

Alternative derivation of relativistic three-particle quantization condition

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Based on work with Steve Sharpe

[arXiv:2007.16188](https://arxiv.org/abs/2007.16188)

Status of $3 \rightarrow 3$ quantization conditions

Quantization condition (QC): Map from finite-volume (FV) energy spectrum to ∞ -volume scattering amplitudes

Hansen & Sharpe, 14

Relativistic EFT (RFT) approach

- Pros: Completely rigorous, most general
- Cons: Complicated derivation, hard to generalize

Mai & Döring, 17

Finite-volume unitarity (FVU) approach

- Pros: Relativistic, simpler formalism
- Cons: Only developed for s -wave dimers

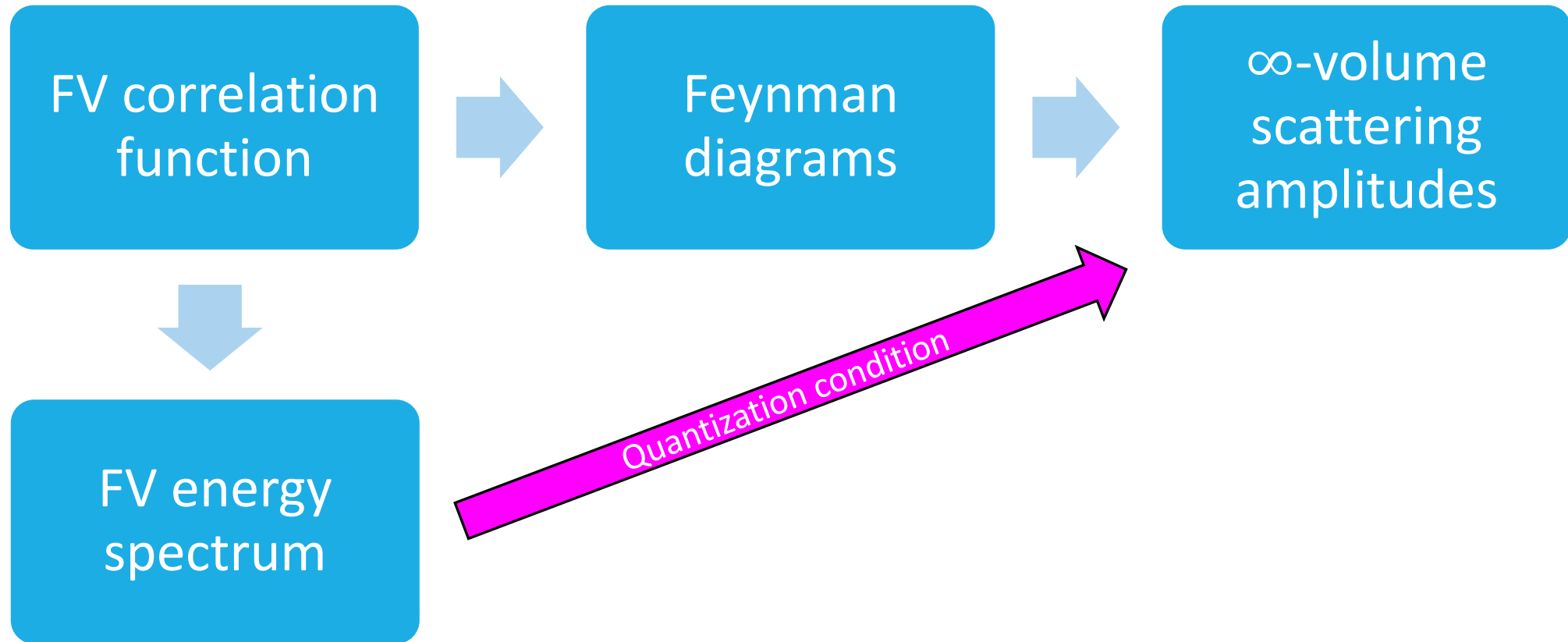
Hammer et al., 17

Nonrelativistic EFT (NREFT) approach

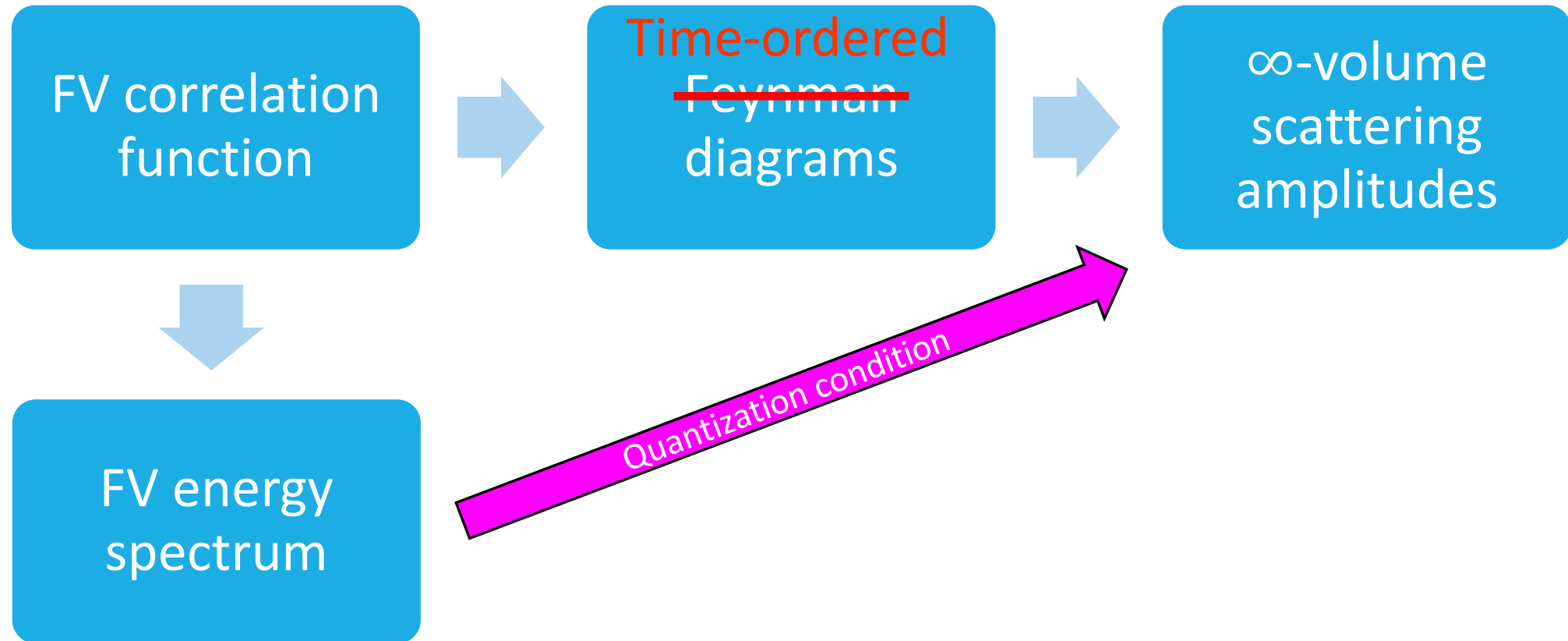
- Pro: Simplest formalism
- Con: NR, only developed s -wave dimers

Goal: Find simpler RFT derivation that's easier to generalize

Original RFT strategy

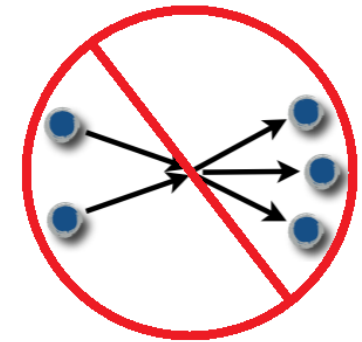


New RFT strategy



RFT framework

- Generic relativistic effective field theory
- Identical scalar particles with mass m
- Lagrangian has global \mathbb{Z}_2 symmetry (no odd-legged vertices)



(Side note: RFT generalizations to include $2 \rightarrow 3$ transitions and isospin have also been derived)

Briceño, Hansen, &
Sharpe (2017)

Hansen, Romero-López,
& Sharpe (2020)

Finite-volume (FV) correlation function

$$C_{3,L}(E, \vec{P}) = \int_L d^4x e^{i(Ex^0 - \vec{P}\cdot x)} \langle 0 | T \sigma(x) \sigma^\dagger(0) | 0 \rangle$$

- Defined for cubic box with side length L and periodic boundary conditions
- $\sigma(x)$: operator coupling to 3-particle states
- (E, \vec{P}) : fixed total 4-momentum with $\vec{P} \in \frac{2\pi}{L} \mathbb{Z}^3$
 - CM energy: $E^* = \sqrt{E^2 - \vec{P}^2}$

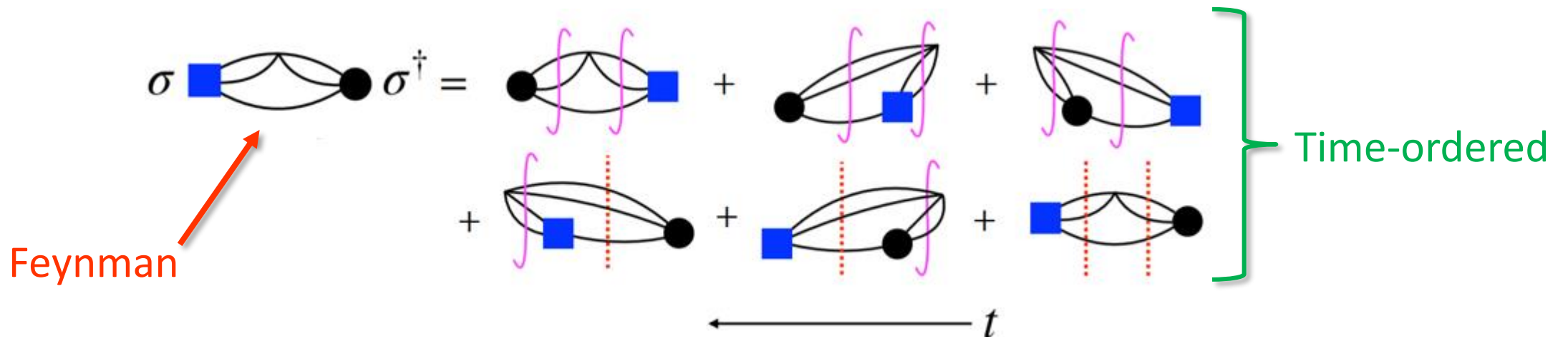
Assume $m < E^* < 5m$ so that only 3-particle states can go on shell

Key properties of $C_{3,L}$:

- Has poles at energies in the FV spectrum
- Can be expressed as an infinite sum of Feynman diagrams

Time-ordered perturbation theory (TOPT)

- Every Feynman diagram can be written as a sum of time orderings of the vertices
- In TOPT, all internal 4-momenta are on shell: $p^\mu = (+\omega_p, \vec{p})$, $\omega_p = \sqrt{\vec{p}^2 + m^2}$
 - Note** – total energy is generally **NOT** conserved: $\sum_{p \in \text{cut}} \omega_p \neq E$



Evaluating time-ordered diagrams

- A propagator with momentum \vec{p} gives a factor of $1/(2\omega_p)$
- A “cut” between sequential vertices gives a kinematic factor

$$iK_{\text{cut}} = \frac{i}{E_{\text{cut}} - \sum_{p \in \text{cut}} \omega_p + i\epsilon}, \quad E_{\text{cut}} = \begin{cases} +E & \text{if } t_\sigma > t_{\text{cut}} > t_{\sigma^\dagger} \\ -E & \text{if } t_{\sigma^\dagger} > t_{\text{cut}} > t_\sigma \\ 0 & \text{otherwise} \end{cases}$$

Key insight: For $m < E^* < 5m$, K_{cut} can only be singular if:

1. The cut contains exactly 3 lines
 2. $E_{\text{cut}} = +E \Rightarrow t_\sigma > t_{\text{cut}} > t_{\sigma^\dagger}$
- } “relevant cut”

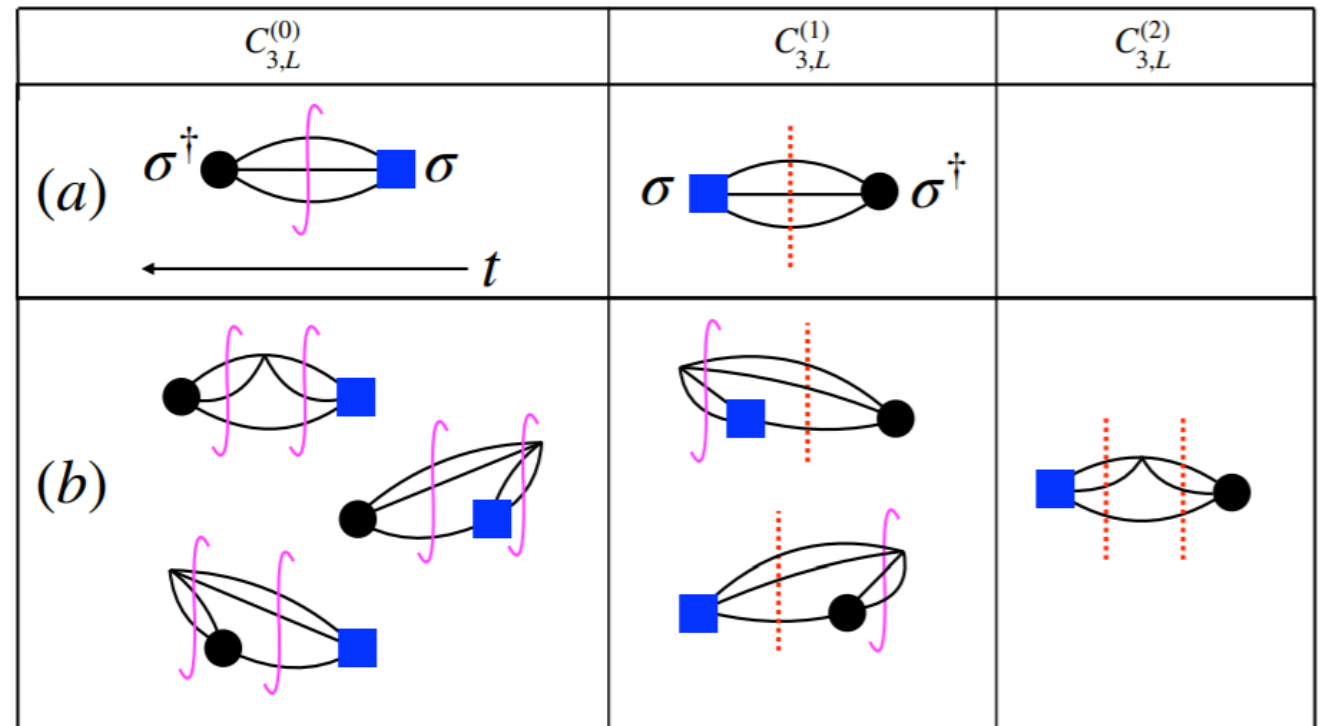
⇒ Can replace FV sums over irrelevant cuts with integrals up to $O(e^{-mL})$ corrections

Expanding $C_{3,L}$ in relevant cuts

- Strategy: Organize diagrams in $C_{3,L}(E, \vec{P})$ by number of relevant cuts n :

$$C_{3,L}(E, \vec{P}) = \sum_{n=0}^{\infty} C_{3,L}^{(n)}(E, \vec{P})$$

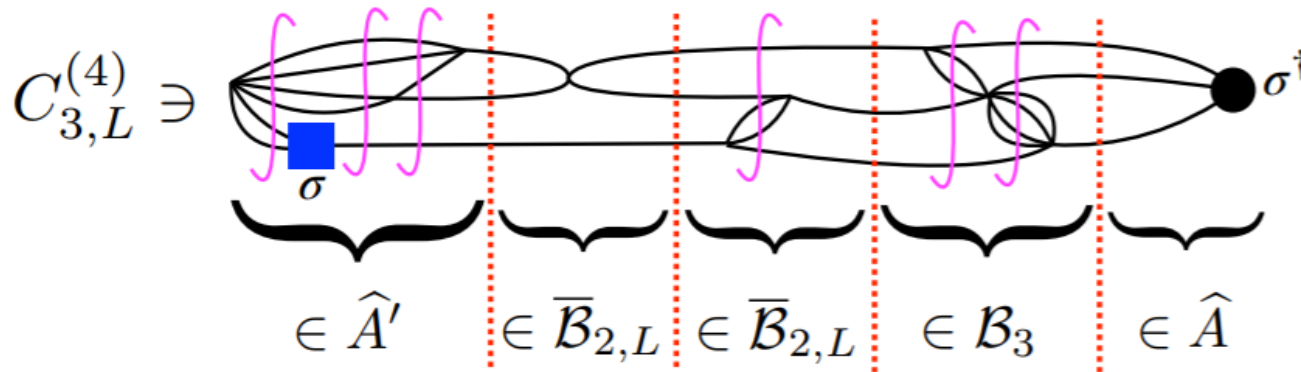
$$C_{3,L}^{(0)}(E, \vec{P}) = C_{3,\infty}^{(0)}(E, \vec{P}) + \mathcal{O}(e^{-mL})$$



3PIs building blocks

Step 1: Group irrelevant cuts into compact 3PIs quantities

- Sum of all 3PIs left (right) endcaps: \hat{A}' (\hat{A})
- Sum of all connected 3PIs $3 \rightarrow 3$ diagrams: $i\mathcal{B}_3$
- Sum of all disconnected (2+1) 3PIs $3 \rightarrow 3$ diagrams: $i\bar{\mathcal{B}}_{2,L} \equiv 2\omega L^3 i\mathcal{B}_2$
 - $i\mathcal{B}_2$: sum of all 2PIs $2 \rightarrow 2$ diagrams



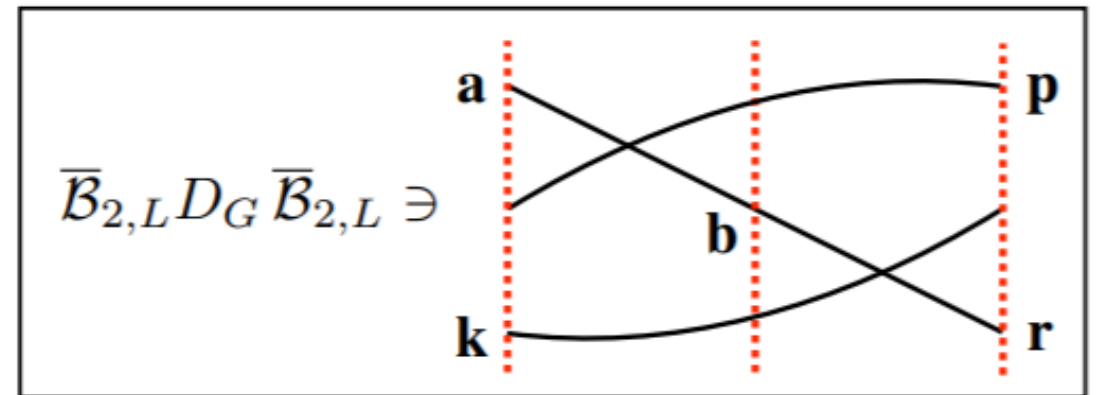
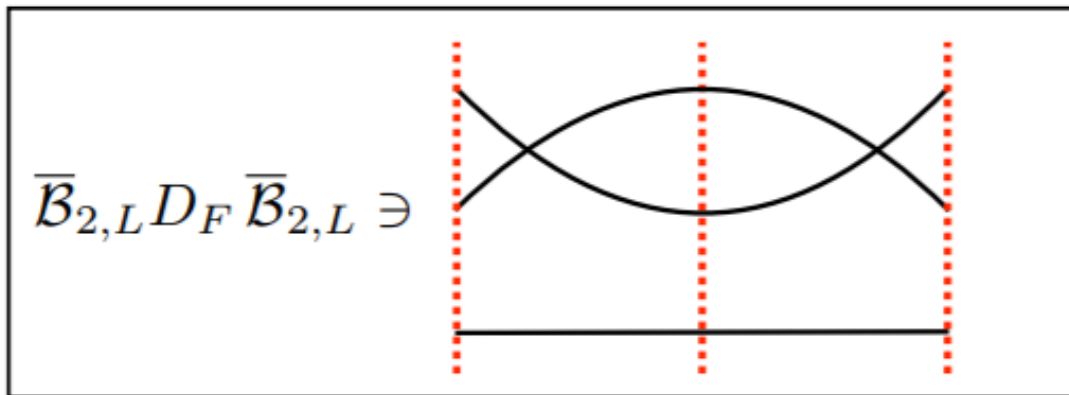
No relevant cuts \Rightarrow can treat these as ∞ -volume quantities

F and G cuts

Step 2: Define compact quantities for the relevant cuts

$$[iD_F]_{ka;pr} \equiv \delta_{kp} \delta_{ar} \frac{iD_{ka}}{2!}, \quad [iD_G]_{ka;pr} \equiv \delta_{kr} \delta_{ap} iD_{kp},$$

$$iD_{ka} \equiv \frac{1}{2\omega_k L^3} \frac{i}{2\omega_b (E - \omega_k - \omega_a - \omega_b)} \frac{1}{2\omega_a L^3}$$



Constructing $C_{3,L}$

$$C_{3,L}^{(1)}(E, \vec{P}) = \hat{A}' i(D_F + D_G) \hat{A}$$

$$C_{3,L}^{(2)}(E, \vec{P}) = \hat{A}' i(D_F + D_G) i(\bar{\mathcal{B}}_{2,L} + \mathcal{B}_3) i(D_F + D_G) \hat{A}$$

$$C_{3,L}^{(3)}(E, \vec{P}) = \hat{A}' i(D_F + D_G) i(\bar{\mathcal{B}}_{2,L} + \mathcal{B}_3) i(D_F + D_G) i(\bar{\mathcal{B}}_{2,L} + \mathcal{B}_3) i(D_F + D_G) \hat{A}$$

\vdots

$$C_{3,L}^{(n)}(E, \vec{P}) = \hat{A}' i(D_F + D_G) [i(\bar{\mathcal{B}}_{2,L} + \mathcal{B}_3) i(D_F + D_G)]^{n-1} \hat{A}$$

$$\Rightarrow C_{3,L}(E, \vec{P}) = C_{3,\infty}^{(0)}(E, \vec{P}) + \hat{A}' i(D_F + D_G) \frac{1}{1 - i(\bar{\mathcal{B}}_{2,L} + \mathcal{B}_3) i(D_F + D_G)} \hat{A}$$

This simple closed-form expression for $C_{3,L}$ is the main advantage of the new derivation

On-shell projection

$$C_{3,L}(E, \vec{P}) = C_{3,\infty}^{(0)}(E, \vec{P}) + \hat{A}' i(D_F + D_G) \frac{1}{1 - i(\bar{\mathcal{B}}_{2,L} + \mathcal{B}_3) i(D_F + D_G)} \hat{A}$$

- The kernels in this expression are generally evaluated off shell
 - Need to pick out contribution from on-shell amplitudes
 - Use same strategies as original derivation
- For F cuts: split up the nonspectator sum as $\sum = [\sum -\text{PV } f] + \text{PV } f$ with an appropriate principal-value (PV) prescription
- For G cuts:
 - Split up each kernel as on-shell part + remainder
 - Boost on-shell part to CM frame of nonspectator momentum pair and decompose in spherical harmonics
 - Introduce regulator function $H(\vec{k})$ to turn off 2-particle energies well below threshold

On-shell projection

$$X'D_F X = X'(\tilde{F} + \tilde{\mathcal{I}}_F)X, \quad X'D_G X = X'(\tilde{G} + \delta\tilde{G})X$$

$$\tilde{F}_{klm;pl'm'} \equiv \delta_{kp} H(\vec{k}) \left[\frac{1}{L^3} \sum_{\vec{a}}^{\text{UV}} -\text{PV} \int_{\vec{a}}^{\text{UV}} \right] \frac{\mathcal{Y}_{\ell m}(\vec{a}_k^*)}{q_{2,k}^{*\ell}} \frac{L^3 D_{ka}}{2!} \frac{\mathcal{Y}_{\ell' m'}(\vec{a}_k^*)}{q_{2,k}^{*\ell'}}$$

$$\tilde{G}_{klm;pl'm'} \equiv \frac{1}{2\omega_k L^3} \frac{\mathcal{Y}_{\ell m}(\vec{p}_k^*)}{q_{2,k}^{*\ell}} \frac{H(\vec{k}) H(\vec{p})}{b^2 - m^2} \frac{\mathcal{Y}_{\ell' m'}(\vec{k}_p^*)}{q_{2,p}^{*\ell'}} \frac{1}{2\omega_p L^3}$$

- \tilde{F} and \tilde{G} project adjacent kernels on shell
- The $\tilde{\mathcal{I}}_F$ and $\delta\tilde{G}$ terms are off-shell remainders and can be treated as ∞ -volume quantities

Final expression for $C_{3,L}$

$$\begin{aligned} \Rightarrow C_{3,L}(E, \vec{P}) &= C_{3,\infty}^{(0)}(E, \vec{P}) + \hat{A}' i(\tilde{F} + \tilde{G} + \tilde{\mathcal{I}}_F + \delta\tilde{G}) \frac{1}{1 - i(\bar{\mathcal{B}}_{2,L} + \mathcal{B}_3) i(\tilde{F} + \tilde{G} + \tilde{\mathcal{I}}_F + \delta\tilde{G})} \hat{A} \\ &= \tilde{C}_{3,\infty}(E, \vec{P}) + \tilde{A}'^{(u)} i(\tilde{F} + \tilde{G}) \frac{1}{1 - i \left(2\omega L^3 \mathcal{K}_2 + \tilde{\mathcal{K}}_{\text{df},3}^{(u,u)} \right) i(\tilde{F} + \tilde{G})} \tilde{A}^{(u)} \\ i \left(2\omega L^3 \mathcal{K}_2 + \tilde{\mathcal{K}}_{\text{df},3}^{(u,u)} \right) &= \frac{1}{1 - i(\bar{\mathcal{B}}_{2,L} + \mathcal{B}_3) i(\tilde{\mathcal{I}}_F + \delta\tilde{G})} i(\bar{\mathcal{B}}_{2,L} + \mathcal{B}_3) \end{aligned}$$

- \mathcal{K}_2 and $\tilde{\mathcal{K}}_{\text{df},3}^{(u,u)}$ are on-shell ∞ -volume amplitudes
- The combination $2\omega L^3 \mathcal{K}_2 + \tilde{\mathcal{K}}_{\text{df},3}^{(u,u)}$ appears naturally due to the $\bar{\mathcal{B}}_{2,L} + \mathcal{B}_3$ construction
- (u) labels indicate **asymmetry** under particle exchange due to the spectator-dependent $\bar{\mathcal{B}}_{2,L}$

New form of the quantization condition

$$C_{3,L} - \tilde{C}_{3,\infty} = \tilde{A}'^{(u)} i(\tilde{F} + \tilde{G}) \frac{1}{1 - i \left(2\omega L^3 \mathcal{K}_2 + \tilde{\mathcal{K}}_{\text{df},3}^{(u,u)} \right) i(\tilde{F} + \tilde{G})} \tilde{A}^{(u)}$$

- Poles occur at energies in FV spectrum \Rightarrow when determinant of RHS is singular
- Asymmetric QC:

$$\det \left[1 + \left(2\omega L^3 \mathcal{K}_2 + \tilde{\mathcal{K}}_{\text{df},3}^{(u,u)} \right) \left(\tilde{F} + \tilde{G} \right) \right] = 0$$

Understanding $\tilde{\mathcal{K}}_{\text{df},3}^{(u,u)}$ and the new QC

$$\det \left[1 + \left(2\omega L^3 \mathcal{K}_2 + \tilde{\mathcal{K}}_{\text{df},3}^{(u,u)} \right) \left(\tilde{F} + \tilde{G} \right) \right] = 0$$

- **Asymmetric** divergence-free 3-particle K matrix with closed-form definition
 - In contrast to **symmetric** $\mathcal{K}_{\text{df},3}$ in original RFT QC: $\det [1 + \mathcal{K}_{\text{df},3} F_3] = 0$
- Can be **symmetrized** to give original QC
 - Similarly, can **asymmetrize** original QC into new form: $\det \left[1 + \left(2\omega L^3 \mathcal{K}_2 + \mathcal{K}'_{\text{df},3} \right) \left(\tilde{F} + \tilde{G} \right) \right] = 0$
- Related to physical scattering amplitude \mathcal{M}_3 via integral equations
- Can be related to R matrix of FVU approach – topic of the next talk! [arXiv:2007.16190](https://arxiv.org/abs/2007.16190)

Conclusions

- We expect this new TOPT approach to organizing diagrams will greatly simplify the process of generalizing the RFT method
- Future goals:
 - 3-particle QC for nondegenerate particles
 - 4-particle QCs?

Thanks for listening! I'm happy to take any questions