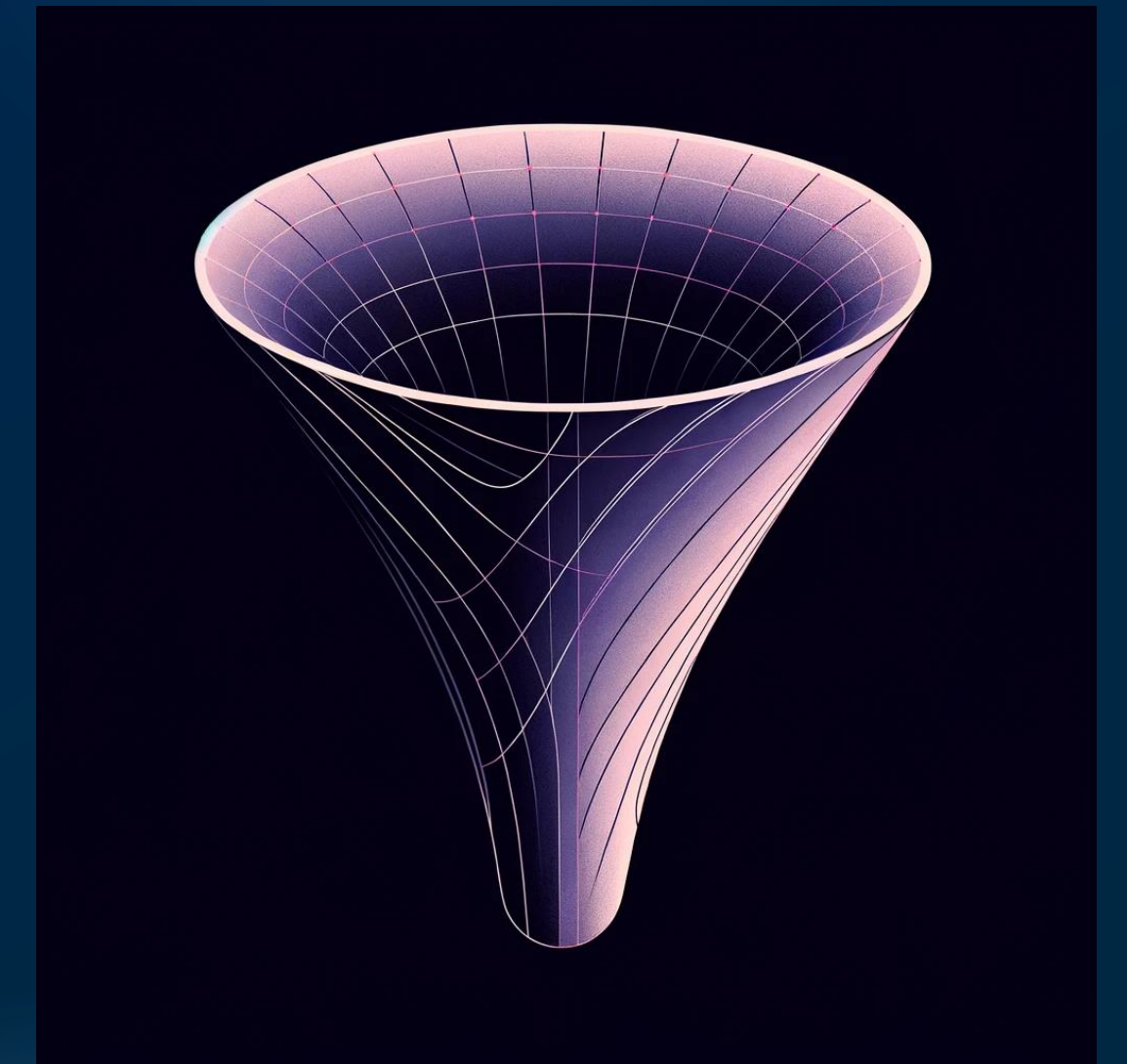
Robin boundary condition

Hubble parameter

$$\frac{1}{2N} \frac{\dot{q}_\alpha(t_i=0)}{q_\alpha(t_i=0)} = \frac{1}{\xi_{\alpha i}}, \quad \frac{1}{2N} \frac{\dot{q}_\alpha(t_f=1)}{q_\alpha(t_f=1)} = \frac{1}{\xi_{\alpha f}} \quad H_\alpha = \frac{1}{Na_\alpha} \frac{da_\alpha}{dt} = \frac{2}{3N} \frac{\dot{q}_\alpha}{q_\alpha}$$

On-shell action

$$S_{\text{on-shell}}[\{\xi_\alpha\}, N] = \sum_{\alpha=1}^n \mathcal{V} \left\{ i\sqrt{2g_3} \left( \tanh^{-1} \left( \frac{\mp \sqrt{2N} (2N - \xi_{\alpha f})}{\sqrt{(\xi_{\alpha i} + 2N) (2N - \xi_{\alpha f}) (\xi_{\alpha i} - \xi_{\alpha f} + 2N)}} \right) \right. \right. \\ \left. \left. \mp \tanh^{-1} \left( \frac{\sqrt{2N} (\xi_{\alpha i} + 2N)}{\sqrt{(\xi_{\alpha i} + 2N) (2N - \xi_{\alpha f}) (\xi_{\alpha i} - \xi_{\alpha f} + 2N)}} \right) \right) \right\}$$



# 2: Imaginary Robin boundary

## Robin boundary condition

$$\xi_{\alpha i} = -i, \quad \xi_{\alpha f} = \text{const.}$$

A. Di Tucci and J. L. Lehners, Phys. Rev. Lett. 122 (2019) 201302

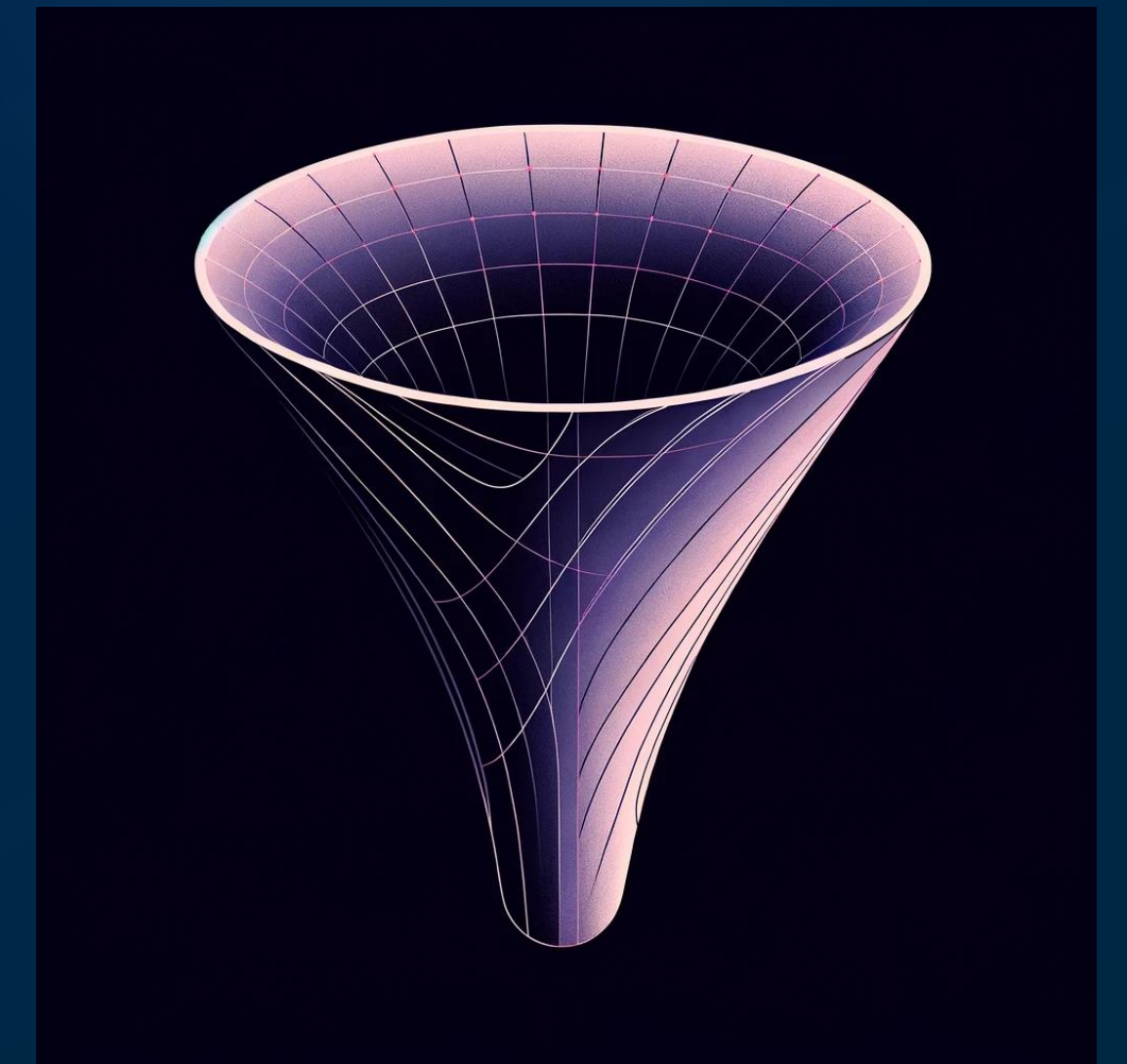
A. Di Tucci, J. L. Lehners and L. Sberna, Phys. Rev. D 100 (2019) 123543

## On-shell action

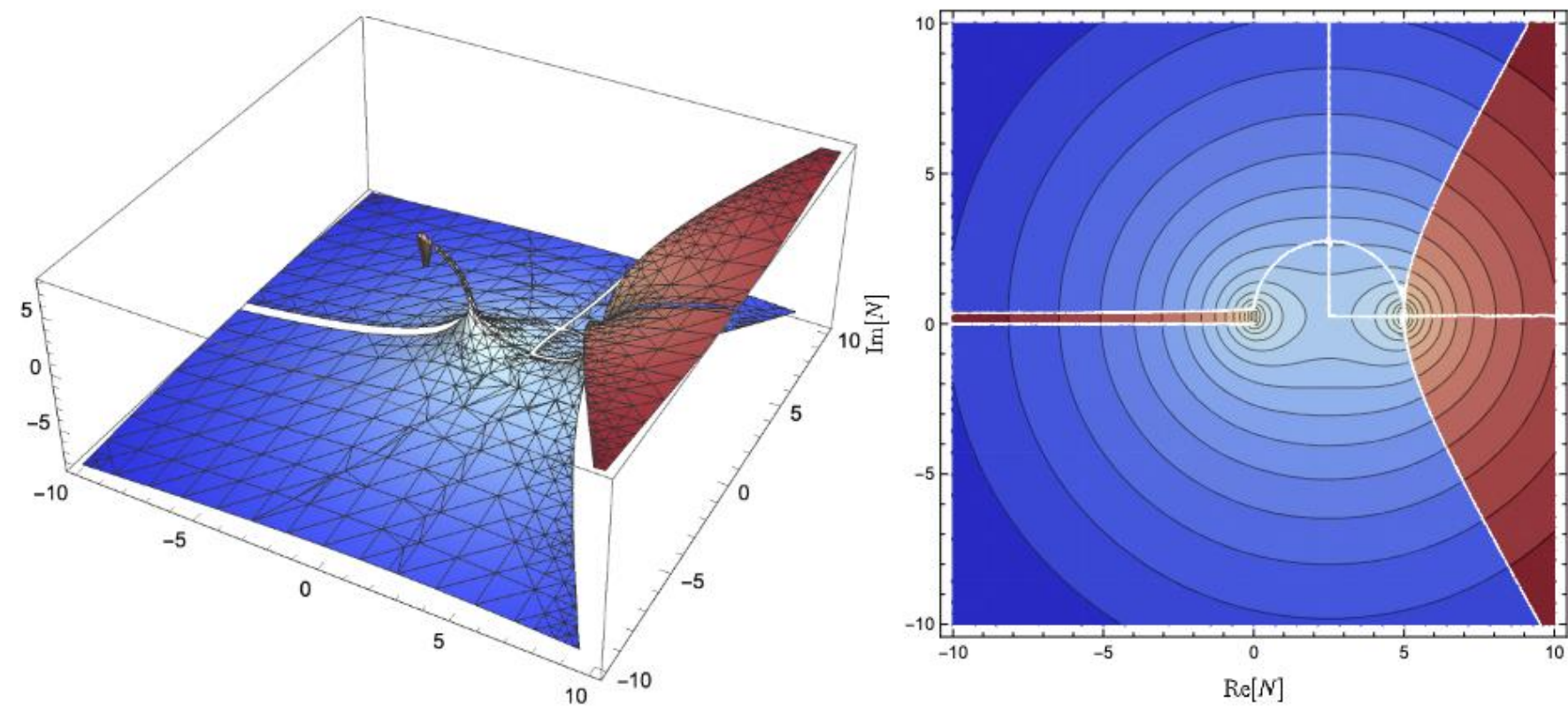
$$S_{\text{on-shell}}[\{\xi_{\alpha}\}, N] = \sum_{\alpha=1}^n \mathcal{V} \left\{ i\sqrt{2g_3} \left( \tanh^{-1} \left( \frac{\mp \sqrt{2N} (2N - \xi_{\alpha f})}{\sqrt{(\xi_{\alpha i} + 2N)(2N - \xi_{\alpha f})(\xi_{\alpha i} - \xi_{\alpha f} + 2N)}} \right) \right. \right. \\ \left. \left. \mp \tanh^{-1} \left( \frac{\sqrt{2N} (\xi_{\alpha i} + 2N)}{\sqrt{(\xi_{\alpha i} + 2N)(2N - \xi_{\alpha f})(\xi_{\alpha i} - \xi_{\alpha f} + 2N)}} \right) \right) \right\}$$

## Lorentzian path integral

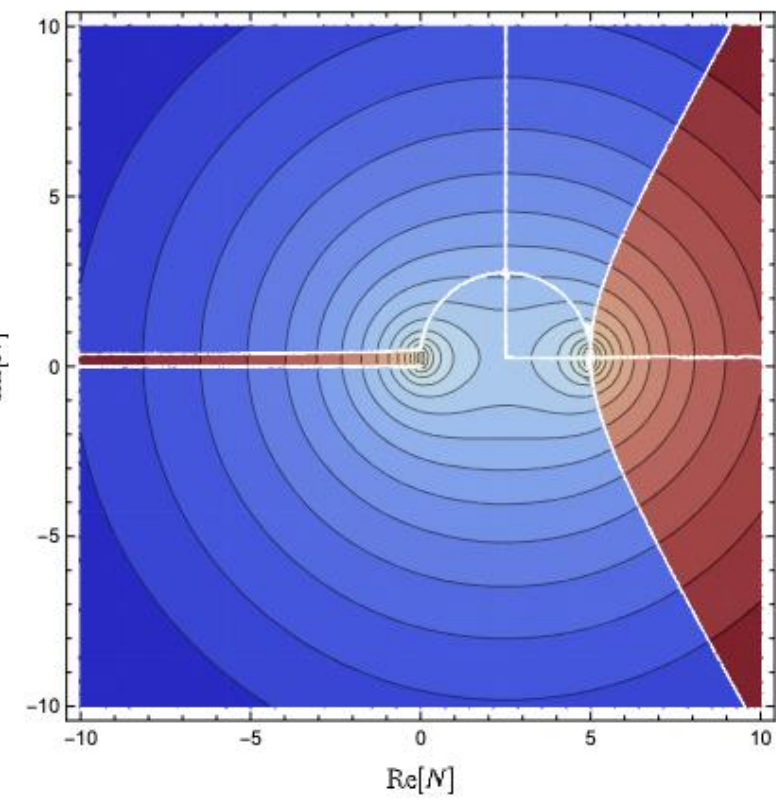
$$G[\{q_{\alpha}(t_f)\}; \{q_{\alpha}(t_i)\}] = \sqrt{\frac{i\mathcal{V}}{2\pi\hbar}} \int_{0, -\infty}^{\infty} \frac{dN}{N^{1/2}} \exp \left( \frac{iS_{\text{on-shell}}[\{q_{\alpha}(t_i), q_{\alpha}(t_f)\}, N]}{\hbar} \right)$$



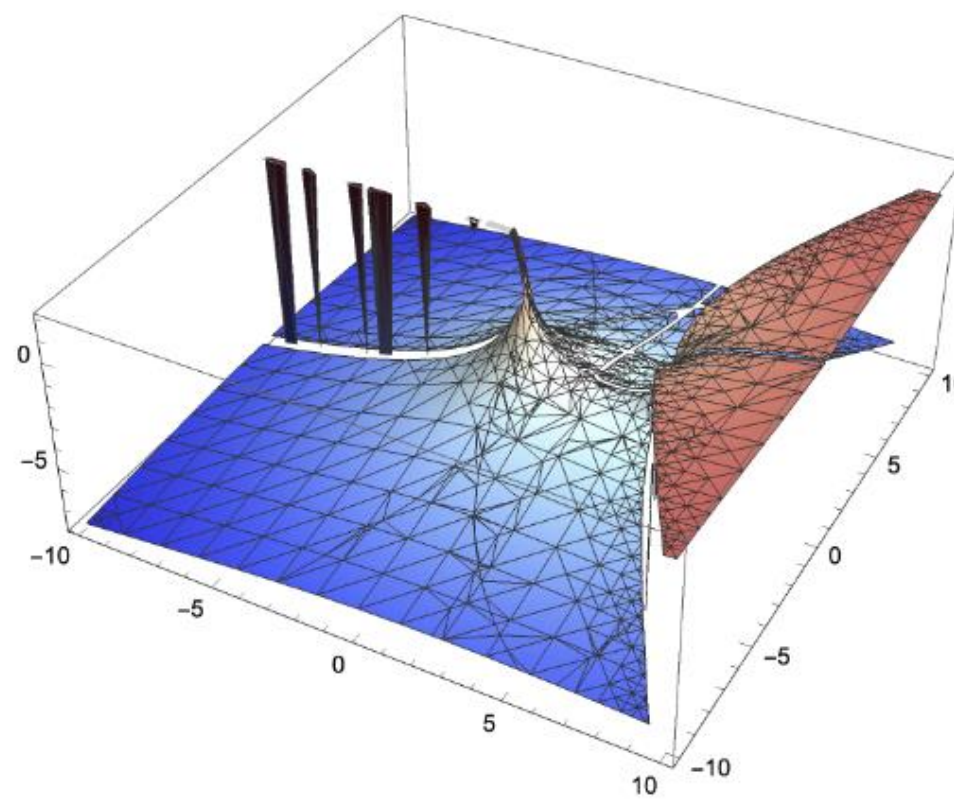
# 2: Imaginary Robin boundary



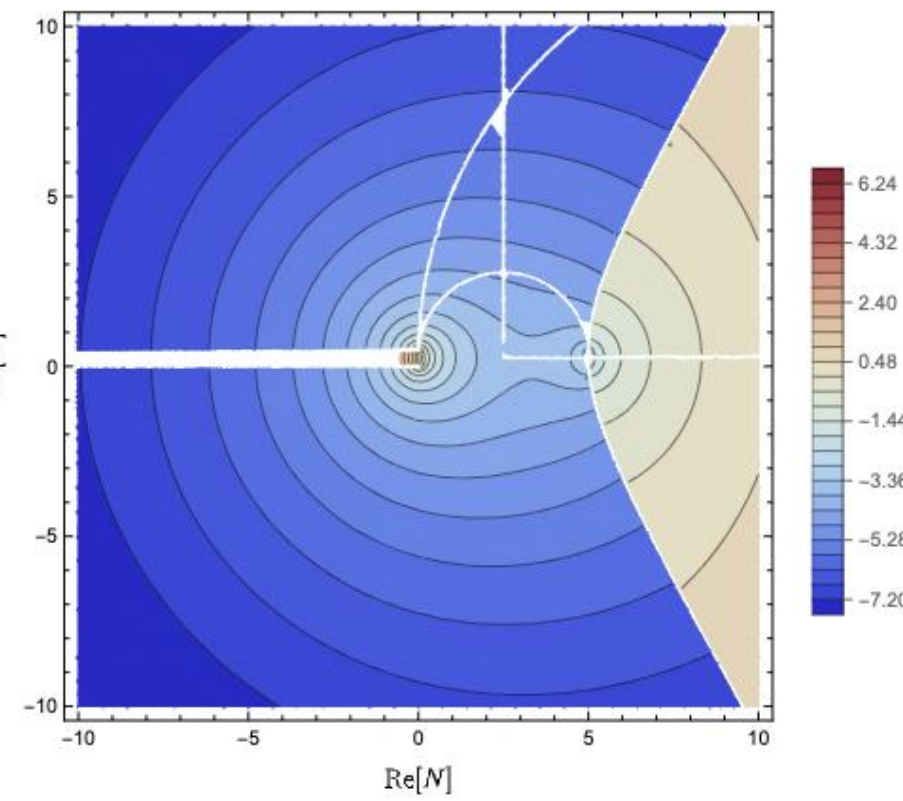
(a)  $\xi_{1f} = \xi_{2f} = 10$



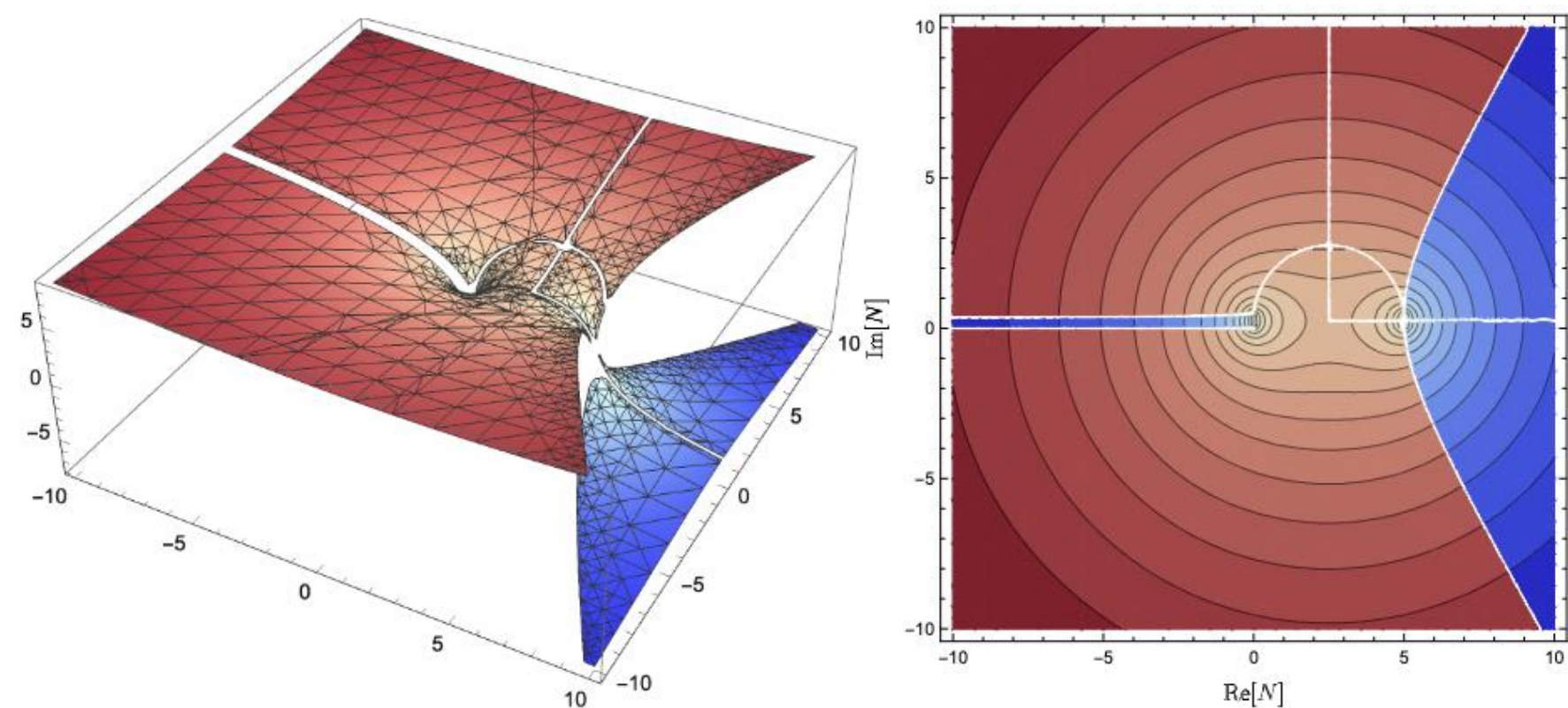
(b)  $\xi_{1f} = \xi_{2f} = 10$



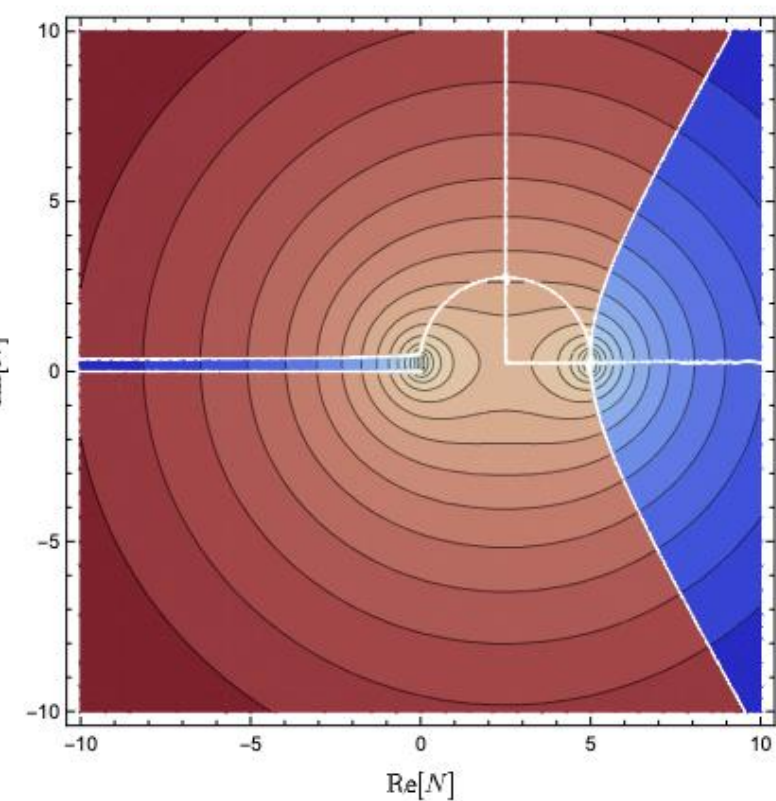
(c)  $\xi_{1f} = 10$  and  $\xi_{2f} = 50$



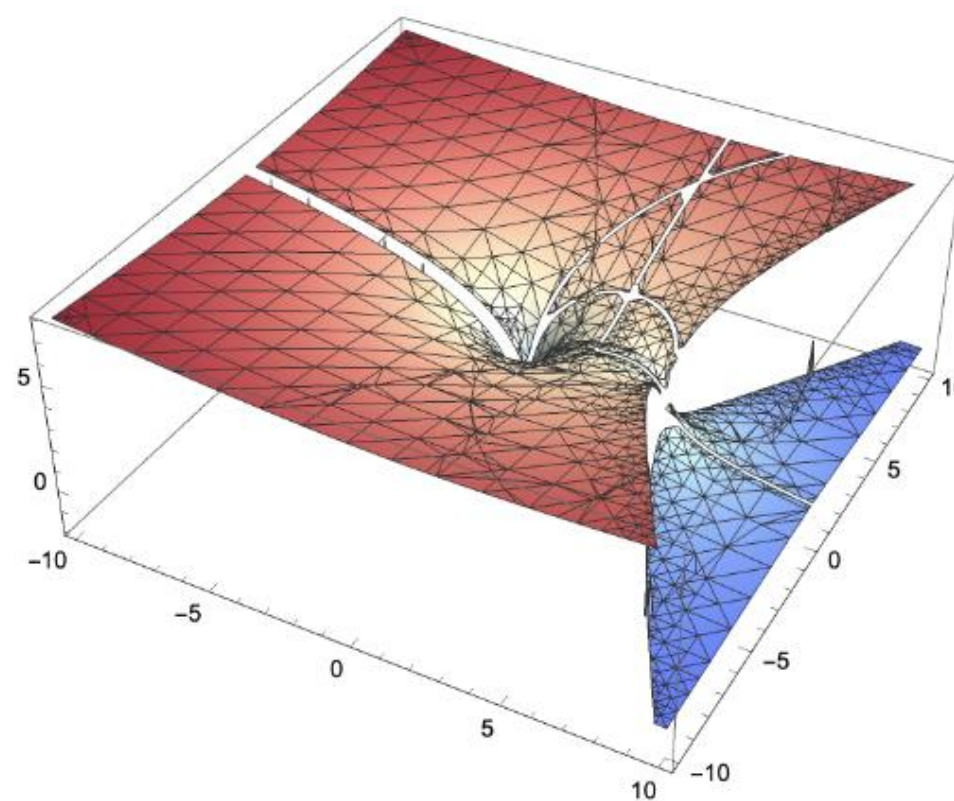
(d)  $\xi_{1f} = 10$  and  $\xi_{2f} = 50$



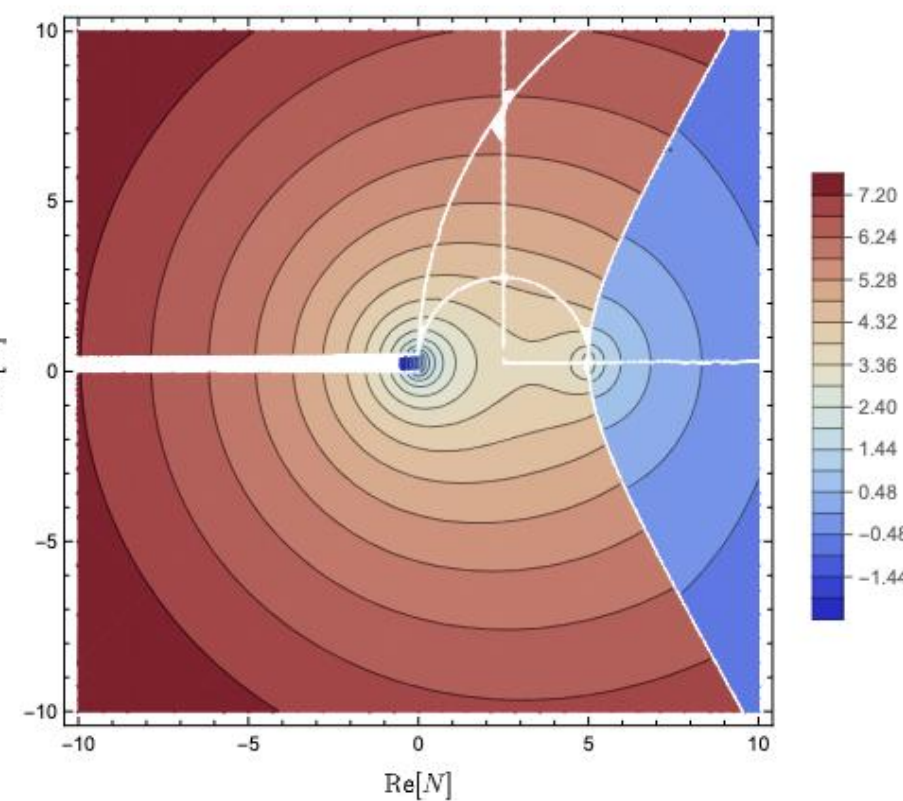
(e)  $\xi_{1f} = \xi_{2f} = 10$



(f)  $\xi_{1f} = \xi_{2f} = 10$



(g)  $\xi_{1f} = 10$  and  $\xi_{2f} = 50$



(h)  $\xi_{1f} = 10$  and  $\xi_{2f} = 50$

# Summary

1. We analyzed a formulation of the Hartle-Hawking no-boundary proposal based on Horava-Lifshitz gravity
2. The Hartle-Hawking wave function cannot be formulated due to the divergence of the on-shell action caused by the higher-order derivative term of curvature.
3. Solution 1: Renormalization group flow of gravity.
4. Solution 2: Robin boundary conditions

