

Summary of the Latest Neutrino Oscillation Results from the NOvA Experiment



Michael Baird

University of Virginia

(for the NOvA Collaboration)

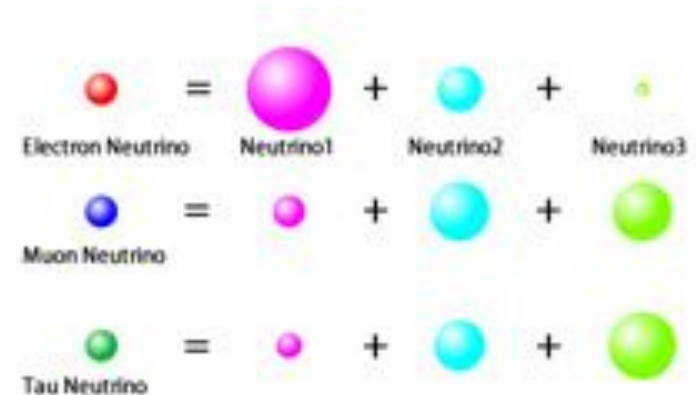
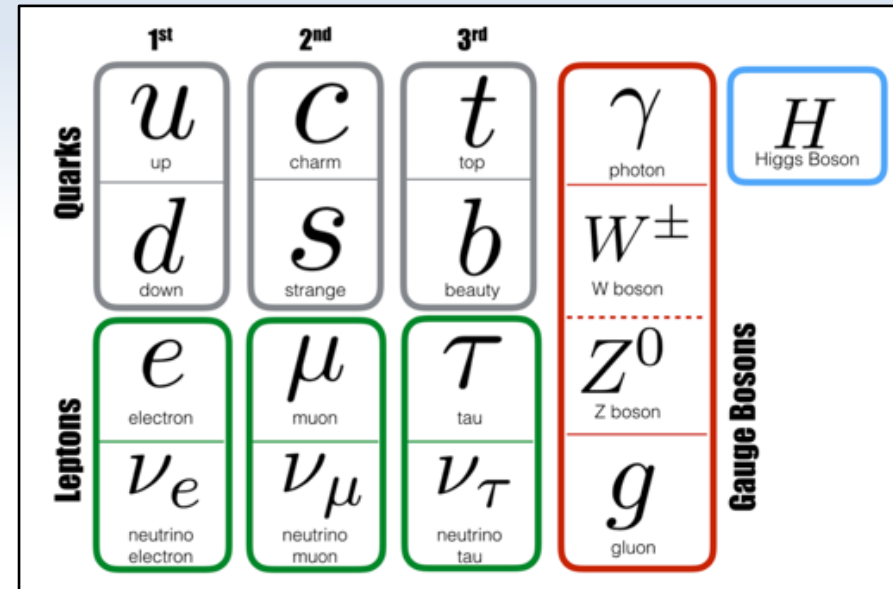
Thursday, Sept. 26th 2019

2019 J-PARC Symposium

Neutrinos in General:

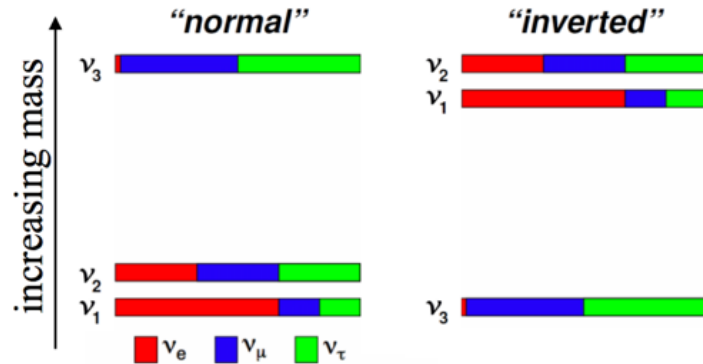
What do we know about neutrinos?

- They are very light ($m < \text{a few eV}$), electrically neutral, and only interact weakly with matter.
- There are at least 3 types, can be expressed in either the mass or flavor basis.
- These two bases are not the same, rotation from one to the other is described by a mixing matrix (PMNS.)



Unanswered ν Questions:

Hierarchy?



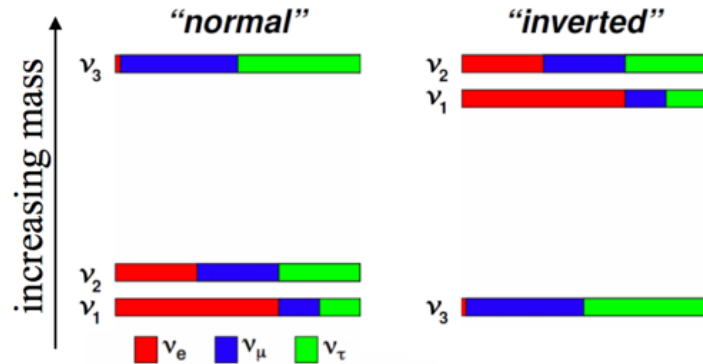
There still are many unanswered questions in neutrino physics today.

Just to list a few:

- Is $m_3 > m_1$ or $m_3 < m_1$?

Unanswered v Questions:

Hierarchy?

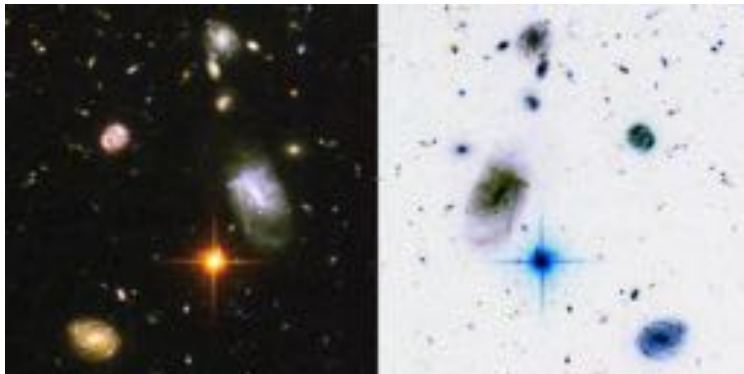


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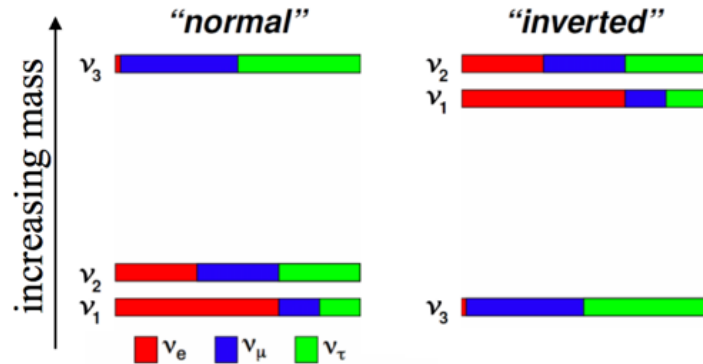
- Is $m_3 > m_1$ or $m_3 < m_1$?
- Do neutrinos exhibit CP violation?

δ_{CP} ?



Unanswered ν Questions:

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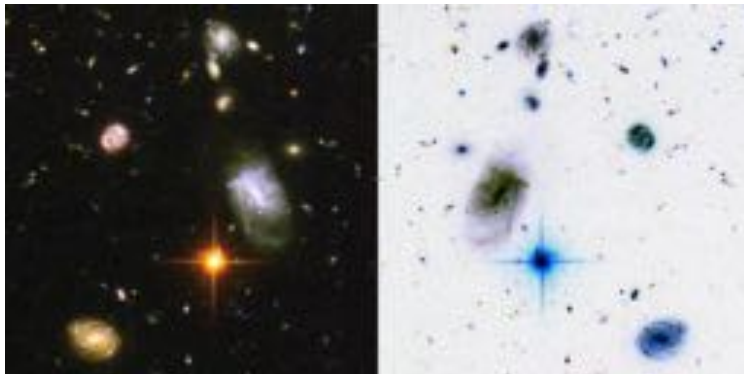


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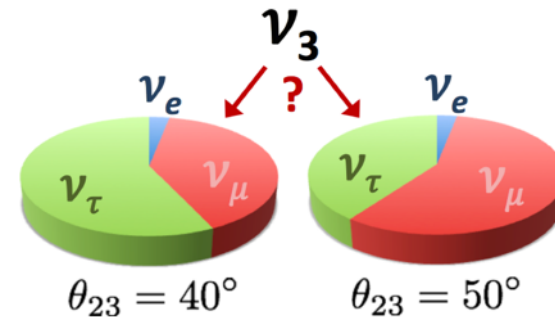
Just to list a few:

- Is $m_3 > m_1$ or $m_3 < m_1$?
- Do neutrinos exhibit CP violation?
- What is the underlying texture of the PMNS mixing matrix?

δ_{CP} ?



Octant?



Neutrino Oscillations:

Neutrino Mixing:

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{bmatrix} C_{12}C_{13} & S_{12}C_{13} & S_{13}e^{-i\delta} \\ -S_{12}C_{23} - C_{12}S_{23}S_{13}e^{i\delta} & C_{12}C_{23} - S_{12}S_{23}S_{13}e^{i\delta} & S_{23}C_{13} \\ S_{12}S_{23} - C_{12}C_{23}S_{13}e^{i\delta} & -C_{12}S_{23} - S_{12}C_{23}S_{13}e^{i\delta} & C_{23}C_{13} \end{bmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

$$S_{ij} \equiv \sin(\theta_{ij}) \quad C_{ij} \equiv \cos(\theta_{ij})$$

- Neutrinos can be described in one of two different bases: flavor or mass.
- Neutrino mixing is described by 3 real rotation angles and a CP violating phase factor δ , plus 2 squared mass splittings.
- All three rotation angles have been measured, but we don't yet know (very well) what delta is.

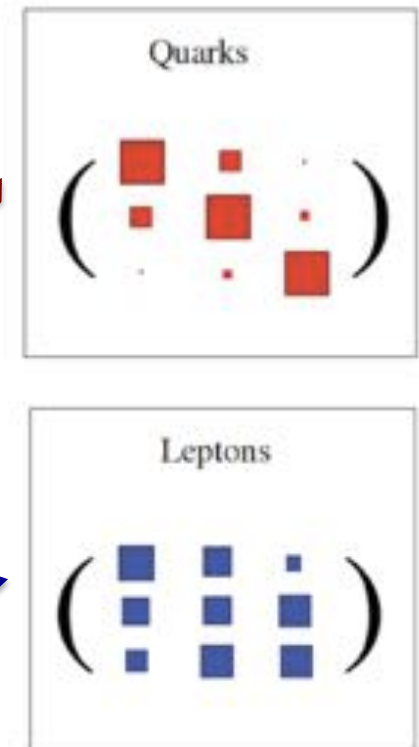
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- All three rotation angles have been measured, but we don't yet know (very well) what delta is.
- The mixing is very different in the quark and lepton sectors!



Neutrino Oscillations:

ν_μ survival probability:

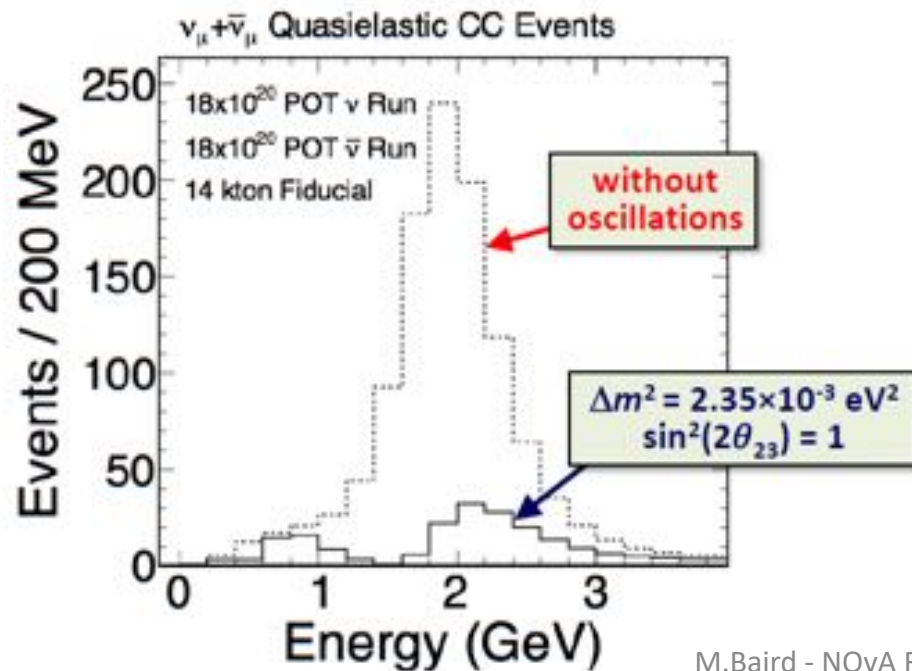
$$P(\nu_\mu \rightarrow \nu_\mu) \approx 1 - \sin^2(2\theta_{23}) \sin^2 \left(\underbrace{\frac{1.27 \Delta m_{32}^2 L}{E}}_{\Delta_{23}} \right)$$
$$\Delta m_{ij}^2 \equiv m_i^2 - m_j^2$$

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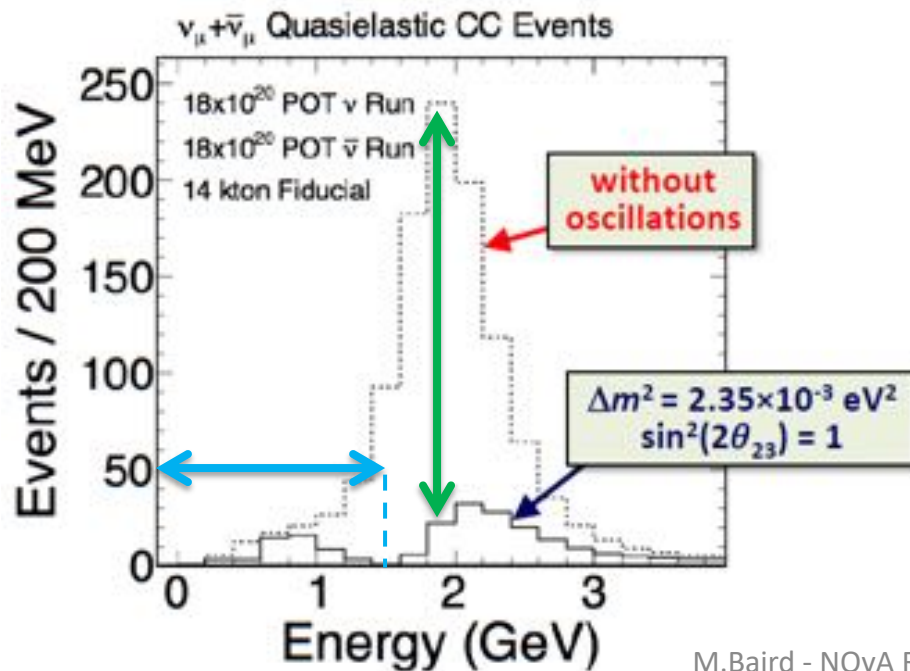


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Neutrino Oscillations:

ν_e appearance probability:

$$P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e) \approx P_{atm} + P_{sol} + 2\sqrt{P_{atm}P_{sol}}[\cos(\Delta_{32})\cos(\delta) \mp \sin(\Delta_{32})\sin(\delta)]$$

$$P_{atm} \equiv \sin^2(\Theta_{23})\sin^2(2\Theta_{13})\frac{\sin^2(\Delta_{31} \mp aL)}{(\Delta_{31} \mp aL)^2}(\Delta_{31})^2$$

"−" = *neutrinos*
"+" = *anti - neutrinos*

$$P_{sol} \equiv \cos^2(\Theta_{23})\sin^2(2\Theta_{12})\frac{\sin^2(\mp aL)}{(\mp aL)^2}(\Delta_{21})^2$$

$a \equiv G_F N_e / \sqrt{2}$
 N_e = *electron density in Earth*

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matter effect: caused by ν_e scattering off e^- as they travel through the Earth...

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octant

Is $\theta_{23} > 45^\circ$ or
 $\theta_{23} < 45^\circ$?

hierarchy

Is $m_3 > m_1$ or is
 $m_3 < m_1$?

CP violation

Is $\delta \neq 0$?

Neutrino Oscillations:

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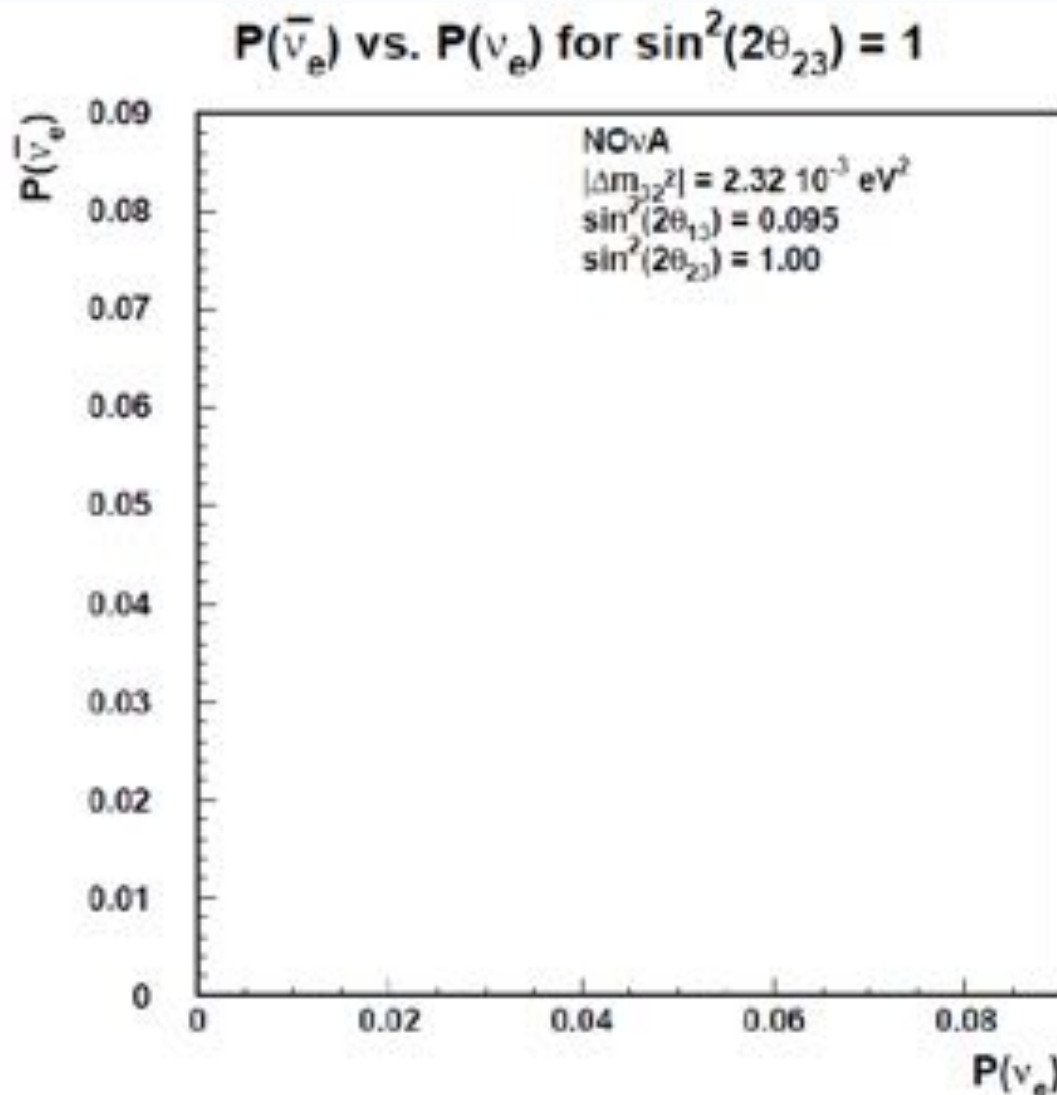
From the measurement of ν_{μ} disappearance, we can constrain θ_{23} and Δm_{32}^2 .

From the measurement of ν_e appearance, we can address the above questions.

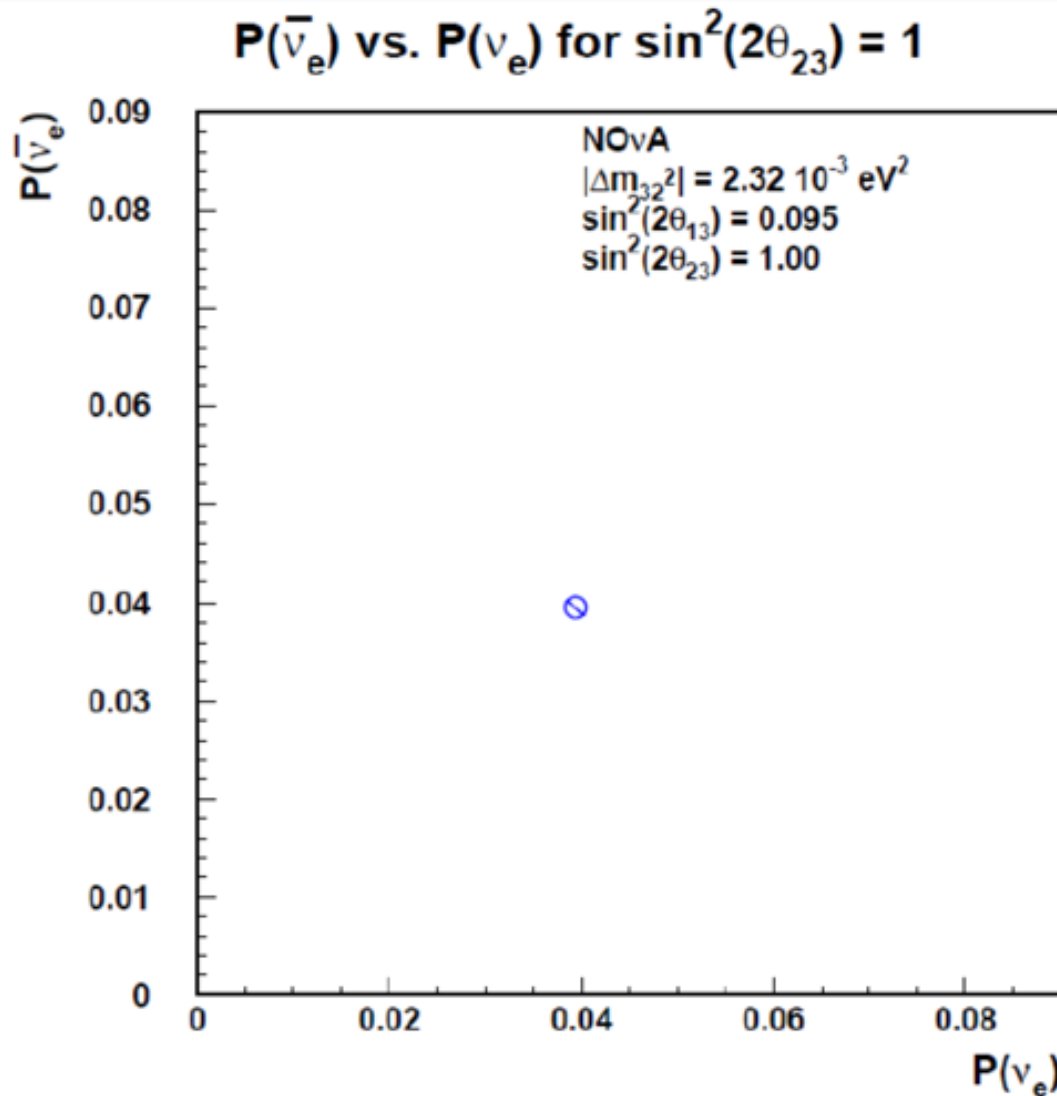
NOvA performs a simultaneous fit to both the ν_{μ} and ν_e spectra measured at the far detector.

The “Bi-Probability” Plot:

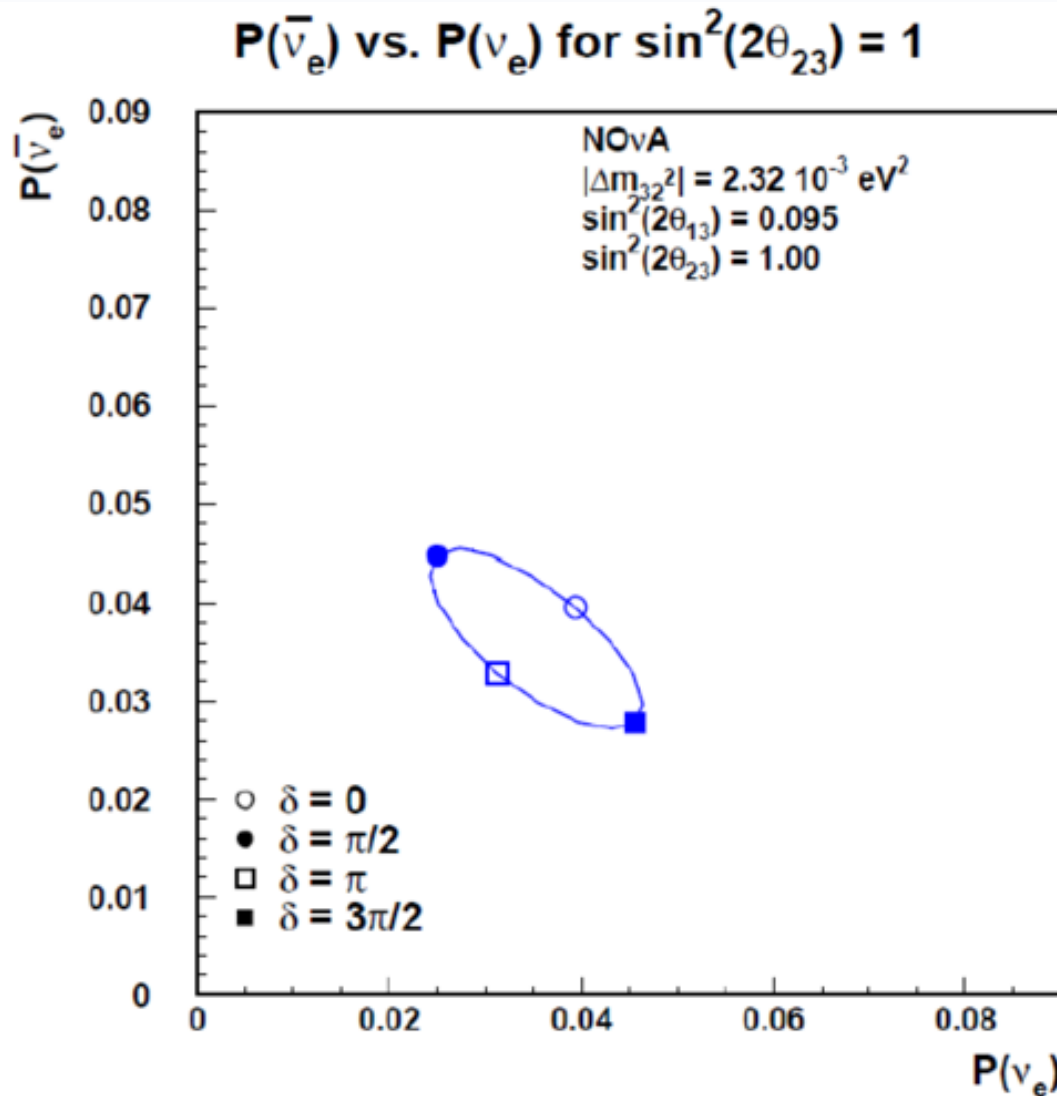
Measure a single point in $P(\nu_e)$ appearance, $P(\bar{\nu}_e)$ appearance space...



The “Bi-Probability” Plot:

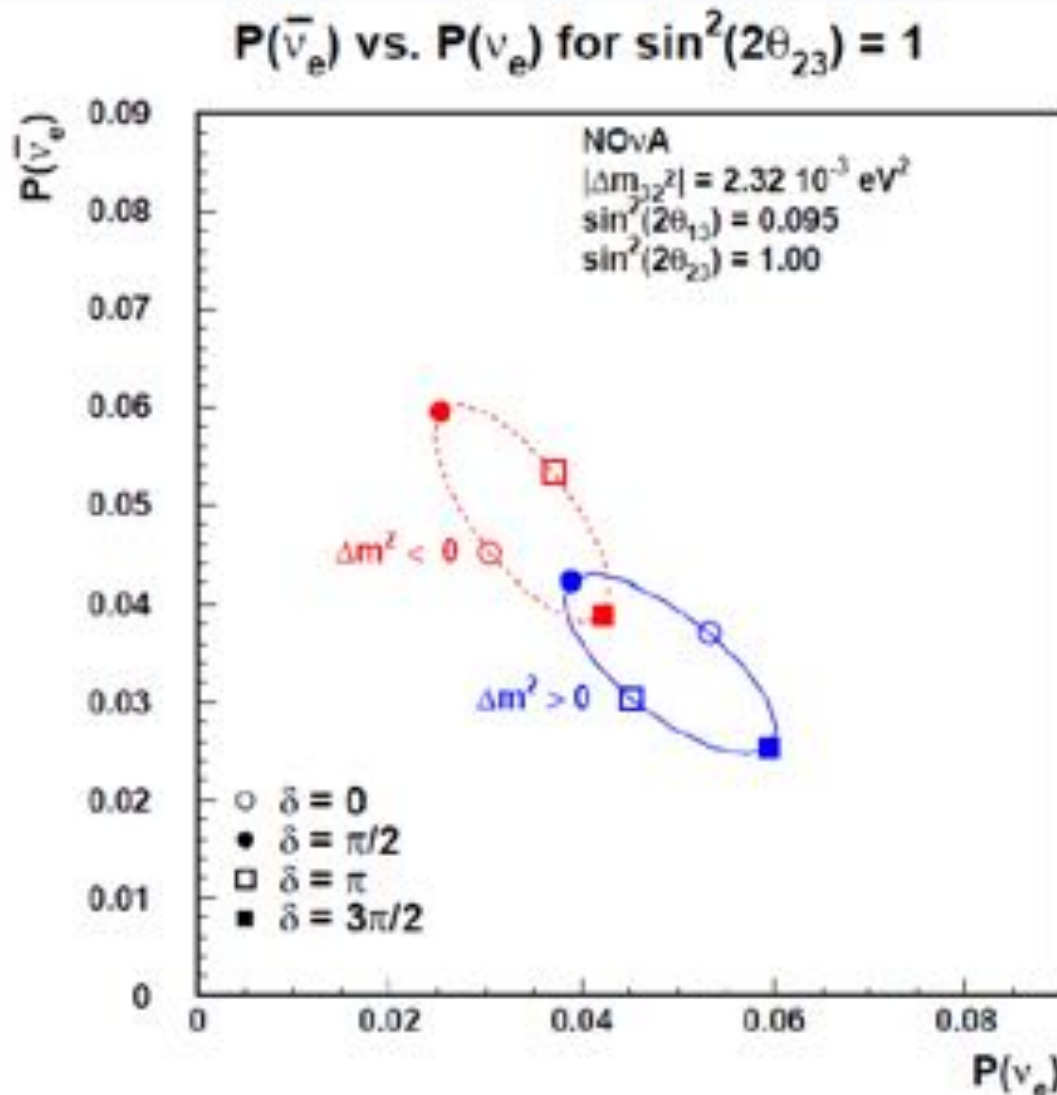


The “Bi-Probability” Plot:



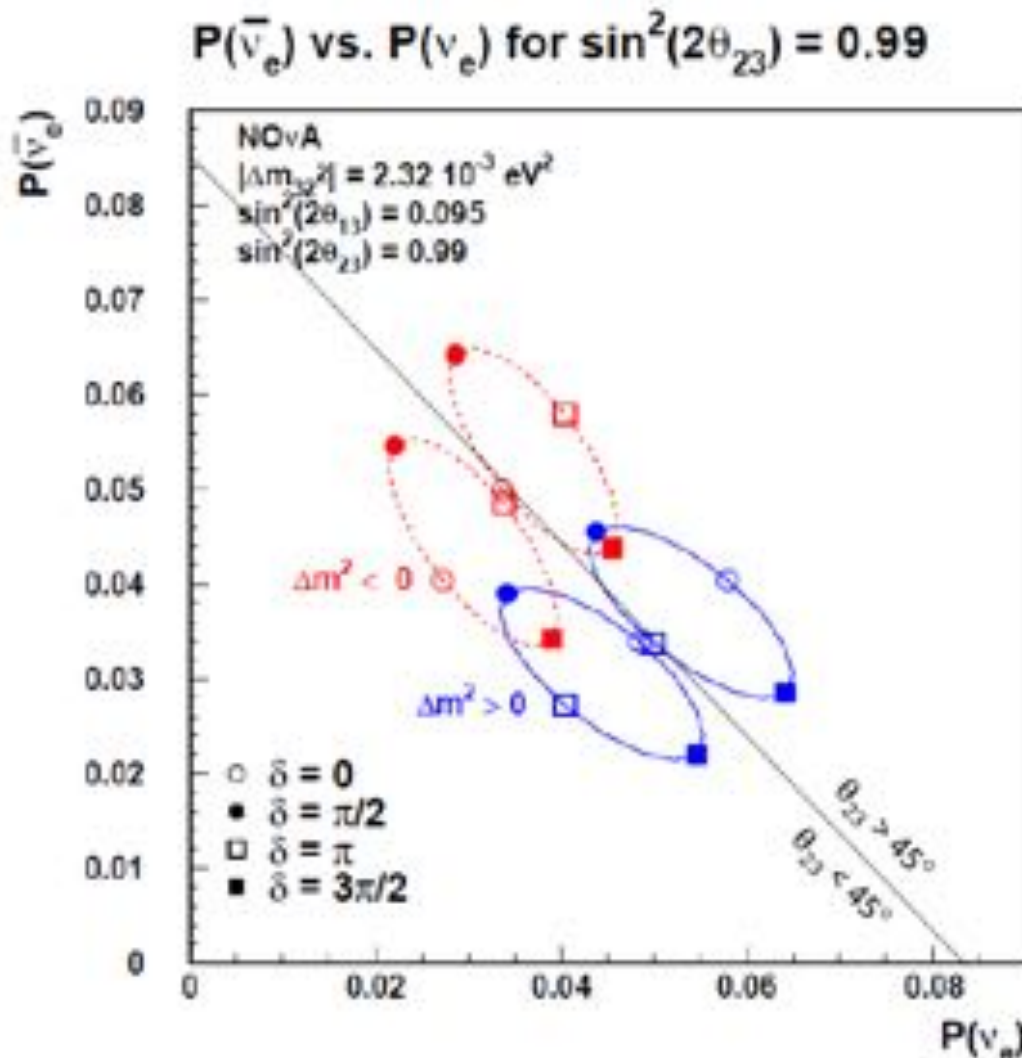
- Including CP violation

The “Bi-Probability” Plot:



- Including CP violation
- Including the matter effect

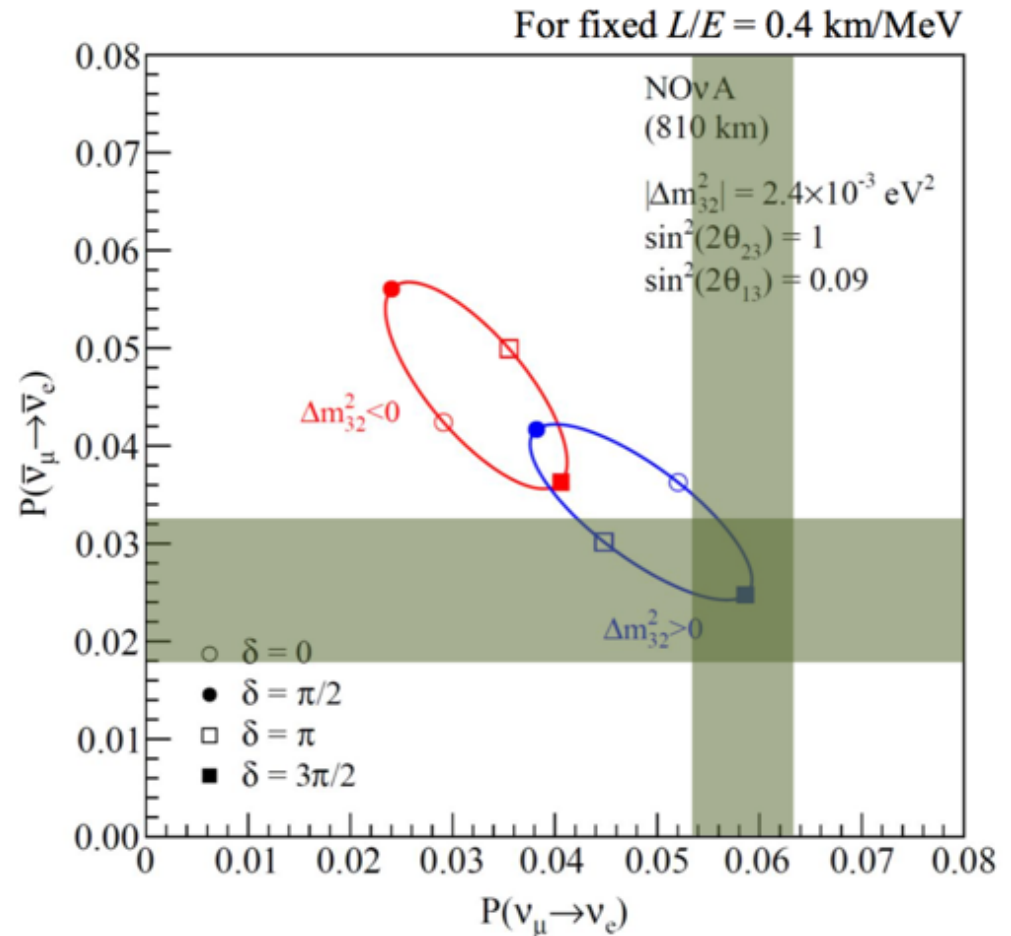
The “Bi-Probability” Plot:



- Including CP violation
- Including the matter effect
- Including non-maximal Θ_{23}

The “Bi-Probability” Plot:

A simultaneous measurement of ν_e appearance and $\bar{\nu}_e$ appearance will help us answer these open questions!



The NOvA Experiment

What is NOvA? (10,000 ft view...)

NOvA is a long-baseline neutrino oscillation experiment based out of Fermilab looking for ν_μ disappearance and ν_e appearance from a (primarily) ν_μ or $\bar{\nu}_\mu$ beam.

Beam Neutrino Physics:

- ν_μ Disappearance*
 - ν_e Appearance*
- } 3-flavor analysis
- Sterile analysis
 - Cross-section physics

“Exotic” Physics

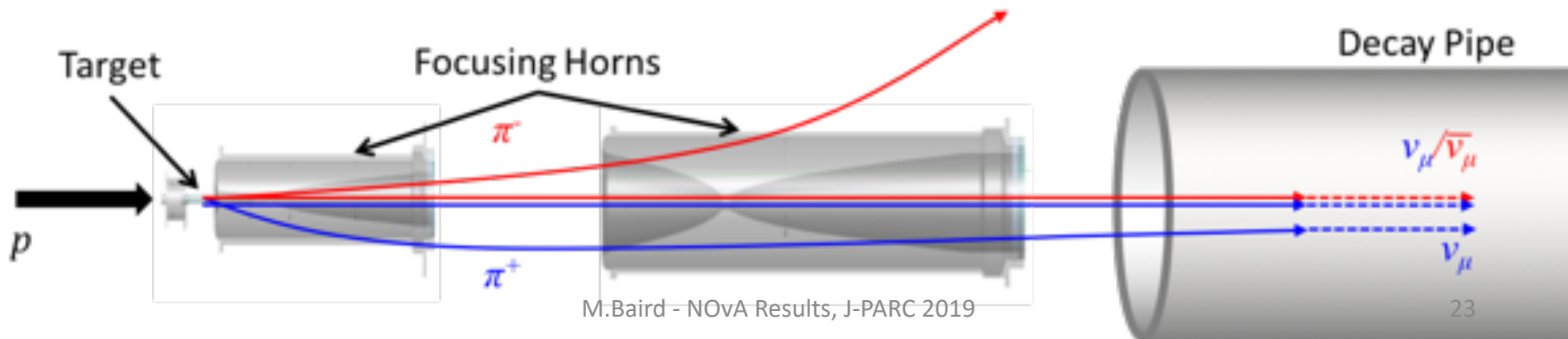
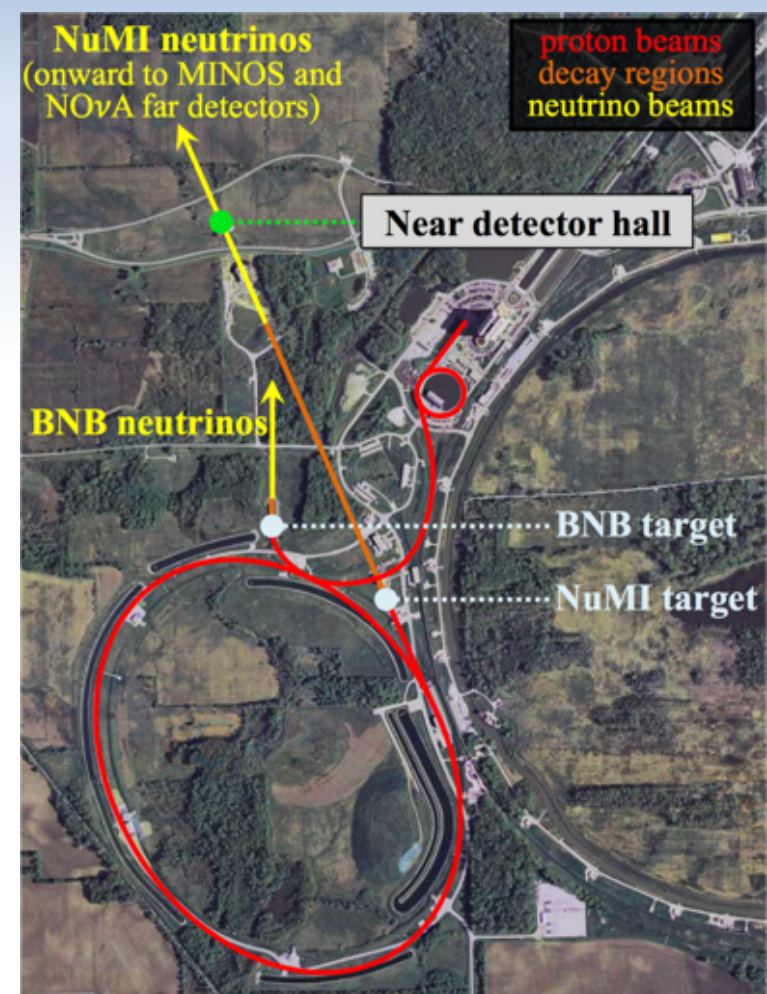
- Supernova neutrinos
- Atmospheric neutrinos
- Magnetic monopoles
- Cosmic ray physics
- Dark matter
- LIGO coincidence
- etc...

* shown in this talk

The NOvA Experiment:

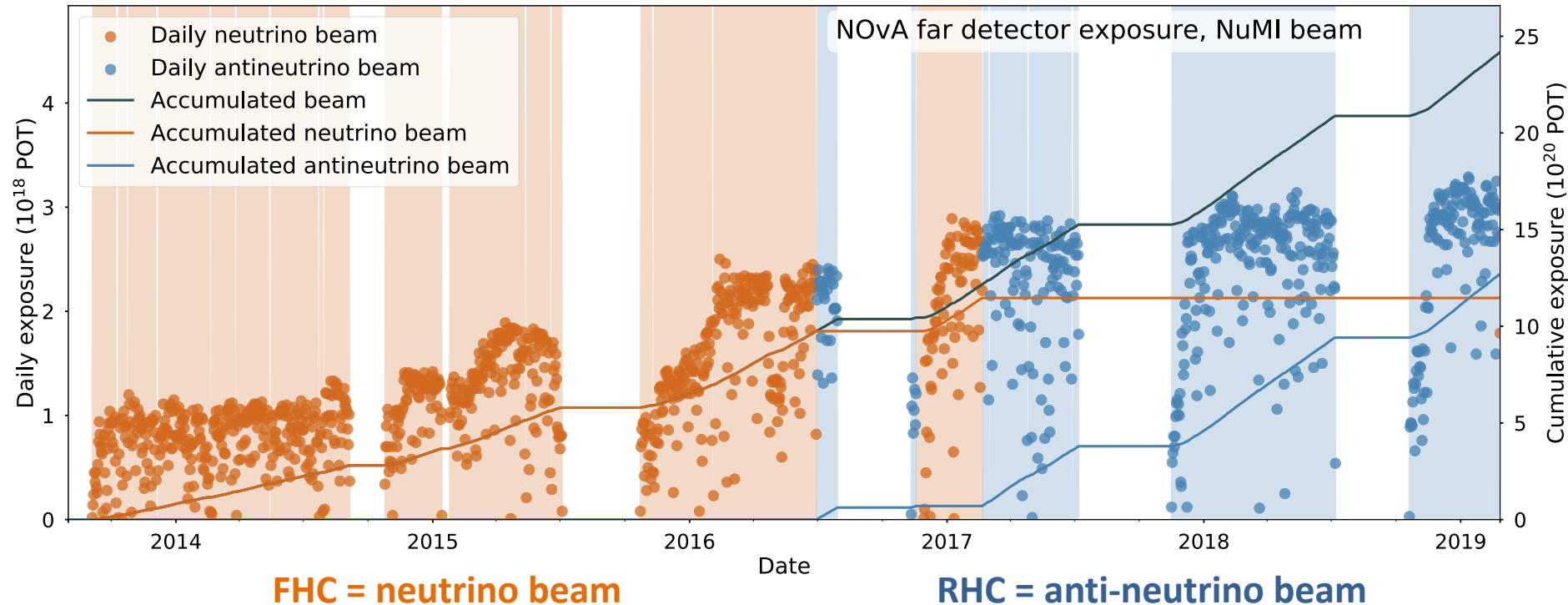
NuMI - Neutrinos at the Main Injector

- Provides a 10 μ sec ν pulse every 1.33 sec
- Beam is roughly 95% ν_μ or 93% $\bar{\nu}_\mu$
- Running at > 700 kW since 2017



The NOvA Experiment:

NuMI - Neutrinos at the Main Injector



This talk:

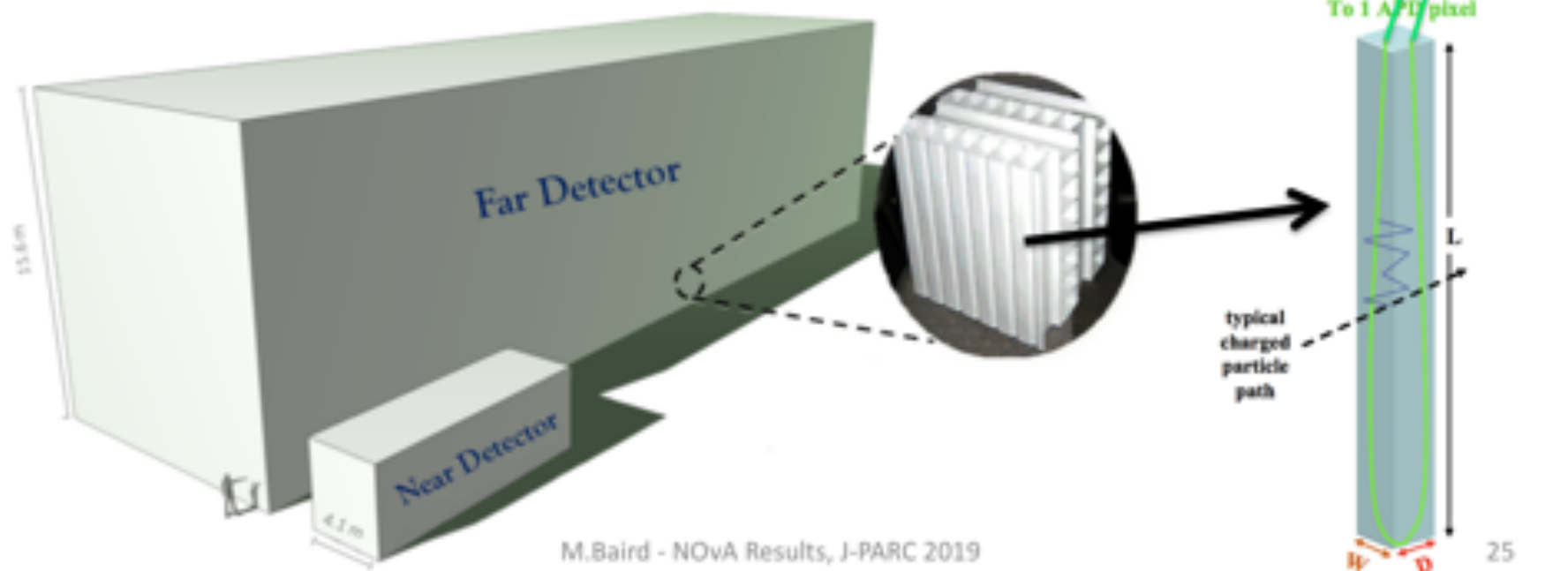
- data taken from Feb. 6, 2014 to Feb. 26, 2019
- recorded 8.85×10^{20} POT (14 kton equiv.) in FHC mode
- recorded 12.33×10^{20} POT in RHC mode (adding ~80% more data over 2018 results)

The NOvA Experiment: Detectors

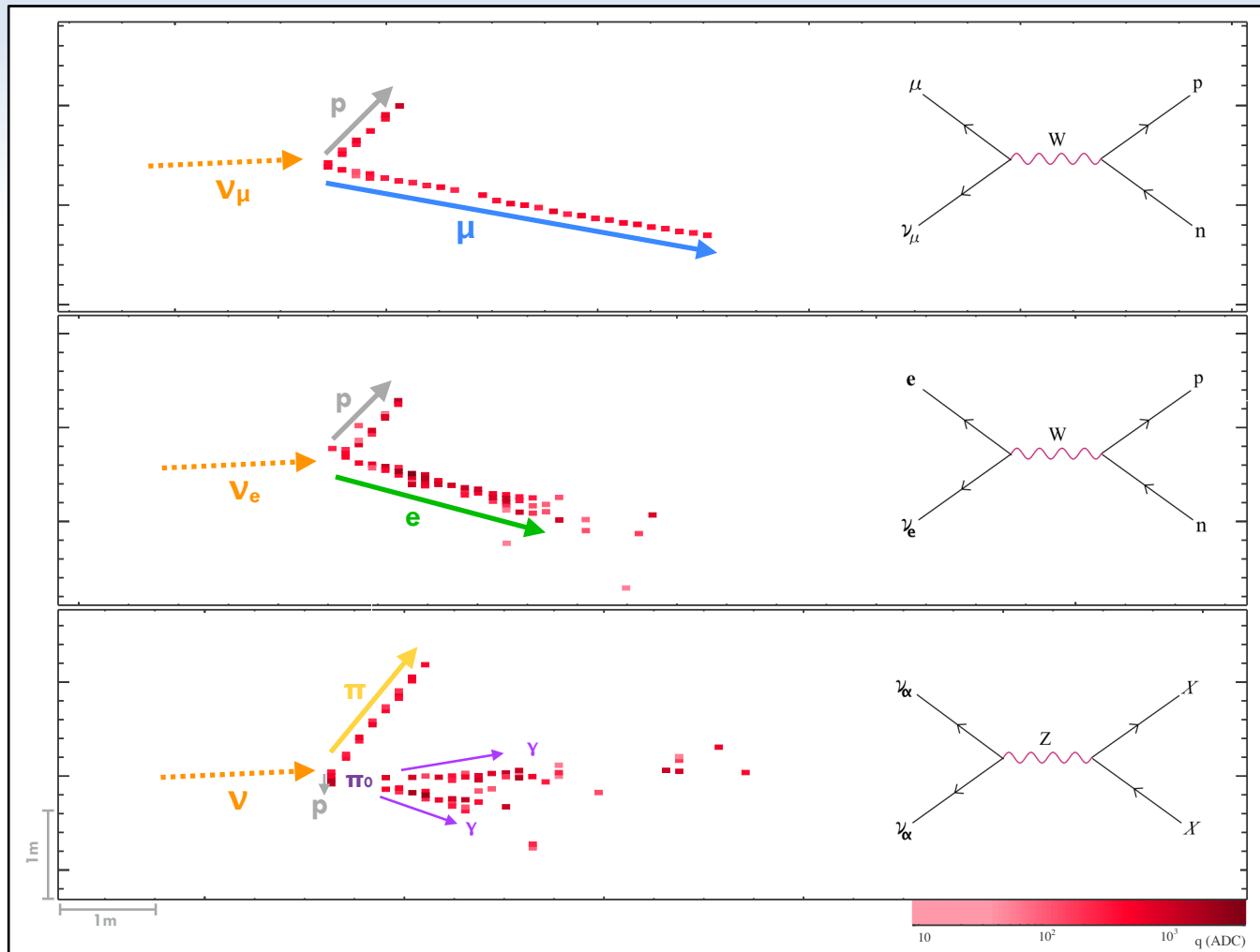
Two functionally identical detectors:

extruded PVC, mineral oil as scintillator, avalanche photo-diodes for light collection

- **Near:** 300 ton, 1 km from source, 105 m underground
- **Far:** 14 kton, 810 km from source, on the surface

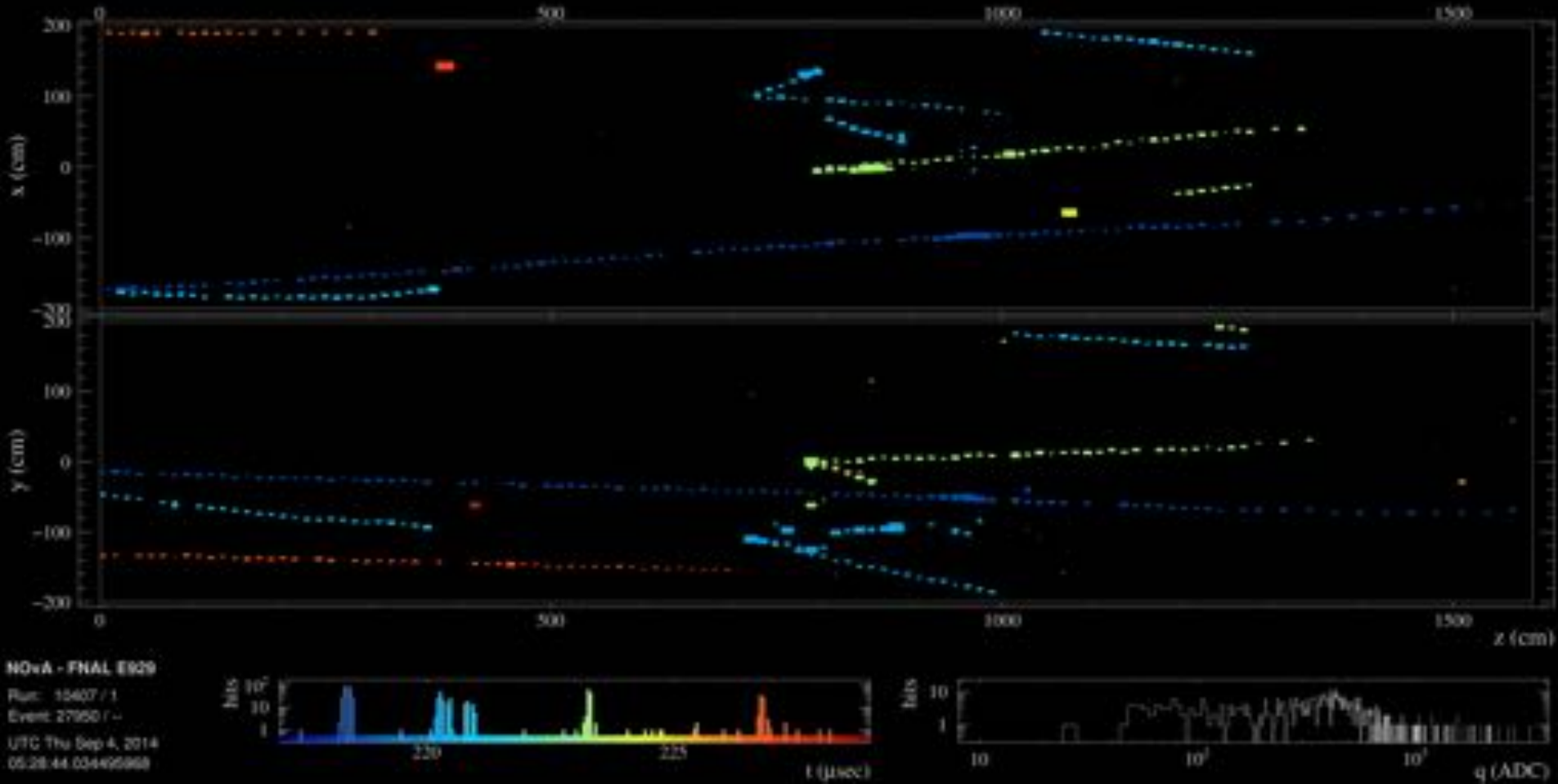


The NOvA Experiment: Detectors



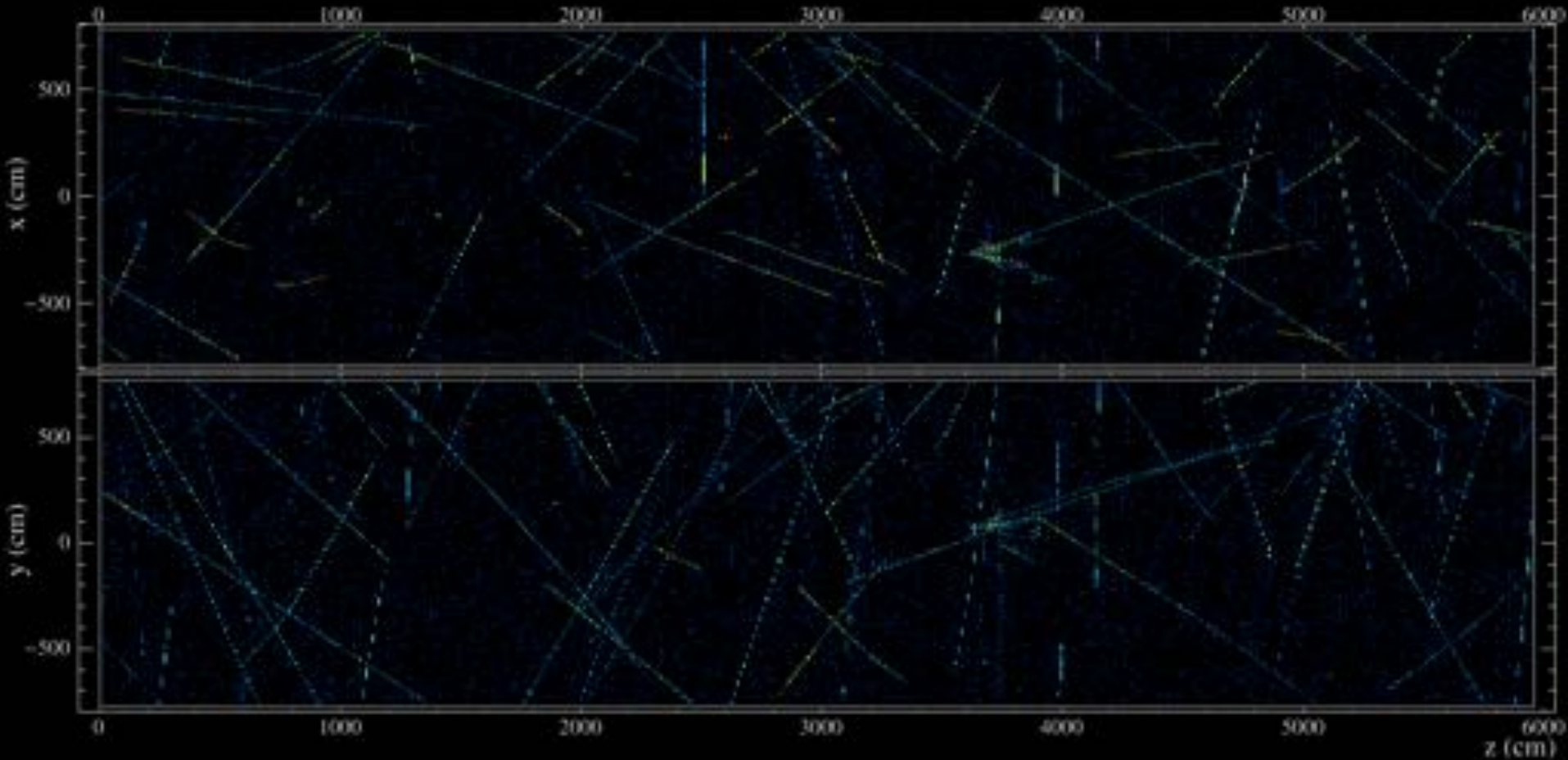
- NOvA is a highly active tracking calorimeter.

Near Detector Event Display



(colors show hit times)

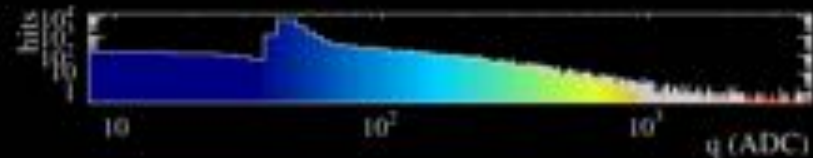
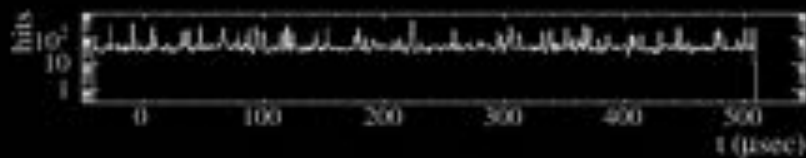
Far Detector Event Display



NOvA - FNAL E929

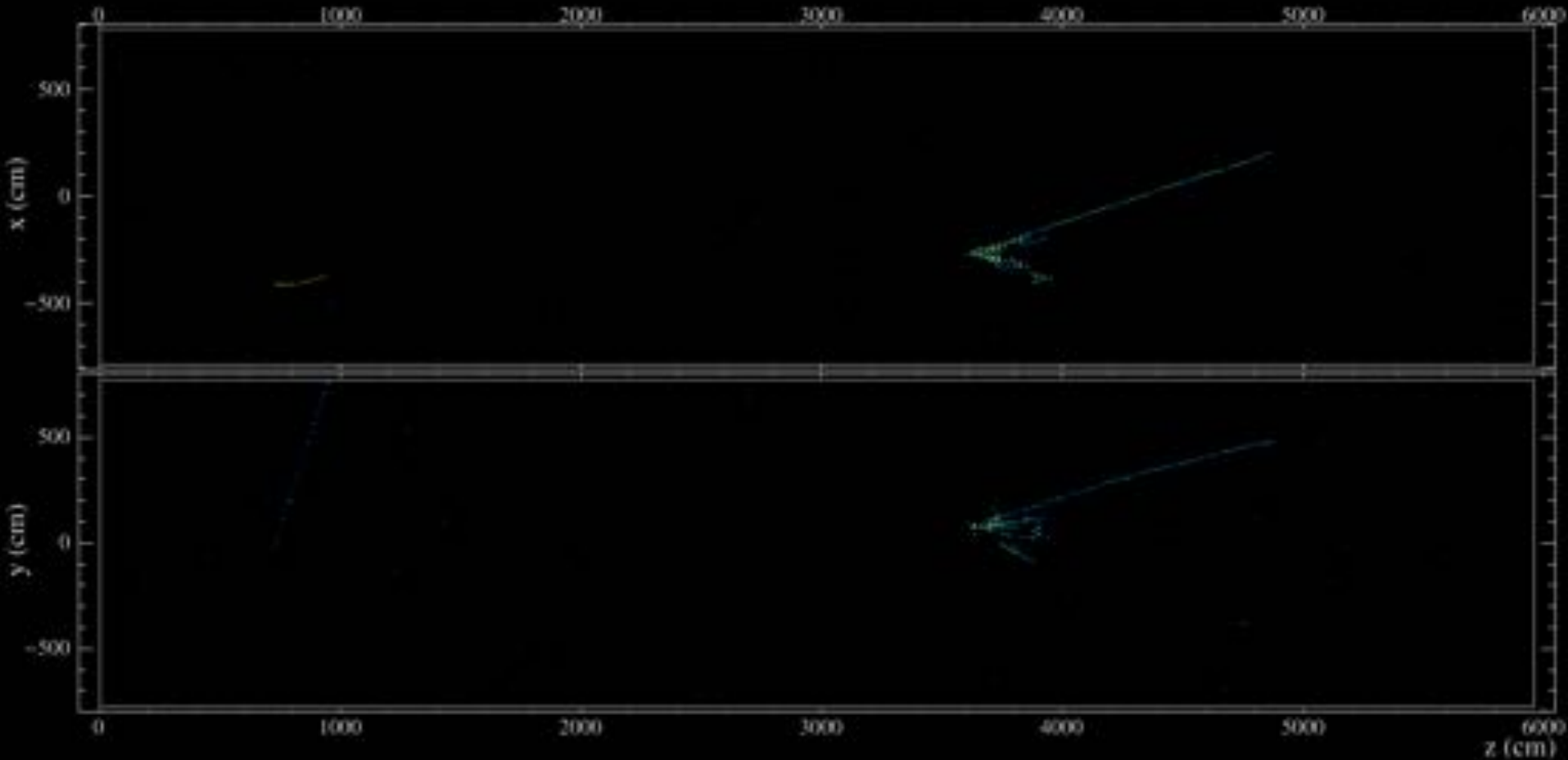
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Event: 178402 / --

UTC Fri Jan 9, 2015
00:13:53.087341608



(colors show charge)

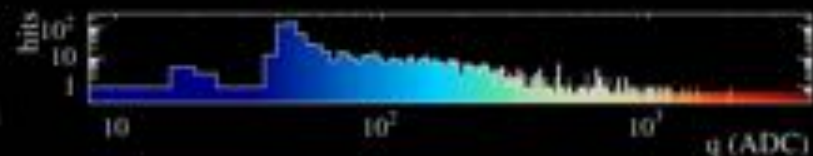
Far Detector Event Display



NOvA - FNAL E929

Run: 18620 / 13
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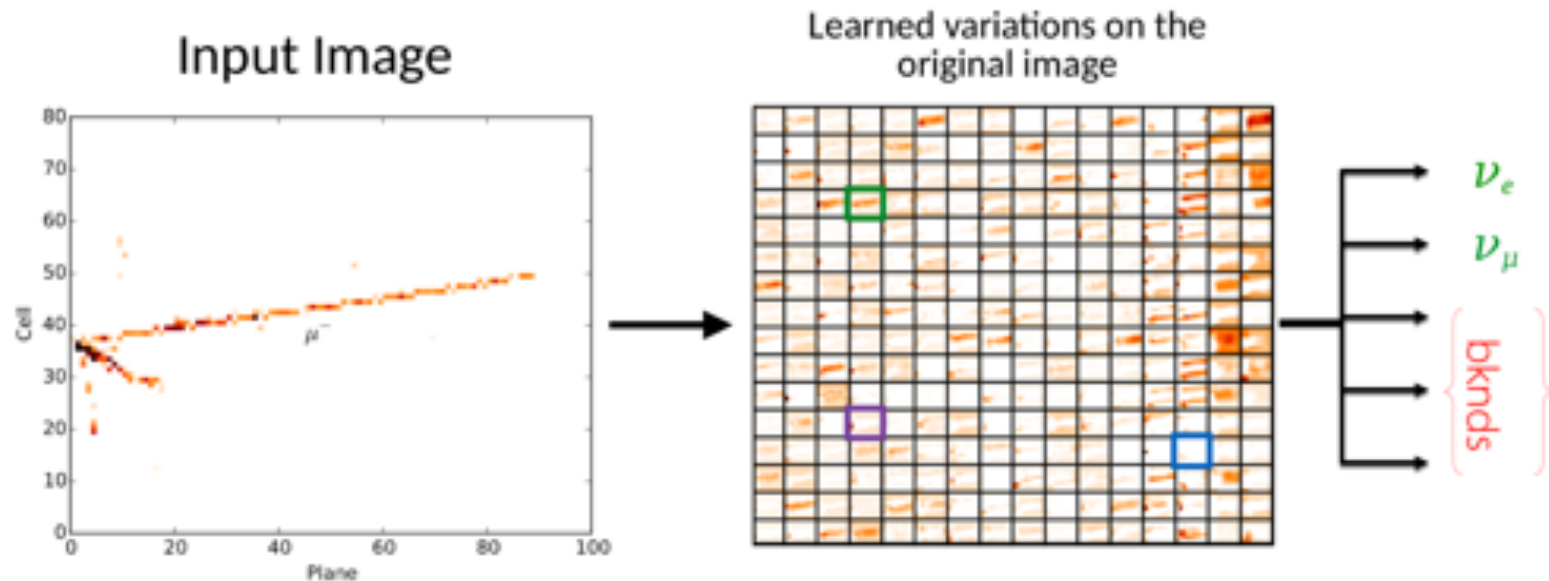


(colors show charge)

zoomed in on beam window

Event Selection (in general):

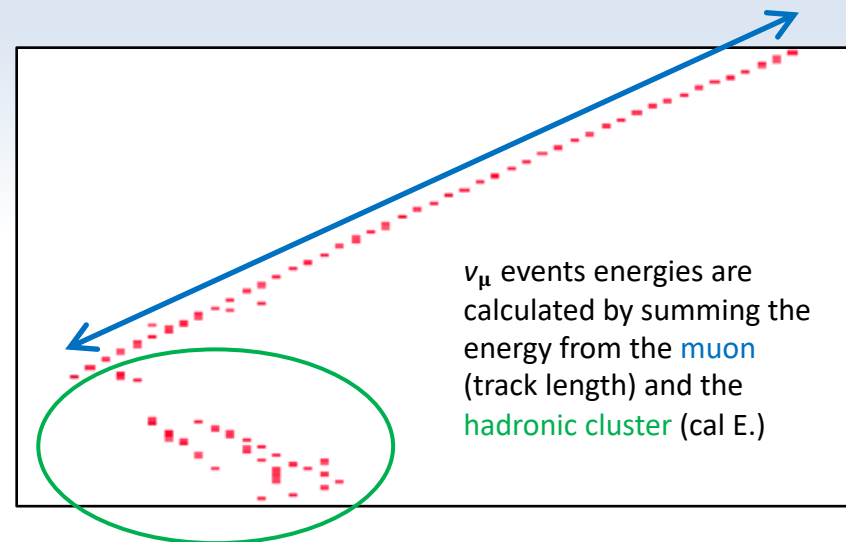
- We classify events using a convolutional neural network called the Convolutional Visual Network (CVN).
- CVN extracts features from pixel maps and classifies them into 5 output categories.



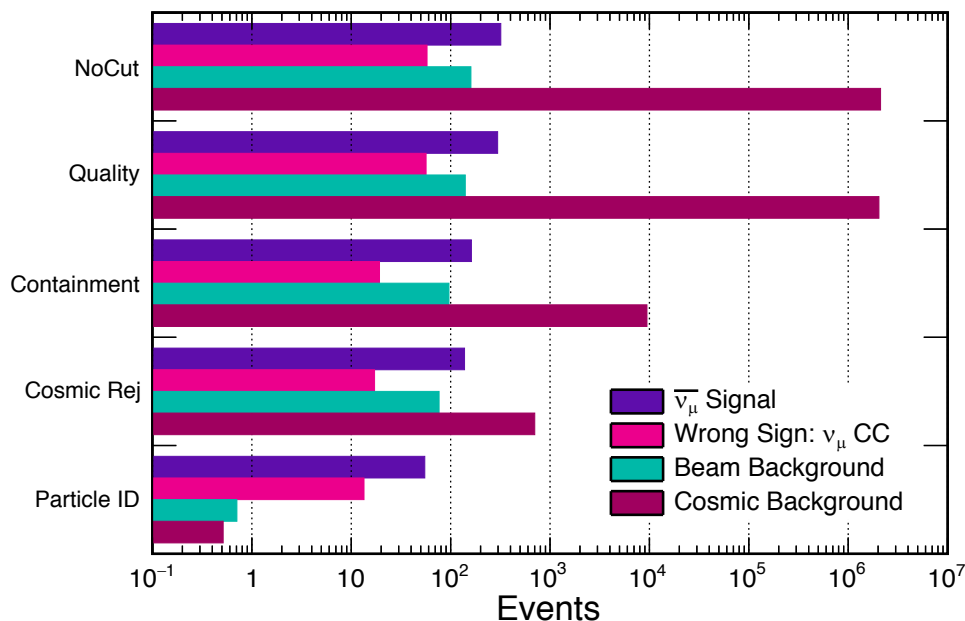
- Effective increase in exposure of 30% over traditional PIDs.
- NOvA was the first to use this technique in a particle physics analysis ([JINST 11 P09001 \(2016\)](#)).
- **See the next talk by Fernanda Psihas for more on NOvAs ML-based efforts!**

ν_μ Selected Event Samples:

- ν_μ candidate events are selected with CVN.
- An additional more “traditional” PID is used to select events with strong muon track candidates (for energy reconstruction.)



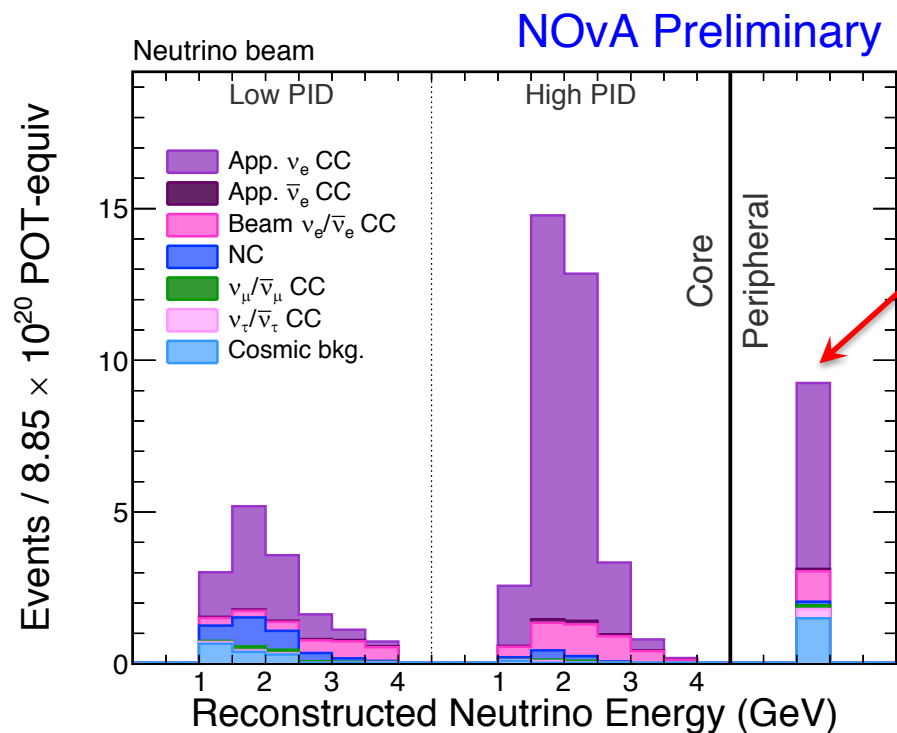
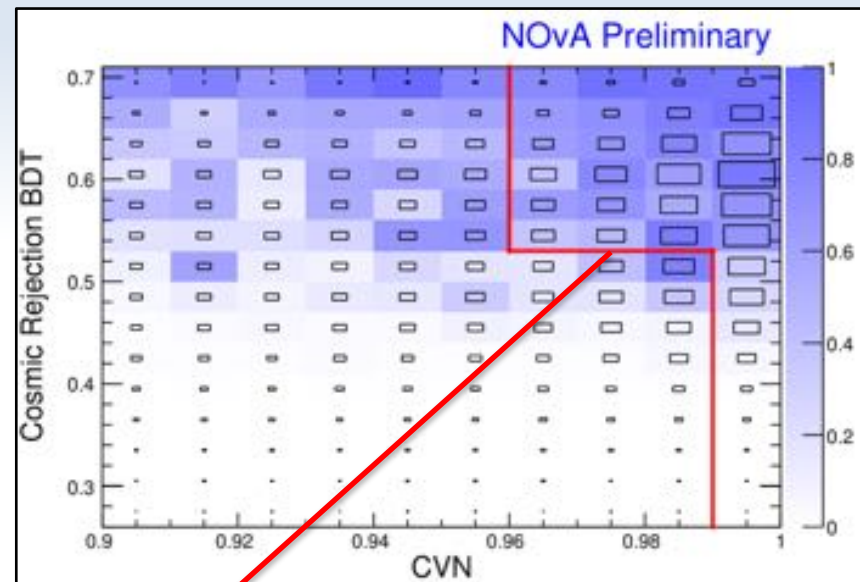
Antineutrino beam NOvA Preliminary



- Cosmic rays are rejected from the FD:
 - quality, containment, and timing cuts
 - event PIDs
 - a BDT trained specifically to reject cosmics, using kinematic info as input
- Cosmics are reduced by $\sim 10^6$ yielding Sig:Bak of $\sim 50:1$!

ν_e Selected Event Samples:

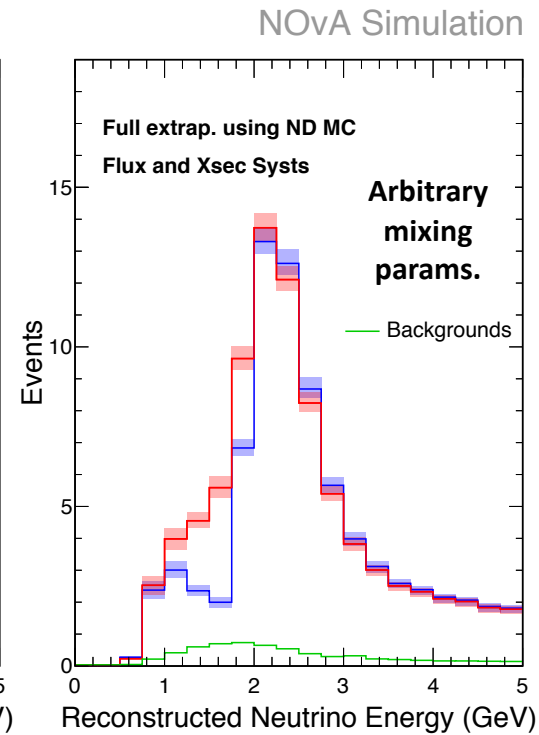
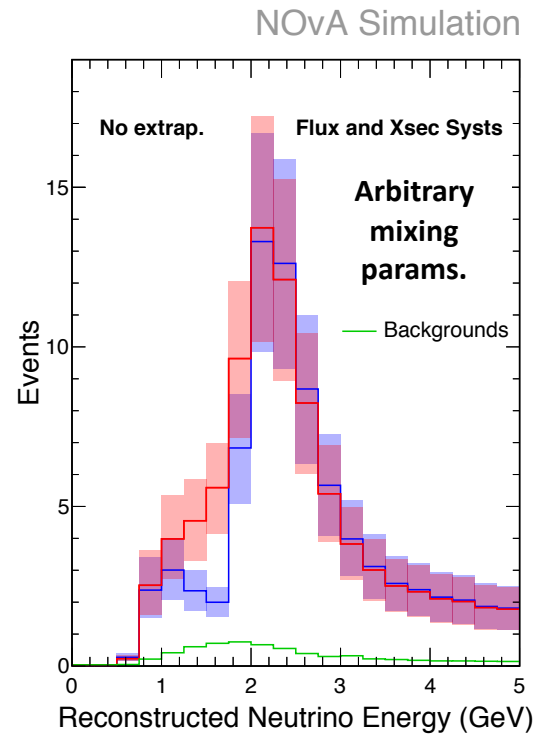
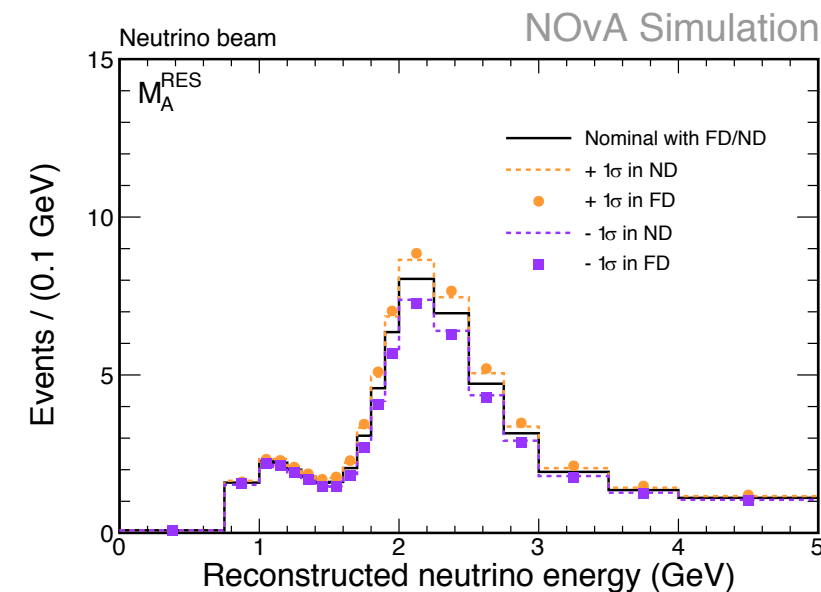
- Events passing preselection are selected with CVN PID and separated into low, and high PID spectra.
- Separating spectra by PID helps maintain a high purity subsample of events.



- Events failing preselection & PID that pass a tighter PID & cosmic rejection BDT cut go into “peripheral” sample.
- Using peripheral sample is equivalent to ~15% more exposure!

Far Detector Prediction:

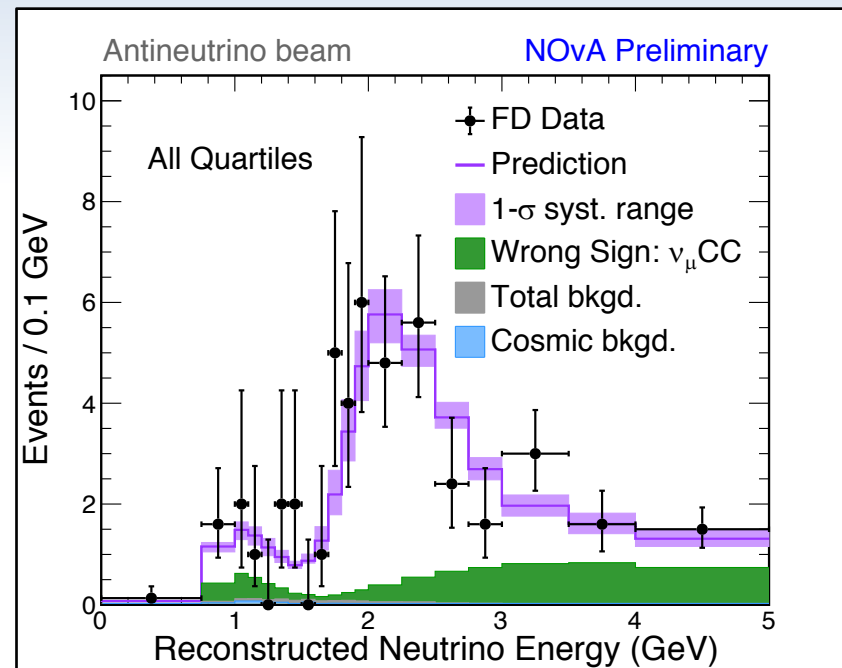
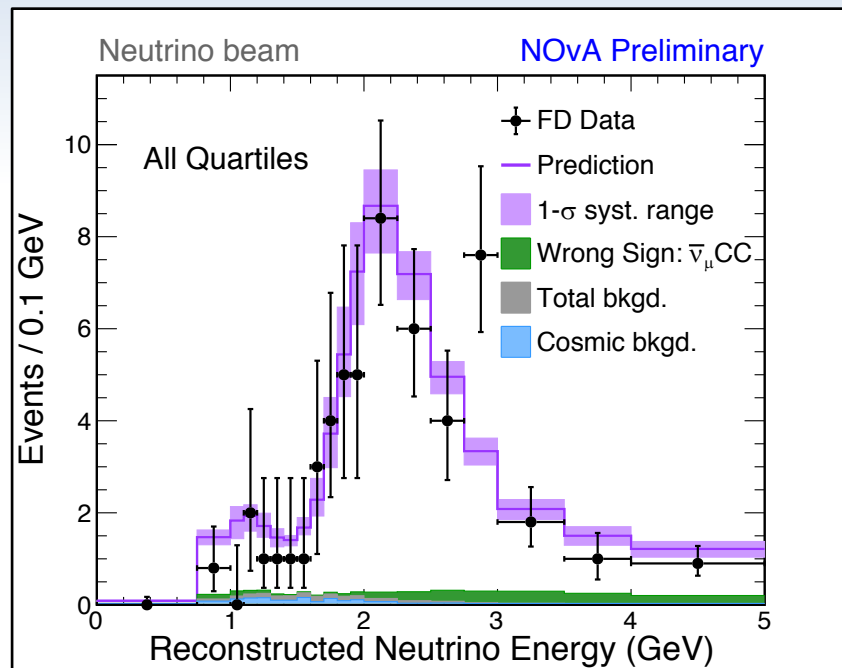
- The near detector data is used to adjust the far detector prediction via an extrapolation procedure. Any shape/normalization differences between the ND data and MC will be translated to the FD.
- This helps reduce some of our major detector-correlated systematics such as flux and cross sections.



Results:

ν_μ Spectra & Numbers:

[arXiv:1906.04907](https://arxiv.org/abs/1906.04907) (to appear in PRL)

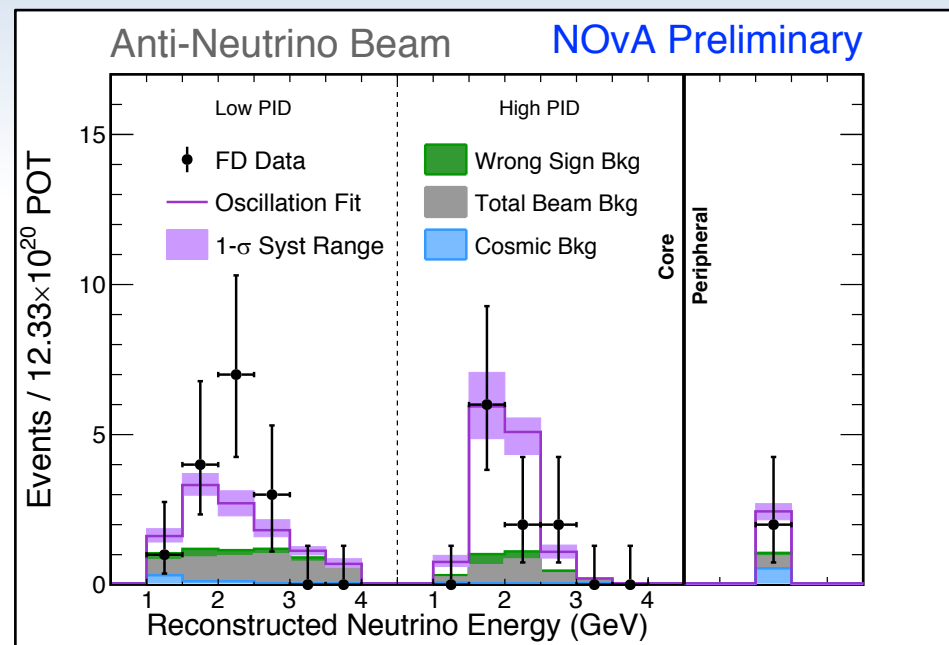
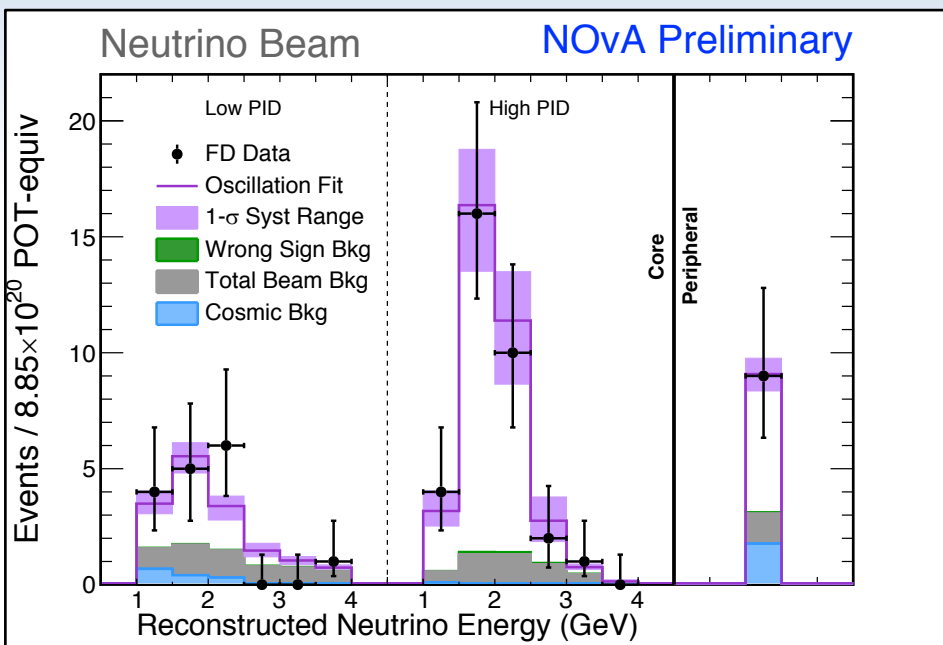


Data neutrino candidates	113
Best fit total prediction	124
total bkgd.:	4.2
↳ cosmic bkgd.	2.1
↳ beam bkgd.	2.1

Data antineutrino candidates	102
Best fit total prediction	96
total bkgd.:	2.2
↳ cosmic bkgd.	0.8
↳ beam bkgd.	1.4

ν_e Spectra & Numbers:

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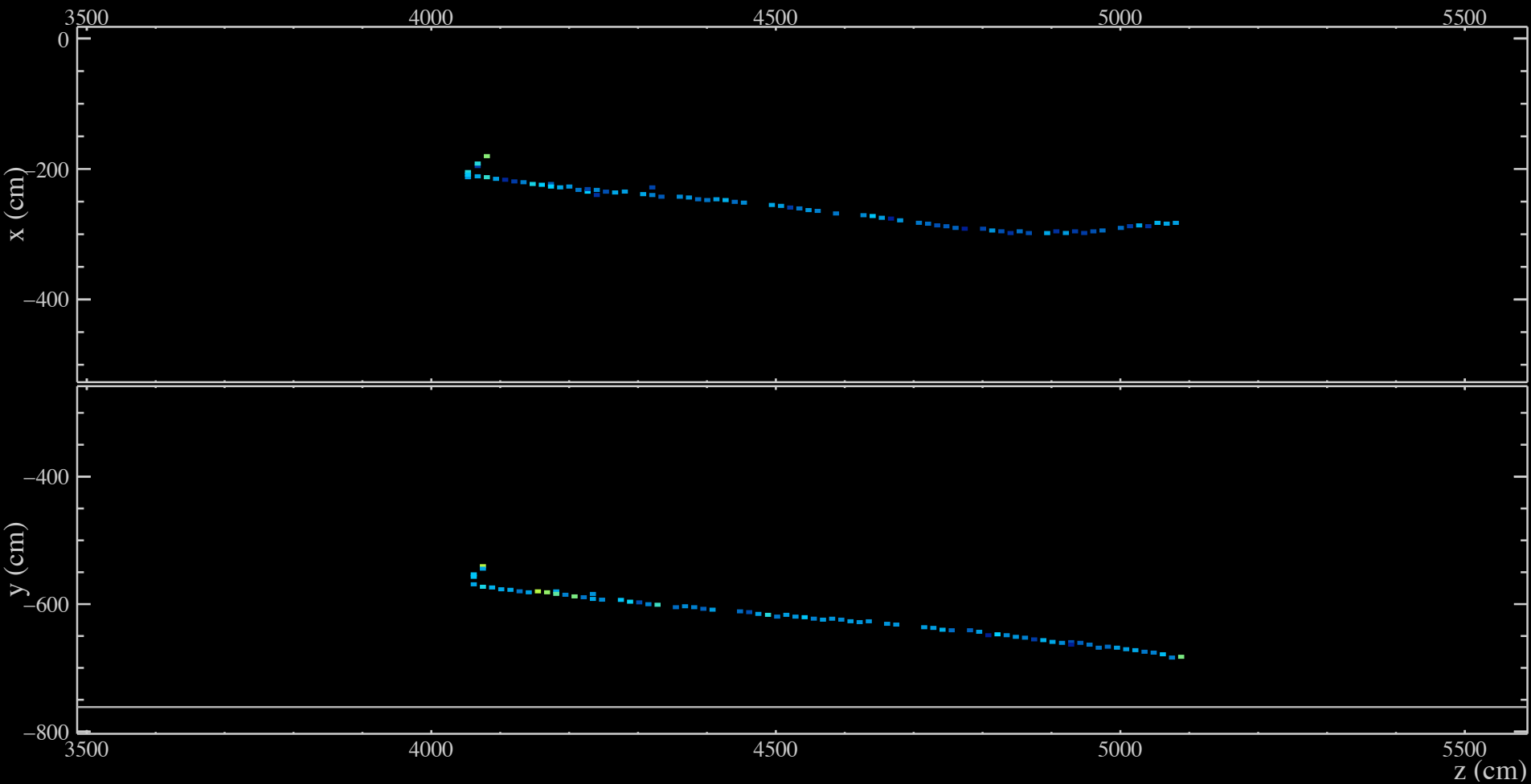


Data neutrino candidates	58
Best fit total prediction	59
total bkgd.:	15.0
↳ cosmic bkgd.	3.3
↳ beam bkgd.	11.1
↳ wrong-sign (app. $\bar{\nu}_e$)	0.7

Data antineutrino candidates	27
Best fit total prediction	27
total bkgd.:	10.3
↳ cosmic bkgd.	1.1
↳ beam bkgd.	7.0
↳ wrong-sign (app. ν_e)	2.2

Strong (4.4σ) evidence for $\bar{\nu}_e$ appearance!

Events!!!!



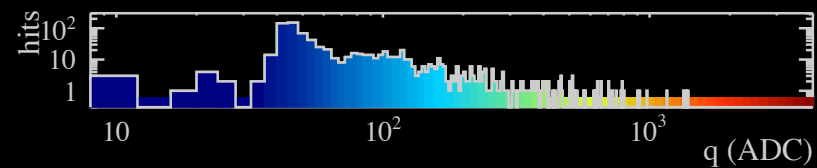
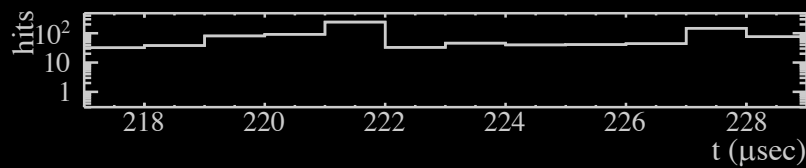
NOvA - FNAL E929

Run: 17953 / 38

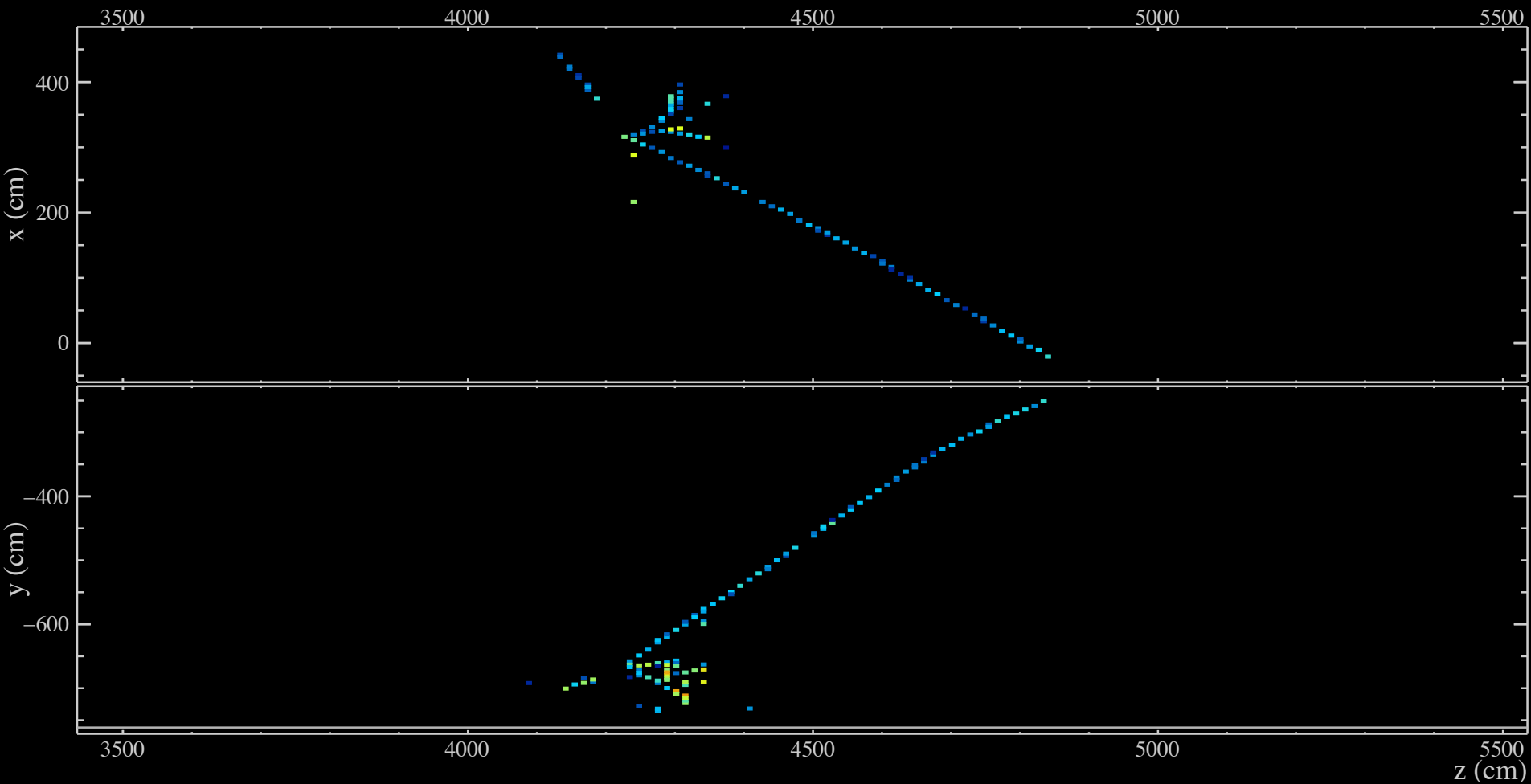
Event: 256887 / --

UTC Wed Oct 29, 2014

14:17:32.565656512



Events!!!!



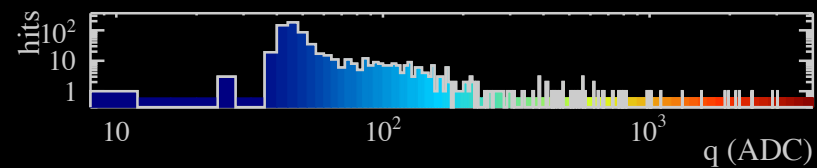
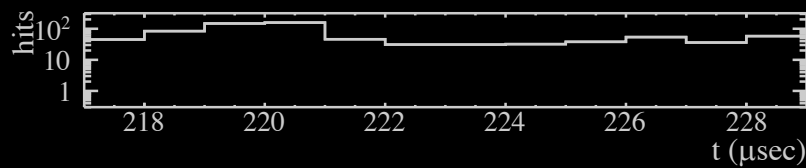
NOvA - FNAL E929

Run: 18068 / 60

Event: 379778 / --

UTC Fri Nov 7, 2014

13:30:50.305329408

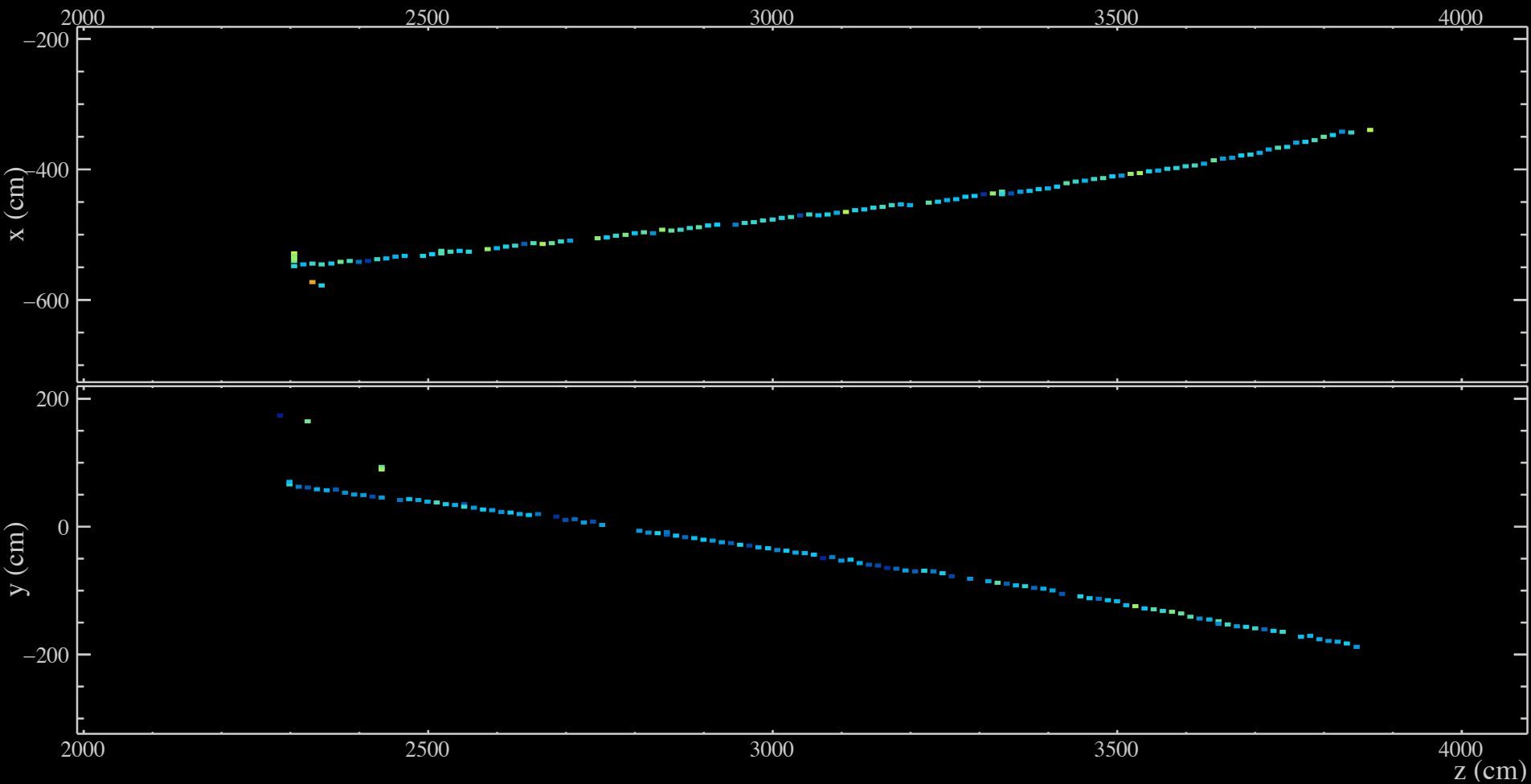


FHC ν_μ CC

M.Baird - NOvA Results, J-PARC 2019

38

Events!!!!



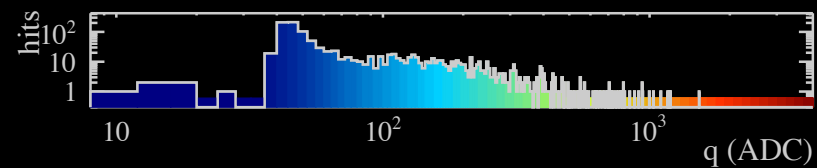
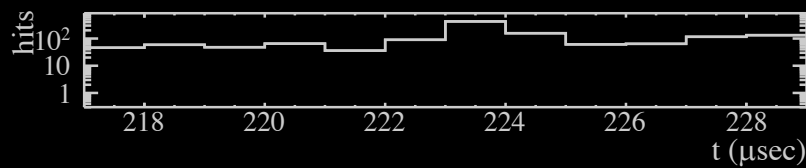
NOvA - FNAL E929

Run: 25693 / 32

Event: 2996 / --

UTC Thu Mar 23, 2017

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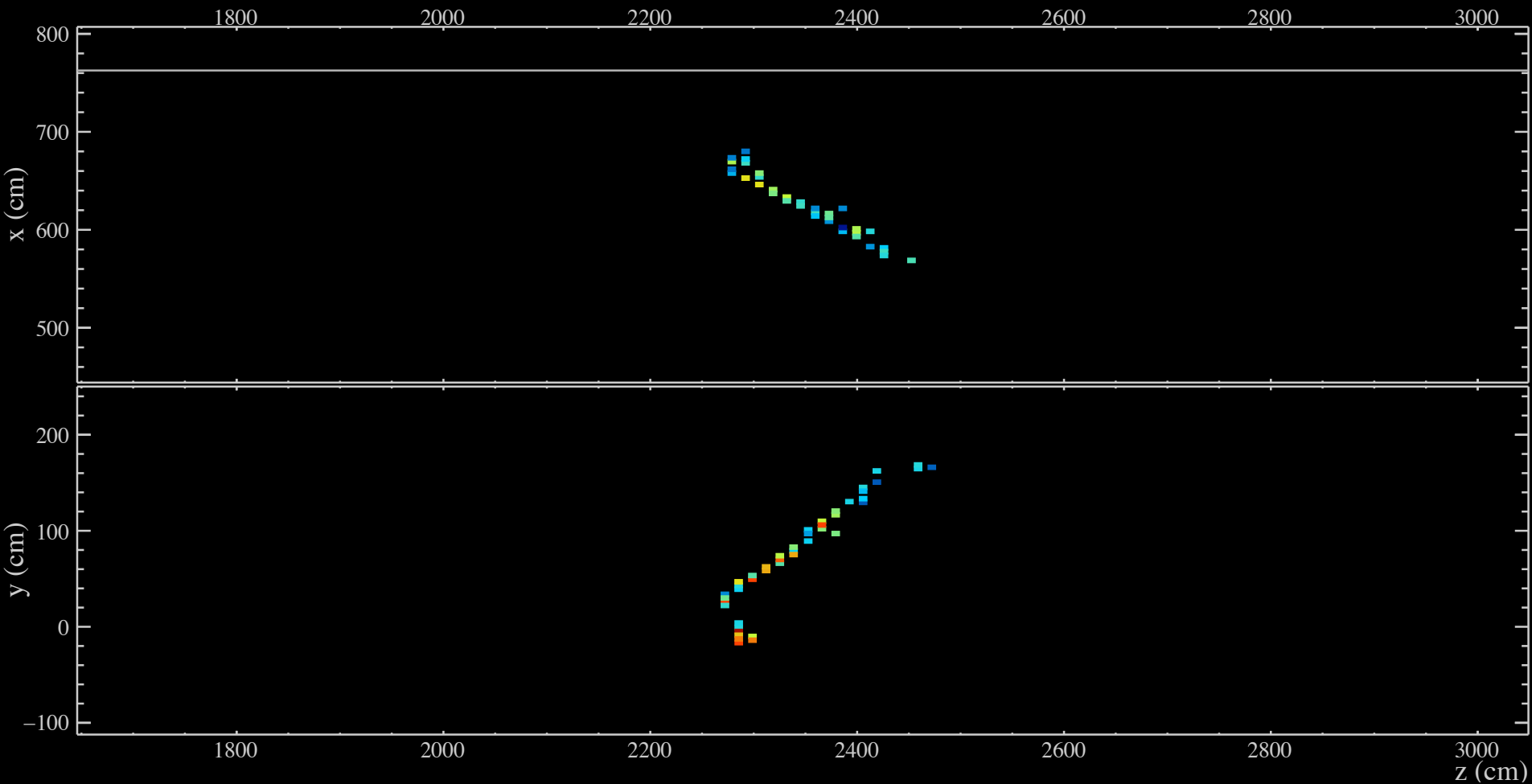


RHC ν_μ CC

M.Baird - NOvA Results, J-PARC 2019

39

Events!!!!



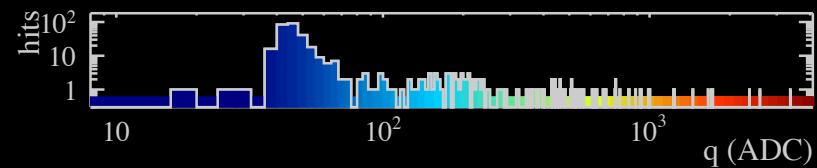
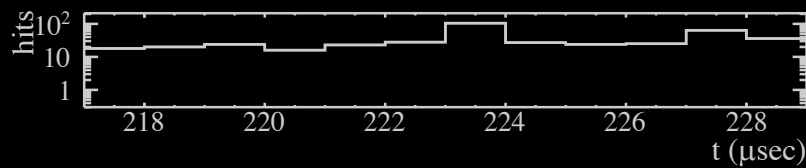
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Run: 15330 / 4

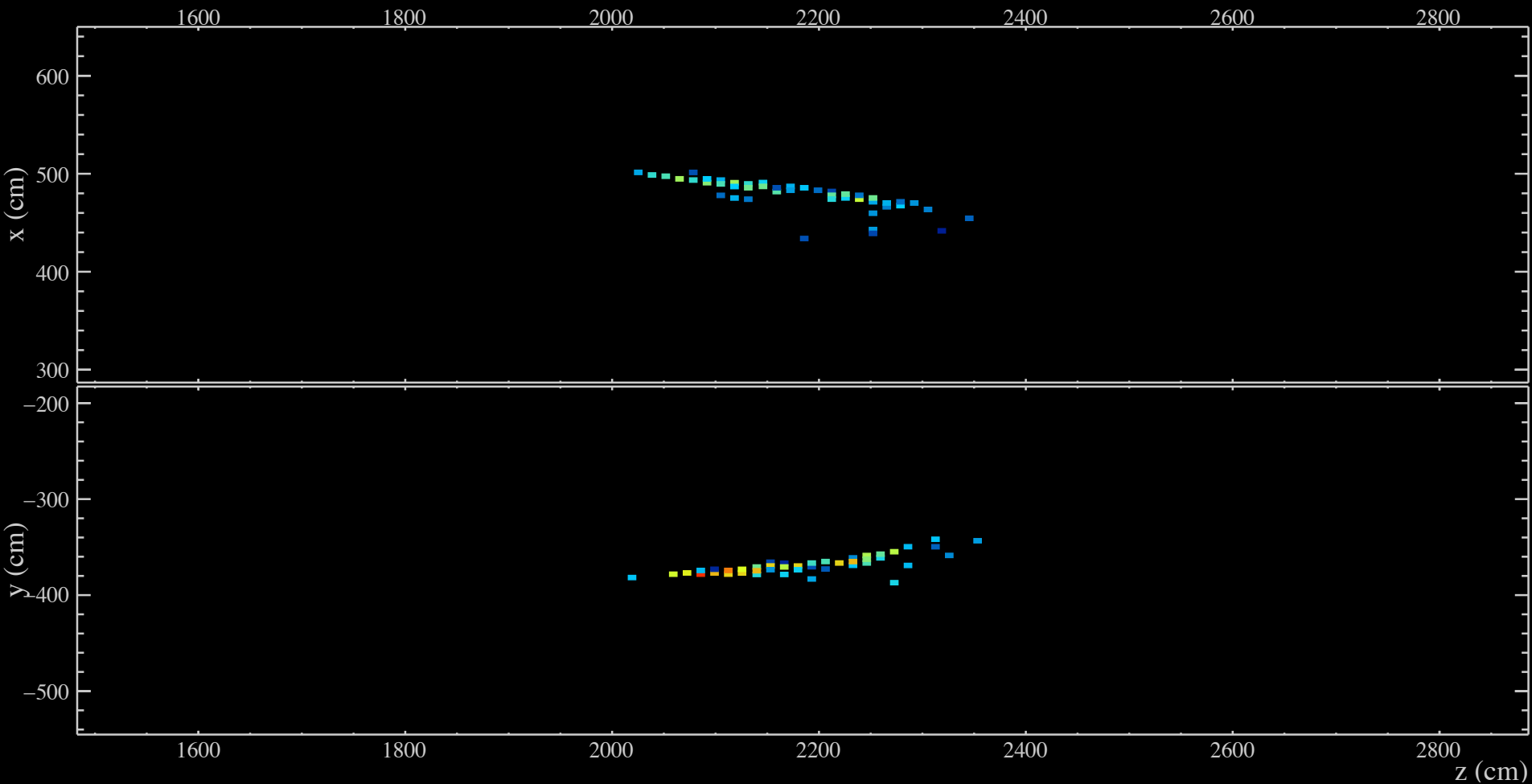
Event: 11978 / --

UTC Fri May 23, 2014

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Events!!!!



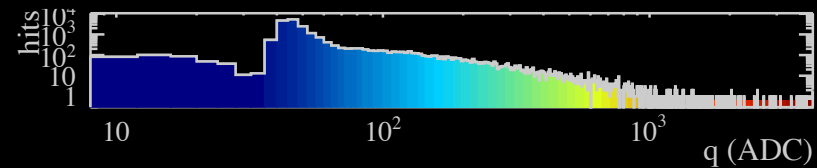
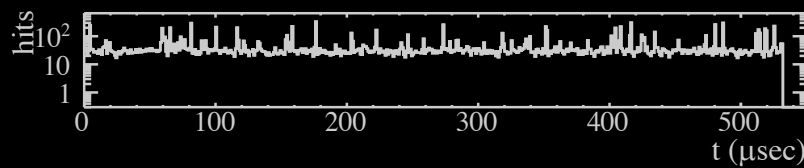
NOvA - FNAL E929

Run: 15975 / 4

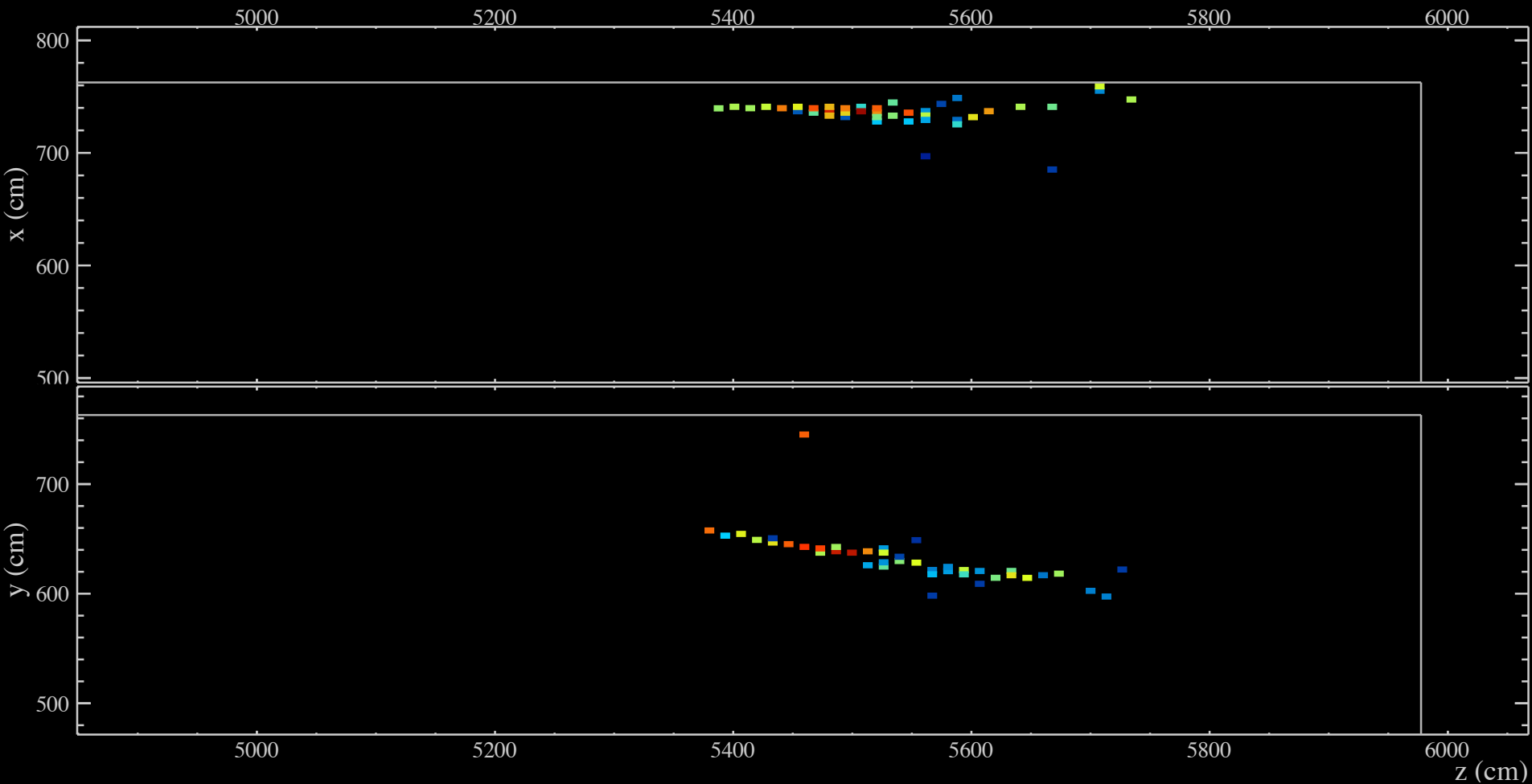
Event: 27652 / --

UTC Wed Jul 2, 2014

14:02:15.807268672



Events!!!!



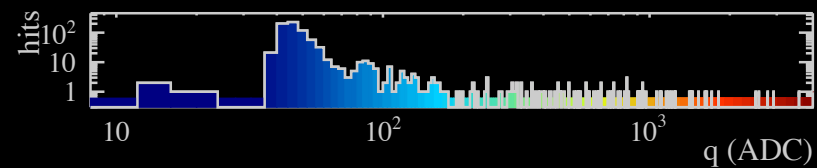
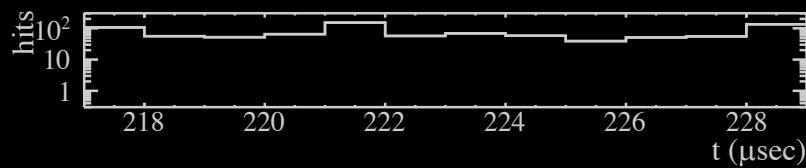
NOvA - FNAL E929

Run: 26110 / 49

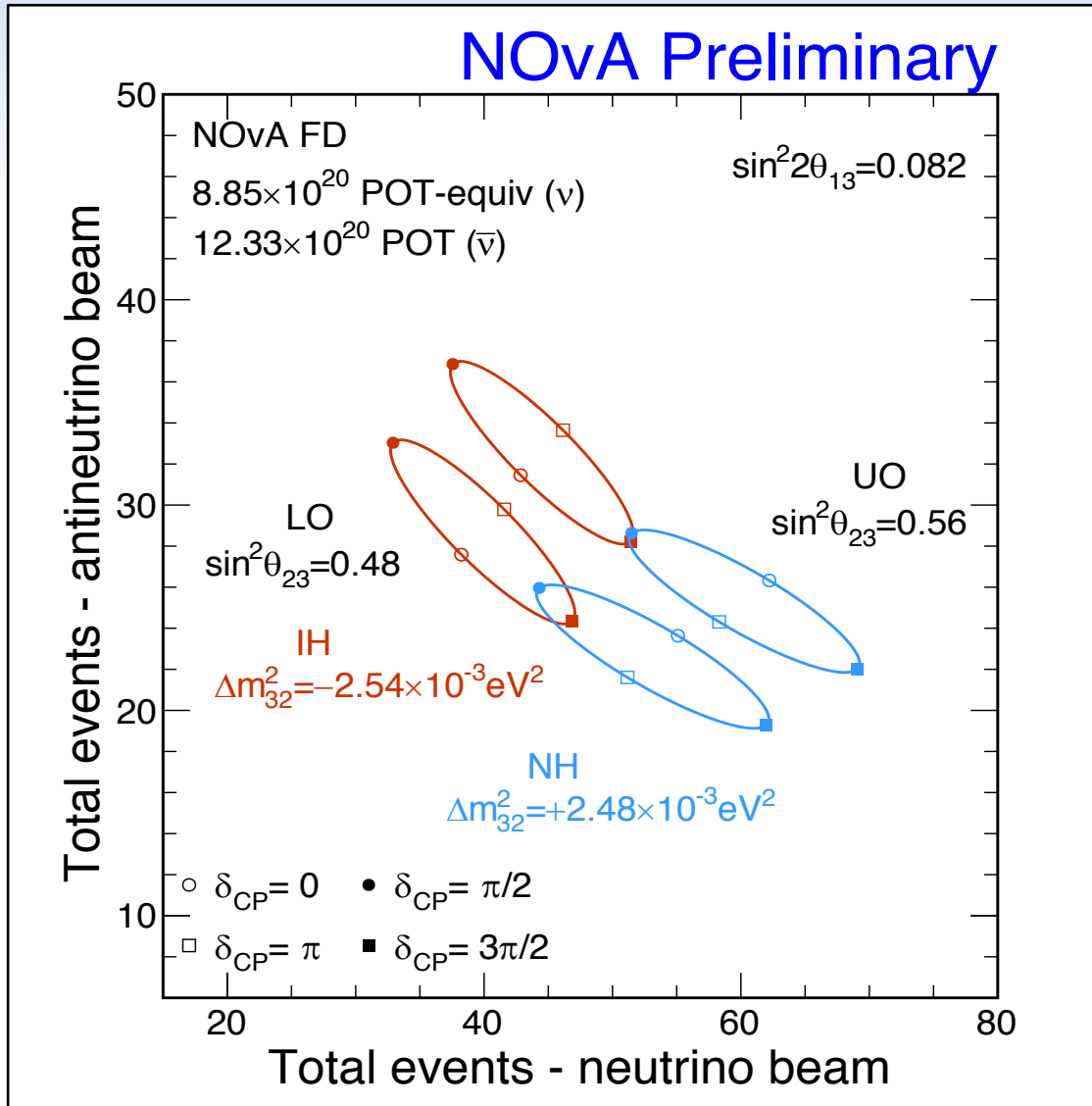
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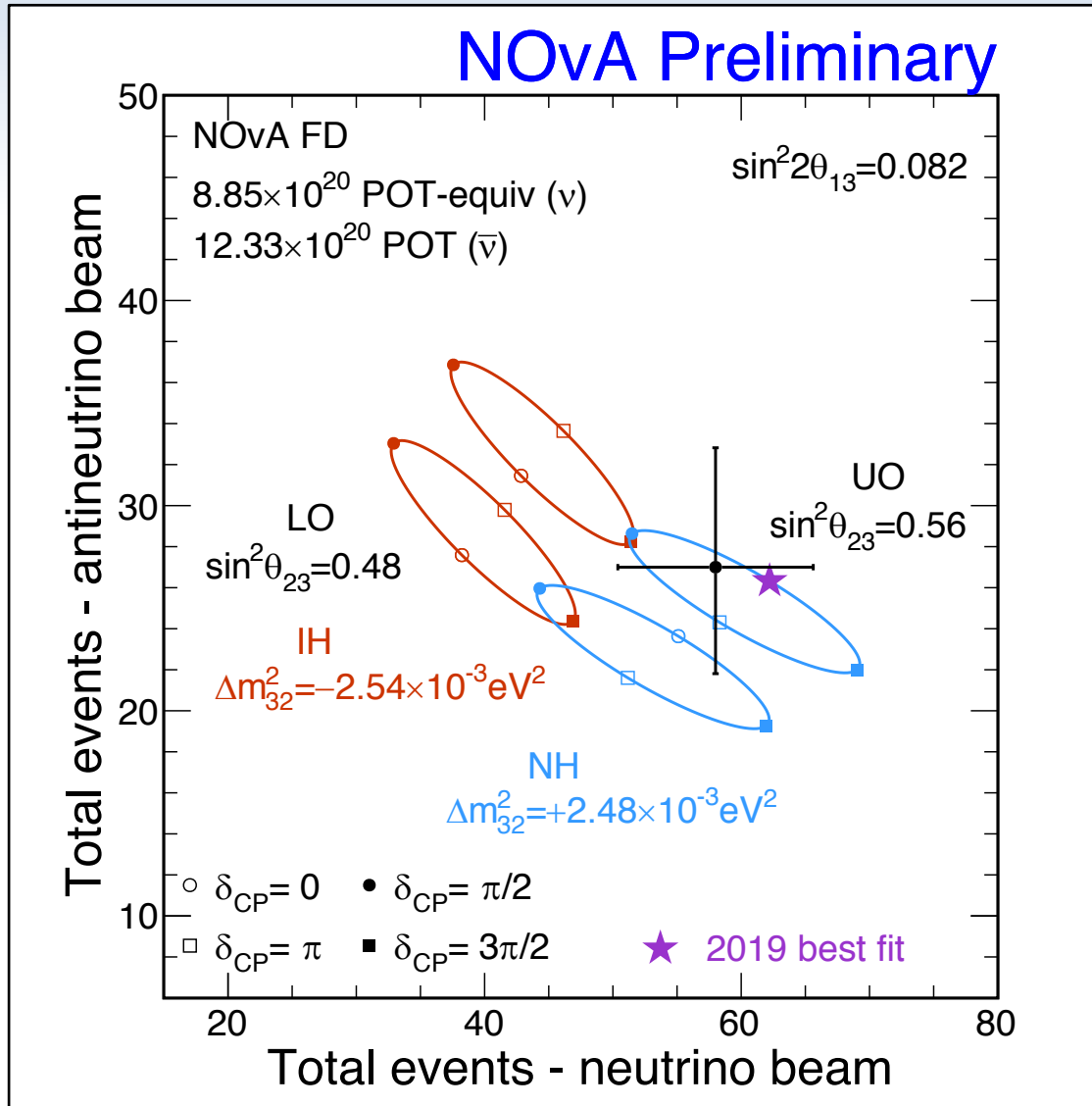
UTC Sun May 7, 2017

04:41:20.910875840



ν_e Spectra & Numbers:





Observed:

- 58 ν_e candidates
- 27 $\bar{\nu}_e$ candidates

Fit Results:

NOvA Preliminary

Best Fit:

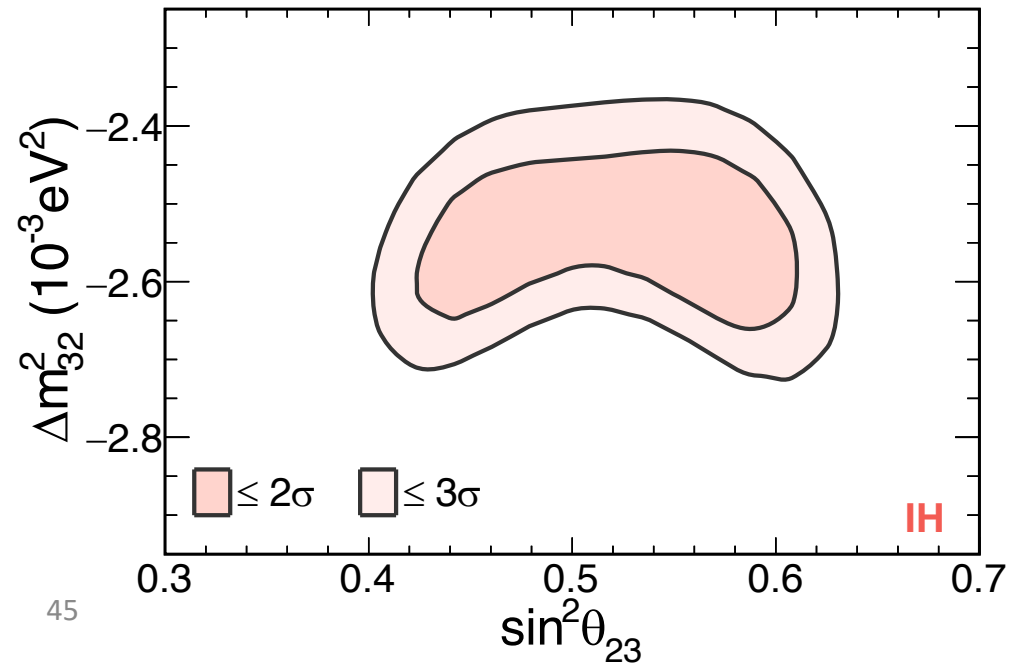
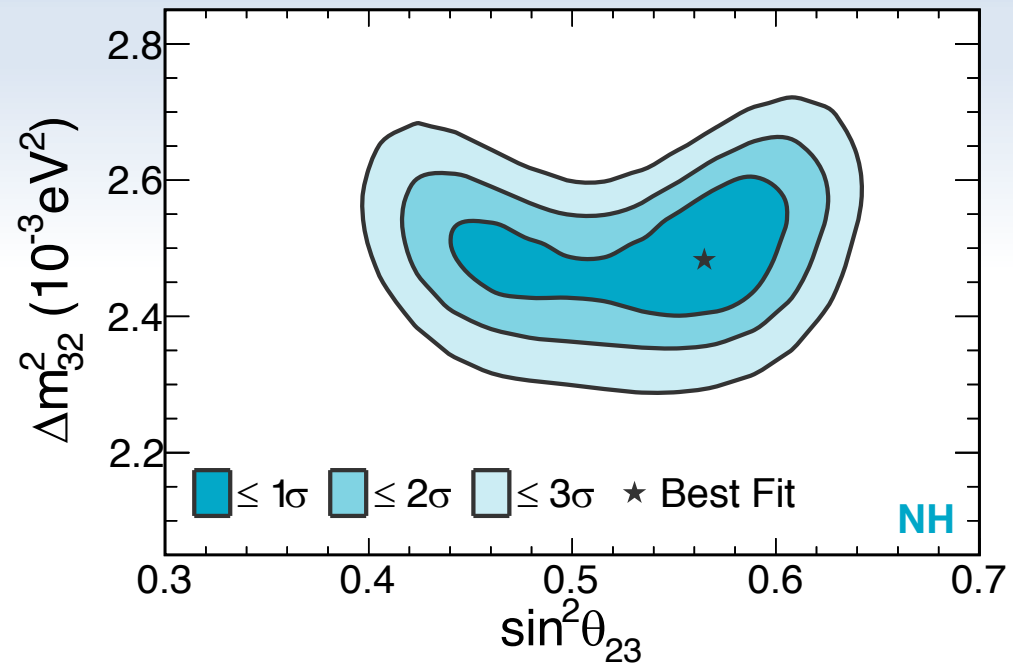
Normal Hierarchy (1.9 σ)

Upper Octant (1.6 σ)

$$\Delta m_{32}^2 = 2.48^{+0.11}_{-0.06} \times 10^{-3} \text{ eV}^2$$
$$\sin^2(\theta_{23}) = 0.56^{+0.04}_{-0.03} \quad (48.4^\circ)$$

All contours shown have been corrected by the Feldman-Cousins Procedure.

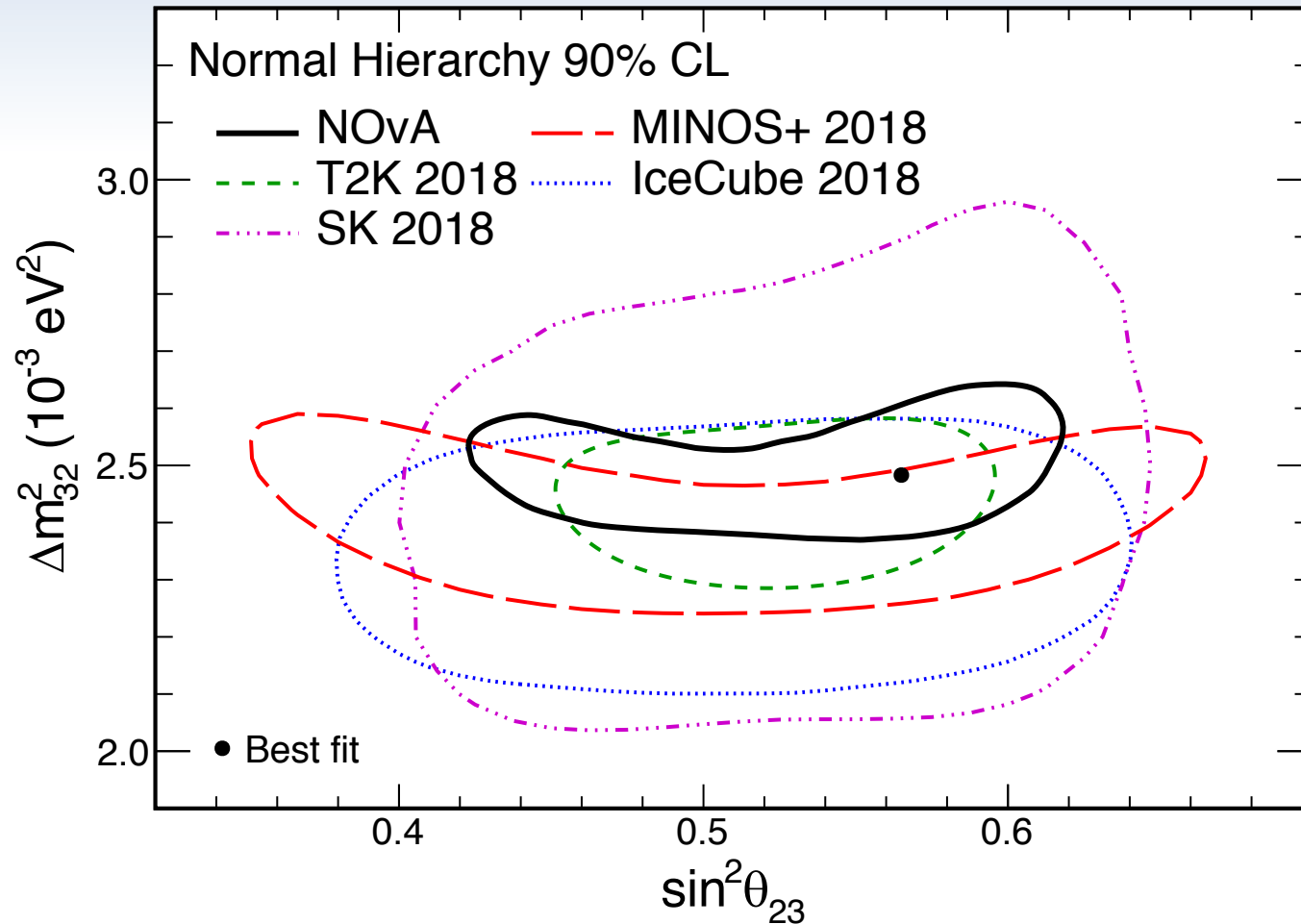
[arXiv:1906.04907](https://arxiv.org/abs/1906.04907) (to appear in PRL)



Fit Results:

[arXiv:1906.04907](https://arxiv.org/abs/1906.04907) (to appear in PRL)

NOvA Preliminary



Our results are consistent with other long-baseline and atmospheric experiments.

Fit Results:

NOvA Preliminary

Best Fit:

Normal Hierarchy (1.9 σ)

Upper Octant (1.6 σ)

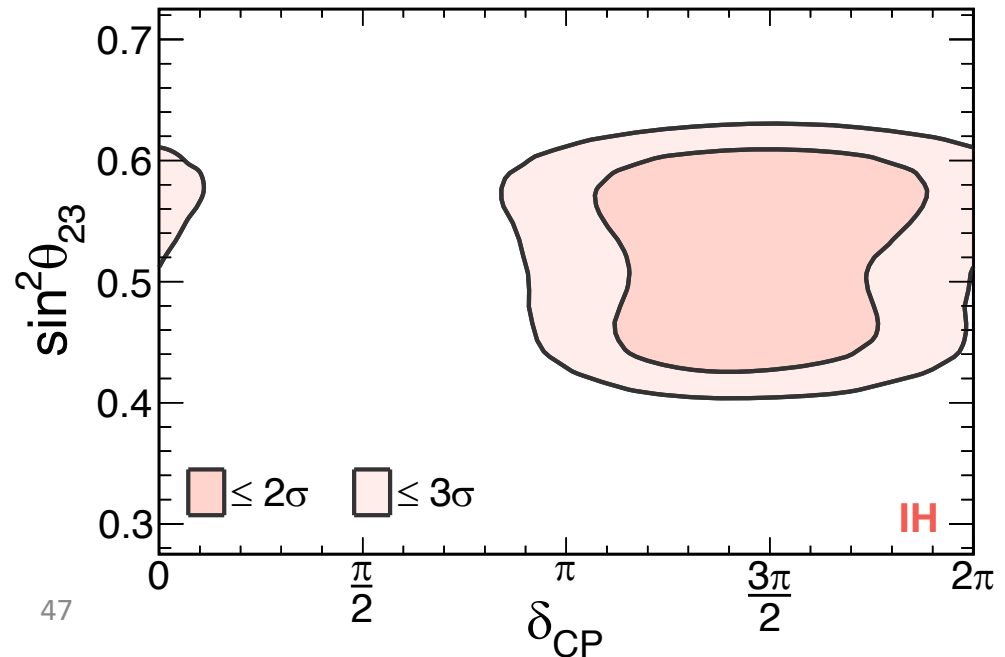
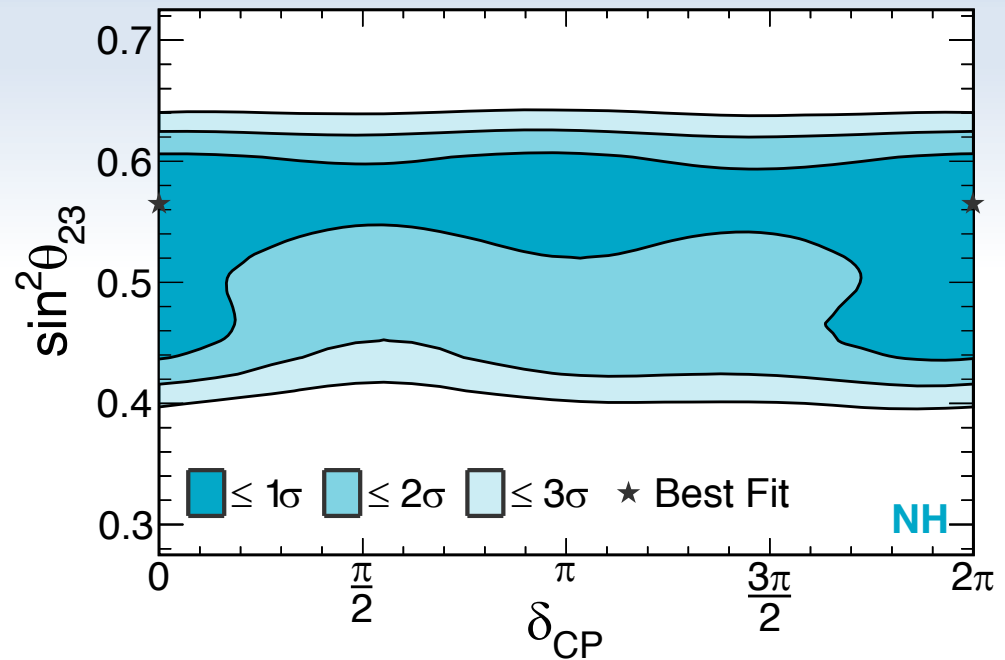
$$\Delta m_{32}^2 = 2.48^{+0.11}_{-0.06} \times 10^{-3} \text{ eV}^2$$

$$\sin^2(\theta_{23}) = 0.56^{+0.04}_{-0.03} \quad (48.4^\circ)$$

B.F. for $\delta_{\text{CP}} = 0/2\pi$

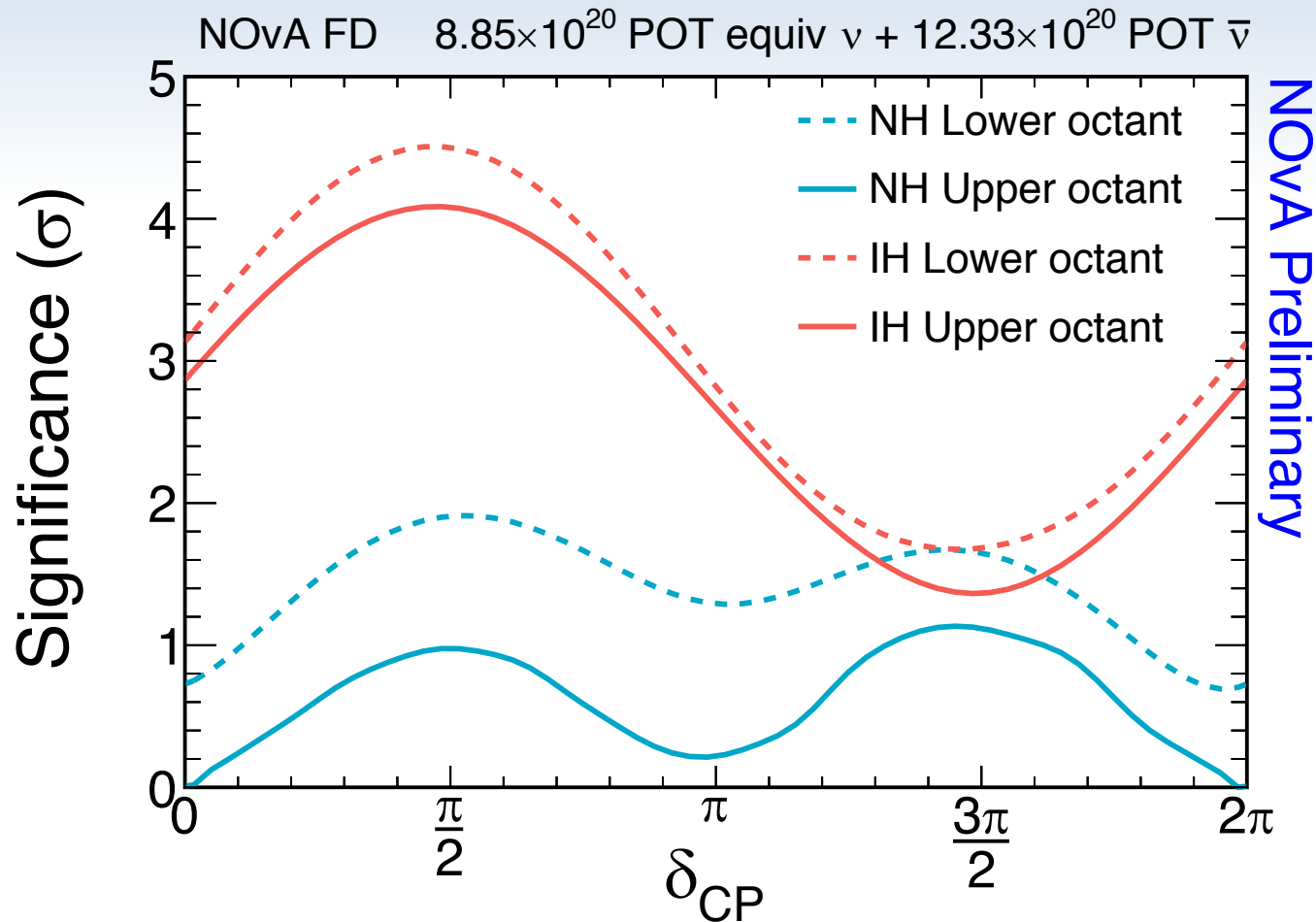
IH $\delta_{\text{CP}} = \pi/2$ is excluded at $> 3 \sigma$.

[arXiv:1906.04907](https://arxiv.org/abs/1906.04907) (to appear in PRL)



Fit Results:

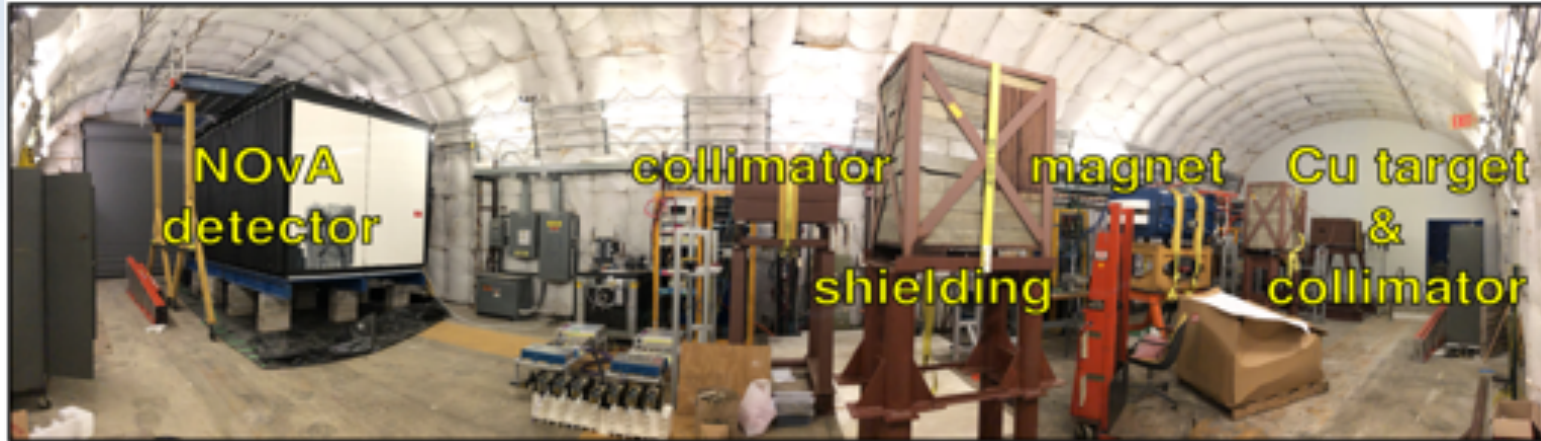
[arXiv:1906.04907](https://arxiv.org/abs/1906.04907) (to appear in PRL)



But note: We are \sim consistent with all values of δ in the NH LO.

What's Next for NOvA?

NOvA Test Beam Program:

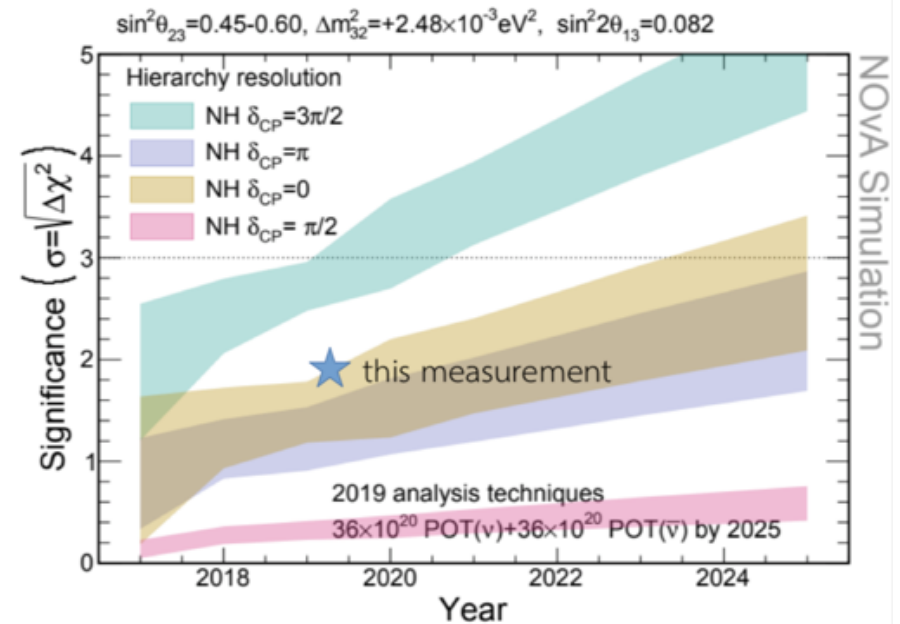


- Placed in a tunable beam of π , p , μ .
- Designed to help us address energy-related and detector-response systematics.
- Data taking currently underway!

NOvA future reach:

- Possible 3-5 σ sensitivity to the hierarchy with favorable parameters.
- Possible $> 2 \sigma$ sensitivity to CP violation.

Also currently working towards a future joint analysis with T2K!



Conclusions:

- NOvA had made an excellent measurement using $< \frac{1}{2}$ of our expected final POT.
- We have seen strong evidence (4.4σ) for $\bar{\nu}_e$ appearance at long baseline.
- Our joint analysis combining $\nu_\mu / \bar{\nu}_\mu$ disappearance and $\nu_e / \bar{\nu}_e$ appearance prefers:
 - The NH at 1.9σ and excludes IH, $\delta = \pi/2$ at $> 3 \sigma$.
 - Non-maximal mixing in the upper octant at 1.6σ .
- Looking forward to test beam data and a new analysis with new simulation and improved algorithms next summer!

Domo Arigato!



Backups:

Far Detector site



Block construction



Outfitted Far Detector



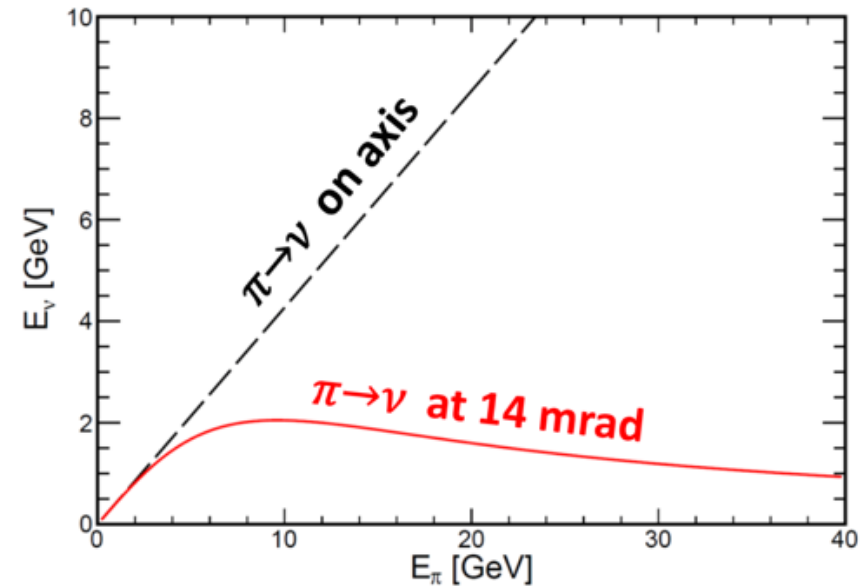
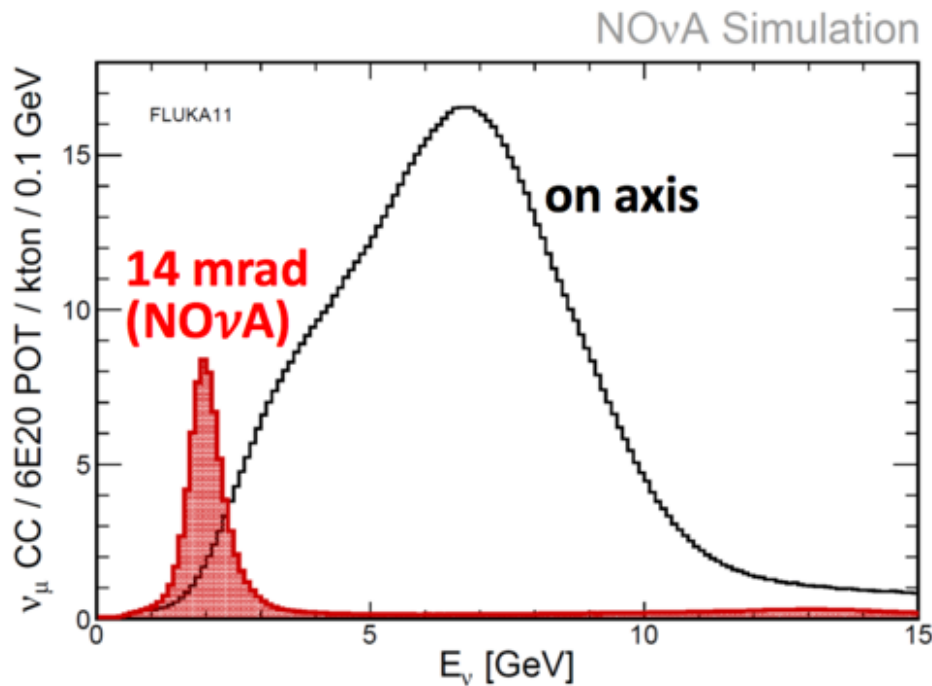
Near Detector



The NOvA Experiment: NuMI Beam

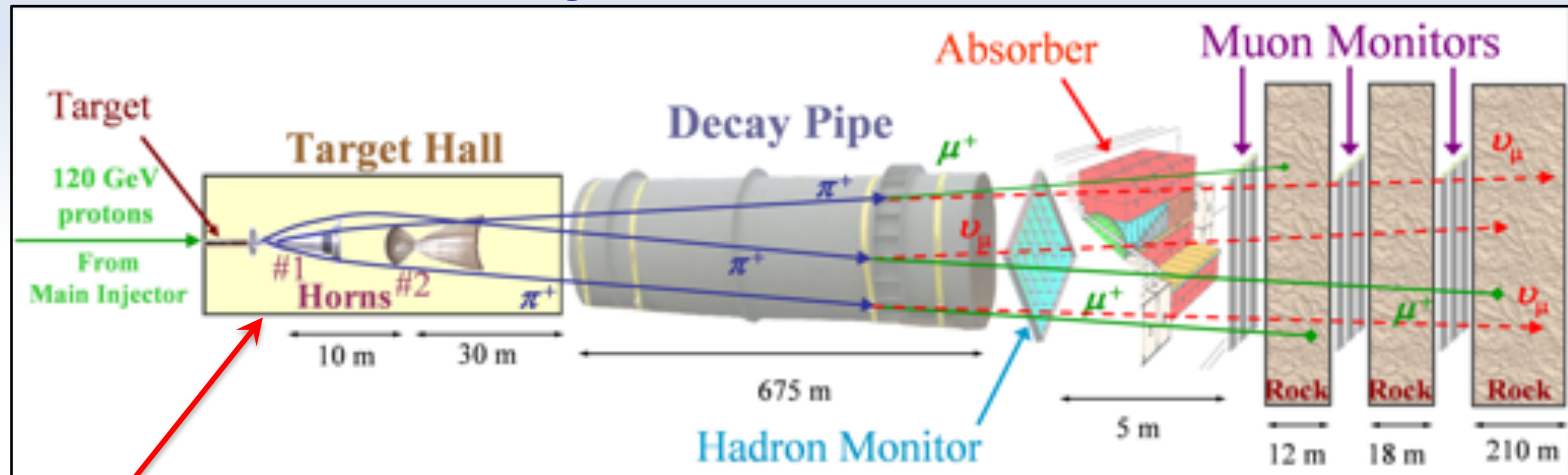
The NOvA experiment is 14 mrad off-axis:

- gives us a narrowly peaked ν energy spectrum at 2 GeV
- 2 GeV = oscillation max for 810 km
- helps reduce NC backgrounds



$$E_{\nu} = \frac{0.43 E_{\pi}}{1 + \gamma^2 \theta^2}$$

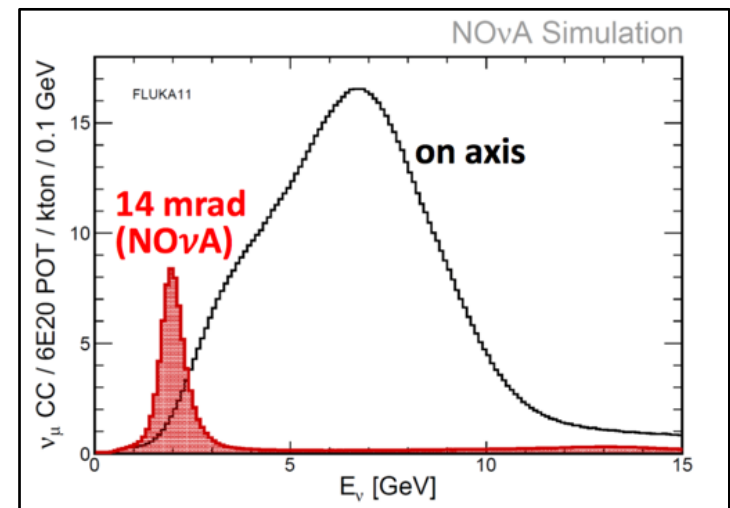
The NOvA Experiment: NuMI Beam



The horns can run in forward current (FHC) or reverse current (RHC) modes to create a neutrino or anti-neutrino beam respectively.

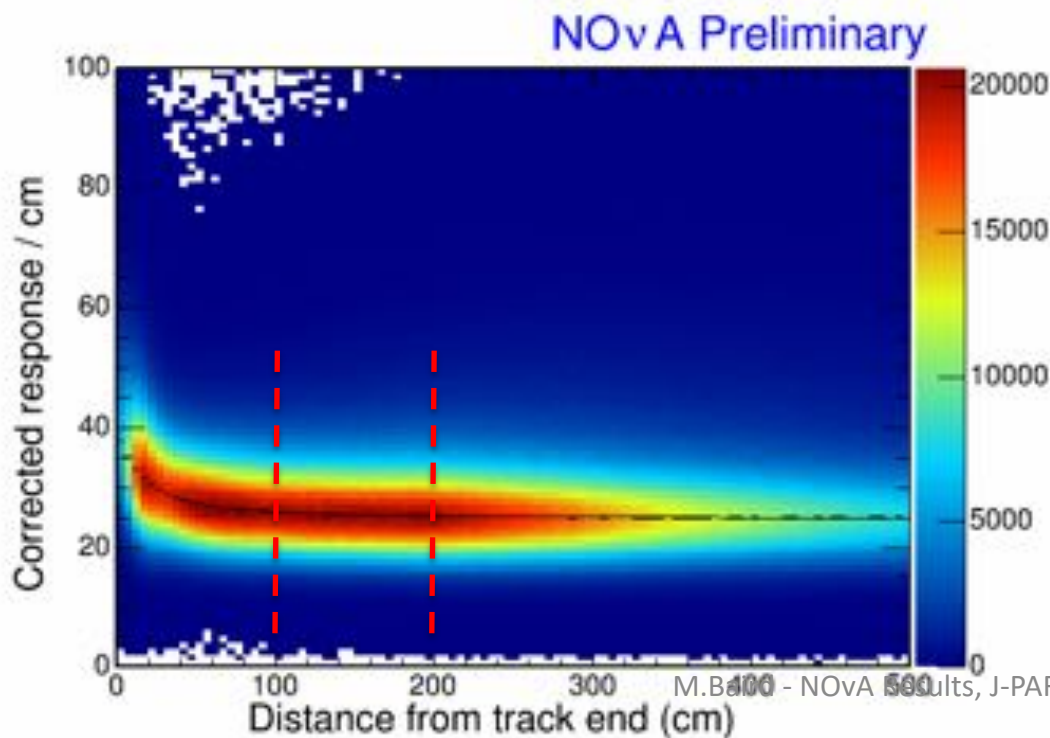
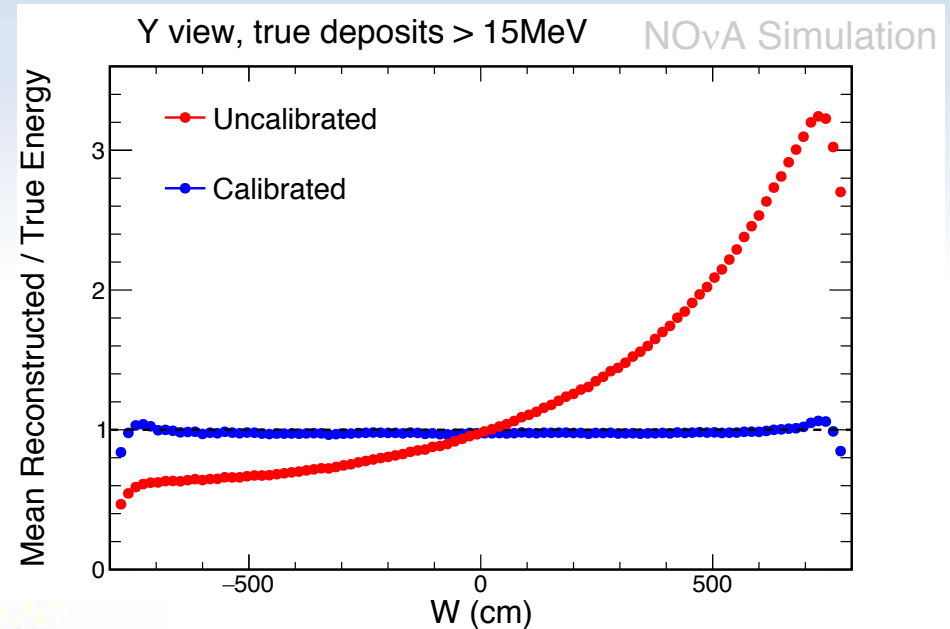
The NOvA experiment is 14 mrad off-axis:

- gives us a narrowly peaked ν energy spectrum at 2 GeV
- 2 GeV = oscillation max for 810 km
- helps reduce NC backgrounds



Calibration:

- Compute the attenuation curve for each fiber individually using through-going cosmic muons.
- This puts all fibers and cells on an equal footing.

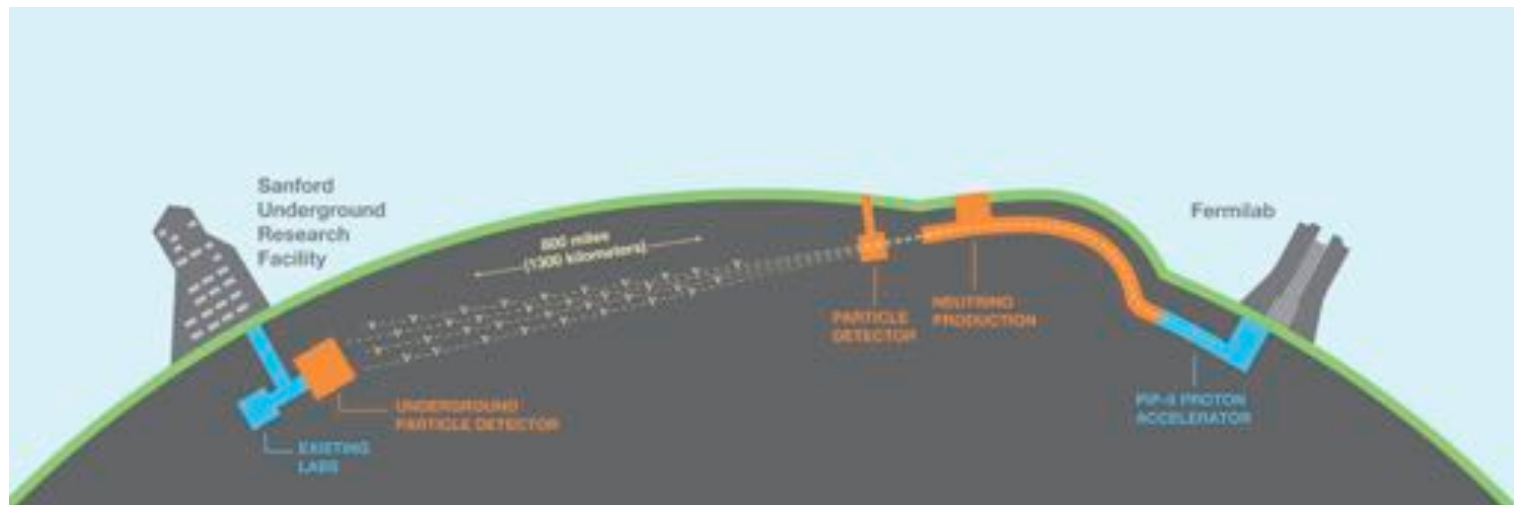


- Compute the absolute energy scale for the whole detector using stopping cosmic muons.
- Look for “good” hits in the MIP region of the track.

Aspects of a Long-Baseline Neutrino Expt.

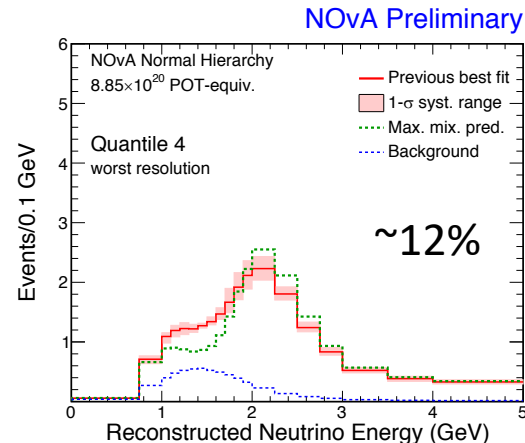
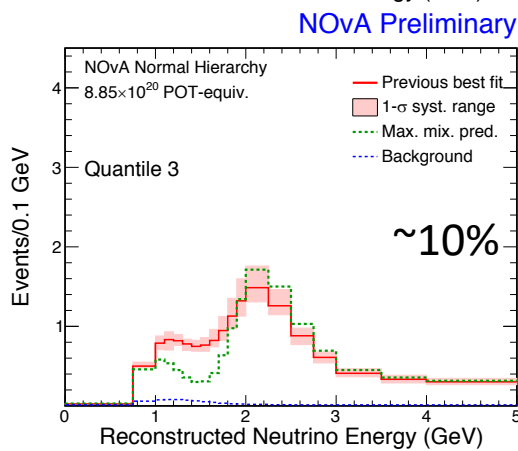
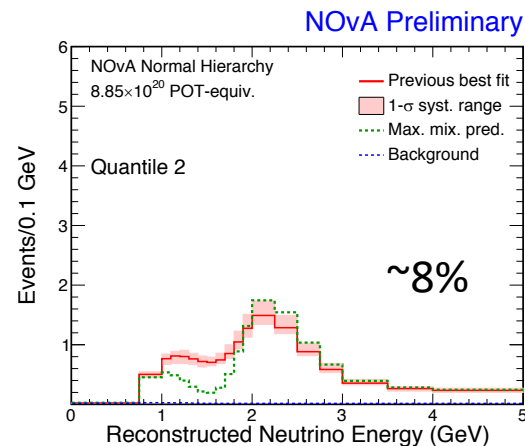
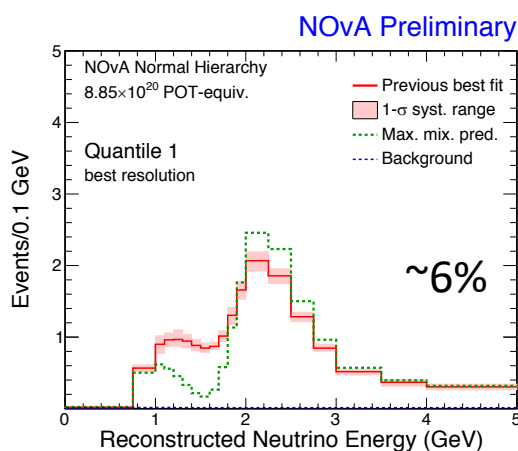
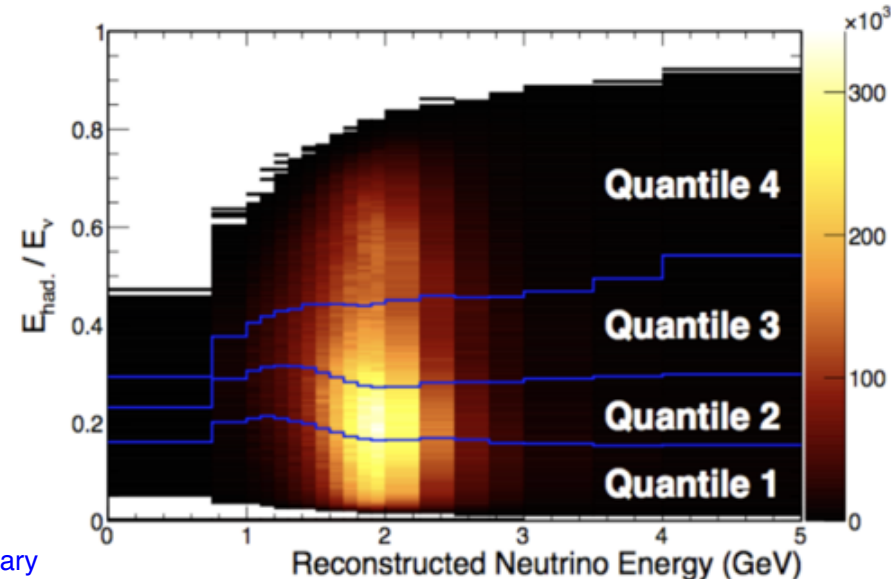
General Features and Challenges:

- Main goals include measuring neutrino oscillations and constraining elements of the PMNS mixing matrix. **Requires identifying neutrino flavors and estimating their energies.**
- Typical designs include two detectors (near and far.) The near detector measures the unoscillated beam; and the far, the oscillated beam. **Having a near detector helps constrain beam flux, cross section systematics etc.**
- Beam energies require both detectors to be $O(10^2 - 10^3)$ km apart. **Dramatically reduces the far detector flux, but allows for interesting physics measurements via the matter effect.**



ν_μ Energy:

We split the energy spectrum into 4 quantiles by hadronic energy fraction.



Energy resolution for each quantile ranges from 6% in the best one to 12% in the worst.

Most of our background events end up in the 4th (worst) quantile.

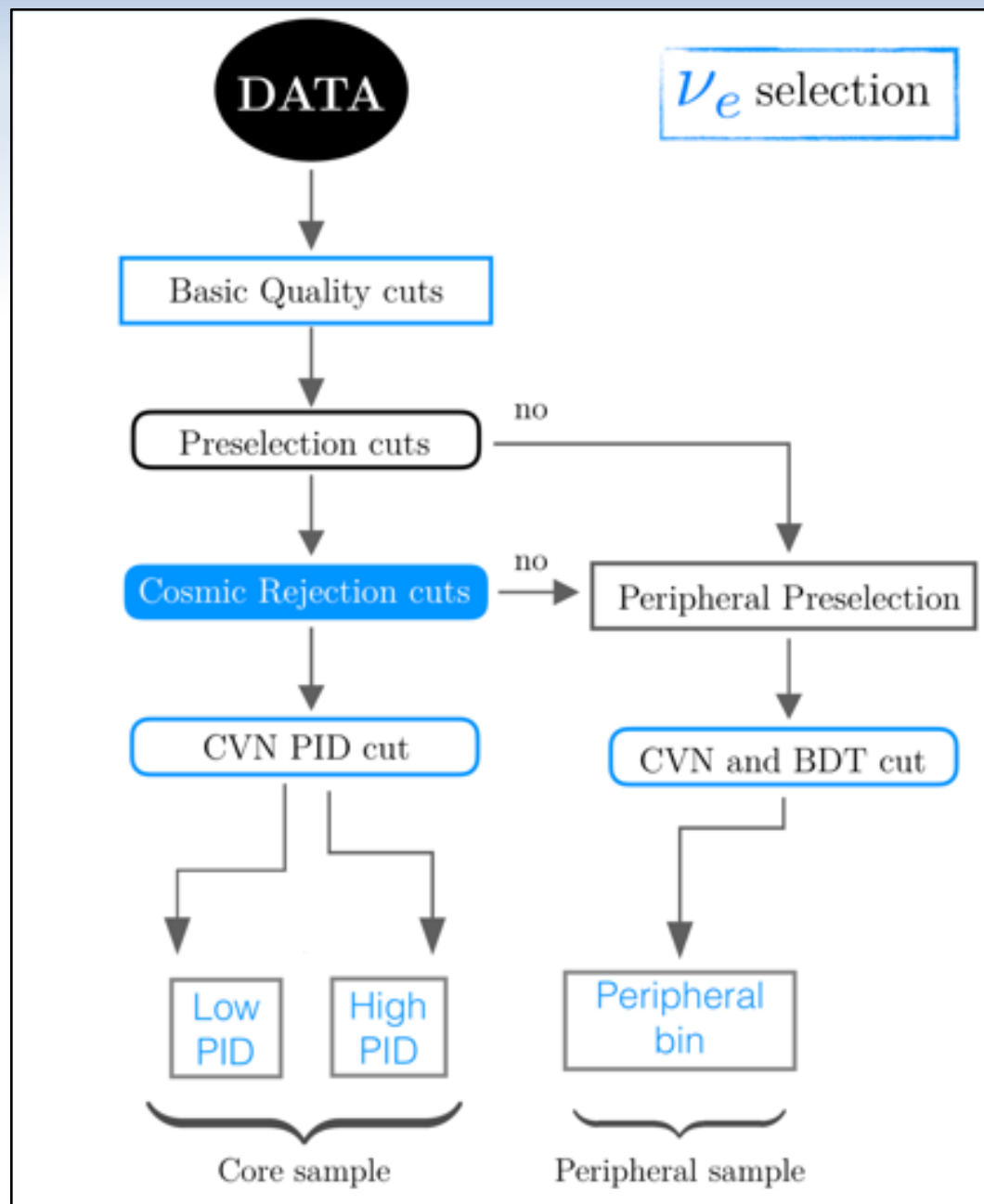
ν_e Summary:

Similar to ν_μ :

- select events (using CVN)
- generate FD prediction
- measure cosmics
- fit for results...

Major differences:

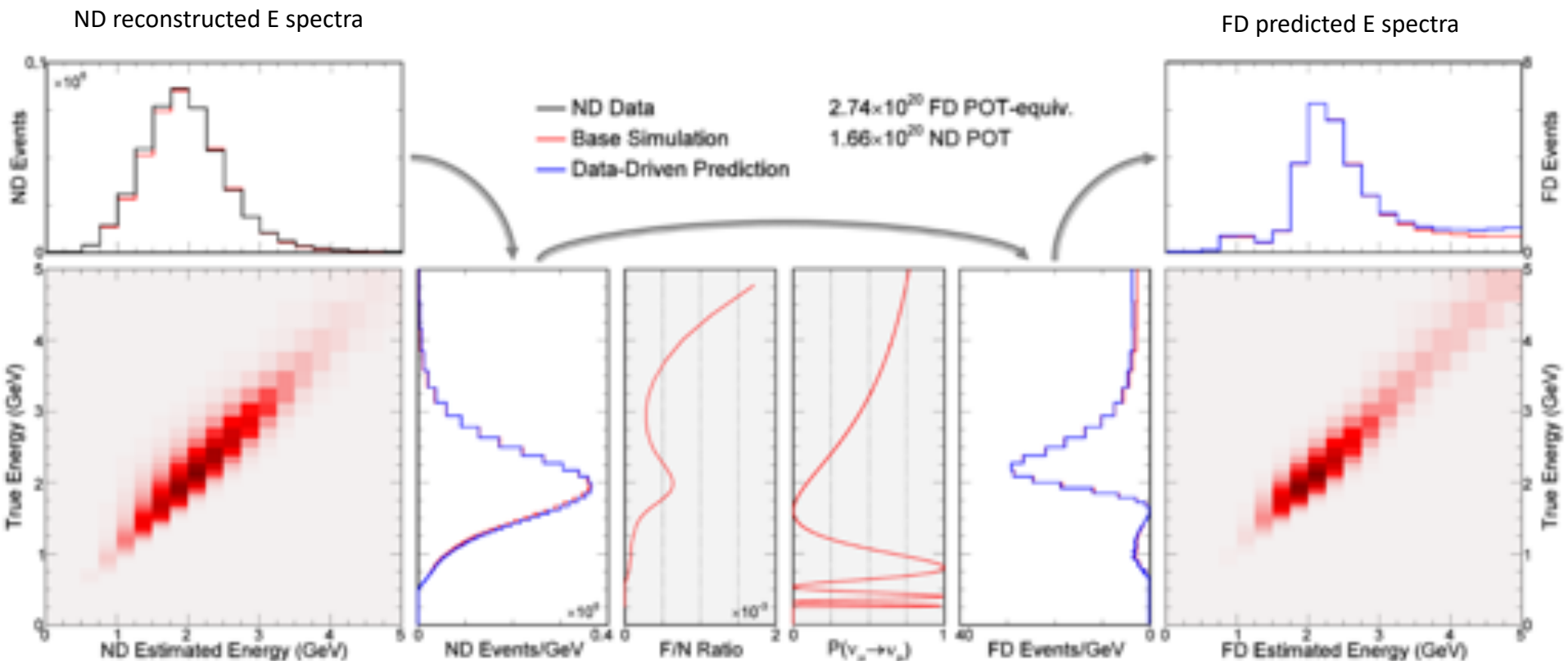
- handle backgrounds differently
- use a “peripheral” event sample
- separate spectra by PID value



Far Detector Prediction:

The far detector prediction is generated using a near to far extrapolation process.

This extrapolation is repeated separately for each numu quantile.



Nuclear effects in neutrino cross sections

- Backstory: disagreements are seen in the quasi-elastic cross section as measured on a single nucleons vs. in more complex nuclei.
- Potentially explained by 2 nuclear effects:
- Short-range effects
 - Interactions with nucleon pairs, multi-nucleon ejection
 - Empirical MEC model*, amount tuned to match our ND data.
- Long-range effects
 - Nuclear charge screening
 - RPA from the Valencia group via R. Gran†
- Theory for these effects remains incomplete and the evidence is ambiguous for any particular model.

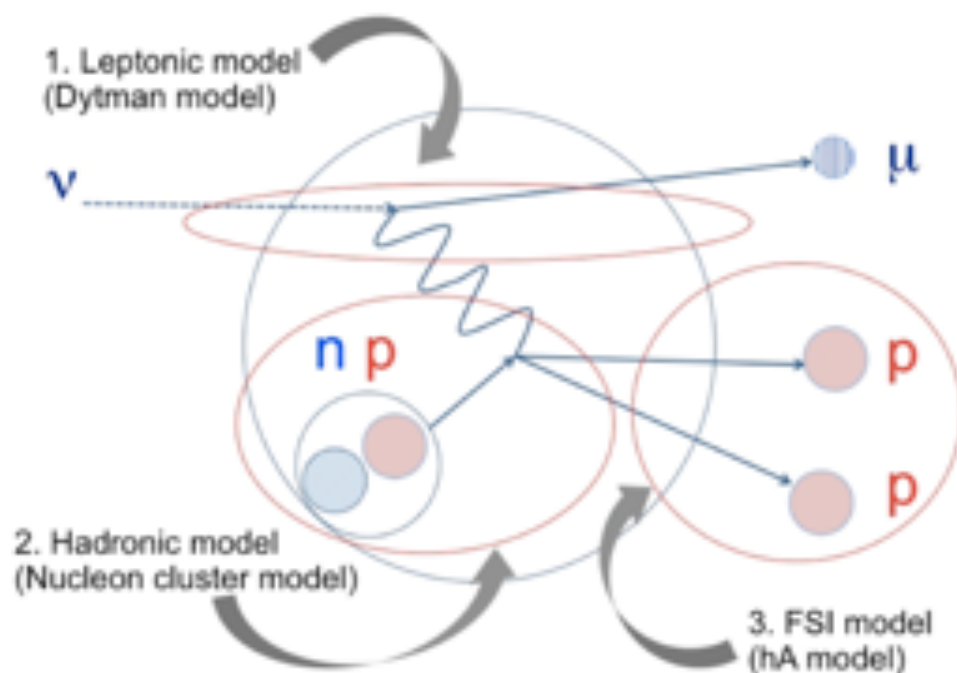


Fig: Tepei Katori, "Meson Exchange Current (MEC) Models in Neutrino Interaction Generators" AIP Conf.Proc. 1663 (2015) 030001

* "Meson Exchange Current (MEC) Models in Neutrino Interaction Generators", Tepei Katori, NuInt12 Proceedings, arXiv:1304.6014

† "Model uncertainties for Valencia RPA effect for MINERvA", Richard Gran, FERMILAB-FN-1030-ND, arXiv:1705.02932

Tuning the Neutrino Interaction Model

From **external theory**:

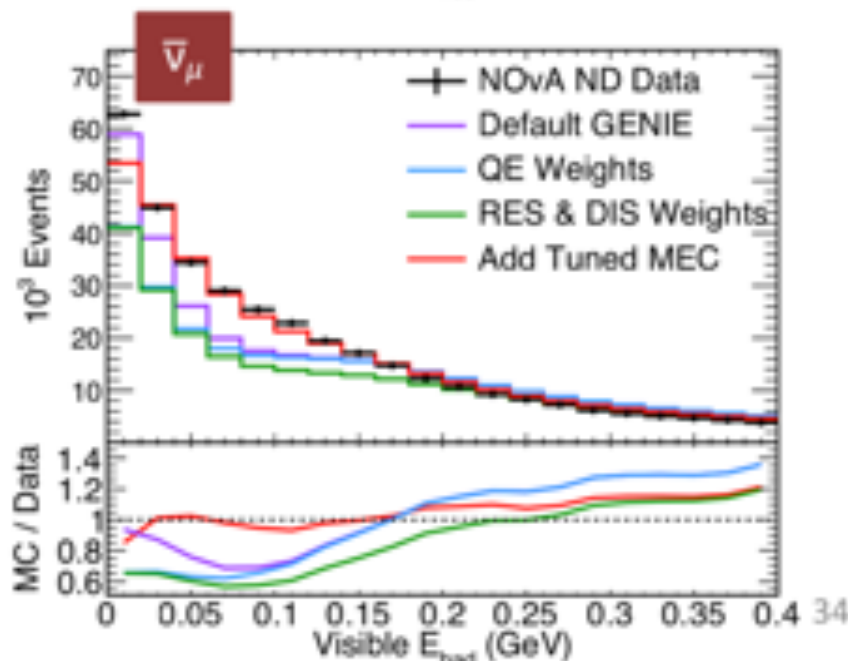
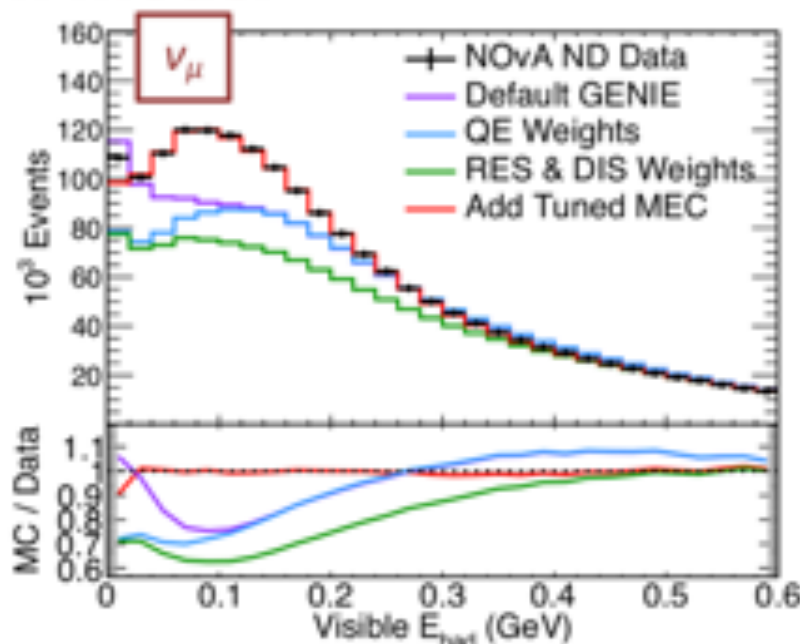
- Valencia RPA model[†] of nuclear charge screening applied to QE.
- Same model applied to resonance.

From **NOvA ND data**:

- 10% increase in non-resonant inelastic scattering (DIS) at high W .
- Add MEC interactions
 - Start from Empirical MEC*
 - Retune in $(q_0, |\mathbf{q}|)$ to match ND data
 - Tune separately for $\nu/\bar{\nu}$

[†] "Model uncertainties for Valencia RPA effect for MINERvA", Richard Gran, FERMILAB-FN-1030-ND, arXiv:1705.02932

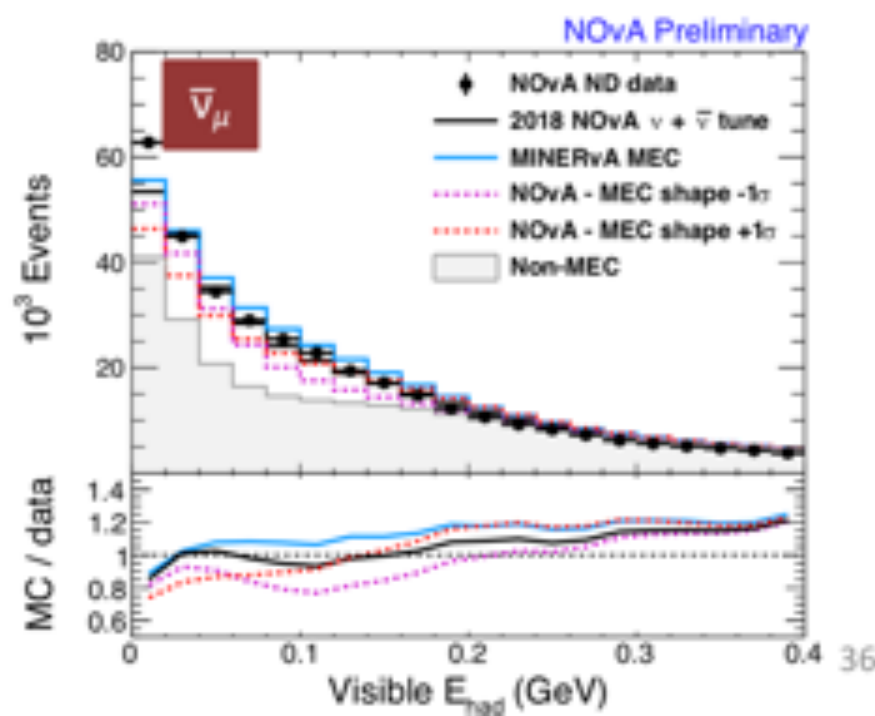
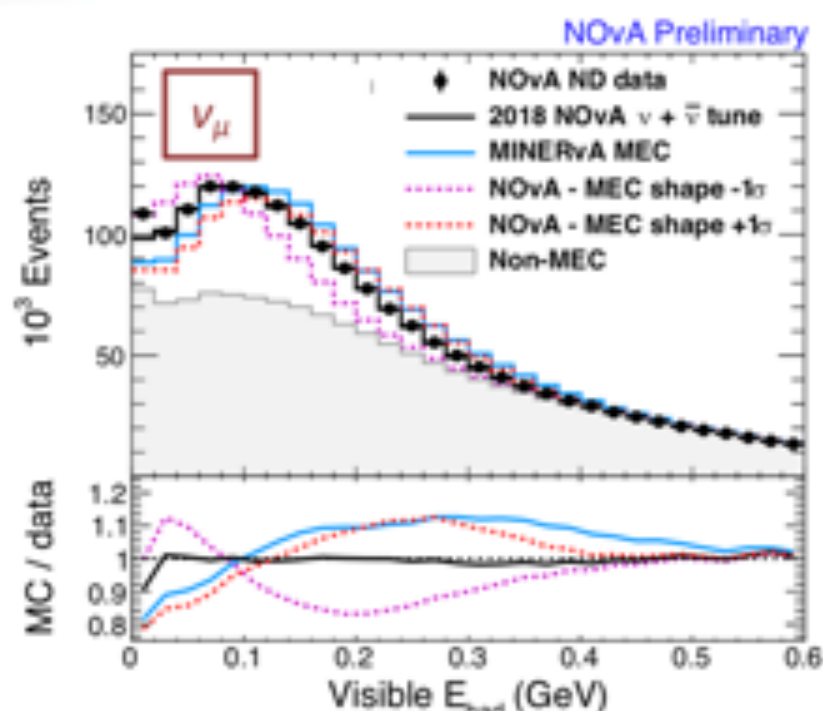
* "Meson Exchange Current (MEC) Models in Neutrino Interaction Generators", Teppei Katori, NuInt12 Proceedings, arXiv:1304.6014



MEC Uncertainties

- We also determine uncertainties on the MEC component we introduce.
 - Both on shape and total rate.
- Repeat the tuning procedure with shifts in the Genie model.
 - Turn Genie systematic knobs coherently to push the non-MEC x-sec more QE-like or more RES-like.
- Independently, **Minerva*** has also tuned a multi-nucleon component to their data.
- The resulting tune is $\sim 10\sigma$ away from the NOvA tune.

* Minerva, Phys. Rev. Lett. 116, 071802 (2016)
Minerva, Phys. Rev. Lett. 120, 221805 (2018)



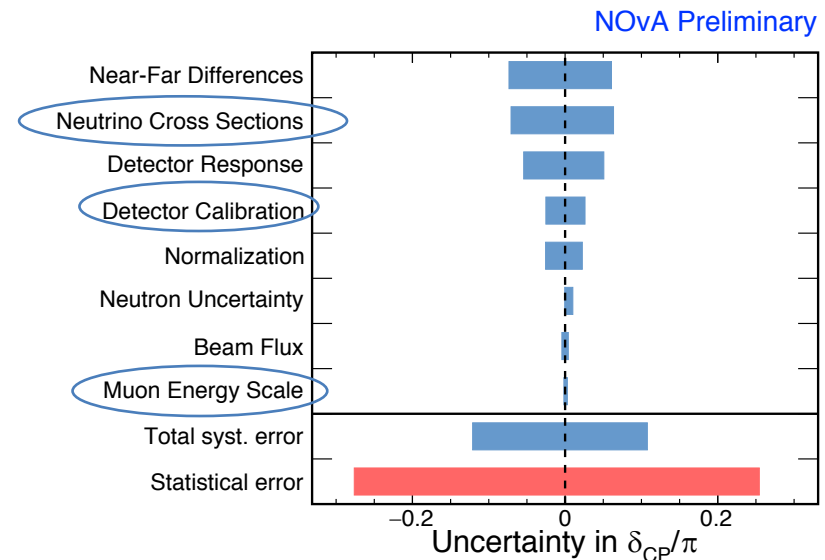
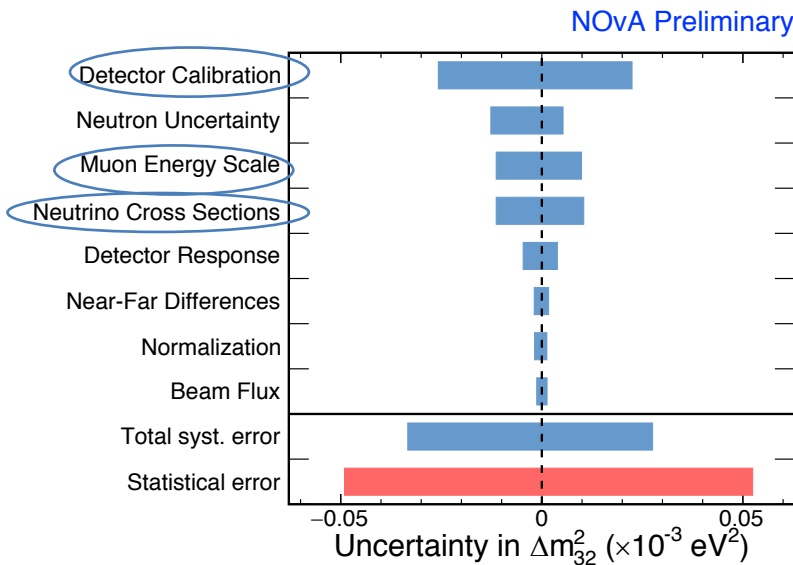
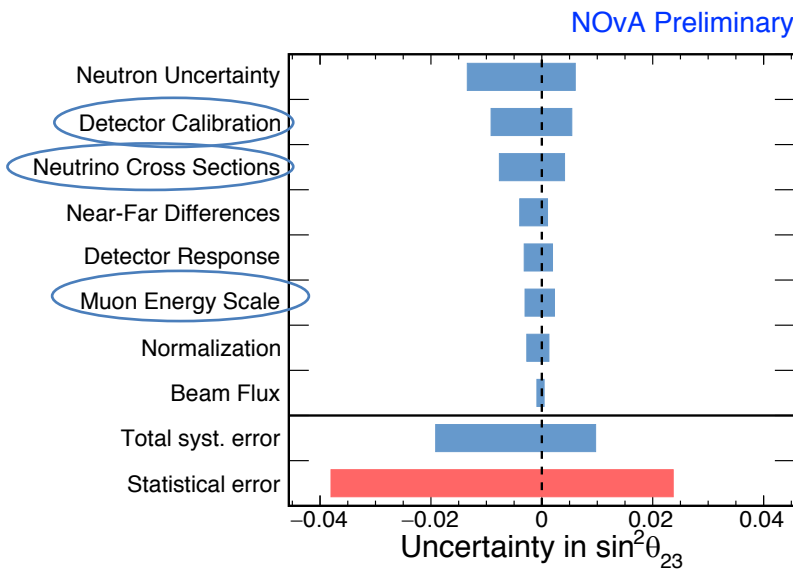
Systematics:

- Systematics are evaluated by shifting individual events at every stage of the extrapolation process, and fit as nuisance parameters.

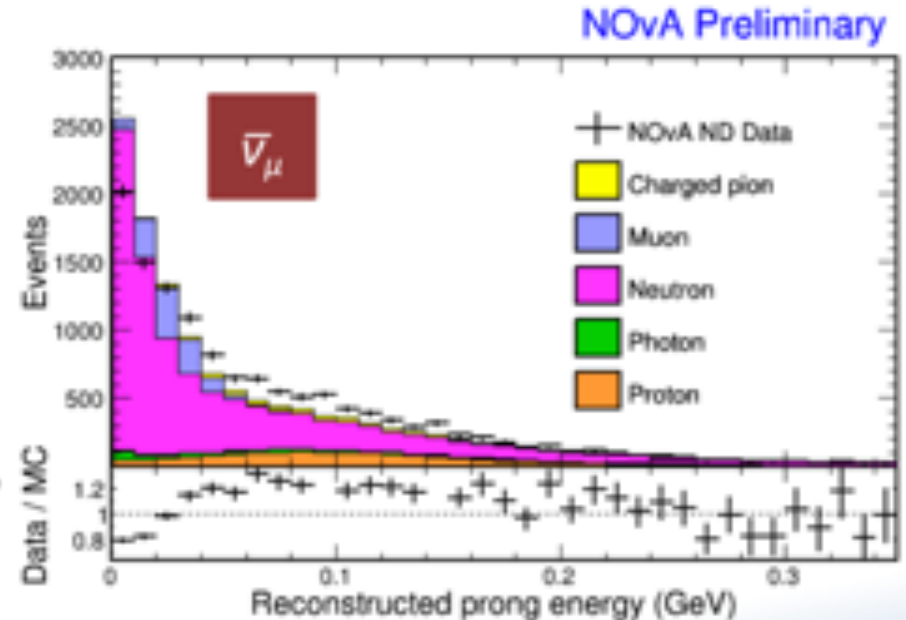
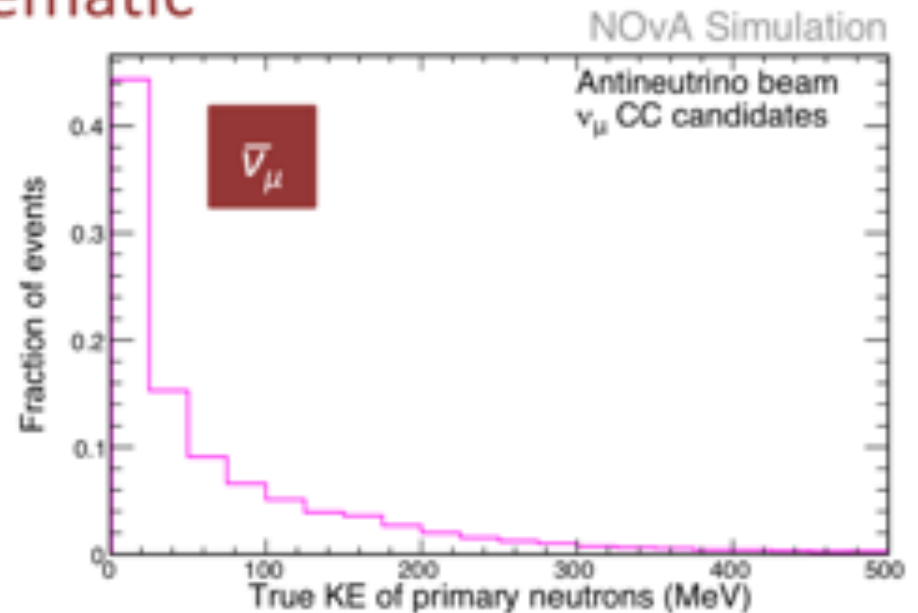
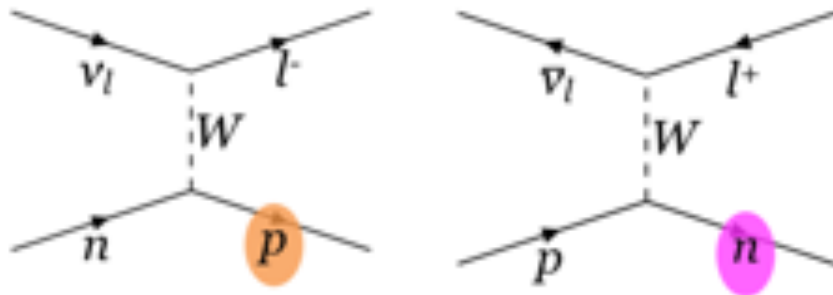
- Major systematics include:

- Calibration
- Cross-sections
- Muon energy scale
- Neutrons

- Pulls in the fits are all $< 1\sigma$ and most $< 0.5\sigma$.

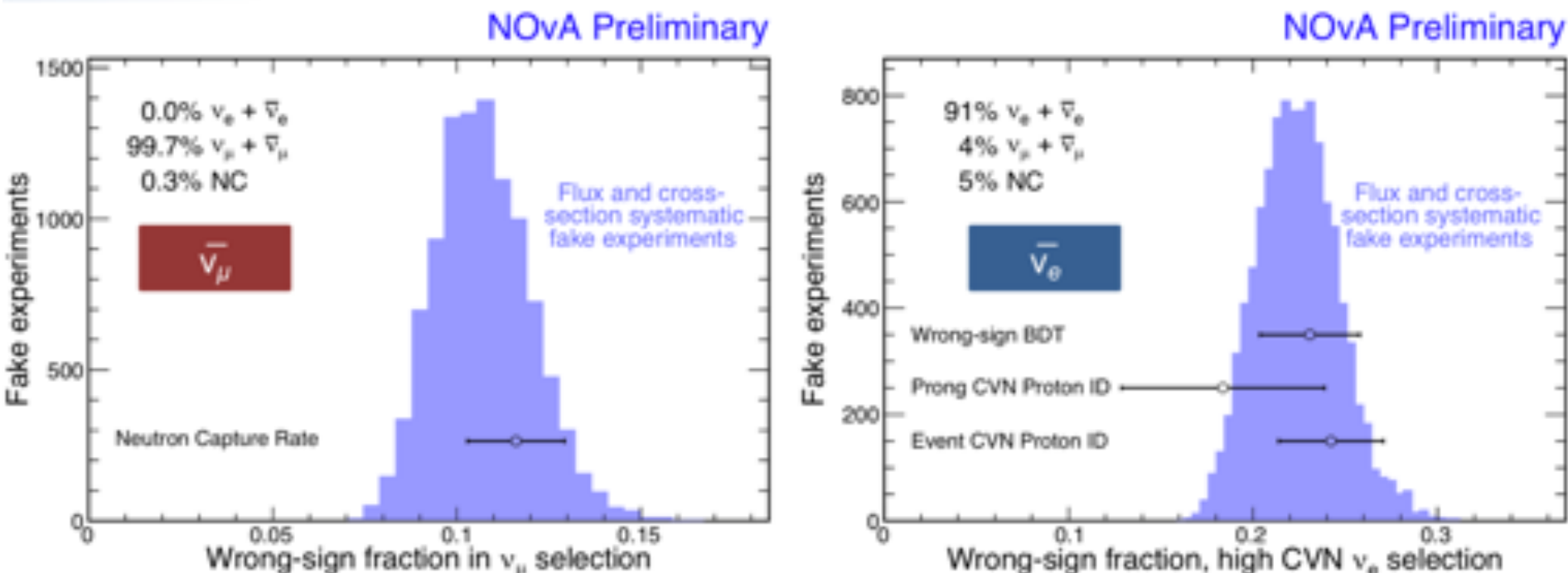


New neutron response systematic



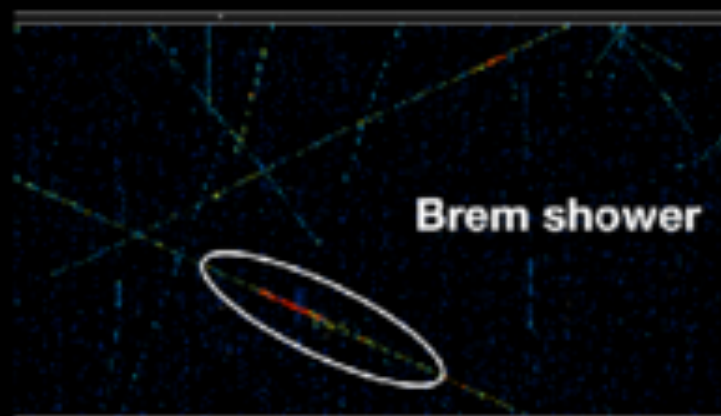
- $\bar{\nu}$'s have neutrons where ν 's have protons.
 - Often several hundred MeV of energy.
 - Modeling these fast neutrons is known to be challenging.
- See some discrepancies in an enriched sample of neutron-like prongs.
- New systematic introduced:
 - Scales the amount of deposited energy of some neutrons to cover the low-energy discrepancy.
- Shifts the mean $\bar{\nu}_\mu$ energy by 1% in the antineutrino beam and 0.5% in the neutrino beam.
 - Negligible impact was seen on selection efficiencies.

What's new with $\bar{\nu}$'s? Wrong-sign contamination

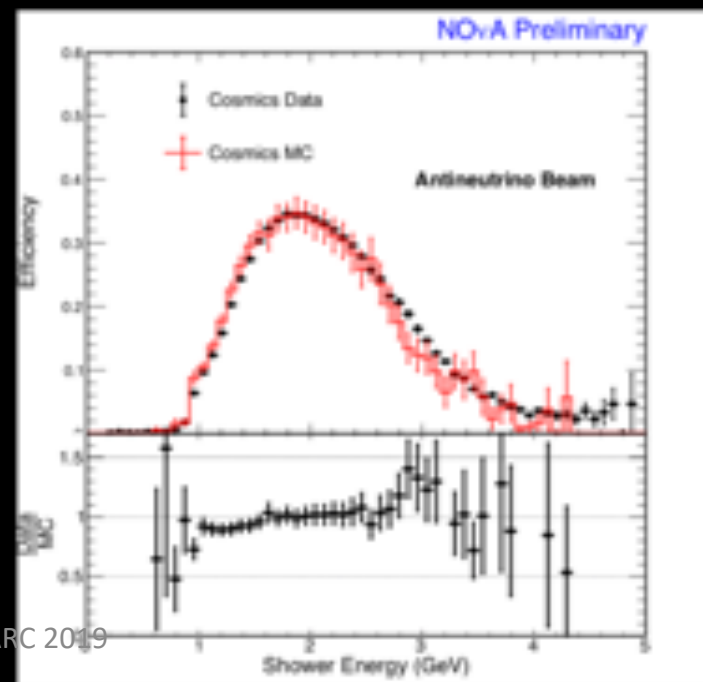


- ~10% systematic uncertainty on wrong-sign from flux and cross section
 - Both in ν_μ -like and ν_e -like events.
 - Does not include uncertainties from detector effects.
- Confirm using data-driven cross-checks of the wrong-sign contamination
 - 11% wrong-sign in the ν_μ sample checked using neutron captures.
 - 22% wrong-sign in beam ν_e checked using identified protons and event kinematics.

CROSS-CHECKS: MUON-REMOVED FROM BREMSSTRAHLUNG

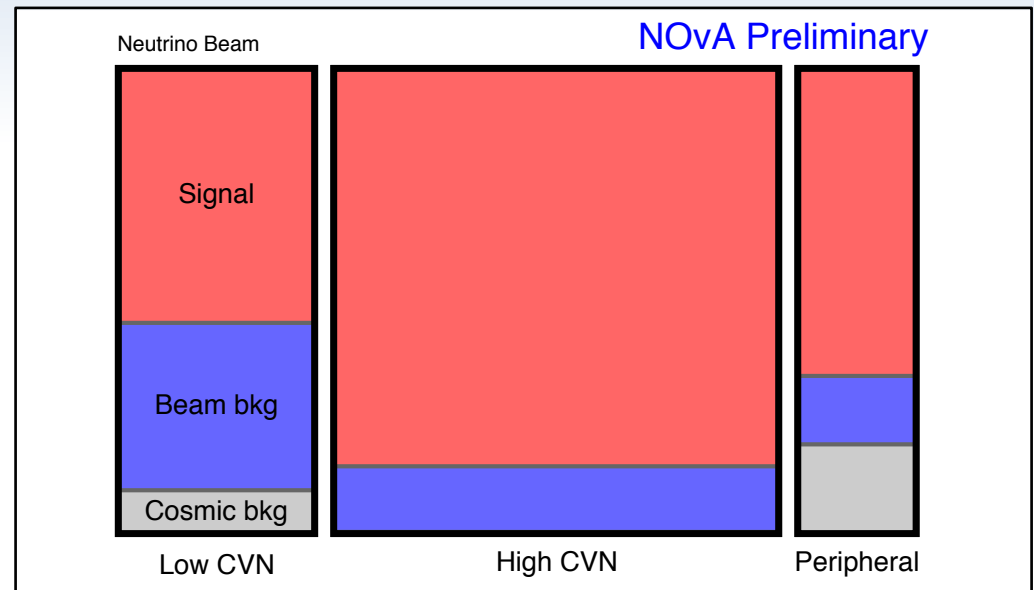


- Bremsstrahlung showers in cosmic ray muons provide a sample of known electron showers in data at the Far Detector.
- Compare efficiency between data and simulated brems showers.
 - Look at both the neutrino and antineutrino tunes of CVN, but the underlying brems showers are of course the same.



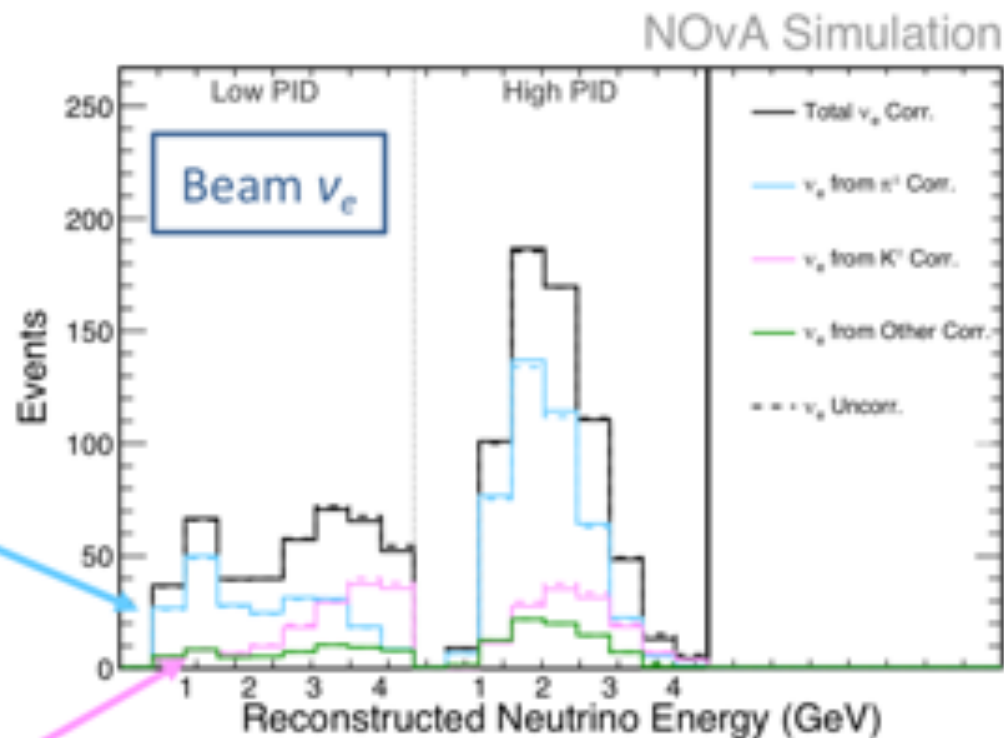
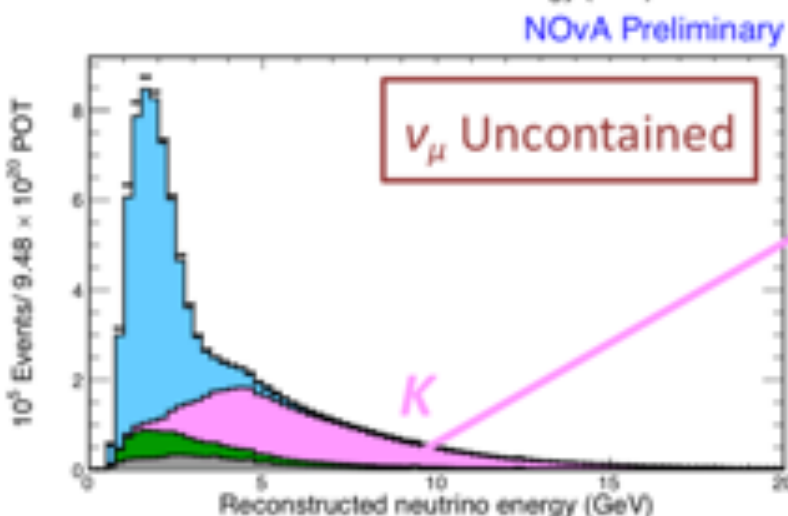
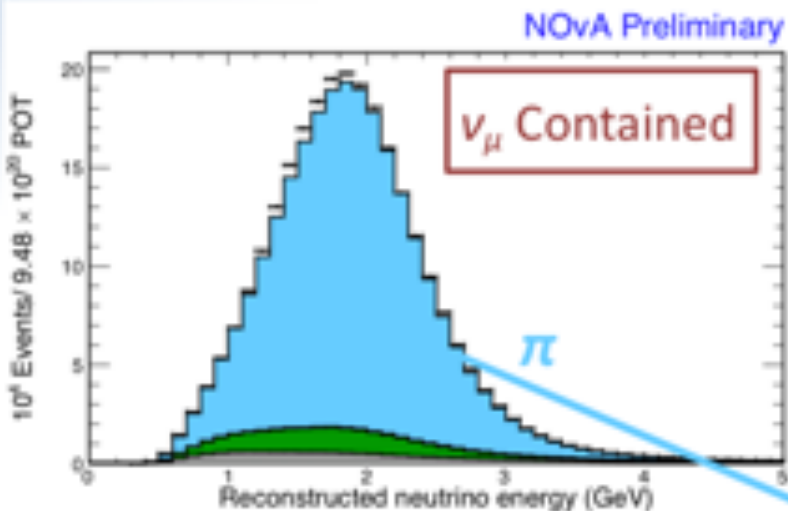
ν_e Background Decomposition:

We expect more backgrounds in the ν_e event sample, and each component extrapolates differently to the FD.



- We can improve our background estimates using statistical techniques (tracking ν_e events to parent pions, michele e^- tagging) by comparing ND data and MC.
- Results in adjustments to expected ND background components (beam ν_e +3%, NC -4%, ν_μ CC +7%) for FHC data
- Stats are too low in RHC data, so we fall back on a proportional decomposition method.

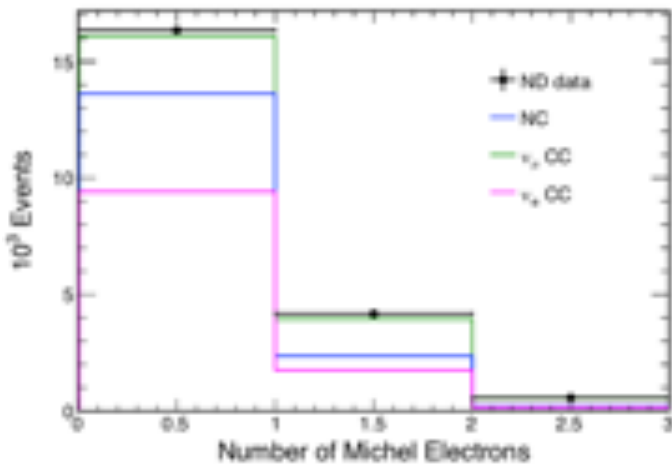
ν_e Decomposition



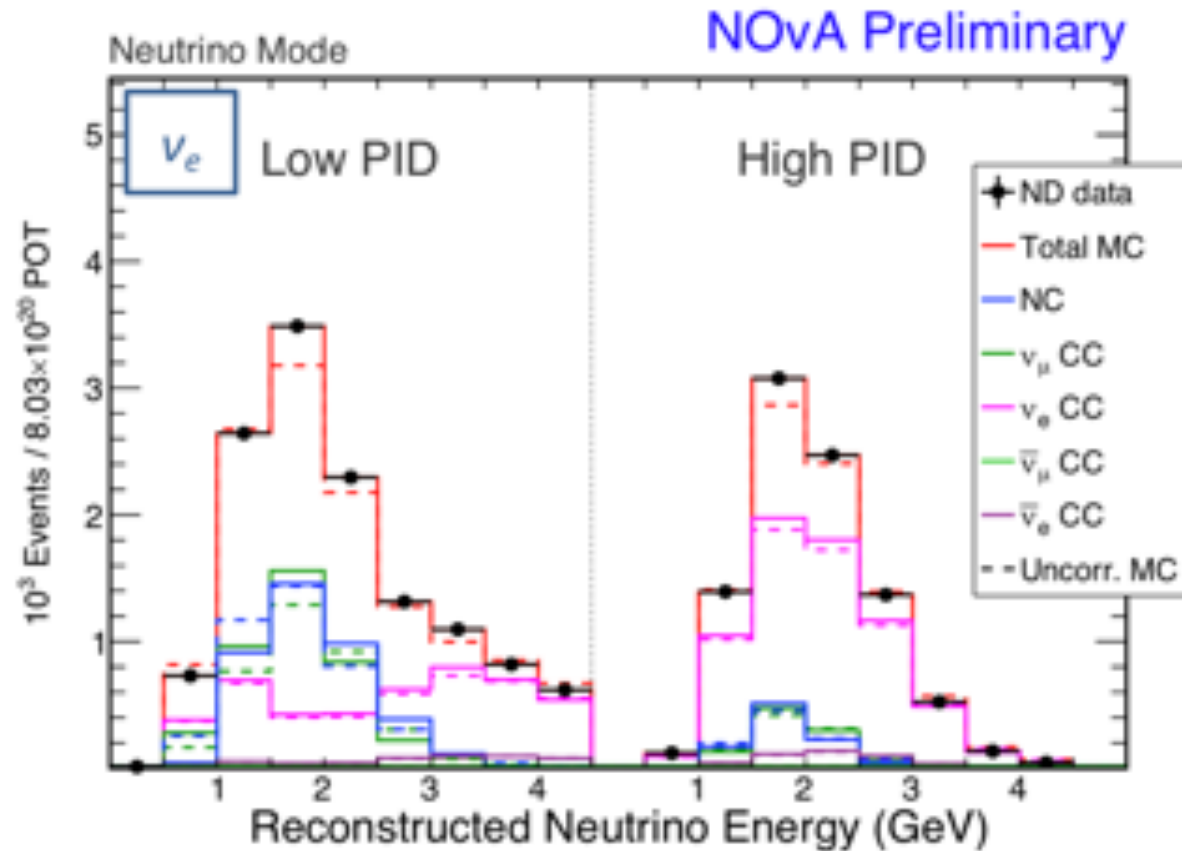
- ν_e and ν_μ events come from the same parents:
 - Lower energy neutrinos come primarily from π decay.
 - Higher energy neutrinos come primarily from K decay.
- Use contained ν_μ data to constrain the π flux
- Use higher energy uncontained events to constraint the K flux.

ν_e Decomposition

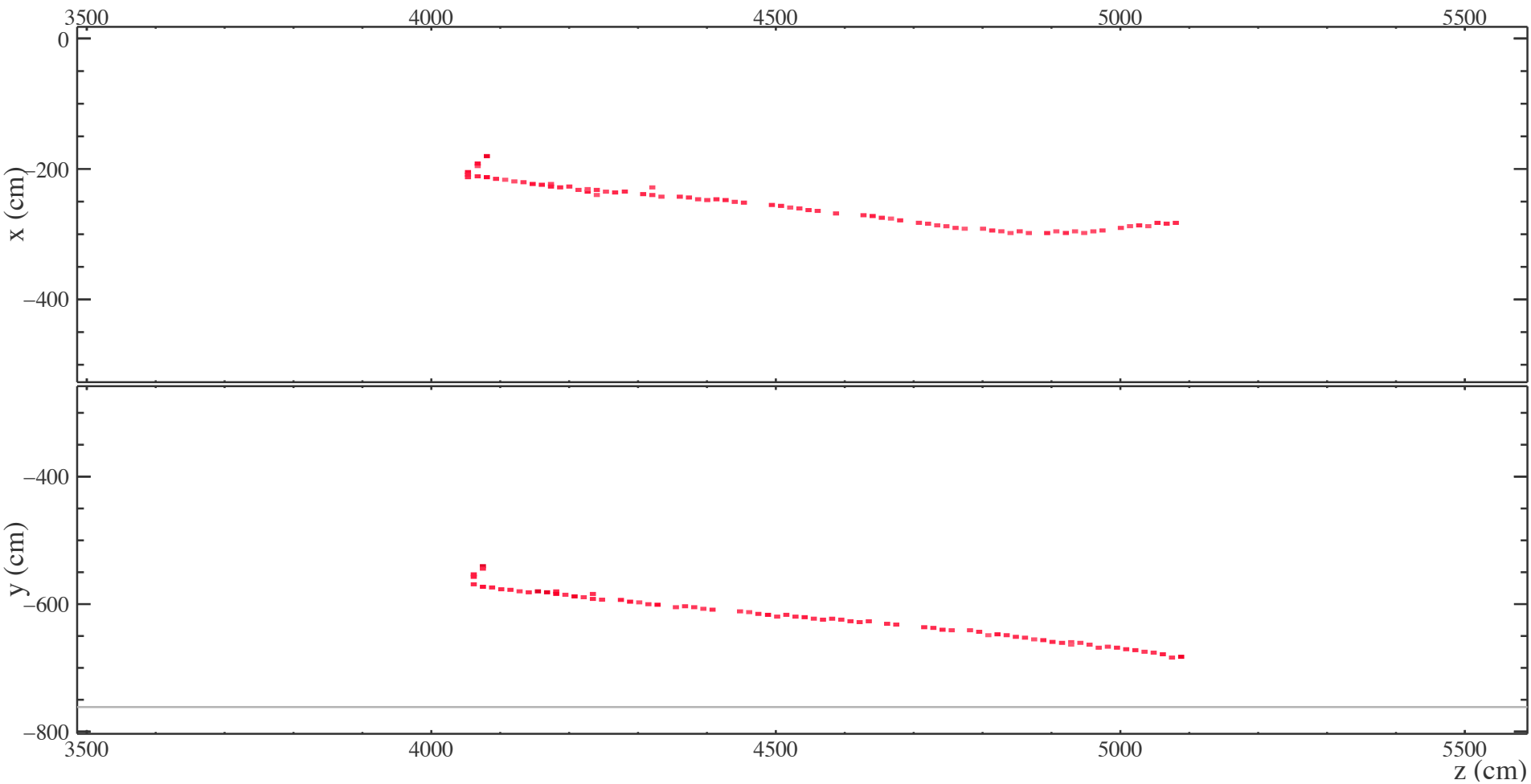
- The CC/NC constrained using the number of observed Michel electrons.
 - Determine the fraction of the two components in each analysis bin.



Change in Total	
ν_e CC	+3%
ν_μ CC	+7%
NC	-4%



Events!!!!



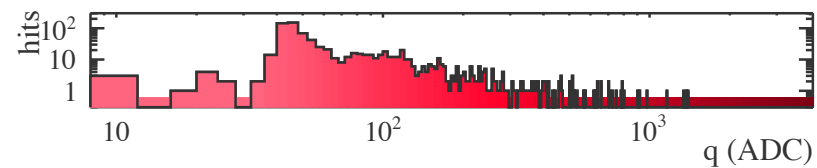
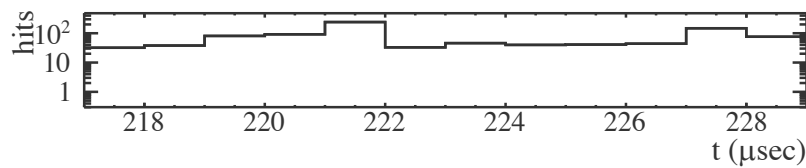
NOvA - FNAL E929

Run: 17953 / 38

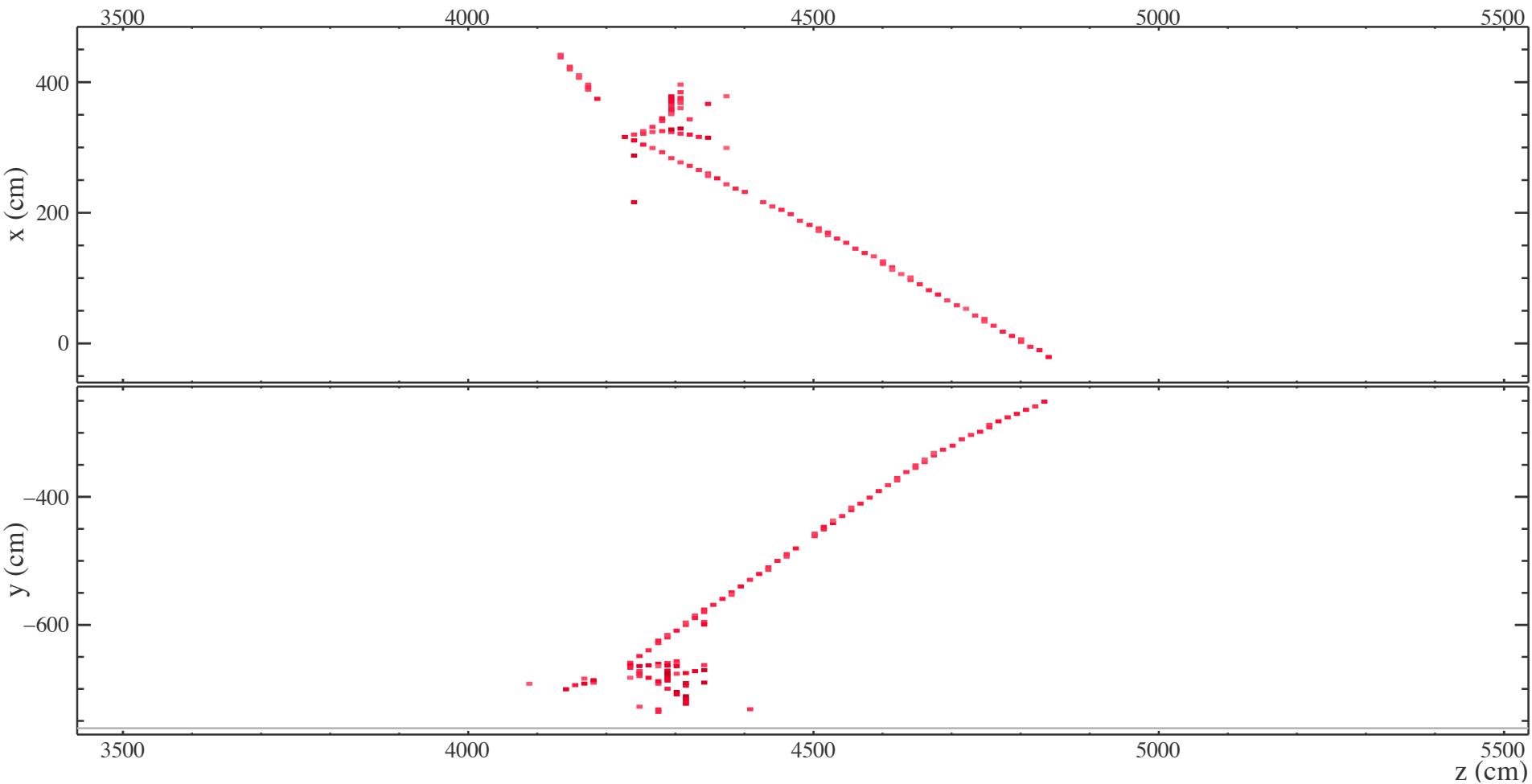
Event: 256887 / --

UTC Wed Oct 29, 2014

14:17:32.565656512



Events!!!!



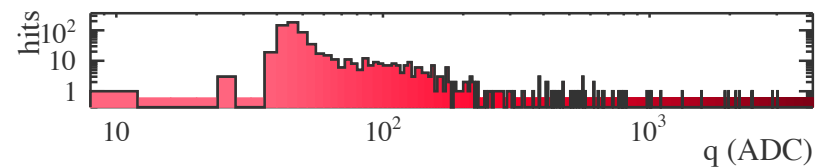
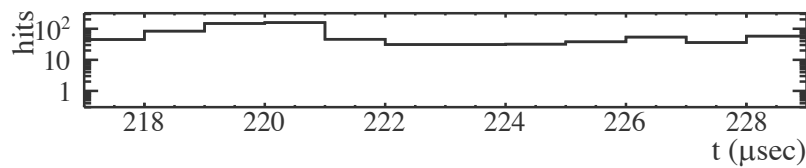
NOvA - FNAL E929

Run: 18068 / 60

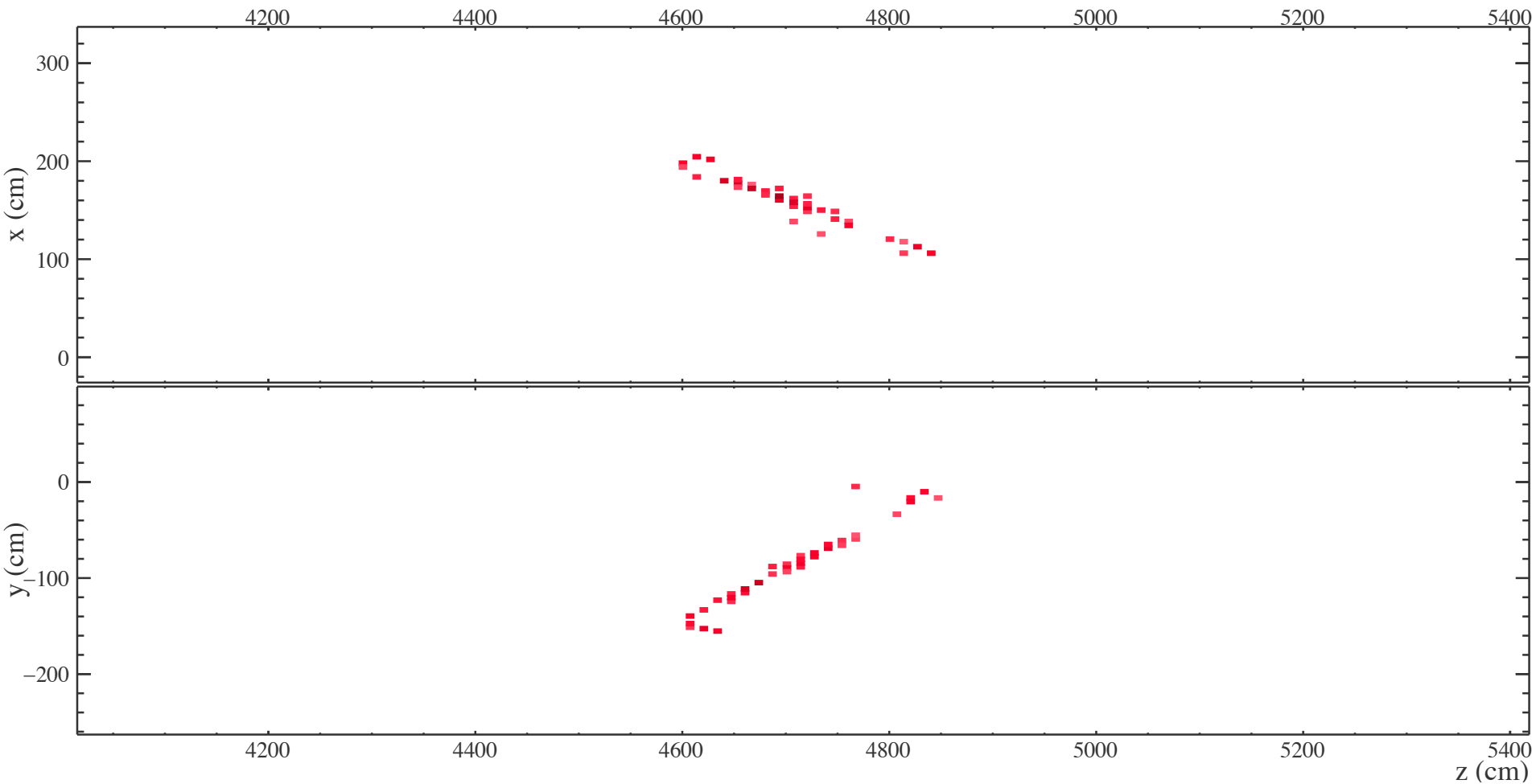
Event: 379778 / --

UTC Fri Nov 7, 2014

13:30:50.305329408



Events!!!!



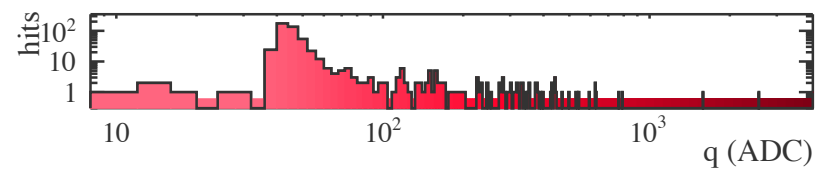
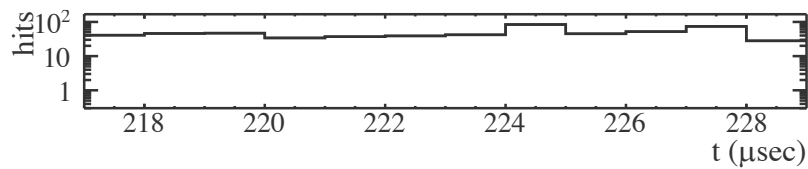
NOvA - FNAL E929

Run: 19165 / 62

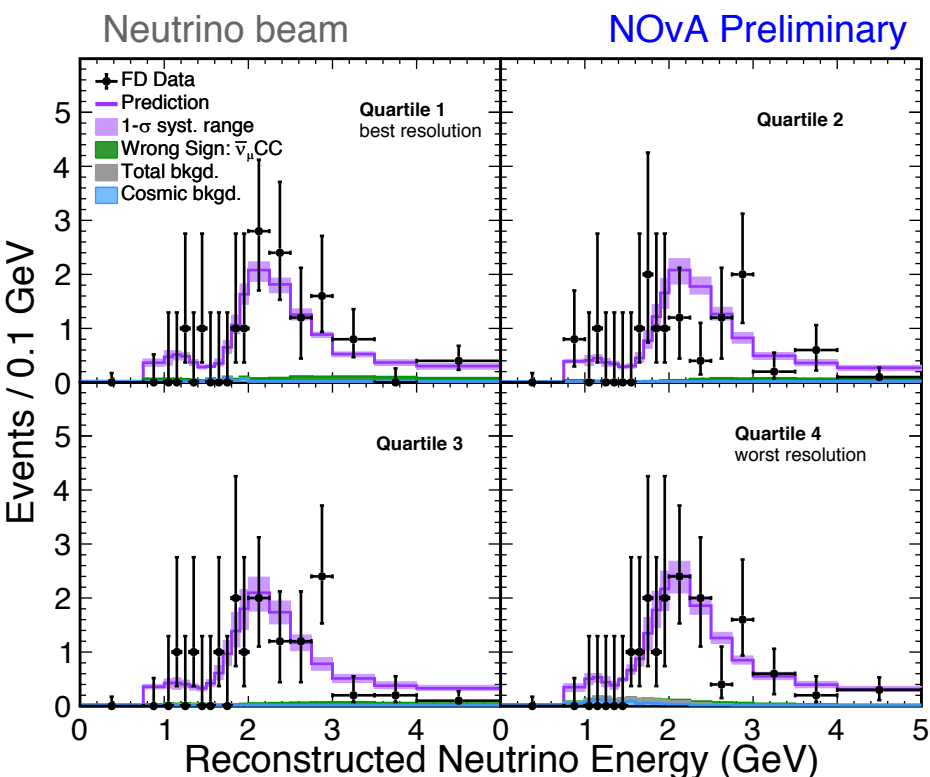
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UTC Mon Mar 23, 2015

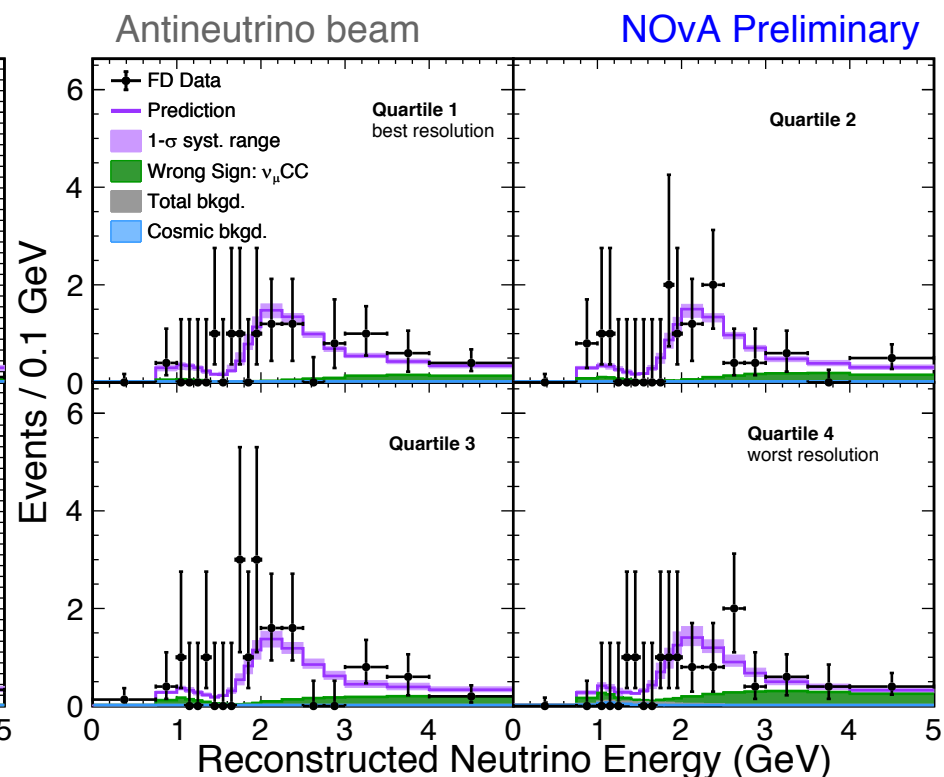
11:43:54.311669120



ν_μ Spectra & Numbers:

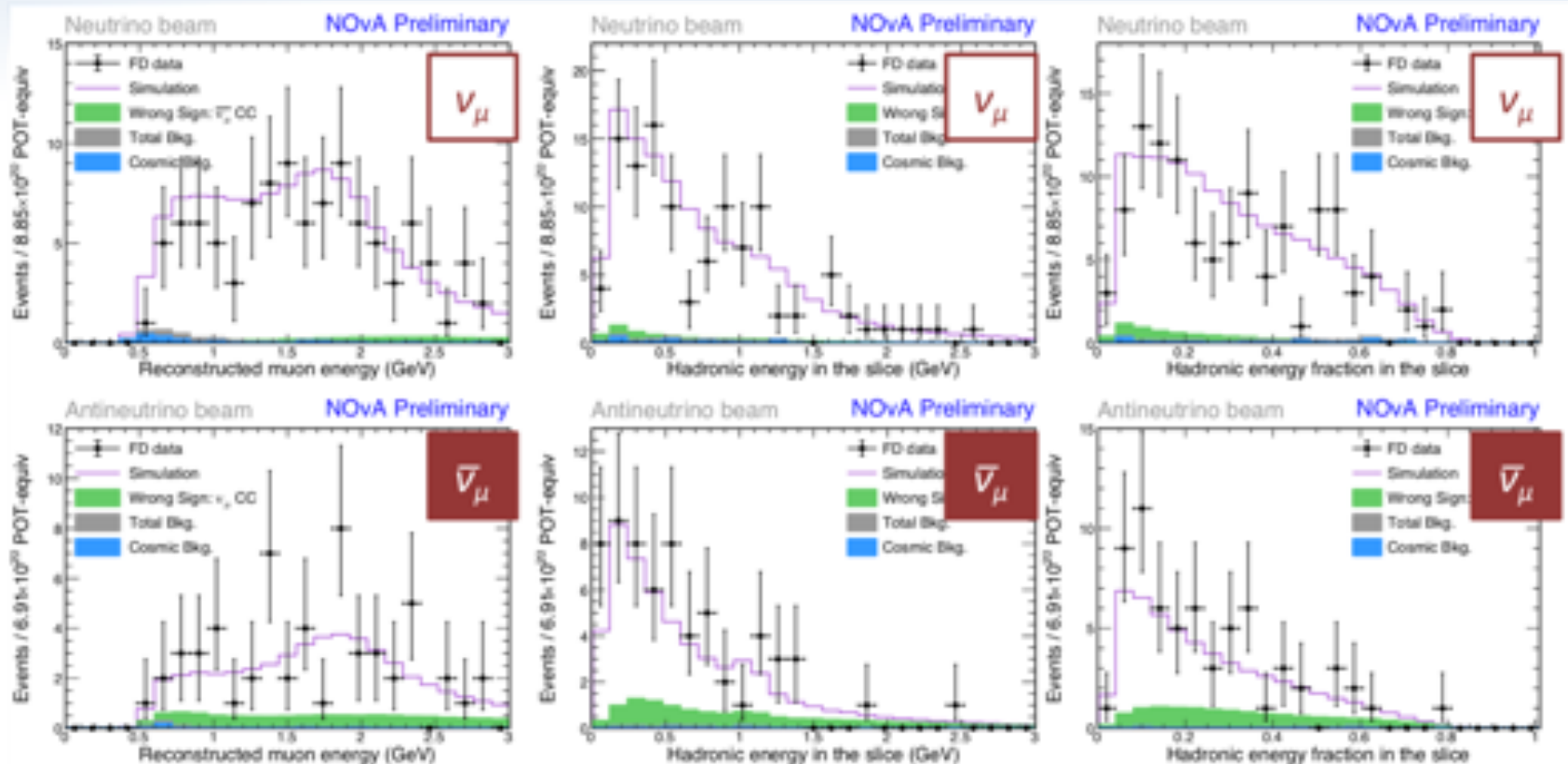


Data neutrino candidates	113
Best fit total prediction	124
total bkgd.:	4.2
↳ cosmic bkgd.	2.1
↳ beam bkgd.	2.1



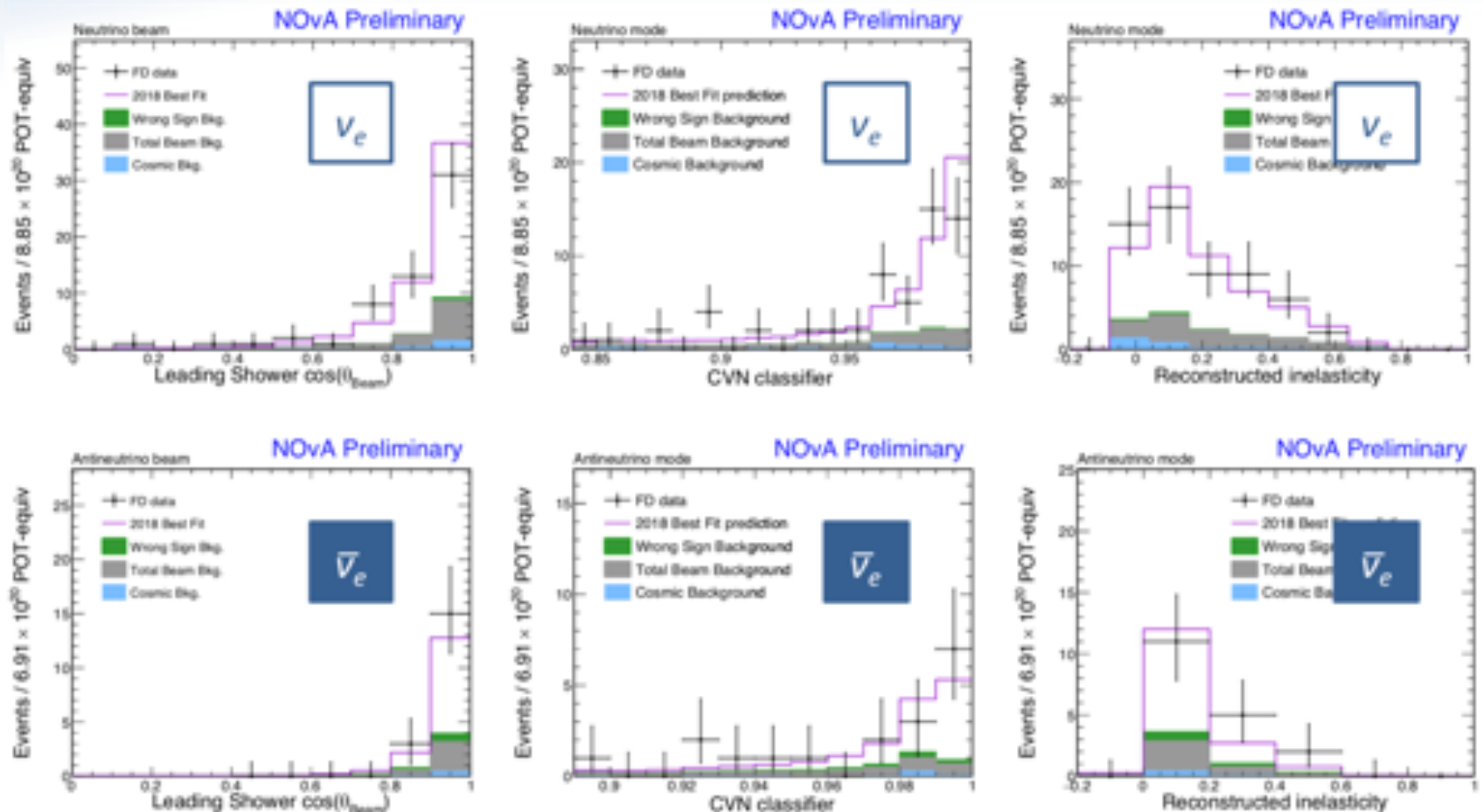
Data antineutrino candidates	102
Best fit total prediction	96
total bkgd.:	2.2
↳ cosmic bkgd.	0.8
↳ beam bkgd.	1.4

FD Data/MC Agreement



- Good agreement in FD data distributions of muon and hadronic energy and inelasticity.

FD Data/MC Agreement

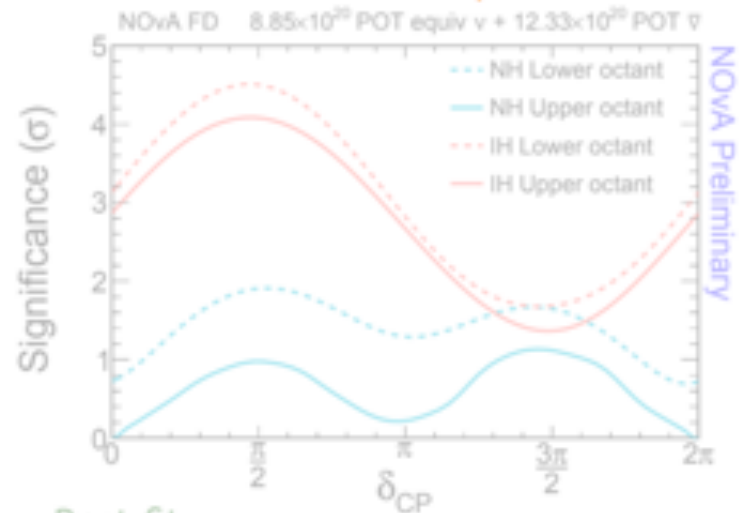
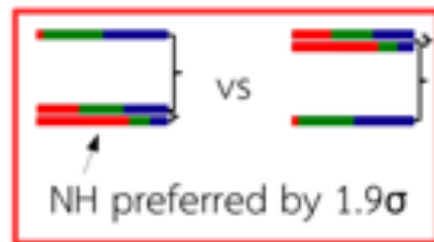
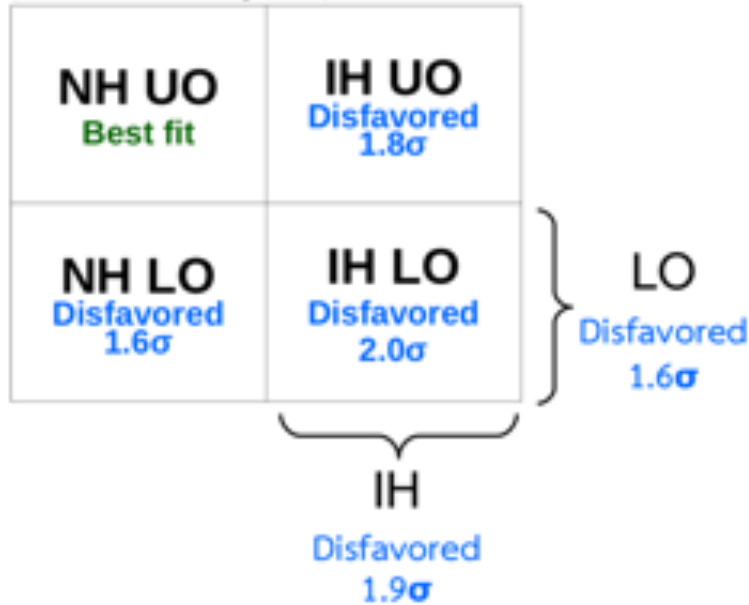


- Good agreement in FD data distributions of lepton angle, CVN, and inelasticity.

Results:

Oscillation results

[Feldman-Cousins corrected significances]



Best fit:

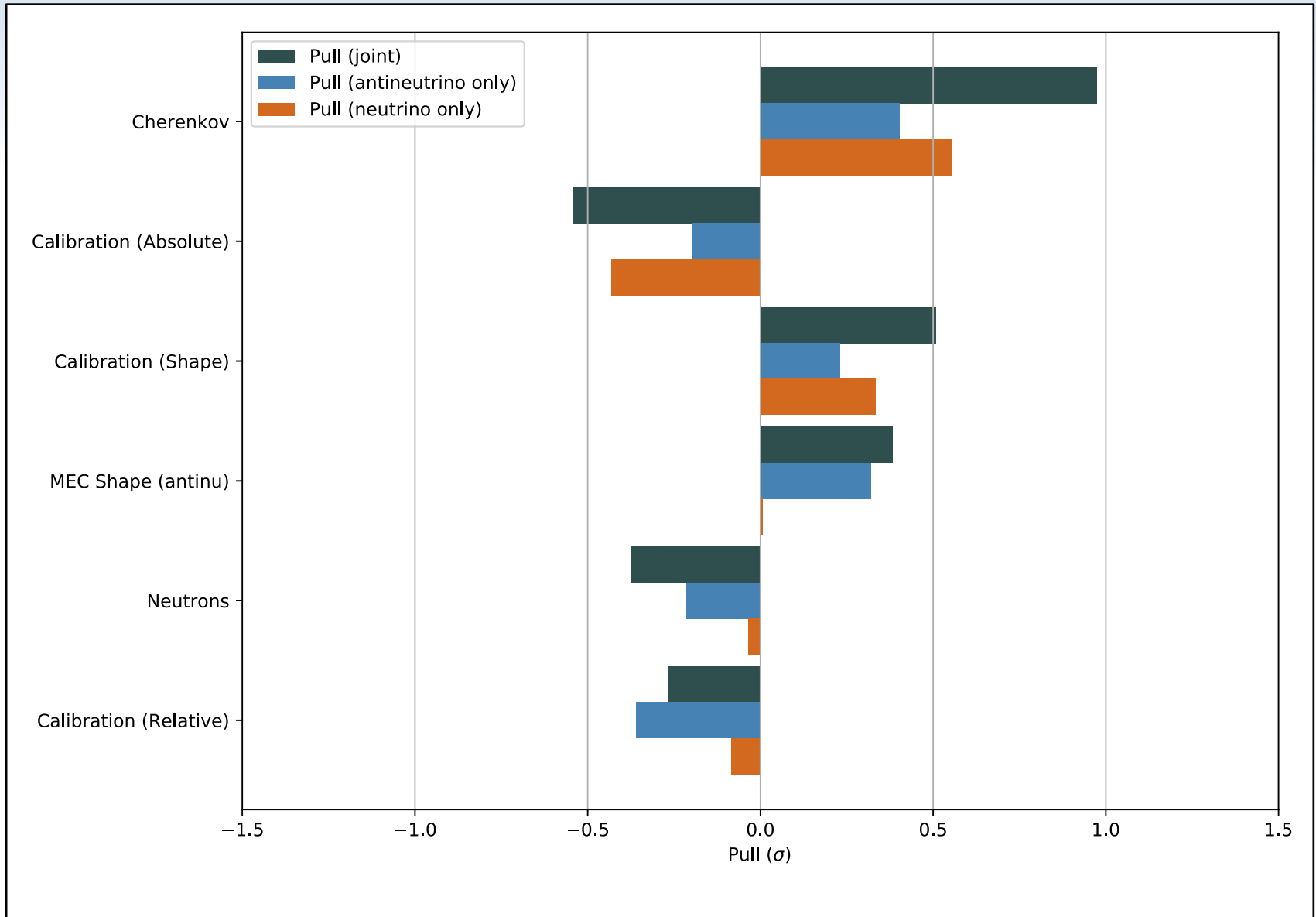
- $\sin^2 \theta_{23} = 0.56^{+0.04}_{-0.03}$
- $\Delta m_{32}^2 = +2.48^{+0.11}_{-0.06} \times 10^{-3} \text{ eV}^2/c^4 \text{ (NH)}$
- $\delta_{CP} = 0.0^{+1.3}_{-0.4} \pi$

$$\Delta P_{\nu\bar{\nu}} \propto \sin \delta_{CP}$$

NH UO: All values of δ allowed at 1.1σ

IH: $\delta = \pi/2$ ruled out $> 4\sigma$

Systematic Pulls in the Final Fit:



Systematic Pulls in the Final Fit:

Table 1: Top ten systematic pulls in the joint fit with neutrino and antineutrino beam data, ordered by absolute size of the pull. The systematic pulls are produced by running a one point fit with oscillation parameters fixed at the following values: $\delta_{CP} = 2.00\pi$, $\sin^2(\theta_{23}) = 0.565$, $\sin^2(2\theta_{13}) = 0.082$, $\Delta m_{23}^2 = 2.48 \times 10^{-3}$, which correspond to the best-fit point in the fit to neutrino beam data, with all systematics included.

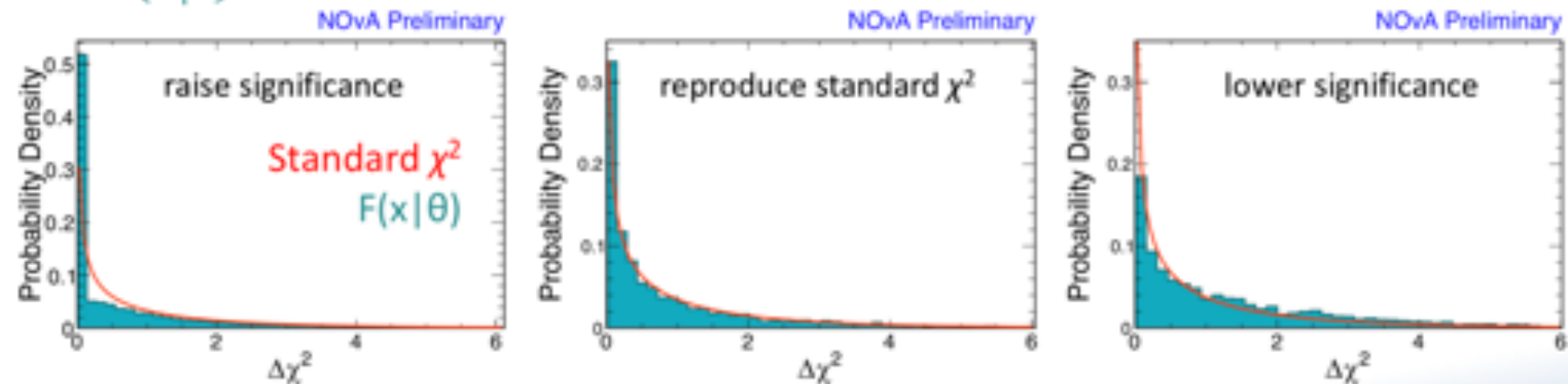
Systematic	Pull	Pull (neutrino only)	Pull (antineutrino only)
Cherenkov	0.973	0.556	0.403
Calibration	-0.541	-0.431	-0.198
CalibShape	0.508	0.333	0.231
MECShape2018AntiNu	0.383	0.005	0.320
NeutronEvisPrimariesSyst2018	-0.374	-0.035	-0.214
RPAShapeRES2018	0.280	0.349	-0.049
RelativeCalib	-0.268	-0.085	-0.360
ppfx_hadp_beam_pc02	-0.241	-0.152	-0.083
MaCCRES	0.196	0.053	0.284
AbsMuEScale2017	0.170	-0.184	0.427

Statistical Approach: Feldman-Cousins

- Replace the standard χ^2 with an empirical distribution, $F(x|\theta)$:

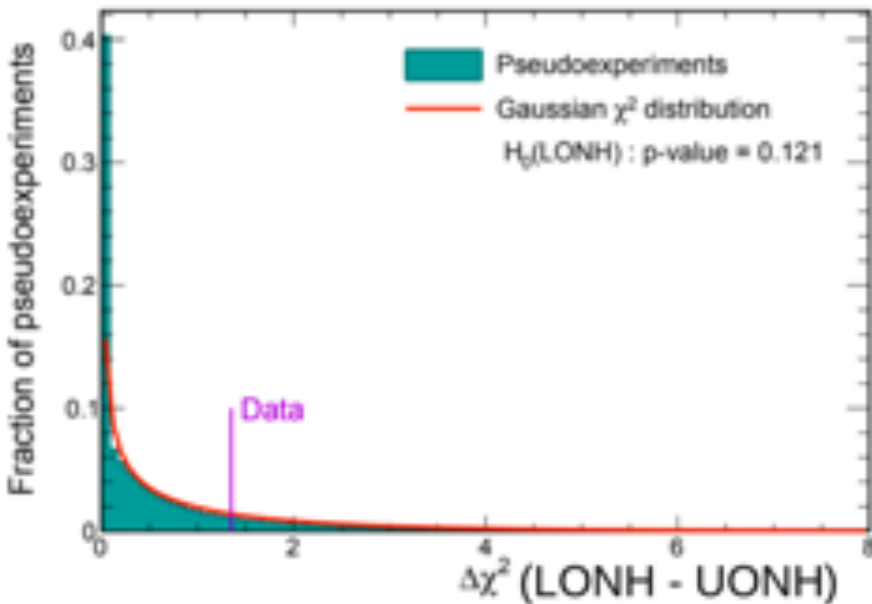
$$F(x|\theta) = \text{Fraction of } N \text{ experiments where } [\chi^2(\text{fixed } \theta) - \chi^2(\text{best fit}) = x]$$

- Pseudo-experiments are generated from the data profile at θ .
 - i.e. fit all other parameters to data holding θ fixed at a particular value.
 - This procedure gives proper coverage while minimizing over-coverage.*
- A point θ is inside the $(1-\alpha)$ confidence interval if less than $(1-\alpha)$ experiments are more extreme than the data.
 - i.e. if the integral of $F(x|\theta)$ up to the observed $\Delta\chi^2$ at θ is $< (1-\alpha)$.
- $F(x|\theta)$ can...



Calculating significances

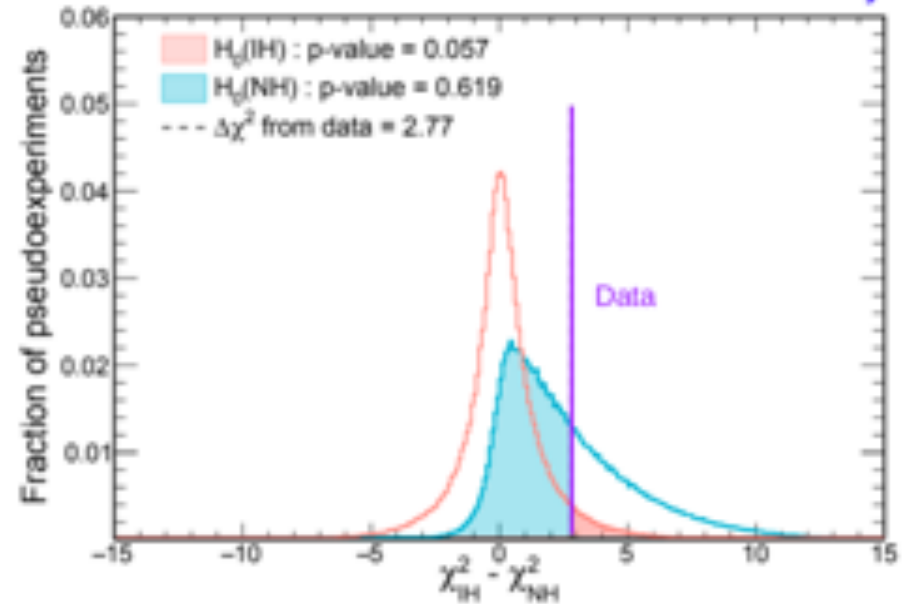
NOvA Preliminary



Feldman-Cousins method

Generate many pseudoexperiments
w/ null hypothesis: measure p -value
of data exclusion of null “empirically”

NOvA Preliminary



CL_s :

Compute p -values of both
hypotheses; if $p_{\text{null}}/p_{\text{alt}}$ is large,
exclusion of null is suspicious.

$$CL_s = 0.094$$