

Axion-Mediated Dark Matter

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UC Santa Cruz



2nd Hokkaido Workshop on Particle Physics at Crossroads

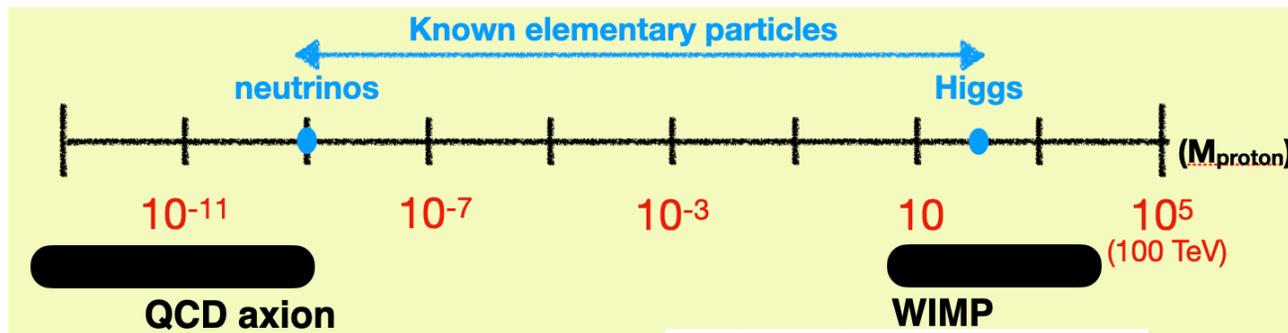
Hokkaido University
March 5, 2026

What is Dark Matter (DM)?

Weakly-Interacting-Massive-Particles (WIMPs) and the QCD axion have been the two leading DM candidates in the past several decades.

So far,
not found

We do not know what the DM energy scale is:



Thermal freeze-out

Sizable coupling between DM and the Standard Model (SM).

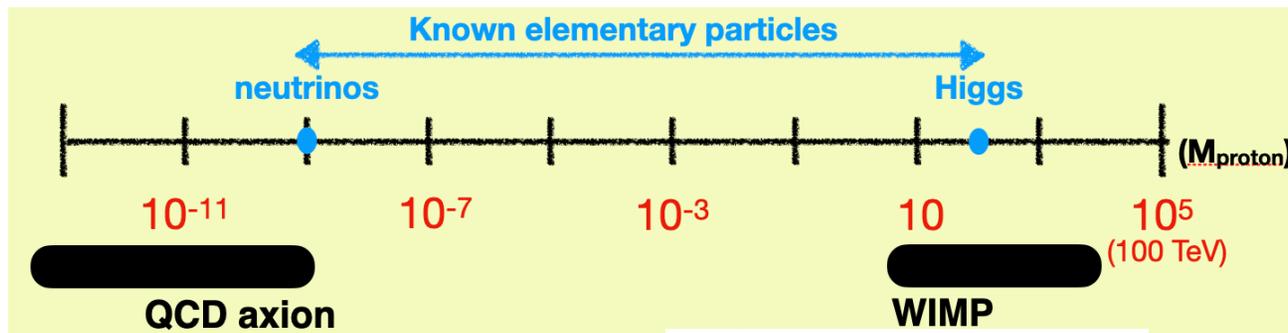
Generically, need a light “mediator” between DM and the SM if $m_{\text{DM}} < O(10\text{GeV})$

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Question for this talk:

If the **QCD axion** is not the full story for DM, can it be the **mediator** between the SM and DM? What about **other axions beyond the QCD axion**?

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This talk:

1. Cosmology of axion-mediated DM models
2. {
Cosmological signatures
Searches at laboratory-based experiments
(searches for the axion + DM direct detection)

Dror, SG, Munbodh, 2306.03145SG,
SG, Knapen, Lin, Munbodh, Suter, 2506.11191

The strong CP problem and the QCD axion

Strong CP problem:

why is the QCD $\bar{\theta}$ parameter so small? $\mathcal{L}_{\text{QCD}} \supset \theta \frac{g^2}{32\pi^2} G_{\mu\nu} \tilde{G}^{\mu\nu}$

This operator generates a contribution to the neutron EDM:

$$|d_n| \sim 2 \times 10^{-16} \bar{\theta} \text{ e cm}$$

$$\rightarrow \bar{\theta} \lesssim 10^{-10}$$

$$\bar{\theta} = \theta + \arg(\det(Y_u Y_d)) \quad (\text{basis invariant quantity})$$

QCD axion: elegant way to address this problem.

$$\Phi = \Phi_0 e^{i \frac{a}{f_a}} \quad \text{U(1)}_{\text{PQ}}, \text{ Peccei-Quinn symmetry breaking scale}$$

Instantons induce a periodic potential for the axion.

$$\underbrace{\left(\theta + \frac{a}{f_a} \right)}_{\text{coefficient}} \frac{g^2}{32\pi^2} G_{\mu\nu} \tilde{G}^{\mu\nu}$$

At the minimum of the potential this coefficient = 0,

\rightarrow EDM constraints are 

By-product:

Axions can easily be a Dark Matter (DM) candidate for

$$f_a \gtrsim \mathcal{O}(10^{10} - 10^{11}) \text{ GeV}$$

- pre inflation: misalignment
- post inflation: cosmic string and domain wall decay

Caveat: lower f_a could lead to the measured relic abundance in other scenarios: e.g., kinetic misalignment, [Ko, Hall, Harigaya, 1910.14152](#)

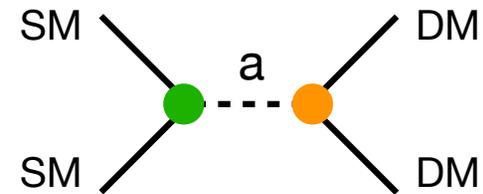
The QCD axion as DM-SM mediator

- * The axion couples to SM particles with low-dimensional “portals” (dim 5)

$$\mathcal{L} \supset -\frac{g_{ag}}{4} a G_{\mu\nu}^a \tilde{G}^{a\mu\nu} - \frac{g_{aW}}{4} a W_{\mu\nu}^a \tilde{W}^{a\mu\nu} - \frac{g_{aB}}{4} a B_{\mu\nu} \tilde{B}^{\mu\nu} + ig_{af}(\partial_\mu a)(\bar{f}\gamma^\mu\gamma_5 f)$$

- * We can couple it to fermionic DM as $\mathcal{L} \supset \frac{c_\chi}{2f_a} \partial_\mu a \bar{\chi}\gamma^\mu\gamma_5\chi$

(or eventually scalar operator, if CP is broken)



A minimal model:

KSVZ or DFSZ QCD axion coupled to fermionic DM

Dror, SG,
Munbodh,
2306.03145

- * A small set of free parameters fixes the cosmology and phenomenology of the model:

$$f_a, m_\chi, g_{a\chi} \equiv \frac{c_\chi m_\chi}{f_a}, T_{RH}$$

Bounds from DM self-interaction:

$$c_\chi \frac{m_\chi}{f_a} \equiv g_{a\chi} \lesssim 0.21 \left(\frac{m_\chi}{1 \text{ MeV}} \right)^{3/4}$$

Cooling bounds.

The most stringent one for the DFSZ axion is from the **red giants** (bound on the axion-electron interaction)

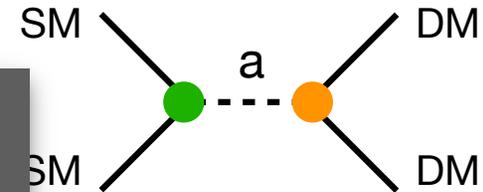
$$f_a \gtrsim 10^8 \text{ GeV}$$

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The well - motivated region of the QCD axion parameter space is bounded:

$$O(10^8 \text{ GeV}) \lesssim f_a \lesssim O(10^{11} \text{ GeV})$$

astrophysical bounds

subdominant DM component

A minimal model: KSVZ or DFSZ QCD

- * A small set of free parameters

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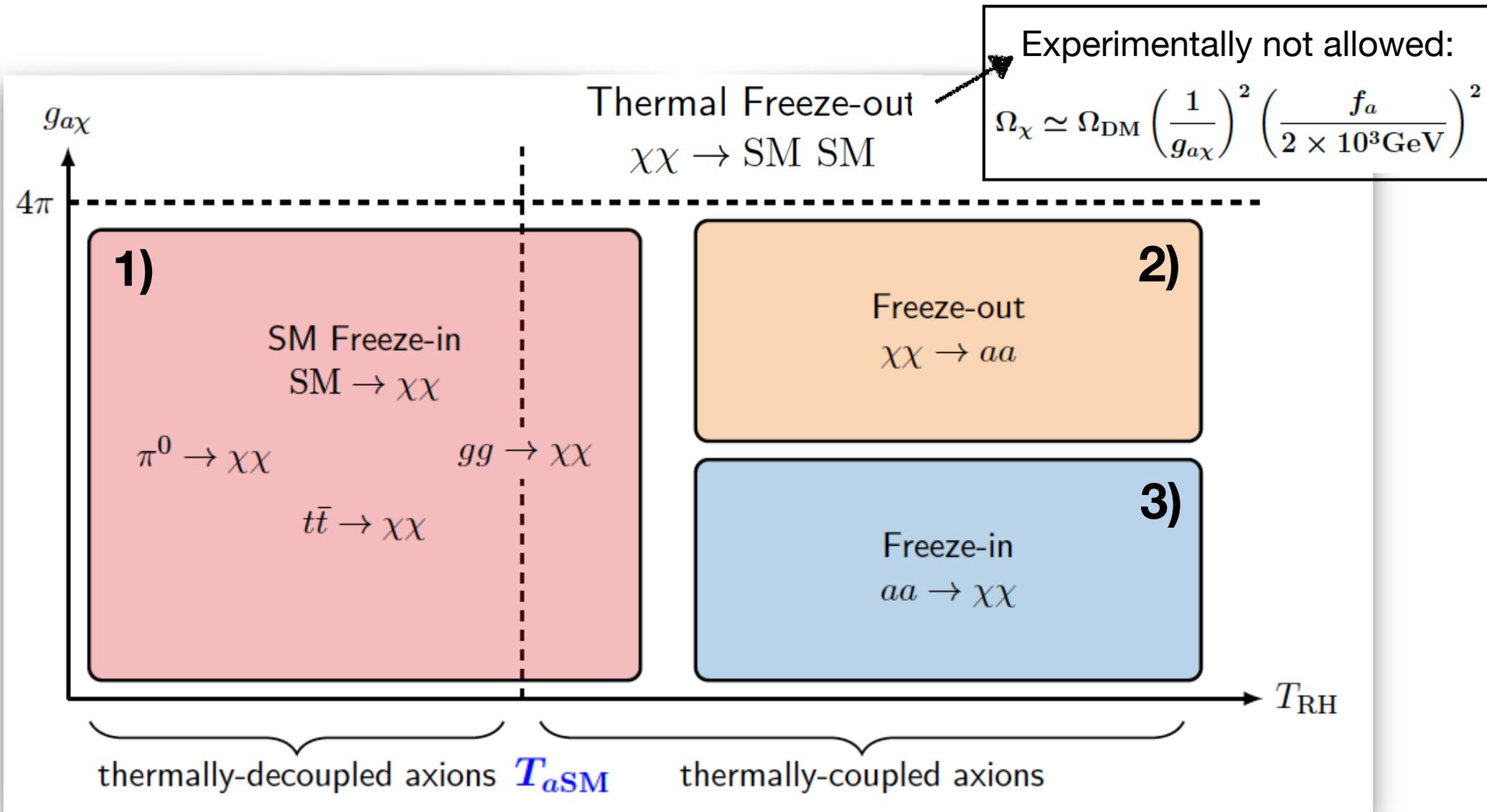
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Dror, SG, Munbodh, 2306.03145

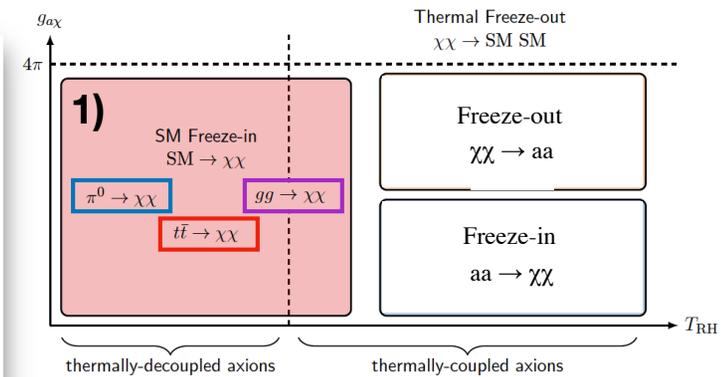
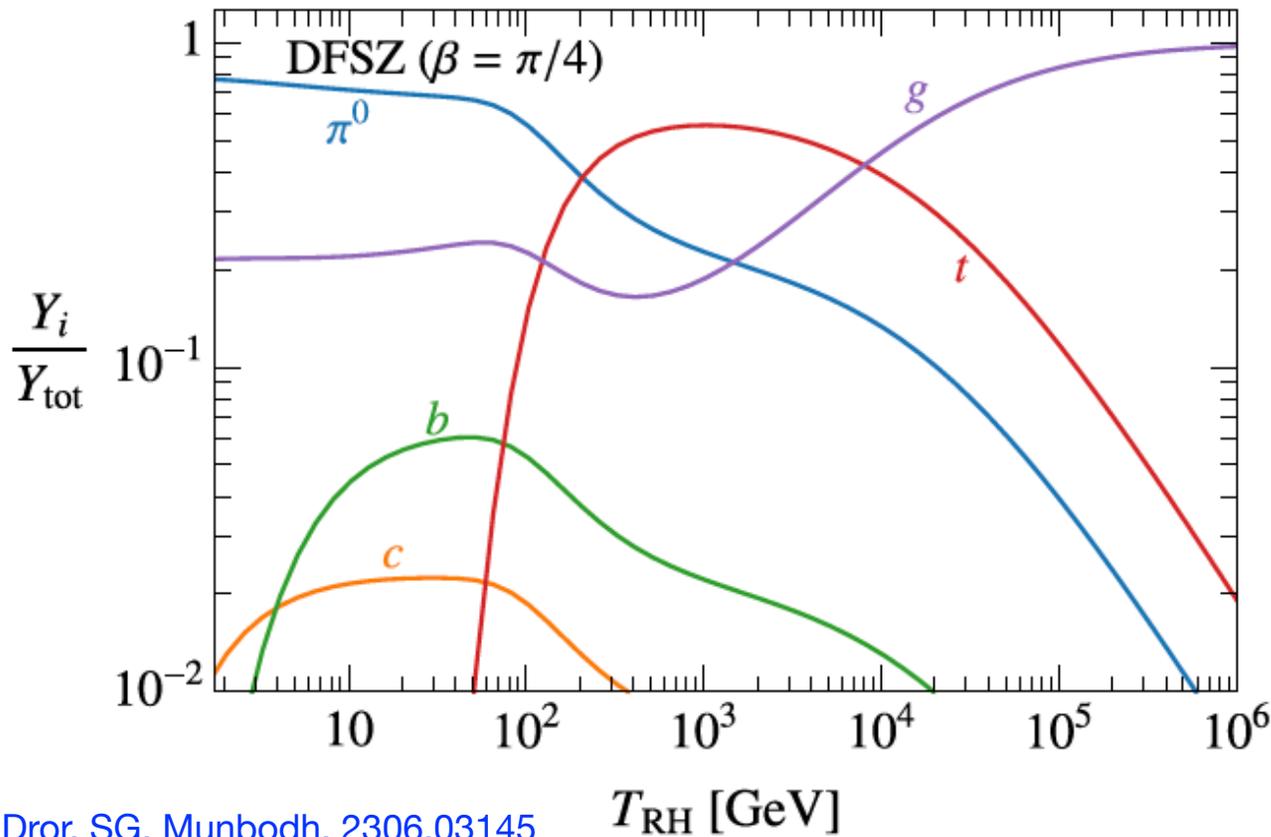
Topology of the model:

A bird's-eye view of possible cosmologies



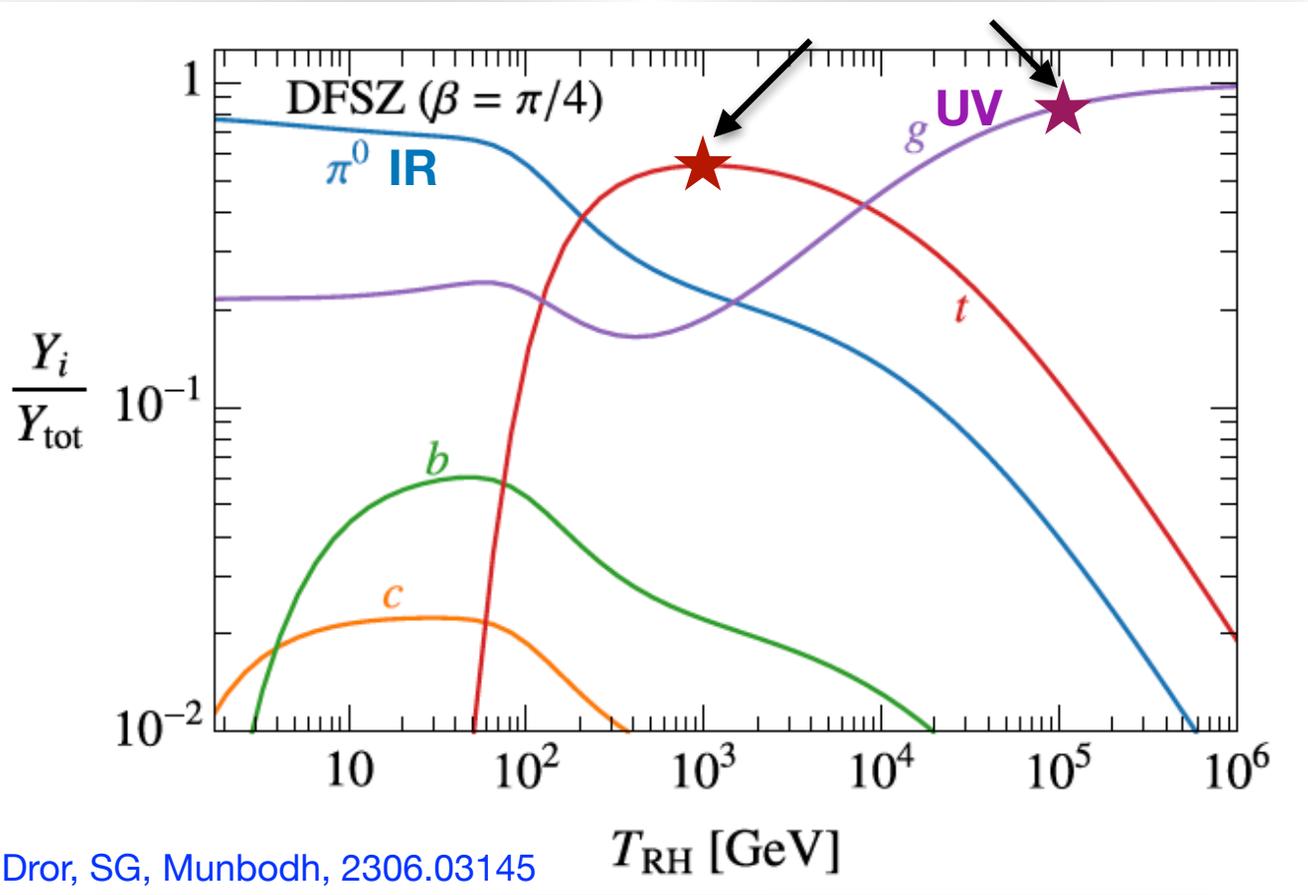
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1) Dark Matter from SM freeze-in

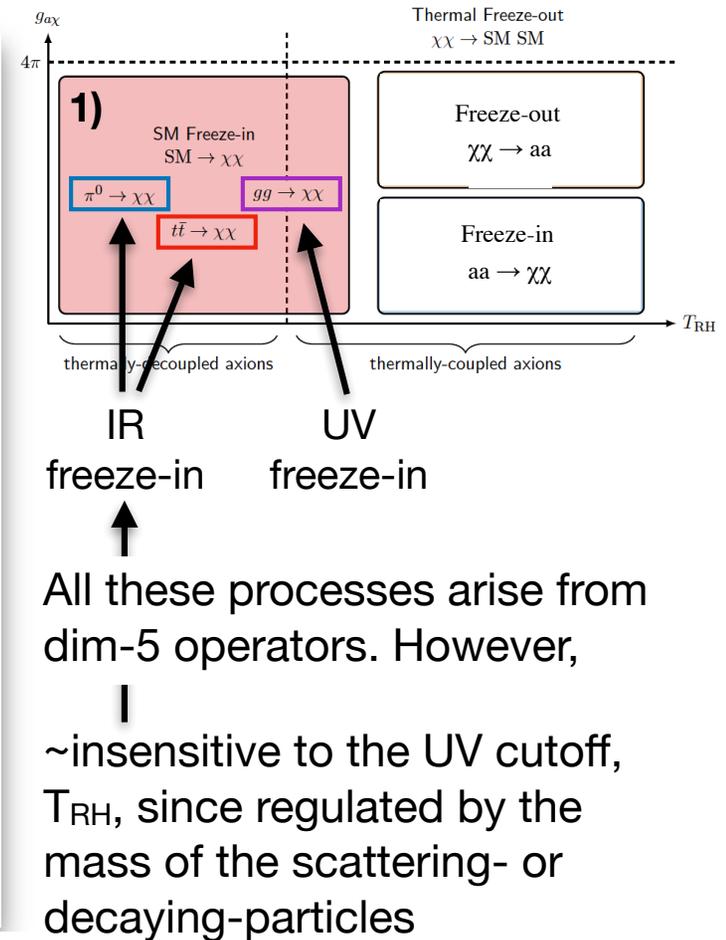


If $T_{\text{RH}} < T_{\chi\text{SM}}$ and $T_{\text{RH}} < T_{a\text{SM}}$

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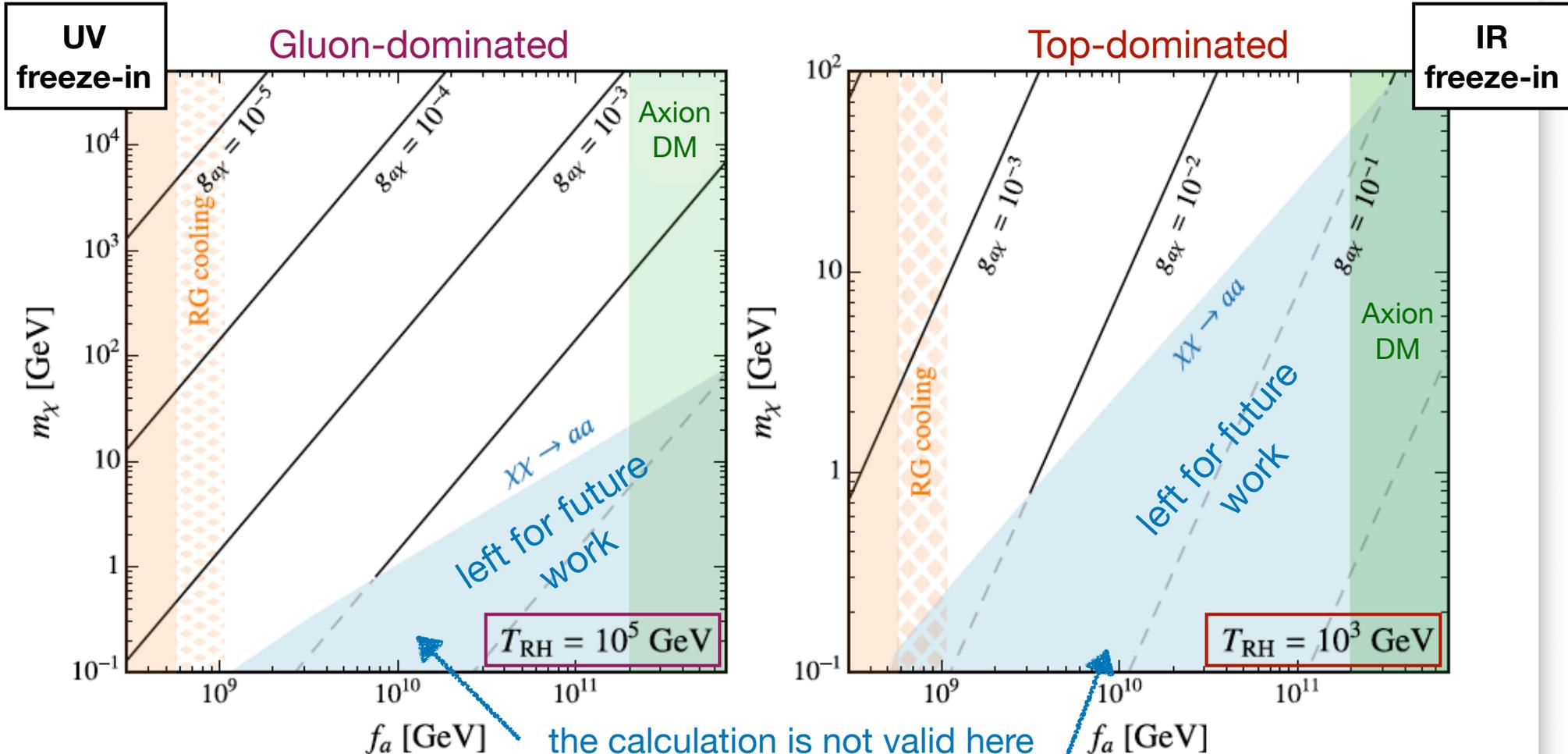


If $T_{RH} < T_{\chi SM}$ and $T_{RH} < T_{a SM}$



1) SM freeze-in: the relic abundance

$g_{a\chi}$ needed to get the measured relic abundance



Typically not natural regime since $g_{a\chi} \equiv \frac{c_\chi m_\chi}{f_a}$

the calculation is not valid here since DM can freeze-out to axions

$$n_\chi \sigma_{\chi\bar{\chi} \rightarrow aa} \gtrsim H$$

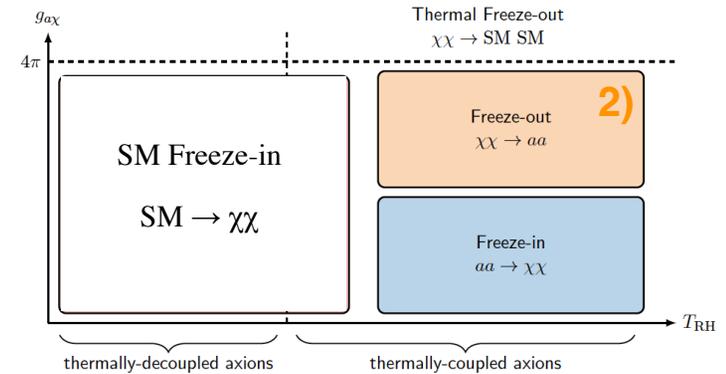
Decoupled freeze-out regime in Bharucha et al., 2209.03932

2), 3) Dark Matter from axion freeze-out or freeze-in

No coupling with the SM is necessary



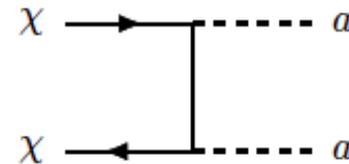
In general, challenging to test experimentally



$$T_{RH} \gtrsim T_{\chi SM} \quad \text{secluded freeze-out}$$

2) DM in thermal contact with the SM in the early universe.

$$\frac{\Omega_\chi}{\Omega_{DM}} \sim \left(\frac{m_\chi}{1\text{GeV}} \right)^2 \left(\frac{4.4 \times 10^{-2}}{g_{a\chi}} \right)^4 \quad \text{unnatural regime}$$



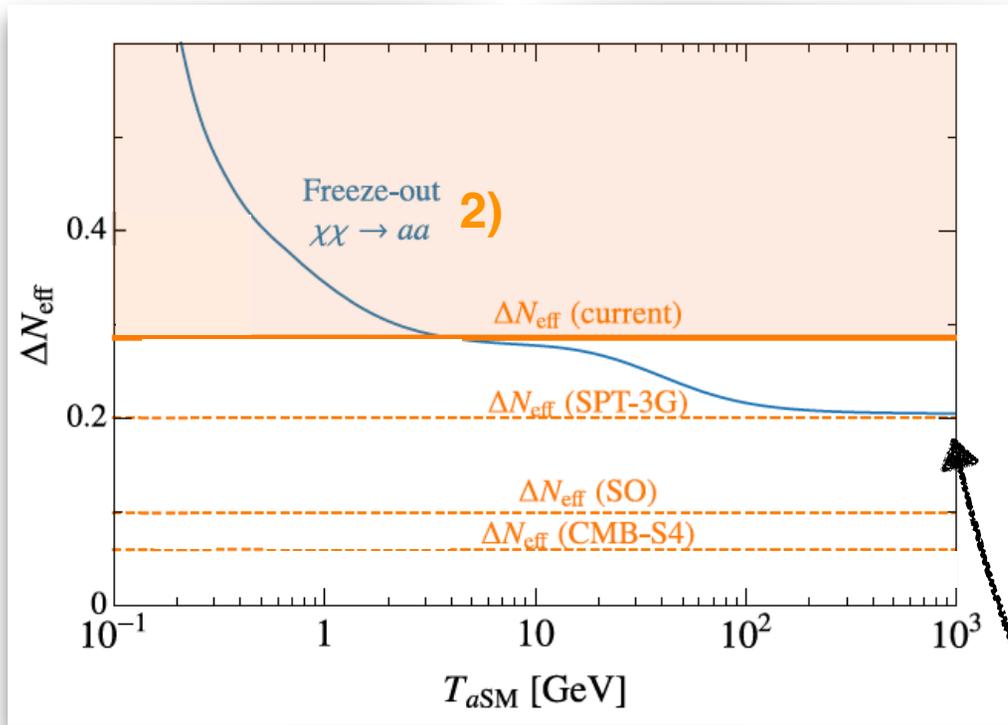
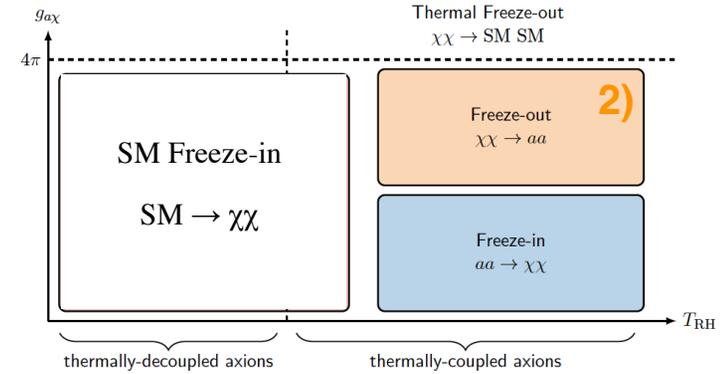
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DM annihilates into QCD axions, which remain relativistic today

➔ significant source of dark radiation.

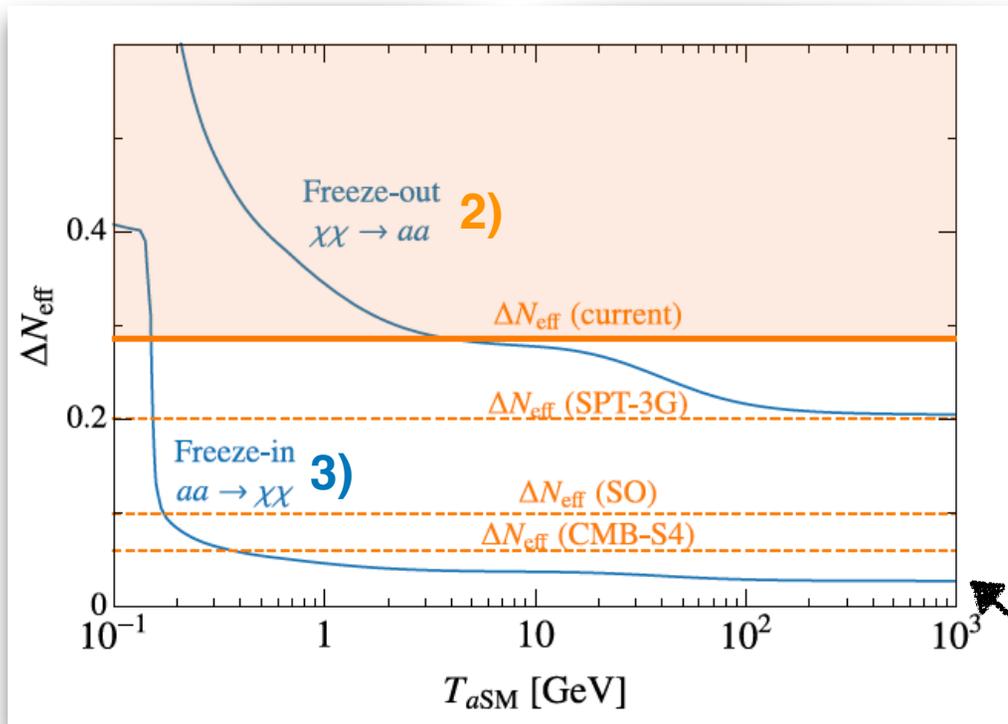
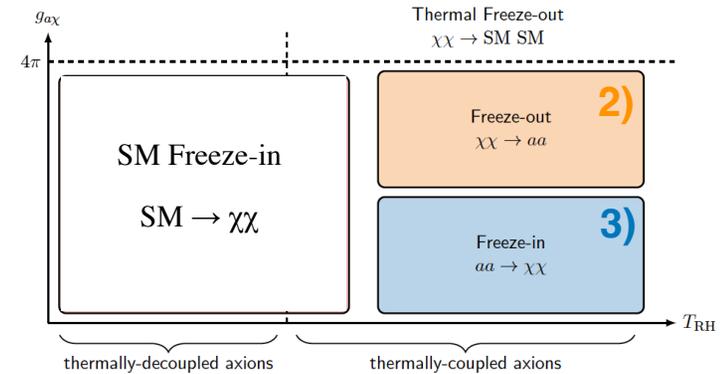
Future experiments will be able to **completely probe** the freeze-out scenario

2), 3) Dark Matter from axion freeze-out or freeze-in

No coupling with the SM is necessary



In general, challenging to test experimentally



secluded freeze-in

$$T_{aSM} \lesssim T_{RH} \lesssim T_{\chi SM} \text{ and } T_{RH} \gtrsim T_{ax}$$

3) axion is thermalized in the early universe

$$\frac{\Omega_{\chi}}{\Omega_{DM}} \sim \left(\frac{g_{a\chi}}{3 \times 10^{-6}} \right)^4 \quad \text{natural regime}$$

The QCD axions will contribute to N_{eff} , but now the DM does not have a sizable energy density \rightarrow the evolution of the dark sector temperature will be different than in the freeze-out case

The freeze-in scenario is **pretty hidden**, even to future experiments

Take home message from this first part

QCD axion-mediated DM models are a minimal extension of the SM.

Interesting interplay between UV and IR physics.

Astrophysical and cosmological data lead to interesting constraints on the model.

It is challenging to test these models at lab-based experiments.

(this is due to the stringent constraints on the QCD axion-SM couplings. Large f_a !)

Beyond the QCD axion mediator

What if we extend this framework to much heavier axions/axion-like-particles?

Recently, there has been a revival of models addressing the strong CP problem with **multiple heavier axions**.

(Dimopoulos et al., 1606.03097; Agrawal, Howe, 1710.04213; Foster, Kumar, Safdi, Soreq, 2208.10504, ...)

In these models, it is easier to address the **axion quality problem**. I.e., smaller shift in the $\bar{\theta}$ parameter in case of PQ breaking at some UV scale, Λ_{UV} , by a D-dimensional operator:

$$\delta\bar{\theta} \sim \frac{f_a^{D-2}}{m_a^2 \Lambda_{UV}^{D-4}}$$

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Example model:

$$\mathcal{L} \supset c_{GG} \frac{\alpha_s}{4\pi} \frac{a}{f_a} G_{\mu\nu}^a \tilde{G}^{a,\mu\nu}$$

and with a generic mass,

$$m_a f_a \neq f_\pi m_\pi$$

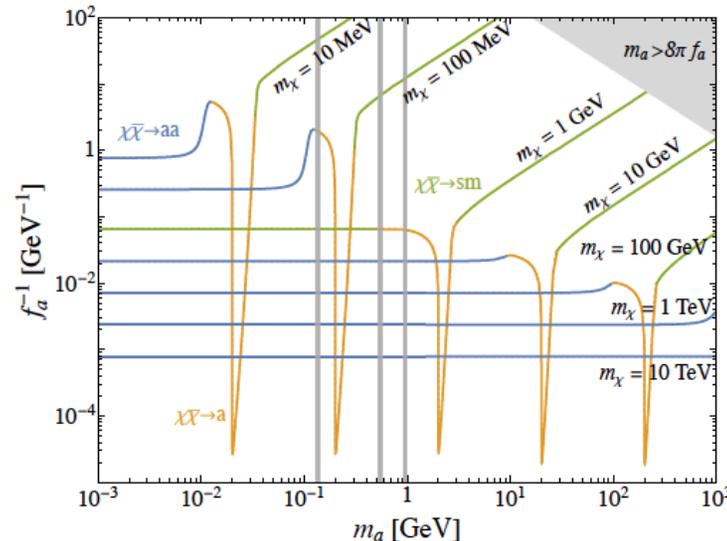
DM freeze-out can arise from several processes depending on the ALP-DM mass spectrum:

$$\chi\bar{\chi} \rightarrow aa$$

$$\chi\bar{\chi} \rightarrow a \rightarrow \text{SM}$$

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This minimal model can lead to the measured DM relic abundance through **freeze-out**



Fitzpatrick et al,
2306.03128

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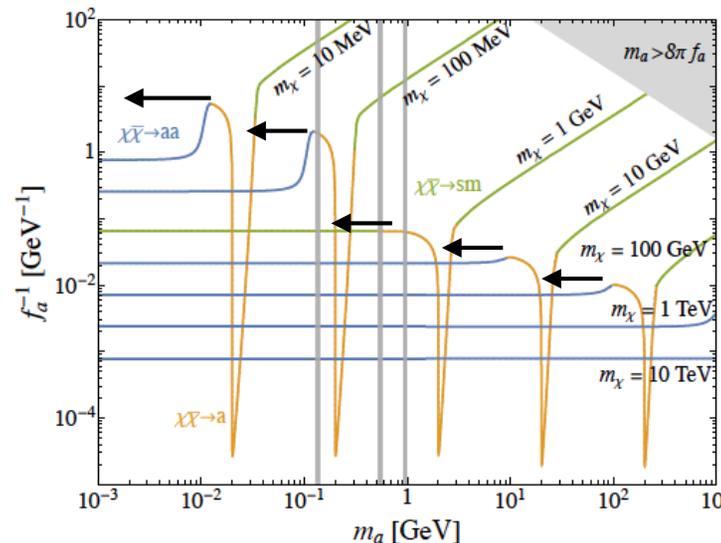
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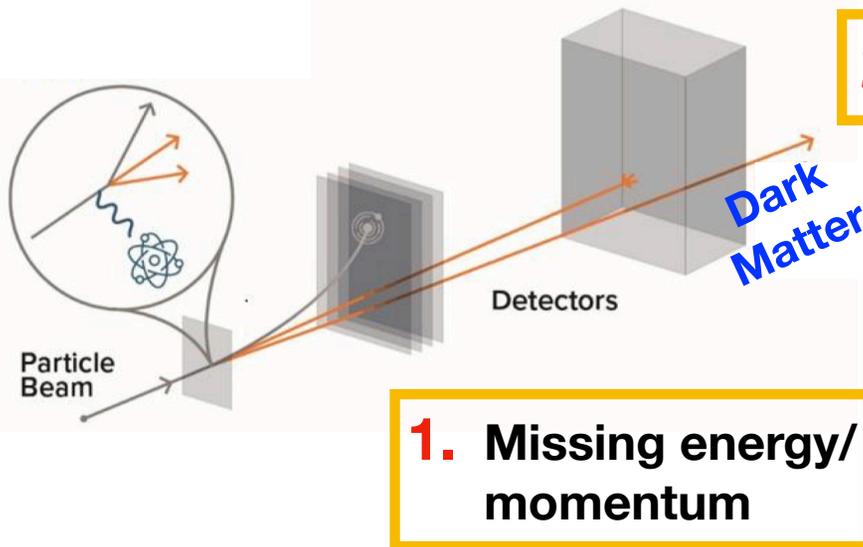
Lab-tests for $m_a < m_{DM}$?

SG, Knapen, Lin, Munbodh, Suter, 2506.11191

Fitzpatrick et al, 2306.03128

Classes of high-intensity experiments for DM/dark sectors

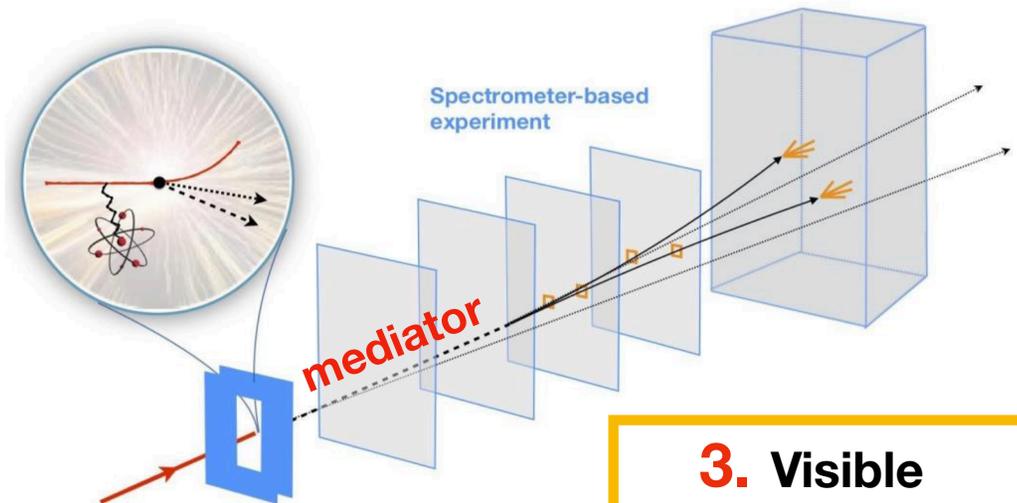
Production of dark matter



2. Re-scattering

Fixed target experiments

Production of the mediator



Flavor factories

Several experiments are running and will be running in the coming years (B, kaon, and pion factories)

4. DM and mediators produced from meson decays (e.g., $B \rightarrow K a$)

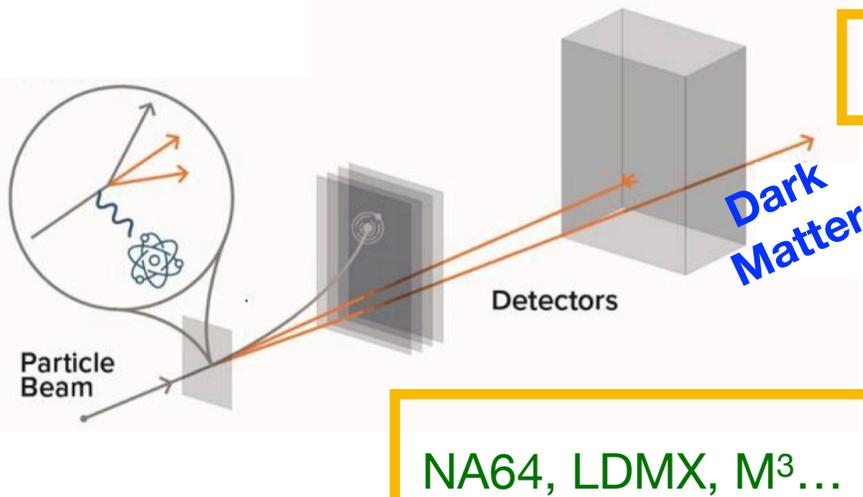
https://science.osti.gov/-/media/hep/pdf/Reports/Dark_Matter_New_Initiatives_rpt.pdf

** The production does not depend much on the nature of DM/mediator.

** Production of relativistic DM/mediators

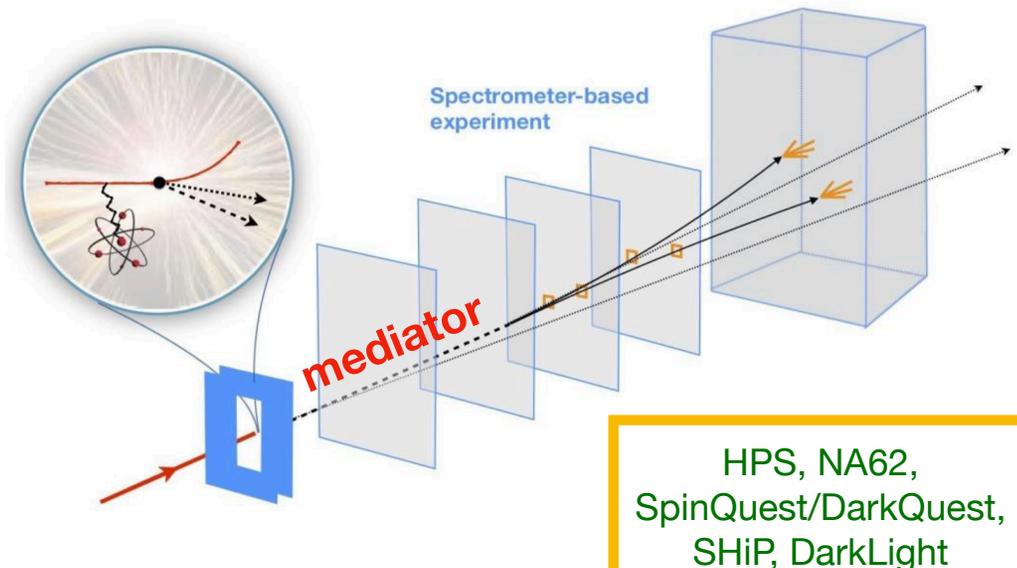
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Belle II
NA62, KOTO
PIONEER

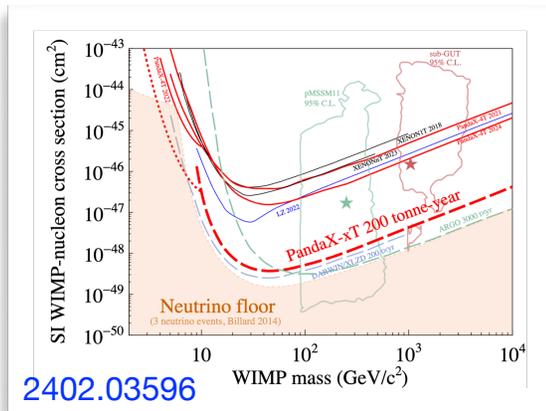
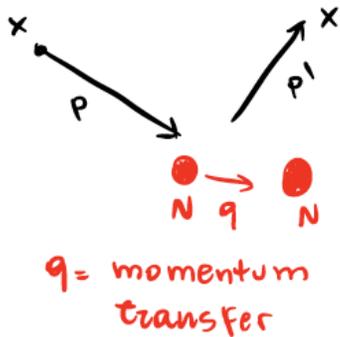
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DM at direct detection experiments

“Classic” direct detection:



$$E_R^{\max} = \frac{q_{\max}^2}{2m_N} = \frac{2\mu_{\chi N}^2 v^2}{m_N}$$

DM velocity

Reduced mass of the DM-nucleus system:

$$\mu_{\chi N} = \frac{m_{\chi} m_N}{m_{\chi} + m_N} \simeq m_{\chi}$$

E.g., $m_{\chi} = 1\text{ GeV} \rightarrow E_R^{\max} \sim 0.01\text{ keV}$

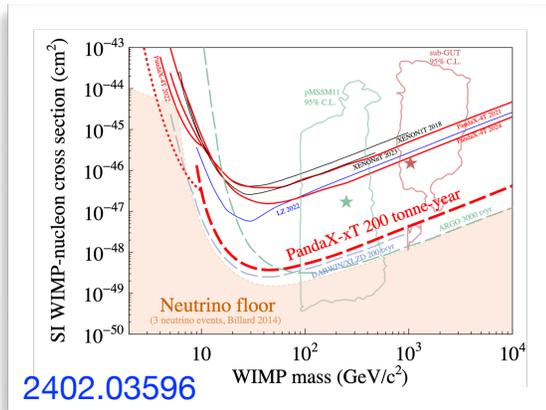
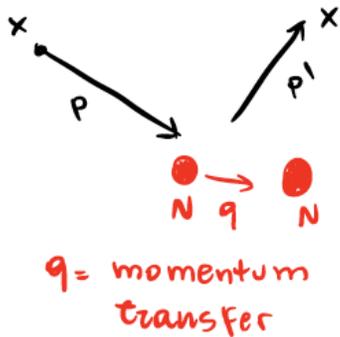
$E_R \geq O(\text{keV})$ in most experiments

This gives a ~lower bound on the DM masses we can probe.

➔ **Challenging to probe $m_{\text{DM}} < O(\text{GeV})$**

DM at direct detection experiments

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➔ **Challenging to probe $m_{\text{DM}} < O(\text{GeV})$**

Several techniques developed in the past few years:

DM-electron scattering

Very low energy thresholds.

It allows to transfer $O(1)$ amount of DM kinetic energy

Migdal effect

Nuclear recoil + delayed electron signal (excitation / ionization of the atom)

Low-threshold detectors

For a review: [Kahn, Lin, 2108.03239](#)

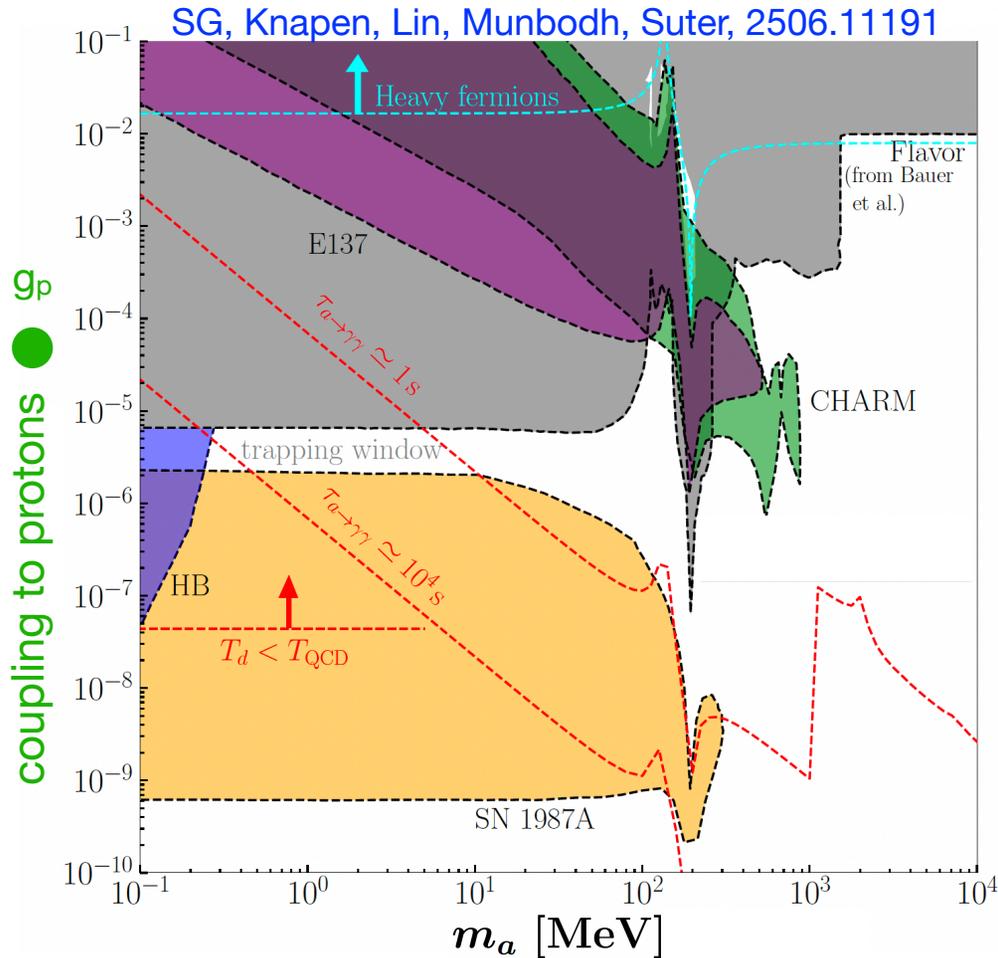
The cross section strongly depends on the DM nature

E.g., velocity suppression if DM = Majorana fermion and the mediator is a dark photon

DM is non-relativistic!

Testing the mediator (high intensity+astrophysics)

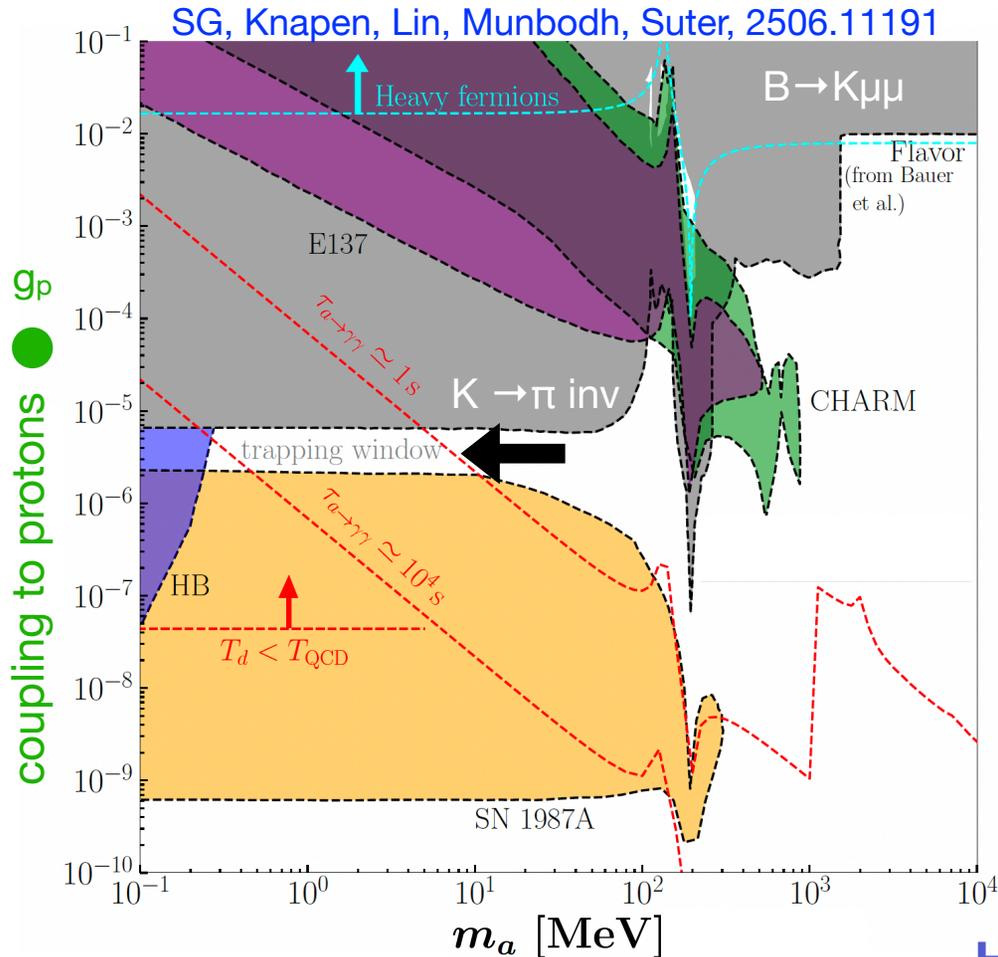
Going back to our axion model... $\mathcal{L} \supset c_{GG} \frac{\alpha_s}{4\pi} \frac{a}{f_a} G_{\mu\nu}^a \tilde{G}^{a,\mu\nu}$



Note: the mediator is lighter than DM
 → it decays back to the SM

Testing the mediator (high intensity+astrophysics)

Going back to our axion model... $\mathcal{L} \supset c_{GG} \frac{\alpha_s}{4\pi} \frac{a}{f_a} G_{\mu\nu}^a \tilde{G}^{a,\mu\nu}$



Flavor probes:

$K \rightarrow \pi$ inv, $B \rightarrow K\mu\mu$

Fixed target experiments:

E137 (primakoff + strahlung production)

CHARM (axion from meson decays)

Supernova (SN1987a) bounds:

axion emission leads to a modified neutrino spectrum observed in the Kamiokande II detector

Trapping window: the axion cannot free stream out of the protoneutron star

Horizontal branch (HB):

the axion reduces the ratio of horizontal branch stars to red giant branch stars in globular clusters

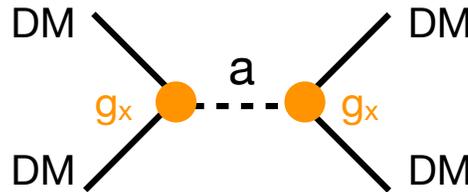
What about future fixed targets (DarkQuest), LHC auxiliary detectors, and Kaon/B factories?

Testing the DM: self-interactions

Viscosity cross section:
$$\sigma_V \equiv \int d\Omega \frac{d\sigma}{d\Omega} (1 - \cos^2 \theta) \lesssim (1.1 \text{cm}^2/g) m_\chi$$

$$v \approx 0.005c$$

(galaxy group)



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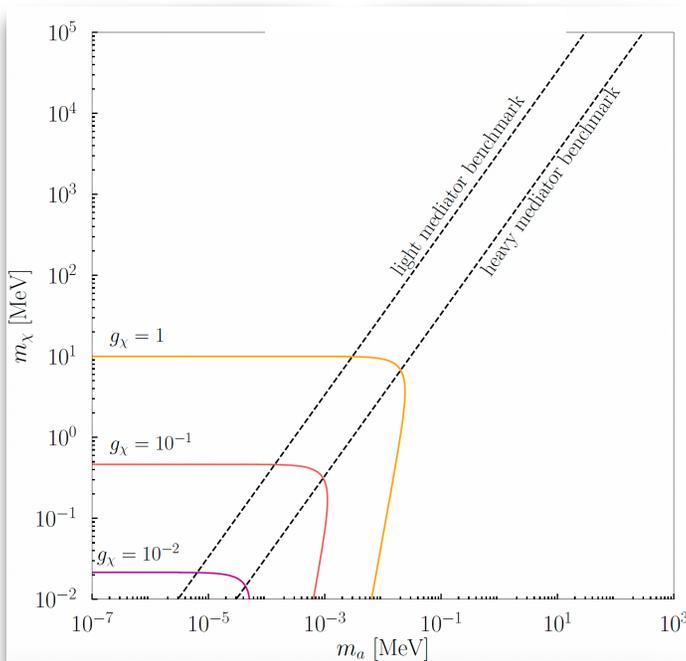
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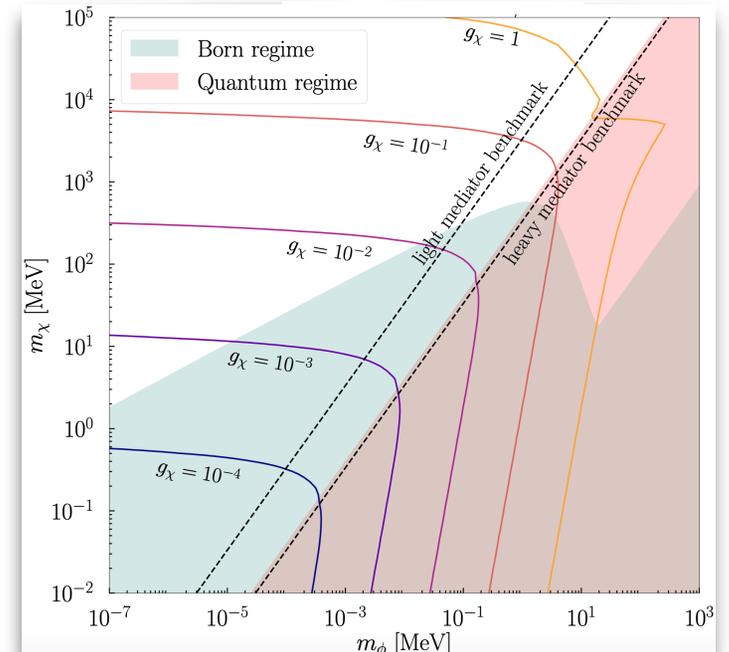
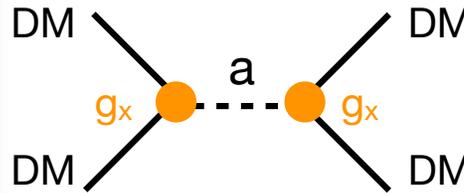
Most natural

No Sommerfeld enhancement
 for **pseudoscalar** coupling with DM

Less straightforward calculation,
 if the ALP has a **scalar** coupling with DM



Relatively weak constraints



Stronger constraints

- light mediator: $m_a = 0.3 q_0 = 0.3 m_\chi v_0$
- heavy mediator: $m_a = 3 q_0 = 3 m_\chi v_0$

DM Direct detection: nuclear recoil and phonon excitation

For light DM, the deBroglie wavelength $>$ interatomic spacing.

“Billiard ball” nuclear recoil not applicable.

The DM sees more than one atom at a time.

Basic idea

 Phonon excitations
(many coupled harmonic oscillators)

DM Direct detection: nuclear recoil and phonon excitation

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Basic idea

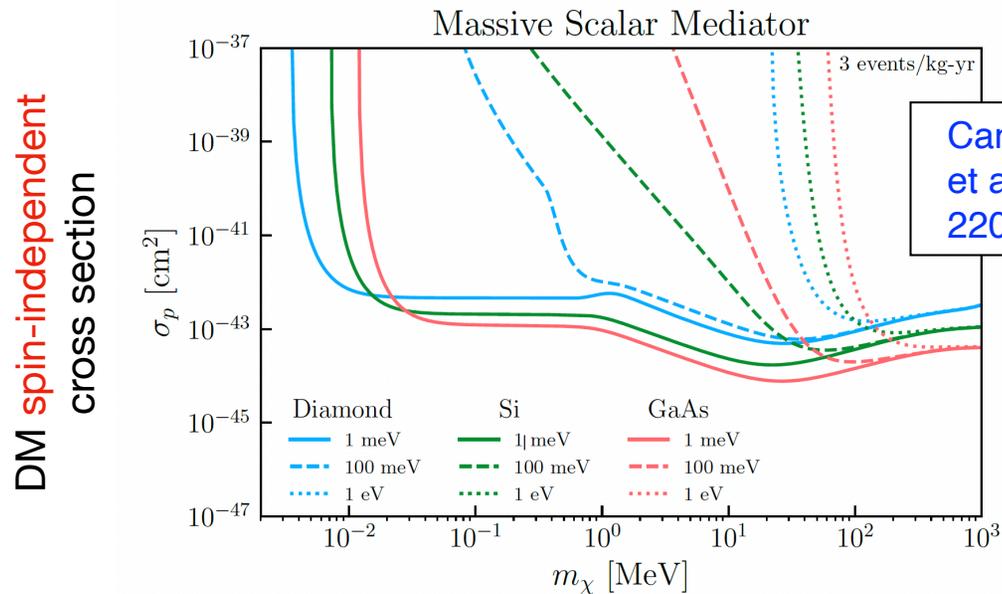
➔ **Phonon excitations**
 (many coupled harmonic oscillators)

Expansion in the number of final state phonons,
 where each phonon comes with a factor of

$$\frac{q}{\sqrt{2m_d\bar{\omega}_d}}$$

(m_d and $\bar{\omega}_d$ are the mass and average oscillation frequency of the atom at position d)

Knapen et al., 1712.06598,
 Griffin et al., 1807.10291
 Campbell-Deem et al., 1911.03482,
 Kahn et al., 2011.09477, ...



← One phonon approximation multiphonon excitation → Nuclear recoil

DM Direct detection: nuclear recoil and phonon excitation

For light DM, the deBroglie wavelength $>$ interatomic spacing.
 “Billiard ball” nuclear recoil not applicable.
 The DM sees more than one atom at a time.

Basic idea

➔ **Phonon excitations**
 (many coupled harmonic oscillators)

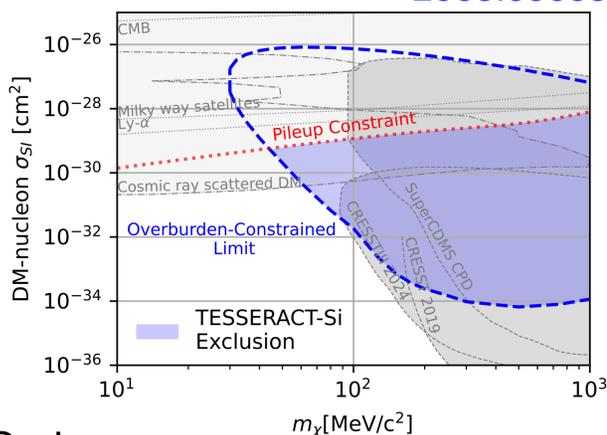
Expansion in the number of final state phonons,
 where each phonon comes with a factor of

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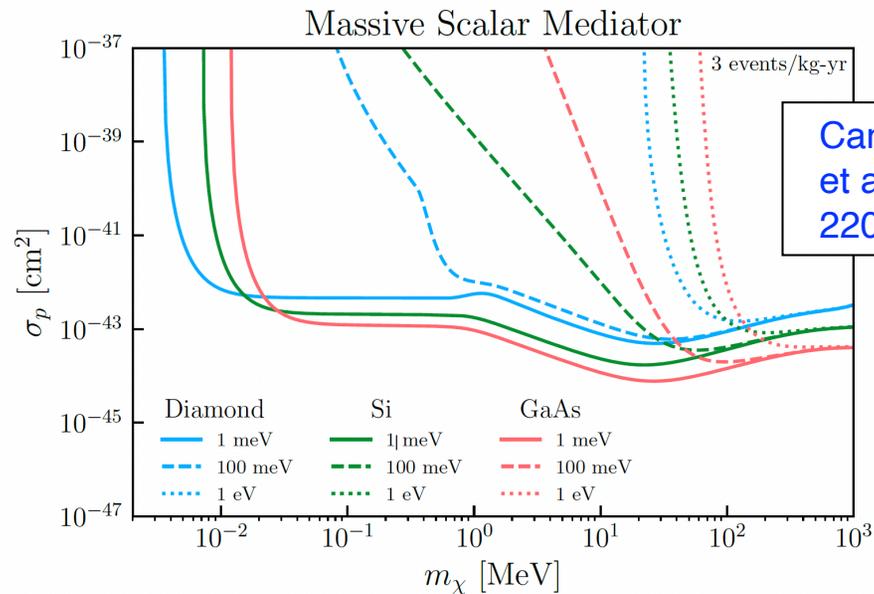
(m_d and ω_d are the mass and average oscillation frequency of the atom at position d)

NEW: TESSERACT experiment at Berkeley started setting bounds using this technique (threshold energy=1.5eV; 0.233 g × 12 hours)

2503.03683



DM spin-independent cross section



← One phonon approximation multiphonon excitation → Nuclear recoil

DM Direct detection: nuclear recoil and phonon excitation

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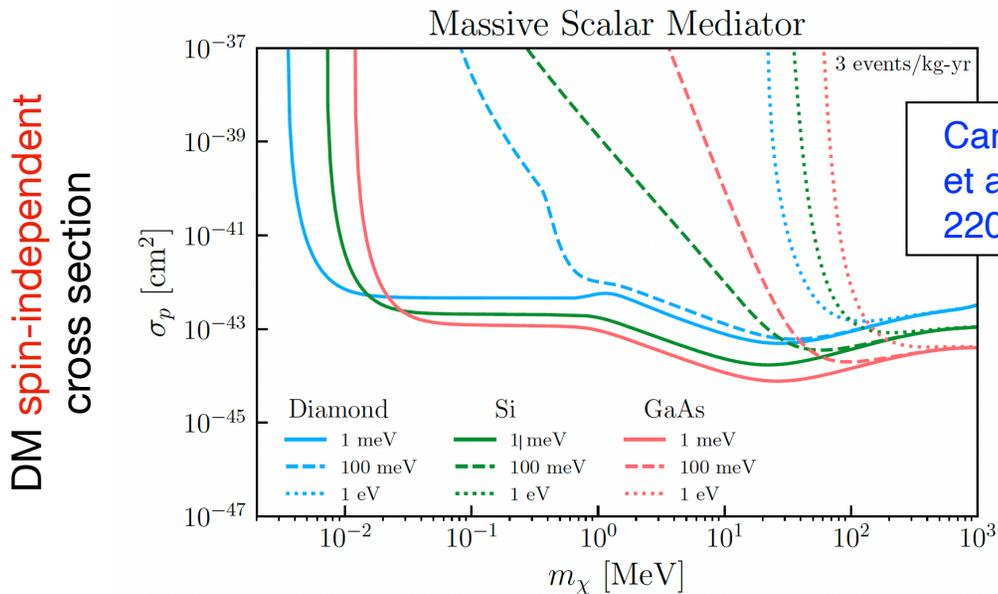
$$\frac{q}{\sqrt{2m_d\bar{\omega}_d}}$$

(m_d and $\bar{\omega}_d$ are the mass and average oscillation frequency of the atom at position d)

Our model predicts spin-dependent interactions

Can we use these novel ideas for this scenario?

Not much has been done so far for spin-dependent interactions...



← One phonon approximation multiphonon excitation → Nuclear recoil

Spin-dependent DM interactions

These interactions are generically more challenging to probe

In fact, spin-dependent scattering loses the coherent enhancement.

The present bounds on the scattering cross sections are ~6-7 orders of magnitude weaker than for spin-independent interactions

Our axion model predicts a spin-dependent transition

$$\begin{cases} \mathcal{L}_\phi &= \phi [g_\chi \bar{\chi}\chi + g_p \bar{p}\gamma^5 p + g_n \bar{n}\gamma^5 n] \\ \mathcal{L}_a &= a [g_\chi \bar{\chi}\gamma_5\chi + g_p \bar{p}\gamma^5 p + g_n \bar{n}\gamma^5 n] \end{cases} \quad \leftarrow \quad \mathcal{L} \supset c_{GG} \frac{\alpha_s}{4\pi} \frac{a}{f_a} G_{\mu\nu}^a \tilde{G}^{a,\mu\nu}$$

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How to compute...

DM-nucleon interaction Hamiltonian in the non-relativistic limit:

$$\begin{aligned} \mathcal{H}_\phi &= -\frac{g_\chi g_p}{m_p} \frac{\mathbf{q} \cdot \mathbf{S}_p}{q^2 + m_\phi^2} e^{i\mathbf{q} \cdot \mathbf{r}} \quad \text{velocity suppression} \\ \mathcal{H}_a &= -\frac{g_\chi g_p}{m_p m_\chi} \frac{(\mathbf{q} \cdot \mathbf{J}_\chi)(\mathbf{q} \cdot \mathbf{S}_p)}{q^2 + m_a^2} e^{i\mathbf{q} \cdot \mathbf{r}} \quad \text{additional velocity suppression} \end{aligned} \quad \Rightarrow \quad \mathcal{H} = -\frac{g_\chi g_p}{q_0^2 + m_{\text{med}}^2} F_{\text{med}}(\mathbf{q}) \mathcal{O}(\mathbf{J}_\chi, \mathbf{q}) \cdot \mathbf{S}_p e^{i\mathbf{q} \cdot \mathbf{r}}$$

$$F_{\text{med}}(\mathbf{q}) = \frac{q_0^2 + m_{\text{med}}^2}{q^2 + m_{\text{med}}^2} \quad \begin{aligned} q_0 &= m_\chi v_0, \\ v_0 &= 220 \text{ km/s} \end{aligned}$$

DM-nucleus interaction Hamiltonian :

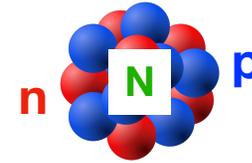
$$\mathcal{H}^N = -\frac{g_\chi g_N}{q_0^2 + m_{\text{med}}^2} F_{\text{med}}(\mathbf{q}) \mathcal{O}(\mathbf{J}_\chi, \mathbf{q}) \cdot \mathbf{J} e^{i\mathbf{q} \cdot \mathbf{r}} \quad g_N \equiv g_p f_p + g_n f_n$$

Using the Wigner-Eckart theorem: $f_{p/n} = \frac{\langle \mathbf{J} \mathbf{J} | \mathbf{S}_{p/n}^{\text{tot},z} | \mathbf{J} \mathbf{J} \rangle}{J}$ nuclear form factors

From nuclei to crystals

Nuclei, N

$$\mathcal{H}^N = -\frac{g_\chi g_N}{q_0^2 + m_{\text{med}}^2} F_{\text{med}}(\mathbf{q}) \mathcal{O}(\mathbf{J}_\chi, \mathbf{q}) \cdot \mathbf{J} e^{i\mathbf{q}\cdot\mathbf{r}}$$



matching

$$\mathcal{H}^c(\mathbf{q}) = -\frac{g_\chi g_p}{q_0^2 + m_{\text{med}}^2} F_{\text{med}}(\mathbf{q}) \sum_{\ell,d} \lambda_{\ell,d} \mathcal{O}(\mathbf{J}_\chi, \mathbf{q}) \cdot \mathbf{J}_{\ell,d} e^{i\mathbf{q}\cdot\mathbf{r}_{\ell,d}}$$

$$\lambda_{\ell,d} = \frac{g_{N_{\ell,d}}}{g_p}$$

random spin states

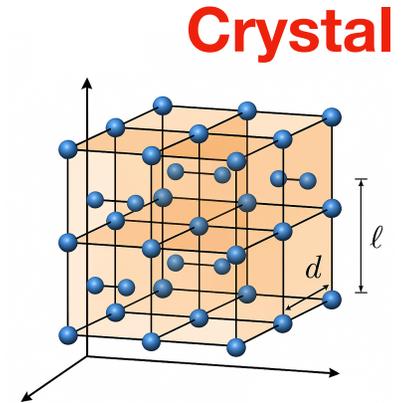
$$\Gamma = \frac{2\pi}{V} \sum_{i,f} \sum_{i_\chi, f_\chi} w_i w_{i_\chi} \int \frac{d^3q}{(2\pi)^3} |\langle f, f_\chi | \mathcal{H}^c(\mathbf{q}) | i, i_\chi \rangle|^2 \delta(E_f - \omega - E_i)$$

ω = energy deposited by DM

i (f) = initial (final) state of the crystal

i_χ, f_χ = initial (final) state of DM

$$|i\rangle = |i_s\rangle \otimes |0\rangle$$

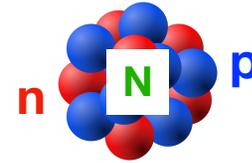


we consider an unpolarized crystal

From nuclei to crystals

Nuclei, N

$$\mathcal{H}^N = -\frac{g_\chi g_N}{q_0^2 + m_{\text{med}}^2} F_{\text{med}}(\mathbf{q}) \mathcal{O}(\mathbf{J}_\chi, \mathbf{q}) \cdot \mathbf{J} e^{i\mathbf{q}\cdot\mathbf{r}}$$



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random spin states

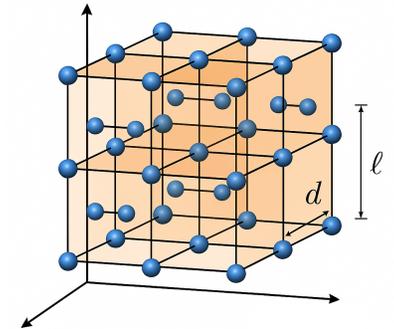
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we consider an unpolarized crystal

$$\Gamma = \frac{g_\chi^2 g_p^2}{(q_0^2 + m_{\text{med}}^2)^2} \frac{N}{V} \int \frac{d^3\mathbf{q}}{(2\pi)^3} |F_{\text{med}}(\mathbf{q})|^2 G(\mathbf{q}) S(\mathbf{q}, \omega)$$

$$G(\mathbf{q}) \equiv \frac{1}{3} \sum_{i_\chi} w_{i_\chi} \langle i_\chi | \mathcal{O}(\mathbf{J}_\chi, \mathbf{q}) \cdot \mathcal{O}(\mathbf{J}_\chi, \mathbf{q}) | i_\chi \rangle \quad \text{DM part of the rate}$$

Crystal part of the rate

$$S(\mathbf{q}, \omega) = \sum_d \lambda_d^2 J_d (J_d + 1) C_{\ell,d}(\mathbf{q}, \omega), \quad C_{\ell,d}(\mathbf{q}, \omega) \equiv \int_{-\infty}^{+\infty} dt e^{i\omega t} \langle 0 | e^{-i\mathbf{q}\cdot\mathbf{r}_{\ell,d}(0)} e^{i\mathbf{q}\cdot\mathbf{r}_{\ell,d}(t)} | 0 \rangle$$

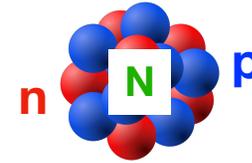
different than in the

computed for spin-independent interactions

From nuclei to crystals

Nuclei, N

$$\mathcal{H}^N = -\frac{g_\chi g_N}{q_0^2 + m_{\text{med}}^2} F_{\text{med}}(\mathbf{q}) \mathcal{O}(\mathbf{J}_\chi, \mathbf{q}) \cdot \mathbf{J} e^{i\mathbf{q}\cdot\mathbf{r}}$$



matching

$$\mathcal{H}^c(\mathbf{q}) = -\frac{g_\chi g_p}{q_0^2 + m_{\text{med}}^2} F_{\text{med}}(\mathbf{q}) \sum_{\ell,d} \lambda_{\ell,d} \mathcal{O}(\mathbf{J}_\chi, \mathbf{q}) \cdot \mathbf{J}_{\ell,d} e^{i\mathbf{q}\cdot\mathbf{r}_{\ell,d}}$$

$$\lambda_{\ell,d} = \frac{g_{N_{\ell,d}}}{g_p}$$

random spin states

$$\Gamma = \frac{2\pi}{V} \sum_{i,f} \int d^3\mathbf{a}$$

What is the expected number of events in the parameter space that remains unexplored by other measurements? (i.e., searches for the axion mediator + DM self-interaction)

$$|i\rangle = |i_s\rangle$$

$$G(\mathbf{q}) \equiv \frac{1}{3} \sum_{i_\chi} w_{i_\chi} \langle i_\chi | \mathcal{O}(\mathbf{J}_\chi, \mathbf{q}) \cdot \mathcal{O}(\mathbf{J}_\chi, \mathbf{q}) | i_\chi \rangle \quad \text{DM part of the rate}$$

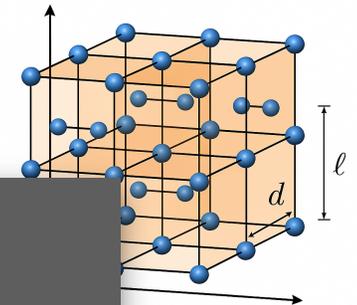
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different than in the

computed for spin-independent interactions

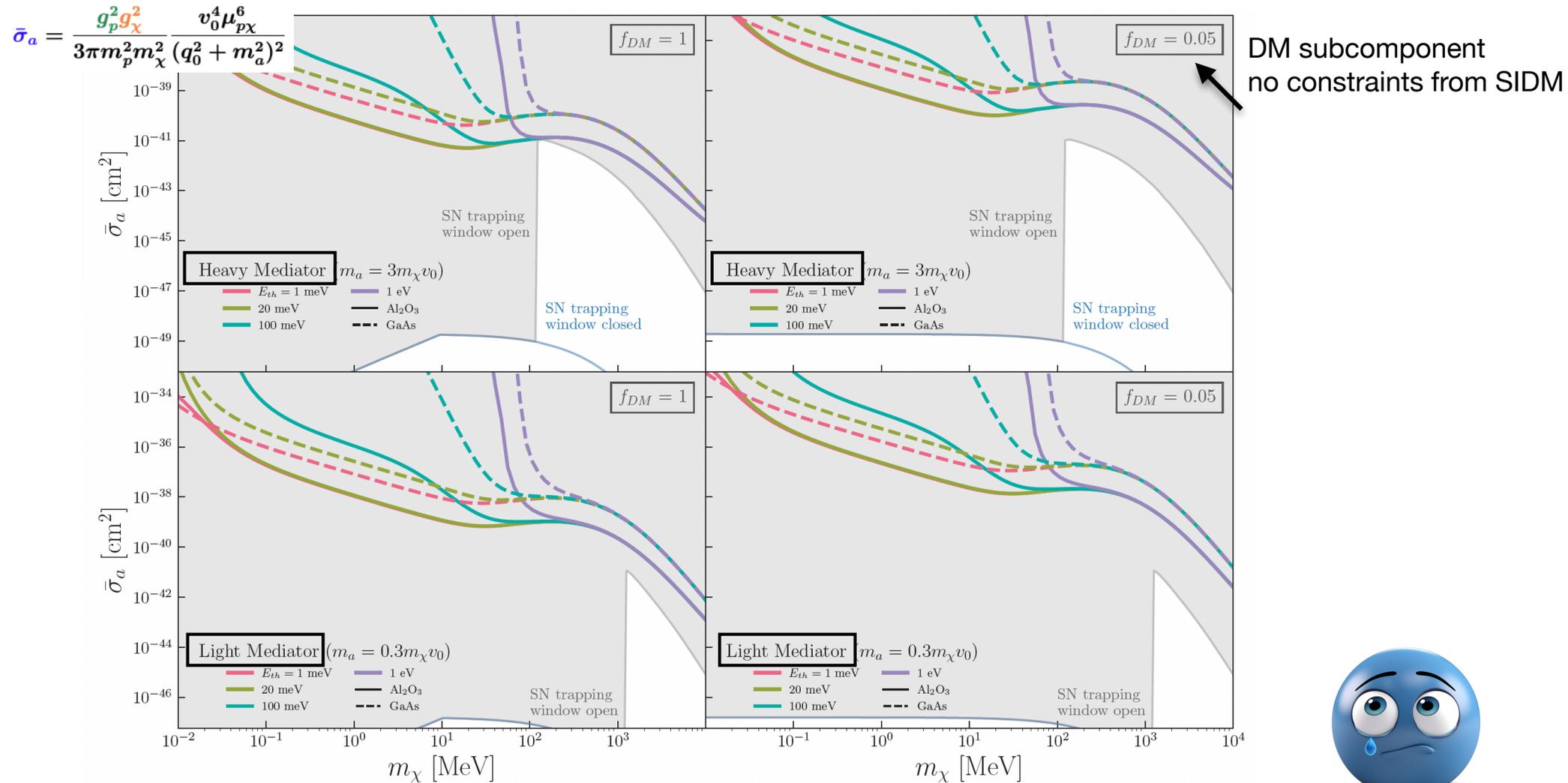
Crystal



consider an
ed crystal

How many events at direct detection? a

Taking the max allowed g_x and g_p for each value of m_x :



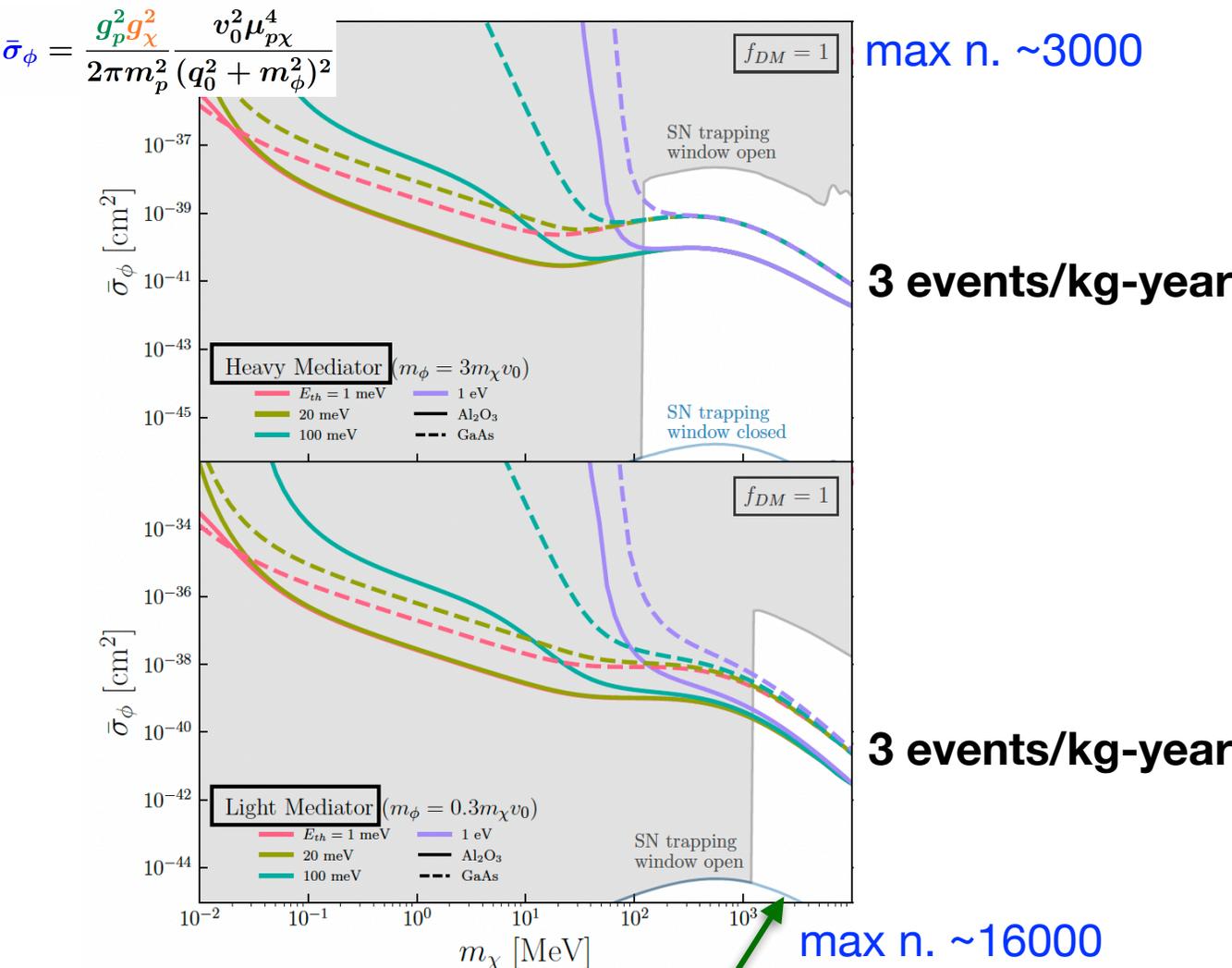
different threshold energies
 different materials
 ——— 3 events / kg / year

 SN trapping window open
 SN trapping window closed

$$\mathcal{L}_a = a [g_\chi \bar{\chi} \gamma_5 \chi + g_p \bar{p} \gamma^5 p + g_n \bar{n} \gamma^5 n]$$

How many events at direct detection? ϕ

Taking the max allowed g_x and g_p for each value of m_χ :



different threshold energies

different materials

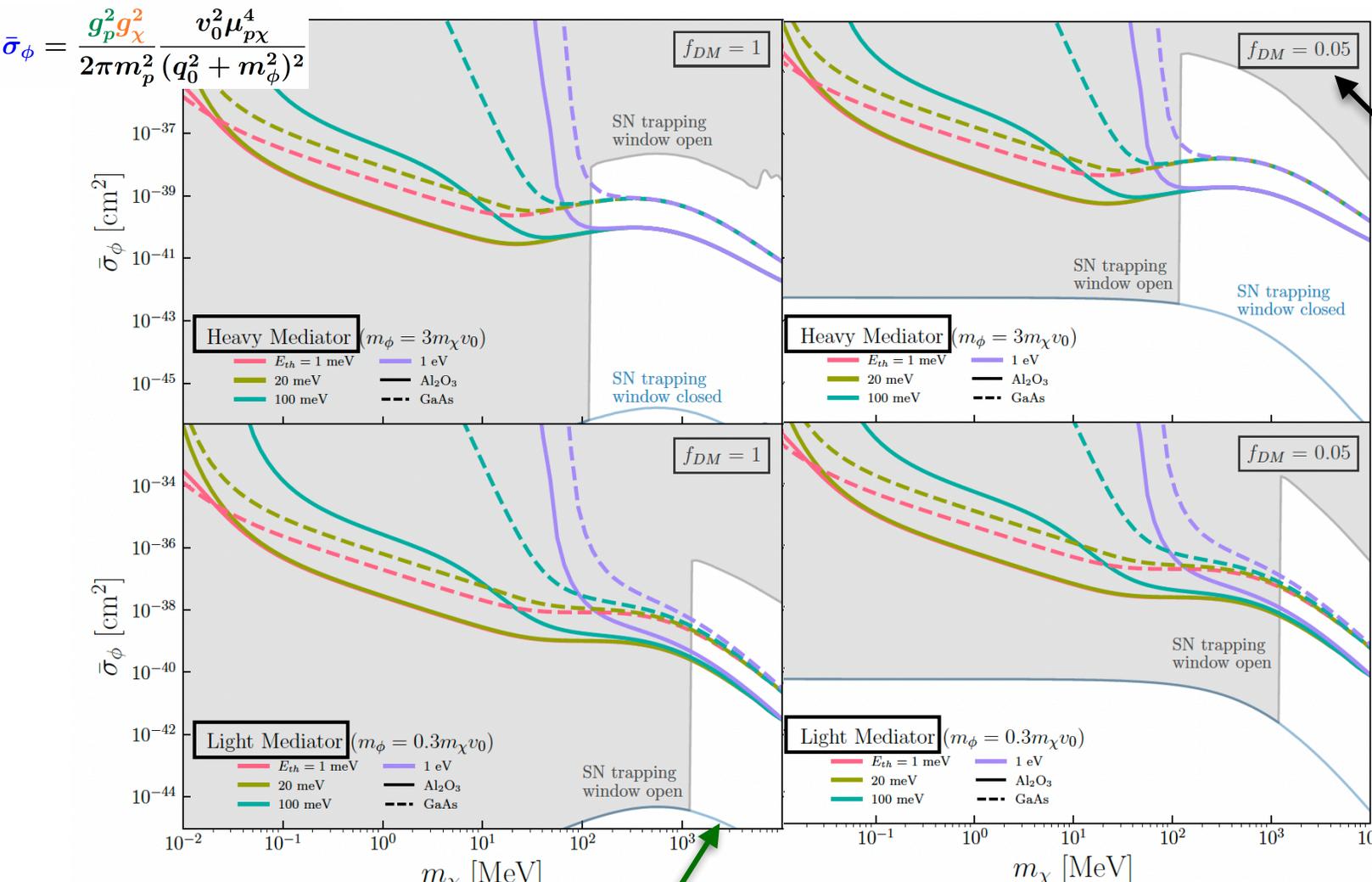
Bound if the supernova "trapping window" gets closed in the future

$$\mathcal{L}_\phi = \phi [g_\chi \bar{\chi}\chi + g_p \bar{p}\gamma^5 p + g_n \bar{n}\gamma^5 n]$$



How many events at direct detection? ϕ

Taking the max allowed g_x and g_p for each value of m_χ :



DM subcomponent no constraints from SIDM (in general larger g_{ax})

max n. ~6000



max n. ~110000

different threshold energies
 different materials

Bound if the supernova "trapping window" gets closed in the future

$$\mathcal{L}_\phi = \phi [g_\chi \bar{\chi}\chi + g_p \bar{p}\gamma^5 p + g_n \bar{n}\gamma^5 n]$$



Outlook

Axion or ALP mediated Dark Matter (DM) models are simple and theoretically very well motivated.

Several cosmological histories can lead to the measured DM abundance.

Complementarity between searches for the axion or ALP mediator (astrophysics + high-intensity experiments) and searches for DM (self-interaction + direct detection).

Spin-dependent interactions between DM and the Standard Model are less explored and they arise in axion or ALP mediated models.

Interesting prospects to search for these interactions using direct detection experiments.

One or multiple phonon excitations in crystals.

Bounds on QCD axion-mediated DM

A minimal model:

let's take the QCD axion (either KSVZ or DFSZ) and let's couple it to a singlet Dirac fermion DM candidate:

$$\mathcal{L} \supset \frac{c_\chi}{2f_a} \partial_\mu a \bar{\chi} \gamma^\mu \gamma_5 \chi$$

A small set of free parameters fixes the cosmology of the model: $f_a, m_\chi, g_{a\chi} \equiv \frac{c_\chi m_\chi}{f_a}, T_{\text{RH}}$ (eventually $\tan\beta$ in DFSZ)

Couplings	KSVZ	DFSZ
Gluons		$\frac{\alpha_s}{8\pi f_a}$
Photons	$-\frac{\alpha}{8\pi f_a} (1.924)$	$\frac{\alpha}{8\pi f_a} \left(\frac{8}{3} - 1.924\right)$
Quarks	Loop suppressed	up : $\frac{\cos^2 \beta}{6f_a}$, down : $\frac{\sin^2 \beta}{6f_a}$
Leptons	Loop suppressed	Type I : $\frac{\sin^2 \beta}{6f_a}$, Type II : $-\frac{\cos^2 \beta}{6f_a}$

Bounds from DM self-interaction:

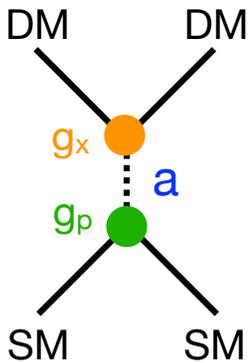
$$c_\chi \frac{m_\chi}{f_a} \equiv g_{a\chi} \lesssim 0.21 \left(\frac{m_\chi}{1 \text{ MeV}} \right)^{3/4}$$

$$f_a \gtrsim \begin{cases} 3.9 \times 10^8 \text{ GeV} & \text{(KSVZ)} & \text{supernova cooling bounds (axion-nucleon interaction)} \\ 1.2 \times 10^9 \text{ GeV} \sin^2 \beta & \text{(DFSZ-I)} & \text{cooling bounds on red giant (axion-electron interaction)} \\ 1.2 \times 10^9 \text{ GeV} \cos^2 \beta & \text{(DFSZ-II)} & \end{cases}$$

The rates

Results implemented in DarkELF
<https://github.com/tongyin/DarkELF>

$$R_a \sim \frac{\bar{\sigma}_a}{\sum_d m_d} \frac{\rho_\chi}{m_\chi} \frac{m_p^2 m_\chi^2}{v_0^4 \mu_{\chi p}^6} J_\chi(J_\chi + 1) \int d^3v f(v) \int \frac{d^3q}{(2\pi)^3} |F_a(q)|^2 \frac{|q|^4}{m_p^2 m_\chi^2} S(q, \omega)$$



$$\bar{\sigma}_a = \frac{g_p^2 g_x^2}{3\pi m_p^2 m_\chi^2} \frac{v_0^4 \mu_{p\chi}^6}{(q_0^2 + m_a^2)^2}$$

Reference cross section

(it reproduces the DM-proton cross section
in the limit, $m_a \gg q$)

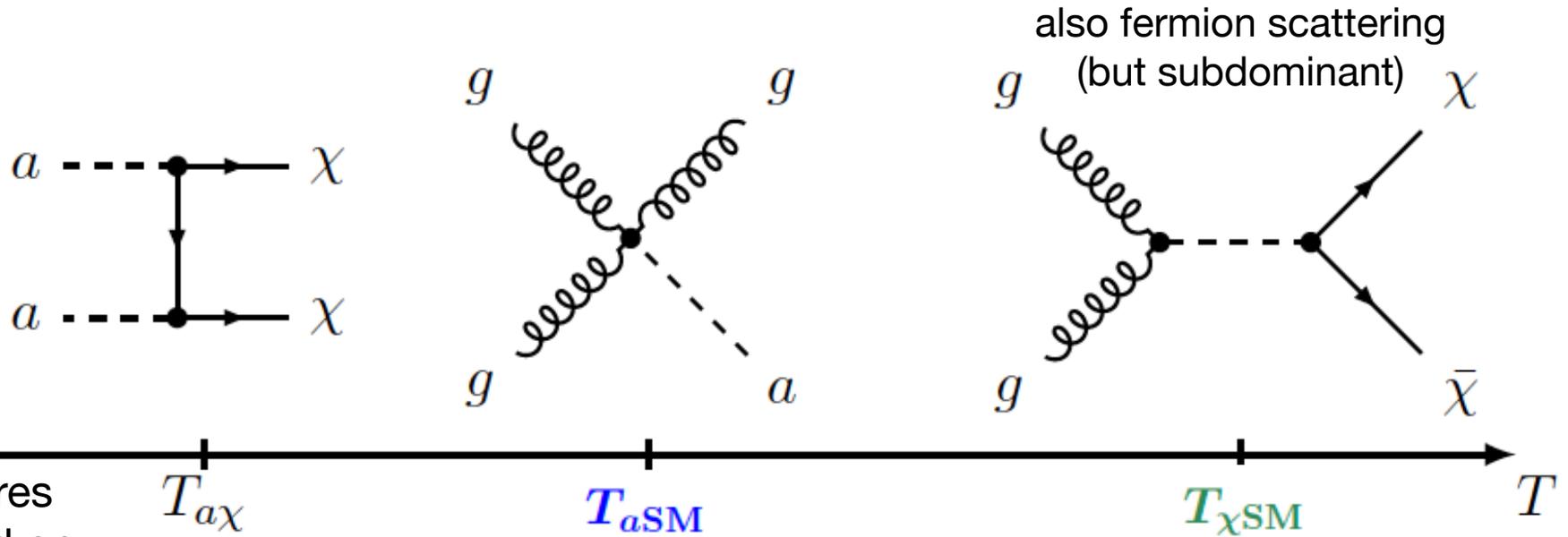
Our approximations:

- * Harmonic Approximation: Decompose into a sum of harmonic oscillators weighted by the phonon density of states (that enters the $C_{l,d}$ function)
- * Isotropic crystal with randomly distributed nuclear spins
- * Nucleus spins are spherically symmetric

How many events we can get in the parameter space that is not probed by other experiments?

Dark Matter thermal history

The thermal history depends on three classes of processes:



temperatures only depend on

$f_a, m_\chi, g_{a\chi}$
 (mild)

$$T_{aSM} \simeq 2 \times 10^4 \text{ GeV} \left(\frac{f_a}{10^9 \text{ GeV}} \right)^2 \rightarrow T_{\chi SM} \gg T_{aSM}$$

$$T_{\chi SM} \simeq 4 \times 10^7 \text{ GeV} \left(\frac{f_a}{10^9 \text{ GeV}} \right)^2 \left(\frac{1}{g_{a\chi}} \right)^2$$

3 possible hierarchies:

(this is shown in the figure above)

- $T_{a\chi} \ll T_{aSM} \ll T_{\chi SM}$
- $T_{aSM} \ll T_{a\chi} \ll T_{\chi SM}$
- $T_{aSM} \ll T_{\chi SM} \ll T_{a\chi}$

see also Salvio et al., 1310.6982

Freeze-in and freeze-out in the dark sector

2) $\chi\bar{\chi} \rightarrow aa$ freeze-out in the dark sector: $T' = \left(\frac{(7/8)g_\chi + g_a}{g_a} \frac{g_{\star,S}(T)}{g_{\star,S}(T_{aSM})} \right)^{1/3} T$

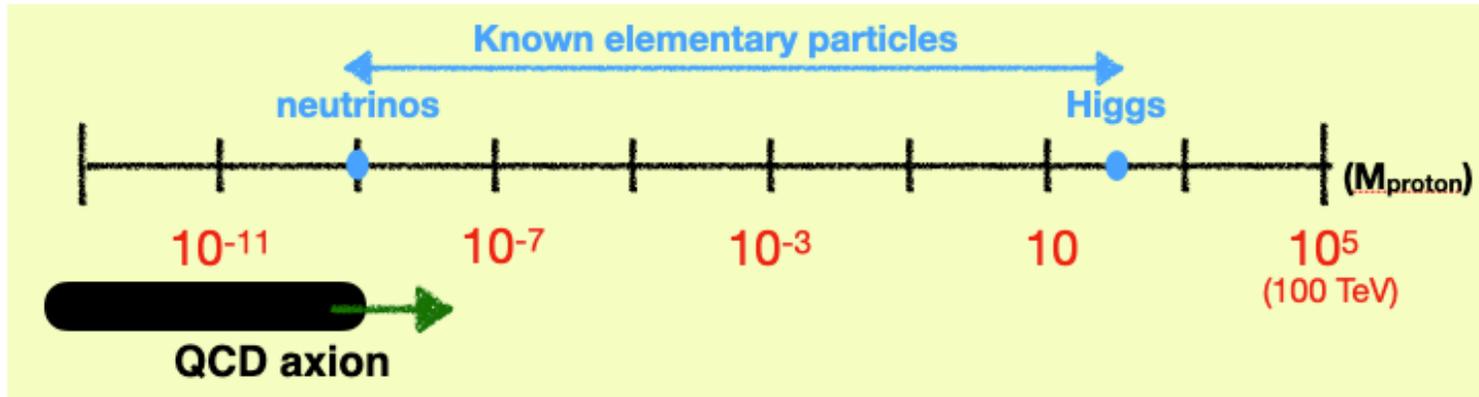
$$\Omega_\chi \simeq \Omega_{\text{DM}} \left[\frac{g_{\star,S}(T_{aSM})}{g_{\star,S}(T_f)} \right]^{1/3} \left(\frac{m_\chi/T_f}{10} \right)^2 \left(\frac{g_\star(T_f)}{15} \right)^{1/2} \left(\frac{15}{g_{\star,S}(T_f)} \right) \left(\frac{m_\chi}{1 \text{ GeV}} \right)^2 \left(\frac{4.4 \times 10^{-2}}{g_{a\chi}} \right)^4$$

even freeze-out can work for perturbative couplings

3) $aa \rightarrow \chi\bar{\chi}$ freeze-in in the dark sector: $T' = \left(\frac{g_{\star,S}(T)}{g_{\star,S}(T_{aSM})} \right)^{1/3} T$

$$\Omega_\chi \simeq \Omega_{\text{DM}} \left[\frac{g_{\star,S}(m_\chi)}{g_{\star,S}(T_{aSM})} \right]^{5/3} \left(\frac{10^3}{g_{\star,S}(m_\chi) \sqrt{g_\star(m_\chi)}} \right) \left(\frac{g_{a\chi}}{3 \times 10^{-6}} \right)^4$$

Heavier axions and the strong CP problem



Models where two or more axions naturally cooperate to address the strong CP problem.

(Some references:

[Agrawal, Howe, 1710.04213](#);

[Foster, Kumar, Safdi, Soreq, 2208.10504](#), ...)

$$SU(3) \times SU(3) \rightarrow SU(3)_D = SU(3)_c$$

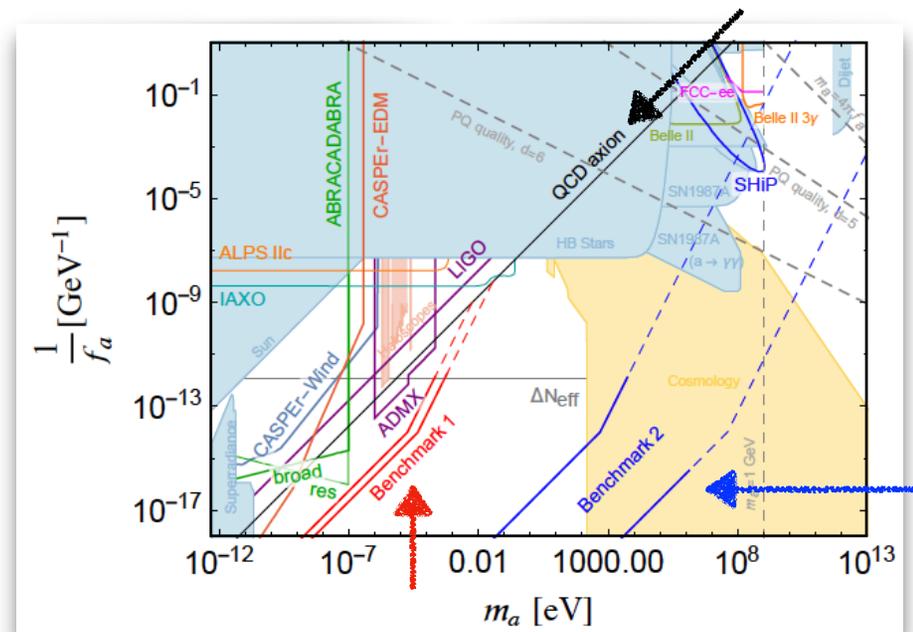
Lot of freedom in the $(m_a - f_a)$ plane.

Also different hierarchies in axion-SM couplings.

Axion quality problem alleviated for heavy axions

if PQ symmetry broken by dimension D operators at Λ_{UV} :

$$\delta\bar{\theta} \sim \frac{f_a^{D-2}}{m_a^2 \Lambda_{UV}^{D-4}}$$



[Agrawal, Howe, 1710.04213](#)

Backup

Phenomenology of the ALP mediator

$$\mathcal{L} \supset c_{GG} \frac{\alpha_s}{4\pi} \frac{a}{f_a} G_{\mu\nu}^a \tilde{G}^{a,\mu\nu}$$

It generates couplings to nucleons (protons and neutrons) as well as photons

$$\mathcal{L}_{n,p} = a(g_p \bar{p}\gamma_5 p + g_n \bar{n}\gamma_5 n)$$

$$\mathcal{L}_{\gamma\gamma} = c_{\gamma\gamma} \frac{\alpha}{4\pi} \frac{a}{f_a} F_{\mu\nu} \tilde{F}^{\mu\nu}$$

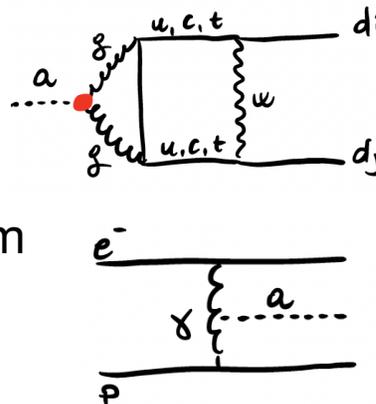
$$\left\{ \begin{array}{l} g_p \approx \frac{m_p}{f_a} c_{GG} \left(g_0 + g_A \frac{m_\pi^2}{m_a^2} A(m_a) \right) \\ g_n \approx \frac{m_p}{f_a} c_{GG} \left(g_0 - g_A \frac{m_\pi^2}{m_a^2} A(m_a) \right) + \delta_{RG} c_{GG} \\ c_{\gamma\gamma} \approx -c_{GG} (1.92 + A(m_a)) \end{array} \right. \quad \begin{array}{l} \text{RGE} \\ \text{running} \end{array}$$

Production at

- meson factories:
K → π a, B → K a

- Proton and electron beam dump experiments

- LHC auxiliary detectors



Decay into photons or hadrons (+ leptons)

Advantages of high intensity experiments compared to high energy colliders

- high luminosity and therefore large productions
- forward kinematics
- cleaner detector environment

ideal for sub-GeV particles

Approximations in the calculation of rates

- * Harmonic Approximation: Decompose into a sum of harmonic oscillators weighted by the phonon density of states (that enters the $C_{l,d}$ function)
- * Isotropic crystal with randomly distributed nuclear spins
- * Nucleus spins are spherically symmetric

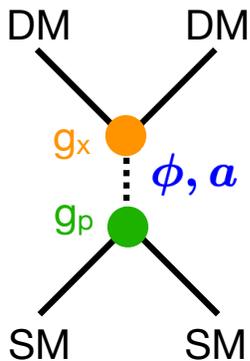
The rates

We can compute the number of events we expect at a direct detection experiment:

$$R_\phi \sim \frac{\bar{\sigma}_\phi}{\sum_d m_d} \frac{\rho_\chi}{m_\chi} \frac{m_p^2}{v_0^2 \mu_{\chi p}^4} \int d^3v f(v) \int \frac{d^3q}{(2\pi)^3} |F_\phi(q)|^2 \frac{|q|^2}{m_p^2} S(q, \omega)$$

$$R_a \sim \frac{\bar{\sigma}_a}{\sum_d m_d} \frac{\rho_\chi}{m_\chi} \frac{m_p^2 m_\chi^2}{v_0^4 \mu_{\chi p}^6} J_\chi(J_\chi + 1) \int d^3v f(v) \int \frac{d^3q}{(2\pi)^3} |F_a(q)|^2 \frac{|q|^4}{m_p^2 m_\chi^2} S(q, \omega)$$

further velocity suppression



$$\bar{\sigma}_\phi = \frac{g_p^2 g_\chi^2}{2\pi m_p^2} \frac{v_0^2 \mu_{p\chi}^4}{(q_0^2 + m_\phi^2)^2}$$

$$\bar{\sigma}_a = \frac{g_p^2 g_\chi^2}{3\pi m_p^2 m_\chi^2} \frac{v_0^4 \mu_{p\chi}^6}{(q_0^2 + m_a^2)^2}$$

Reference cross sections.
 They reproduce the DM-proton cross section in the limit, $m_{\phi,a} \gg q$

How many events can we get in the parameter space that is not probed by other measurements?
 (i.e., searches for the axion mediator + DM self-interaction)

DM-crystal interaction rate (more details)

$$\Gamma = \frac{2\pi}{V} \sum_{i,f} \sum_{i_\chi, f_\chi} w_i w_{i_\chi} \int \frac{d^3 q}{(2\pi)^3} |\langle f, f_\chi | \mathcal{H}^c(q) | i, i_\chi \rangle|^2 \delta(E_f - \omega - E_i)$$

energy deposited by DM into the crystal

Fourier transform:

$$\begin{aligned} \Gamma &= \frac{1}{V} \int_{-\infty}^{+\infty} dt \int \frac{d^3 q}{(2\pi)^3} \sum_{i,f} \sum_{i_\chi, f_\chi} w_i w_{i_\chi} \langle i, i_\chi | \mathcal{H}^{c\dagger} | f, f_\chi \rangle \langle f, f_\chi | e^{-iE_f t} \mathcal{H}^c e^{iE_i t} | i, i_\chi \rangle e^{i\omega t} \\ &= \frac{1}{V} \int_{-\infty}^{+\infty} dt e^{i\omega t} \int \frac{d^3 q}{(2\pi)^3} \sum_{i, i_\chi} w_i w_{i_\chi} \langle i, i_\chi | \mathcal{H}^c(0)^\dagger \mathcal{H}^c(t) | i, i_\chi \rangle \end{aligned}$$

eigenvalues of the crystal Hamiltonian

$$\begin{aligned} \Gamma &= \frac{1}{V} \frac{g_\chi^2 g_p^2}{(q_0^2 + m_{\text{med}}^2)^2} \int_{-\infty}^{+\infty} dt e^{i\omega t} \int \frac{d^3 q}{(2\pi)^3} |F_{\text{med}}(q)|^2 \times \\ &\quad \sum_{\alpha, \beta=x, y, z} \left[\sum_{i_\chi} w_{i_\chi} \langle i_\chi | \mathcal{O}(J_\chi, q)^\alpha \mathcal{O}(J_\chi, q)^\beta | i_\chi \rangle \right] \times \\ &\quad \left[\sum_i w_i \sum_{\ell, d; \ell', d'} \lambda_{\ell, d} \lambda_{\ell', d'} \langle i | J_{\ell, d}^\alpha e^{-iq \cdot r_{\ell, d}(0)} J_{\ell', d'}^\beta e^{iq \cdot r_{\ell', d'}(t)} | i \rangle \right] \end{aligned}$$



- * nuclear spin orientations are isotropically distributed;
- * $\ell \neq \ell'$, $d \neq d'$ average to zero when summed over all initial nuclear spin configurations



$$\Gamma = \frac{g_\chi^2 g_p^2}{(q_0^2 + m_{\text{med}}^2)^2} \frac{N}{V} \int \frac{d^3 q}{(2\pi)^3} |F_{\text{med}}(q)|^2 G(q) S(q, \omega)$$

$$J_{\ell, d}^\alpha J_{\ell', d'}^\beta \rightarrow \frac{1}{3} \delta^{\alpha\beta} \delta_{\ell\ell'} \delta_{dd'} J_{\ell, d}^2$$

$$S(q, \omega) = \frac{1}{N} \sum_{\ell, d} \lambda_{\ell, d}^2 C_{\ell, d}(q, \omega) \sum_{i_s} w_{i_s} \langle i_s | J_{\ell, d}^2 | i_s \rangle = \sum_d \overline{\lambda_d^2 J_d(J_d + 1)} C_{\ell, d}(q, \omega)$$

$$C_{\ell, d}(q, \omega) \equiv \int_{-\infty}^{+\infty} dt e^{i\omega t} \langle 0 | e^{-iq \cdot r_{\ell, d}(0)} e^{iq \cdot r_{\ell, d}(t)} | 0 \rangle$$

DM-crystal interaction rate (more details)

$$\Gamma = \frac{2\pi}{V} \sum_{i,f} \sum_{i_\chi, f_\chi} w_i w_{i_\chi} \int \frac{d^3 q}{(2\pi)^3} |\langle f, f_\chi | \mathcal{H}^c(q) | i, i_\chi \rangle|^2 \delta(E_f - \omega - E_i)$$

energy deposited by DM into the crystal

Fourier transform:

$$\Gamma = \frac{1}{V} \int_{-\infty}^{+\infty} dt \int \frac{d^3 q}{(2\pi)^3} \sum_{i,f} \sum_{i_\chi, f_\chi} w_i w_{i_\chi} \langle i, i_\chi | \mathcal{H}^c | f, f_\chi \rangle \langle f, f_\chi | e^{-iE_f t} \mathcal{H}^c e^{iE_i t} | i, i_\chi \rangle e^{i\omega t}$$

eigenvalues of the crystal Hamiltonian

Correlation function computed in [Campbell-Deem et al., 2205.02250](#)
(in the context of spin-independent transitions)

$$C_{\ell,d}(q, \omega) = 2\pi e^{-2W_d(q)} \sum_{n=1}^{\infty} \frac{1}{n!} \left(\frac{q^2}{2m_d} \right)^n \prod_{i=1}^n \int d\omega_i \frac{D_d(\omega_i)}{\omega_i} \delta \left(\sum_{i=1}^n \omega_i - \omega \right)$$

Debye-Waller factor: $W_d(q) \equiv \frac{q^2}{4m_d} \int d\omega' \frac{D_d(\omega')}{\omega'}$

$$\Gamma = \frac{g_\chi^2 g_p^2}{(q_0^2 + m_{\text{med}}^2)^2} \frac{N}{V} \int \frac{d^3 q}{(2\pi)^3} |F_{\text{med}}(q)|^2 G(q) S(q, \omega)$$

$$S(q, \omega) = \frac{1}{N} \sum_{\ell,d} \lambda_{\ell,d}^2 C_{\ell,d}(q, \omega) \sum_{i_s} w_{i_s} \langle i_s | J_{\ell,d}^2 | i_s \rangle = \sum_d \overline{\lambda_d^2 J_d(J_d + 1)} C_{\ell,d}(q, \omega)$$

$$C_{\ell,d}(q, \omega) \equiv \int_{-\infty}^{+\infty} dt e^{i\omega t} \langle 0 | e^{-iq \cdot r_{\ell,d}(0)} e^{iq \cdot r_{\ell,d}(t)} | 0 \rangle$$

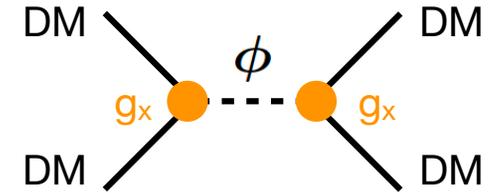
$$\frac{1}{3} \delta^{\alpha\beta} \delta_{\ell\ell'} \delta_{dd'} J_{\ell,d}^2$$

Testing the DM: self-interactions, ϕ

Viscosity cross section:

$$\sigma_V \equiv \int d\Omega \frac{d\sigma}{d\Omega} (1 - \cos^2 \theta) \lesssim (1.1 \text{cm}^2/g) m_\chi$$

$v \approx 0.005c$
(galaxy group)



Less straightforward calculation, if the ALP has scalar coupling with DM: $g_\chi \phi \bar{\chi} \chi$

- * Perturbative calculation valid in the Born approximation

$$\frac{g_\chi^2 m_\chi}{4\pi m_\phi} \ll 1$$

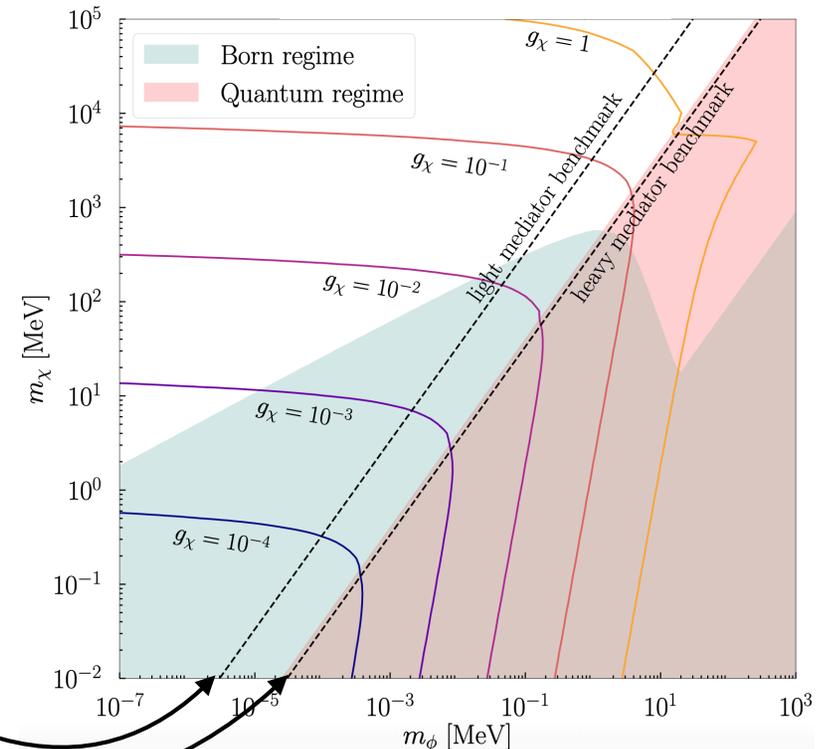
- * Quantum regime (non-perturbative), Hulthen potential

Colquhoun et al., 2011.04679
Tulin et al., 1302.3898
Tulin et al., 1210.0900

$$\frac{m_\chi v}{m_\phi} \ll 1$$

2 benchmark models:

- light mediator: $m_\phi = 0.3 q_0 = 0.3 m_\chi v_0$
- heavy mediator: $m_\phi = 3 q_0 = 3 m_\chi v_0$



More details on matrix element calculations

From nucleon to nucleus matrix element:

$$\langle Jm_f | S_{p/n}^{\text{tot},z} | Jm_i \rangle = f_{p/n} \langle Jm_f | J | Jm_i \rangle \Rightarrow f_{p/n} = \frac{\langle JJ | S_{p/n}^{\text{tot},z} | JJ \rangle}{J}$$

Wigner-Eckart theorem

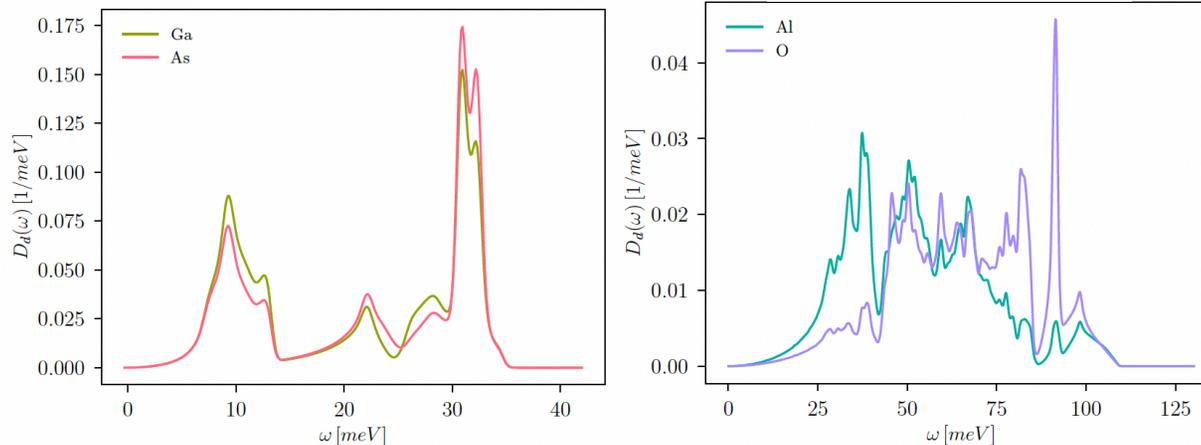
Computed using Shell-model or Odd-Group Model

Phonon part of the matrix element. Summing over phonon excitations, n:

$$C_{\ell,d}(q, \omega) = 2\pi e^{-2W_d(q)} \sum_{n=1}^{\infty} \frac{1}{n!} \left(\frac{q^2}{2m_d} \right)^n \prod_{i=1}^n \int d\omega_i \frac{D_d(\omega_i)}{\omega_i} \delta \left(\sum_{i=1}^n \omega_i - \omega \right)$$

Sum converges to a Gaussian in the regime where q^2/m_d is much larger than the typical phonon frequency

phonon partial density of states, D_d :

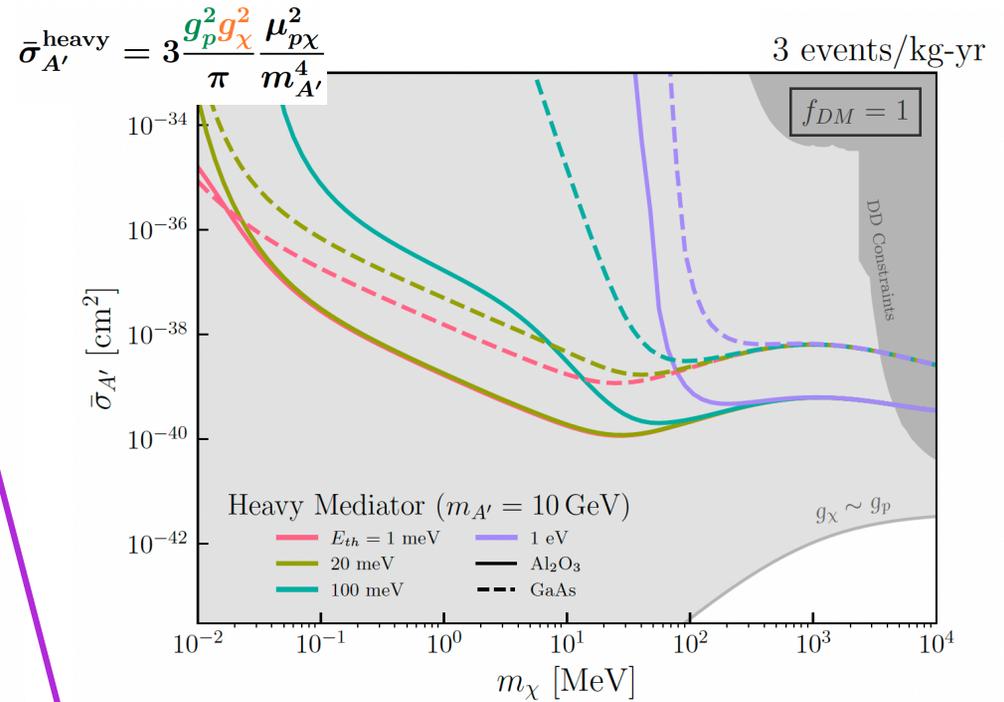
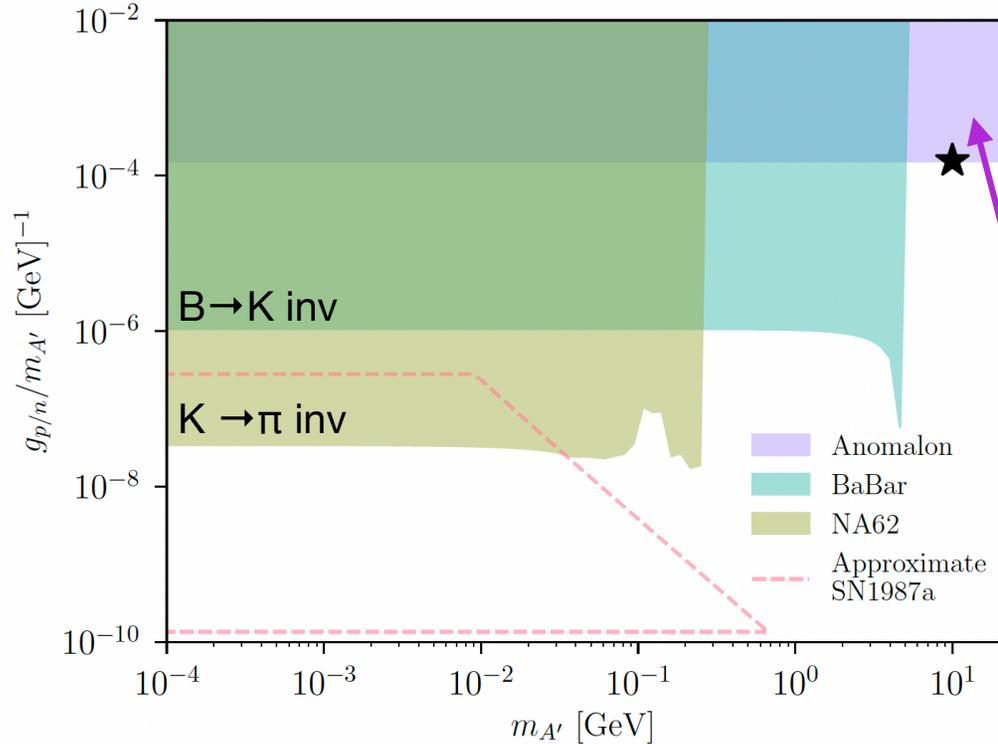


Access to lower energy DM states

Axial vector model

$$\mathcal{L} \supset g' A'^{\mu} \left[\sum_q \bar{q} \gamma_{\mu} \gamma_5 q - 2 \bar{\nu} \gamma_{\mu} P_R \nu - \frac{1}{2} \bar{\chi} \gamma_{\mu} \gamma_5 \chi \right]$$

Bounds on the mediator:



additional quarks are required to cancel anomalies