



# The effects of fermions in the complex Langevin simulation of the Lorentzian type IIB matrix model

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# 1. Introduction 1

## ◆ The type IIB matrix model [Ishibashi-Kawai-Kitazawa-Tsuchiya ('96)]

Promising candidate for nonperturbative formulation of superstring theory

Symmetries:  $SU(N)$ ,  $SO(9,1)$ ,  $\mathcal{N} = 2$  SUSY

$$S = -\frac{1}{g^2} \text{Tr} \left( \frac{1}{4} [A^\mu, A^\nu] [A_\mu, A_\nu] + \frac{1}{2} \bar{\Psi} \Gamma^\mu [A_\mu, \Psi] \right) \quad (\mu = 0, 1, \dots, 9)$$

$N \times N$  Hermitian matrices

$A_\mu$  : 10d Lorentz vector

$\Psi$  : 10d Majorana-Weyl spinor

under  $SO(9,1)$  transformation

In this model, space-time does not exist a priori but emerges dynamically from the degrees of freedom of matrices.

# 1. Introduction 2

This model has been studied by numerical methods.

◆ Lorentzian version of the type IIB matrix model

SSB of  $SO(9)$  to  $SO(3)$  and existence of (3+1)-dim. expanding universe

[Kim-Nishimura-Tsuchiya ('12), Aoki-Hirasawa-Ito-Nishimura-Tsuchiya ('19), etc]

In previous works, approximations were used to avoid the sign problem, which arise from the inability to consider  $e^{-S}$  as the probability distribution.

It is hard to perform Monte Carlo simulations due to this problem.

Examples of systems where the sign problem occurs:

- Theta term [Yosprakob-san's talk, Matsumoto-san's, Honda-san's]
- Real-time dynamics
- Finite density QCD
- Other systems with fermions

# 1. Introduction 3

## ◆ Methods to overcome the sign problem

- Lefschetz thimble method

In this method, the sign problem is minimized by the deformation of the integral path.

- **Complex Langevin method (CLM)**

In this method, expectation values are calculated by the stochastic process for complexified variables.

## ◆ Studies of the type IIB model by the CLM

- Euclidean version of the type IIB matrix model

[Azuma-san's talk; Anagnostopoulos-Azuma-Ito-Nishimura-Okubo-Papadoudis ('20)]

- **Lorentzian version** of the type IIB matrix model

[Nishimura-Tsuchiya ('19), this talk, Hirasawa-san's talk]

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# 2. The type IIB matrix model

## ◆ Action

$$S = -\frac{1}{g^2} \text{Tr} \left( \frac{1}{4} [A^\mu, A^\nu] [A_\mu, A_\nu] + \frac{1}{2} \bar{\Psi} \Gamma^\mu [A_\mu, \Psi] + m_f \text{Tr} (\bar{\Psi} i \Gamma_0 \Psi) \right)$$

$$= S_b + S_f$$

SO(5) symmetry is preserved.

$$S_b = -\frac{1}{4g^2} \text{Tr} ([A^\mu, A^\nu] [A_\mu, A_\nu]), \quad S_f = -\frac{1}{g^2} \text{Tr} \left( \frac{1}{2} \bar{\Psi} \Gamma^\mu [A_\mu, \Psi] + m_f \bar{\Psi} i \Gamma_0 \Psi \right)$$

$A_\mu, \Psi : N \times N$  Hermitian matrices

$m_f$  : deformation parameter  $\begin{cases} m_f \rightarrow \infty & : \text{bosonic} \\ m_f \rightarrow 0 & : \text{fermionic} \end{cases}$

# Partition function

$$\begin{aligned} Z &= \int dA d\Psi e^{iS} \\ &= \int dA e^{iS_b} \text{Pf} \mathcal{M}(A) \\ &\quad \text{phase factor} \rightarrow \text{sign problem!} \end{aligned}$$

◆ **IR cutoffs** to make this model well-defined

$$\frac{1}{N} \text{Tr}(A_0)^2 = \kappa, \quad \frac{1}{N} \text{Tr}(A_i)^2 = 1 \quad (i = 1, \dots, 9)$$

There is a nice way to treat these cutoffs in the CLM.

# Wick rotation

$$S_b = -\frac{1}{4g^2} \text{Tr} ([A^\mu, A^\nu][A_\mu, A_\nu]) \quad Z = \int dA e^{iS_b} \text{Pf} \mathcal{M}(A)$$
$$= N\beta \left[ -\frac{1}{2} \text{Tr}(F_{0i})^2 + \frac{1}{4} (F_{ij})^2 \right]$$
$$\beta = 1/(g^2 N), \quad F_{\mu\nu} = i[A_\mu, A_\nu]$$

Wick rotation on the world-sheet: multiplying by  $e^{is\pi/2}$

$$\tilde{S}_b = -iN\beta e^{is\pi/2} \left[ -\frac{1}{2} \text{Tr}(F_{0i})^2 + \frac{1}{4} (F_{ij})^2 \right] \quad Z = \int dA e^{-\tilde{S}_b} \text{Pf} \mathcal{M}(A)$$

$s = 0$  : Lorentzian type IIB matrix model





# 3. Complex Langevin method

# Complex Langevin equation

Complex-valued function

$$Z = \int dx w(x), \quad x \in \mathbb{R} \quad [\text{Parisi ('83), Klauder ('84)}]$$

↓ complexify variable

$$z \in \mathbb{C}$$

## ◆ Complex Langevin equation ( $t$ : Langevin time)

$$\frac{dz_k}{dt} = \frac{1}{w} \frac{\partial w}{\partial z_k} + \eta_k(t)$$

drift term

Gaussian noise, real

$$P(\eta_k(t)) \propto \exp\left(-\frac{1}{4} \int dt \sum_k [\eta_k(t)]^2\right)$$

## ◆ Necessary and sufficient condition to justify the CLM

[Nagata-Nishimura-Shimasaki ('16)]

The probability distribution of the drift term should be exponentially suppressed for large values.

# Application to the Lorentzian type IIB matrix model

## ◆ Order of eigenvalues of $A_0$ [Nishimura-Tsuchiya ('19)]

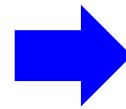
Change of variables

$$\alpha_1 < \alpha_2 < \cdots < \alpha_N \xrightarrow{\text{Change of variables}} \alpha_1 = 0, \alpha_2 = e^{\tau_1}, \alpha_3 = e^{\tau_1} + e^{\tau_2}, \cdots, \alpha_N = \sum_{k=1}^{N-1} e^{\tau_k}$$

## ◆ Complexification of $\tau_k$

$$\alpha_k \in \mathbb{R}$$

Hermitian matrices:  $A_i \in \text{SU}(N)$



$$\alpha_k \in \mathbb{C}$$

General complex matrices:  $A_i \in \text{SL}(N, \mathbb{C})$

### ● Complex Langevin equation

$$S_{\text{eff}} = -iN\beta e^{is\pi/2} \left[ -\frac{e^{-ik\pi}}{2} \frac{\text{Tr}(F_{0i})^2}{(\text{Tr} A_0^2/N)(\text{Tr} A_i^2/N)} + \frac{1}{4} \frac{\text{Tr}(F_{ij})^2}{(\text{Tr} A_i^2/N)^2} \right] + \frac{N}{2} (\text{Tr} A_0^2 + \text{Tr} A_i^2)$$

$$\frac{d\tau_k}{dt} = -\frac{\partial S_{\text{eff}}}{\partial \tau_k} + \eta_k(t)$$

$$P(\eta_k(t)) \propto \exp\left(-\frac{1}{4} \int dt \sum_k [\eta_k(t)]^2\right)$$

$$\frac{d(A_i)_{kl}}{dt} = -\frac{\partial S_{\text{eff}}}{\partial (A_i)_{lk}} + (\eta_i)_{kl}(t)$$

$$P(\eta_i(t)) \propto \exp\left(-\frac{1}{4} \int dt \sum_i \text{Tr}[\eta_i(t)]^2\right)$$

# 4. Results

# Parameter setting

$$S = -\frac{1}{g^2} \text{Tr} \left( \frac{1}{4} [A^\mu, A^\nu] [A_\mu, A_\nu] + \frac{1}{2} \bar{\Psi} \Gamma^\mu [A_\mu, \Psi] + m_f \text{Tr} (\bar{\Psi} i \Gamma_0 \Psi) \right)$$

$$\text{IR cutoffs: } \frac{1}{N} \text{Tr}(A_0)^2 = \kappa, \quad \frac{1}{N} \text{Tr}(A_i)^2 = 1 \quad (i = 1, \dots, 9)$$

$$\tilde{S}_b = -iN\beta e^{is\pi/2} \left[ -\frac{1}{2} \text{Tr}(F_{0i})^2 + \frac{1}{4} (F_{ij})^2 \right]$$

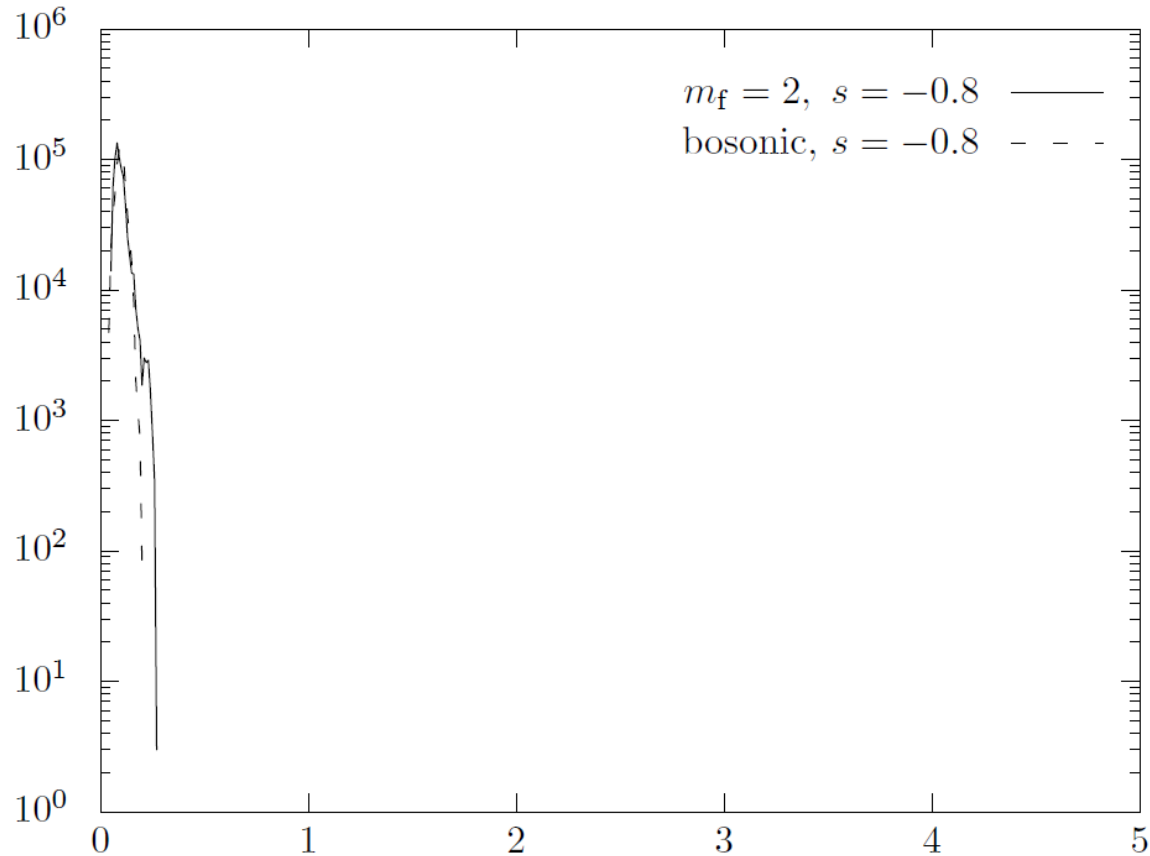
$$A_0 = \begin{pmatrix} \alpha_1 & & & & & & & & & & \\ & \alpha_2 & & & & & & & & & \\ & & \ddots & & & & & & & & \\ & & & \ddots & & & & & & & \\ & & & & \alpha_{k+1} & & & & & & \\ & & & & & \ddots & & & & & \\ & & & & & & \alpha_{k+n} & & & & \\ & & & & & & & \ddots & & & \\ & & & & & & & & \alpha_N & & \end{pmatrix}$$

$n$  : band size

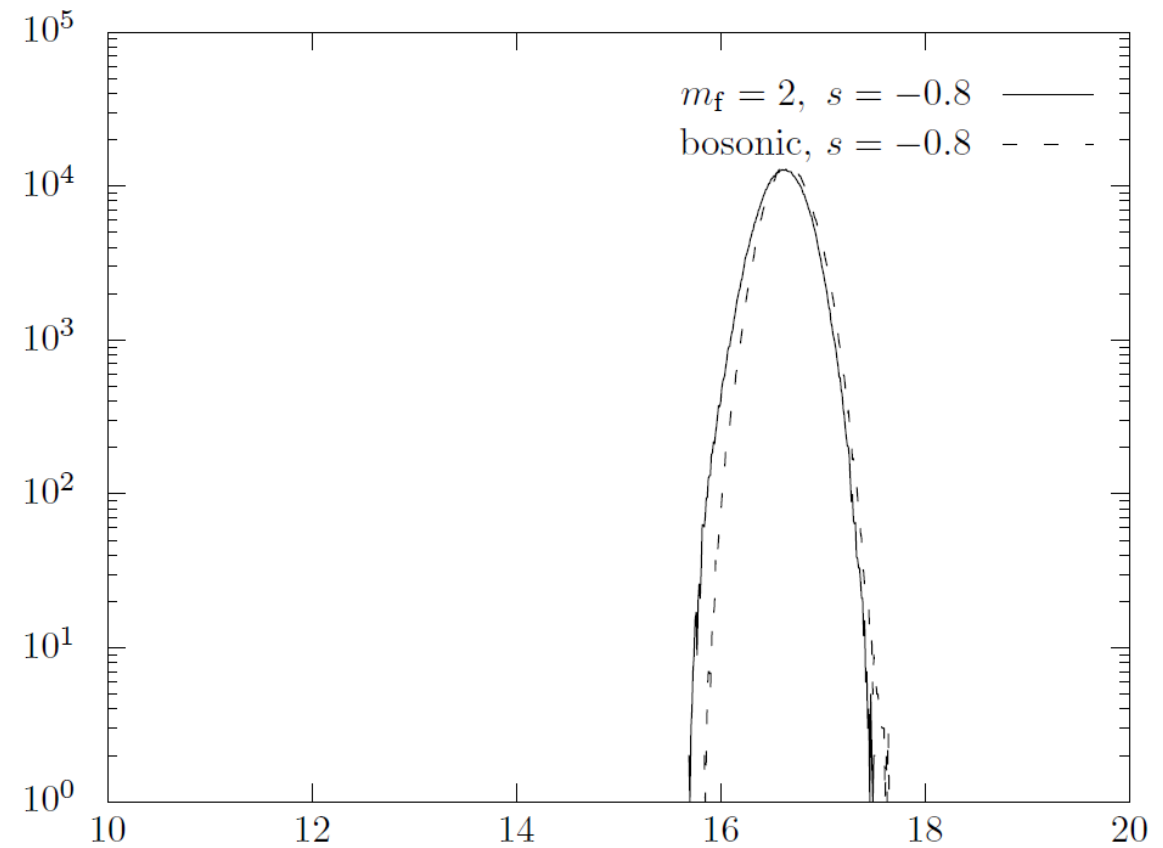
- Matrix size:  $N = 32$
- Band size:  $n = 8$
- Kappa:  $\kappa = 0.01$
- Beta:  $\beta = 1/(g^2 N) = 32$
- Deformation parameter:  $m_f = 2$
- Wick rotation parameter:  $s = -0.8$

# Histograms of drift term

Drift term of  $\mathcal{T}_k$



Drift term of  $A_i$



The CLM does not fail in both of  $m_f = 2$  and bosonic cases.  
For smaller  $m_f$ , we expect that the CLM will fail.

# Hermiticity norm

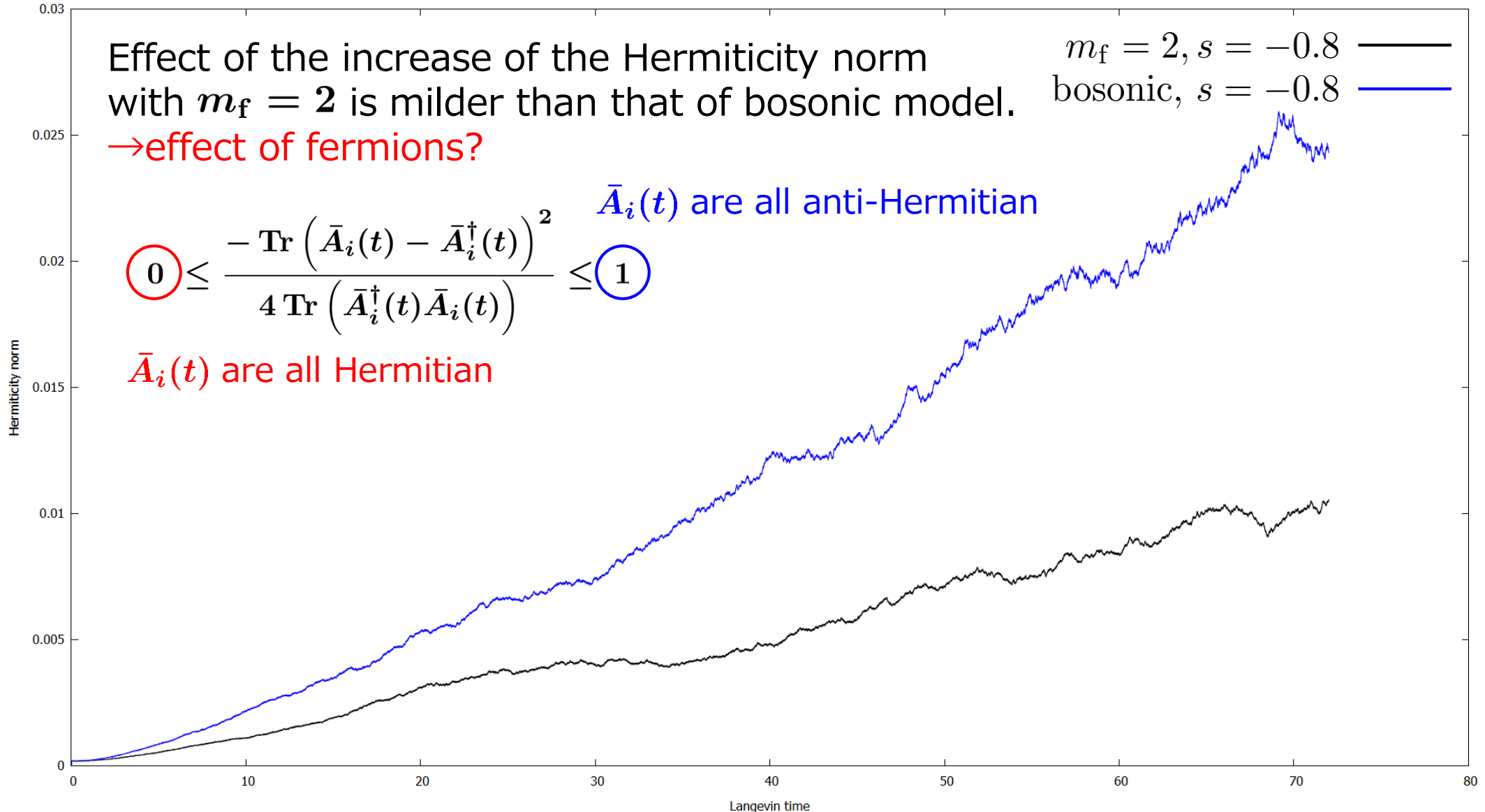
Effect of the increase of the Hermiticity norm  
with  $m_f = 2$  is milder than that of bosonic model.  
→effect of fermions?

$m_f = 2, s = -0.8$  —  
bosonic,  $s = -0.8$  —

$$\textcircled{0} \leq \frac{-\text{Tr} \left( \bar{A}_i(t) - \bar{A}_i^\dagger(t) \right)^2}{4 \text{Tr} \left( \bar{A}_i^\dagger(t) \bar{A}_i(t) \right)} \leq \textcircled{1}$$

$\bar{A}_i(t)$  are all anti-Hermitian

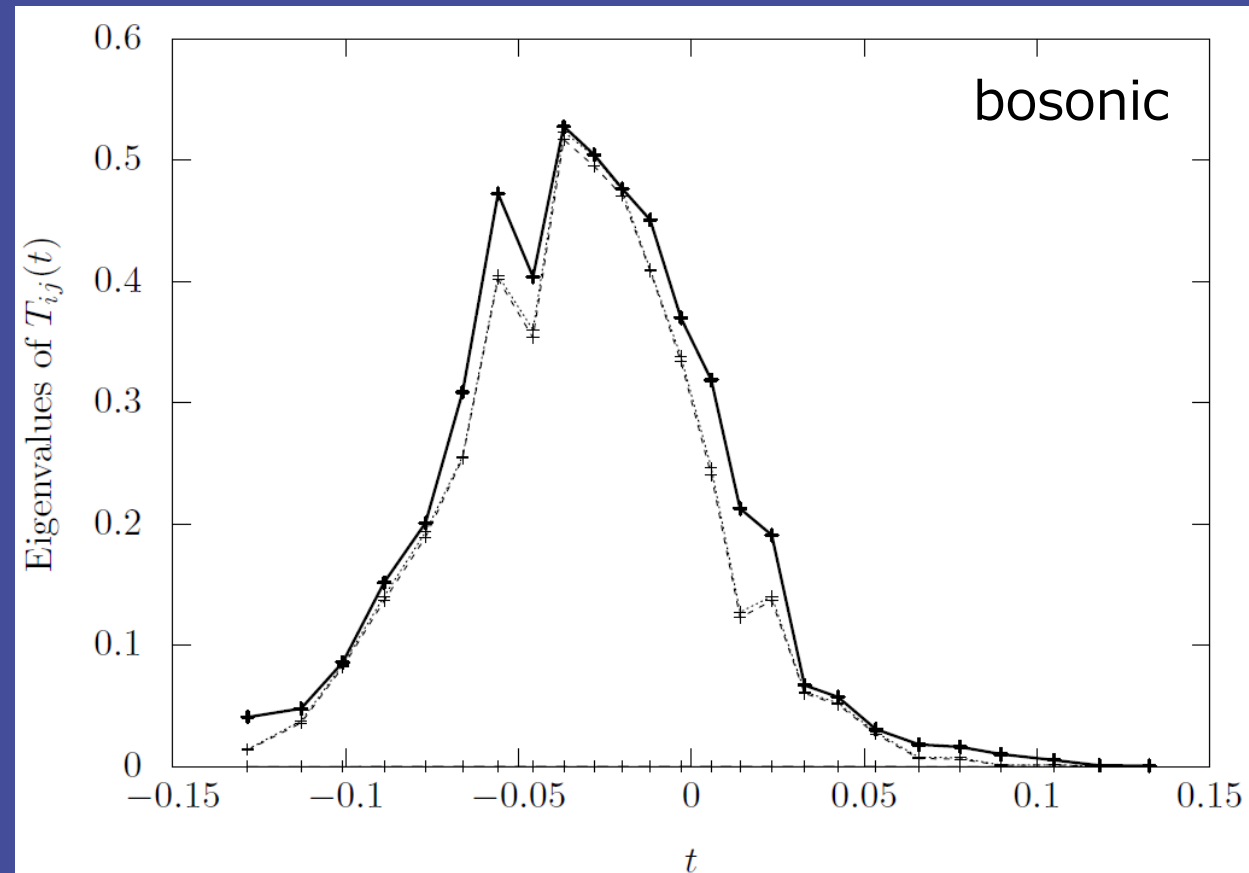
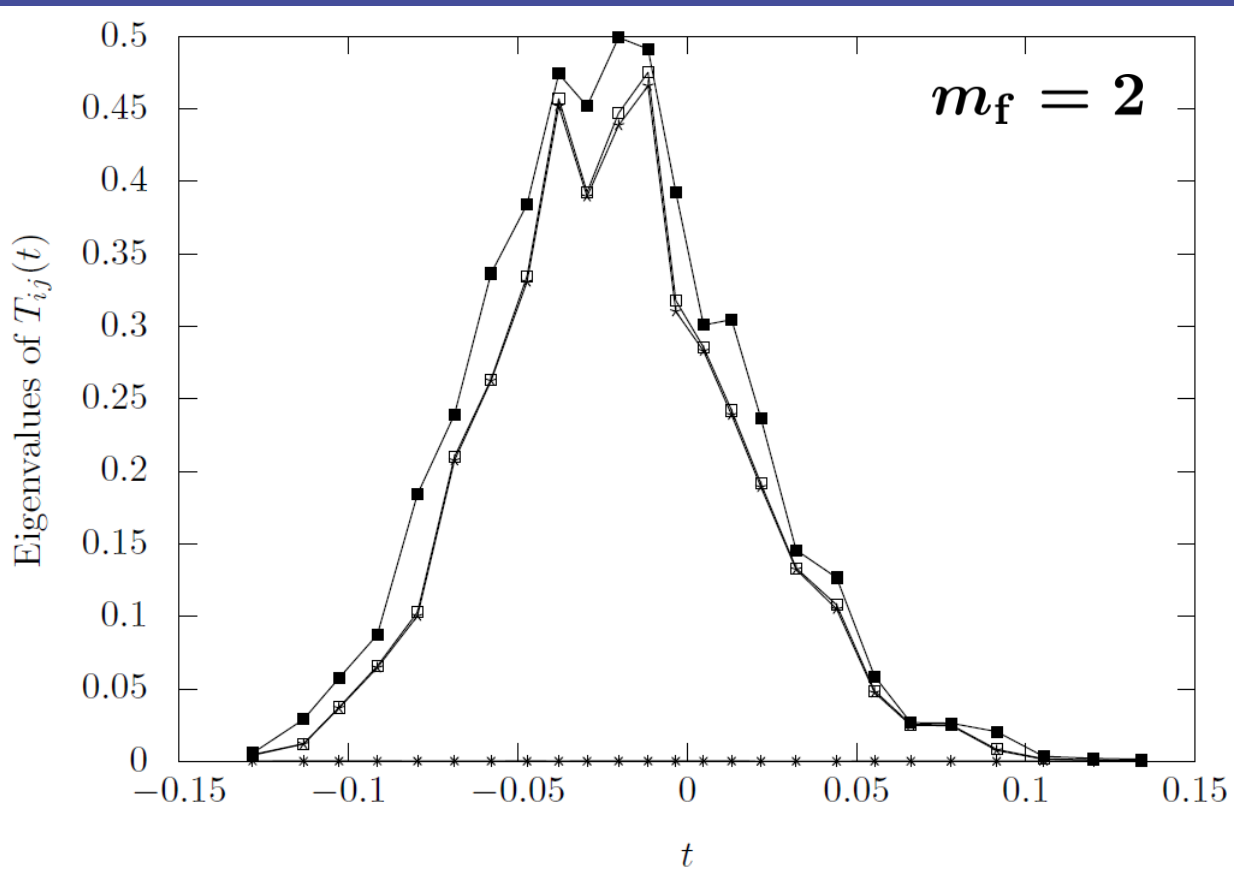
$\bar{A}_i(t)$  are all Hermitian





# Eigenvalues of $T_{ij}(t) = \frac{1}{n} \text{tr} (\bar{A}_i(t) \bar{A}_j(t))$

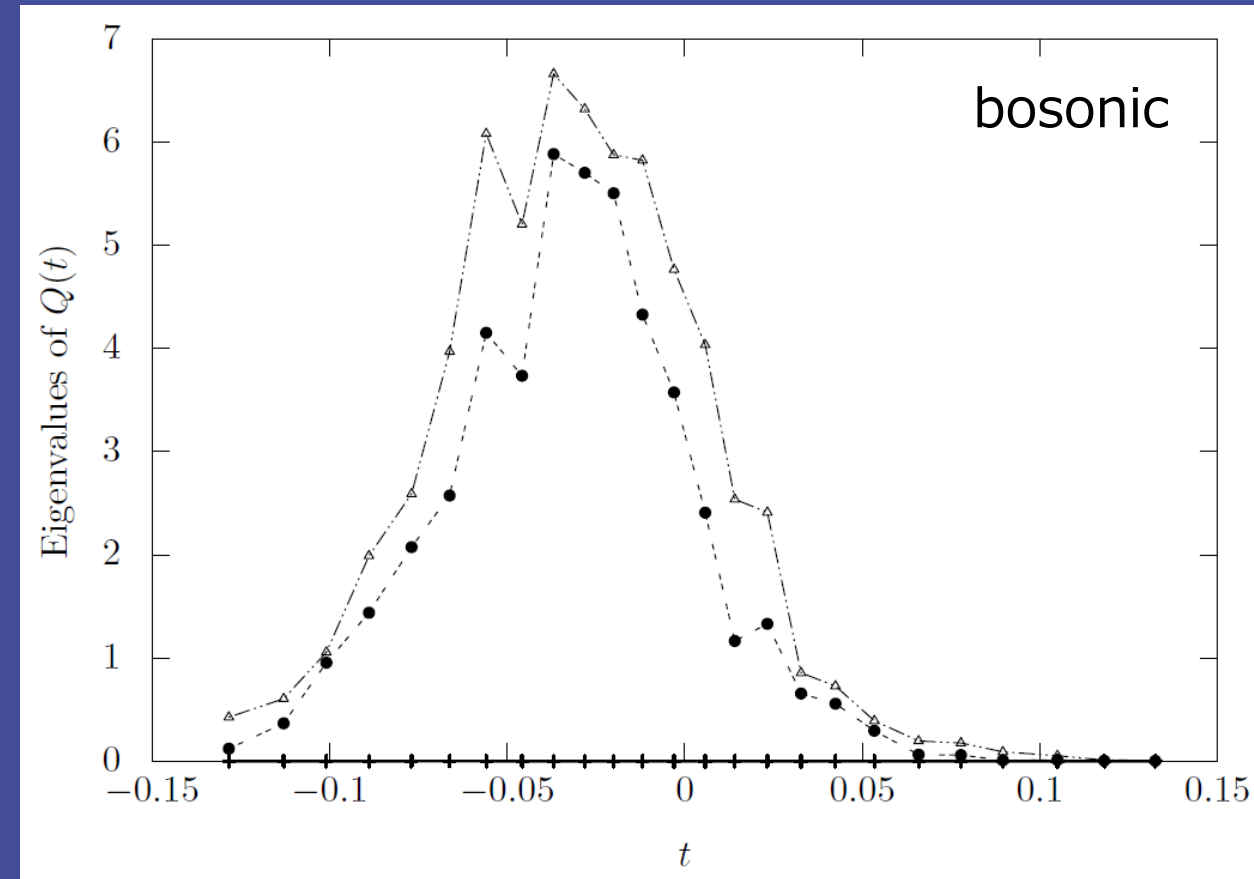
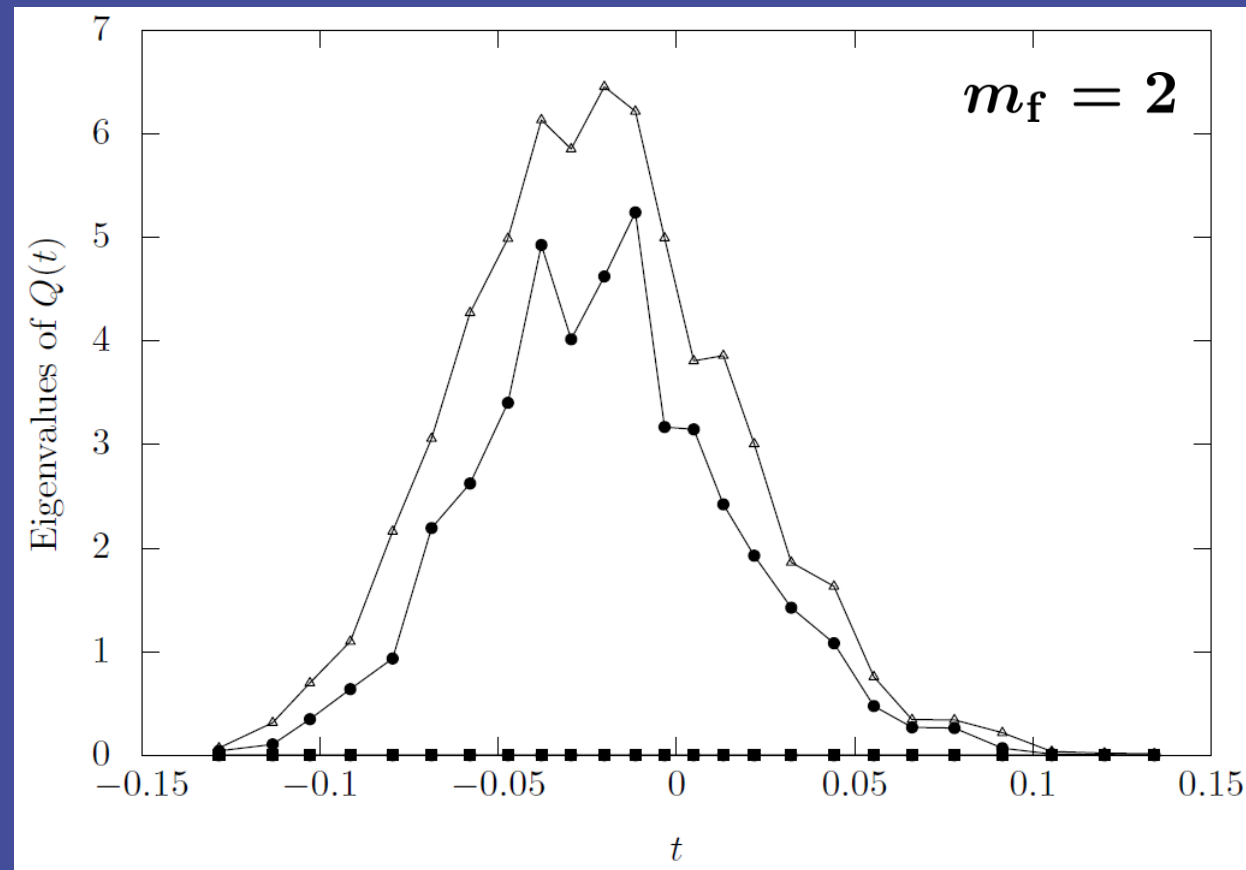
This quantity represents **how the space extends** in 5 dimensions.  
If SO(5) symmetric, 5 eigenvalues are degenerate.



Only 3 eigenvalues distribute, which implies **SSB of SO(5) to SO(3)**.

# Eigenvalues of $Q(t) = \sum_{i=1}^5 \bar{A}_i(t) \bar{A}_i(t)$

This quantity represents **how the space extends in the radial direction.**



Only 2 eigenvalues grow.

At  $s = -1$ , configurations which minimize the action are dominating. The following matrices maximize the noncommutativity between the spatial matrices under the constraints (IR cutoffs) in the classical analysis:

$$\bar{A}_i = C \sigma_i \oplus \mathbf{1}_{n-2} \quad (i = 1, 2, 3), \quad \mathbf{0}_n \quad (i \geq 4) \quad \blacktriangleright \quad Q = (C^2 \mathbf{1}_2) \oplus \mathbf{0}_{n-2}$$

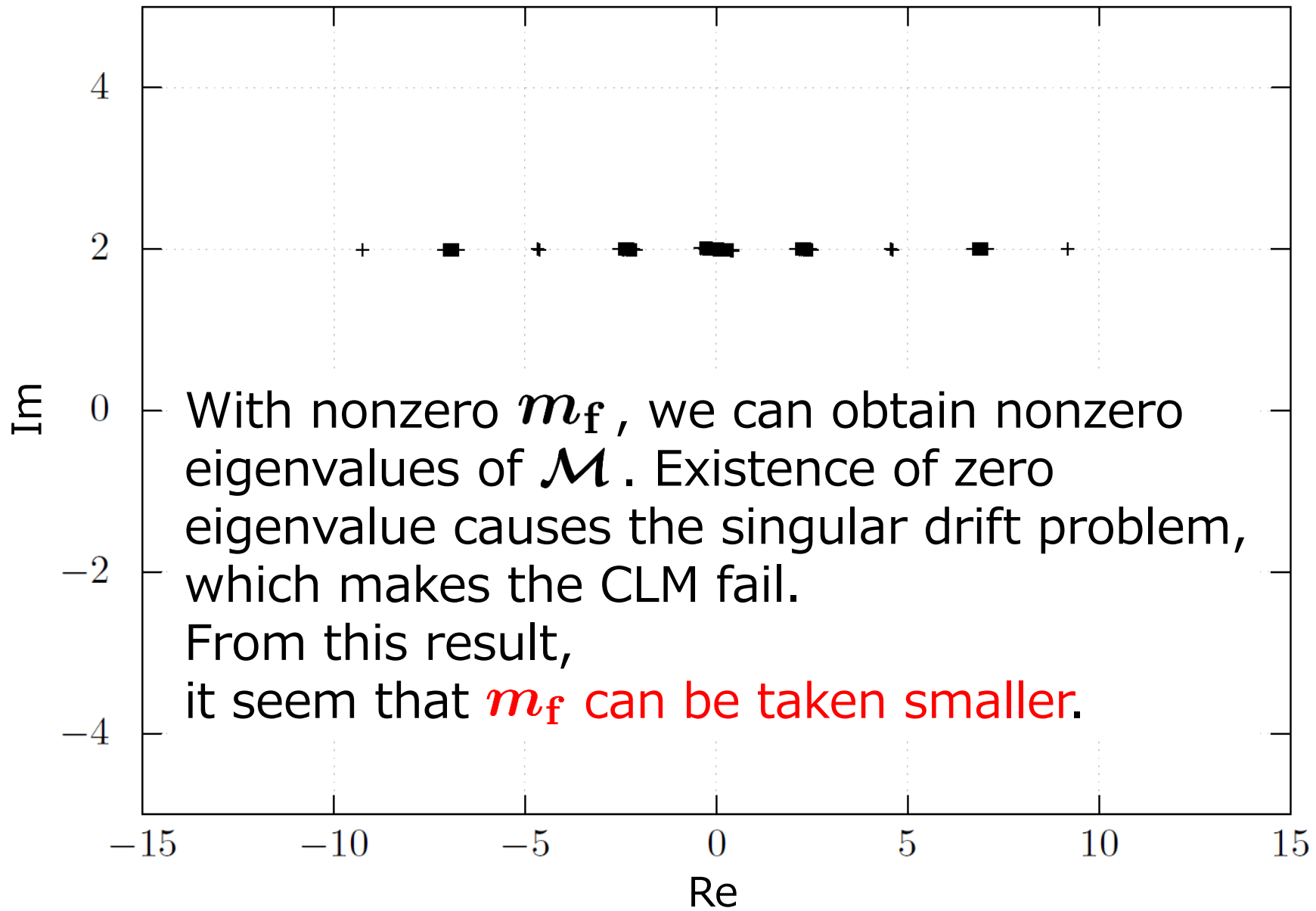
Pauli matrices Fuzzy sphere-like structure

$$S = -\frac{1}{g^2} \text{Tr} \left( \frac{1}{4} [A^\mu, A^\nu] [A_\mu, A_\nu] + \frac{1}{2} \bar{\Psi} \Gamma^\mu [A_\mu, \Psi] \right)$$

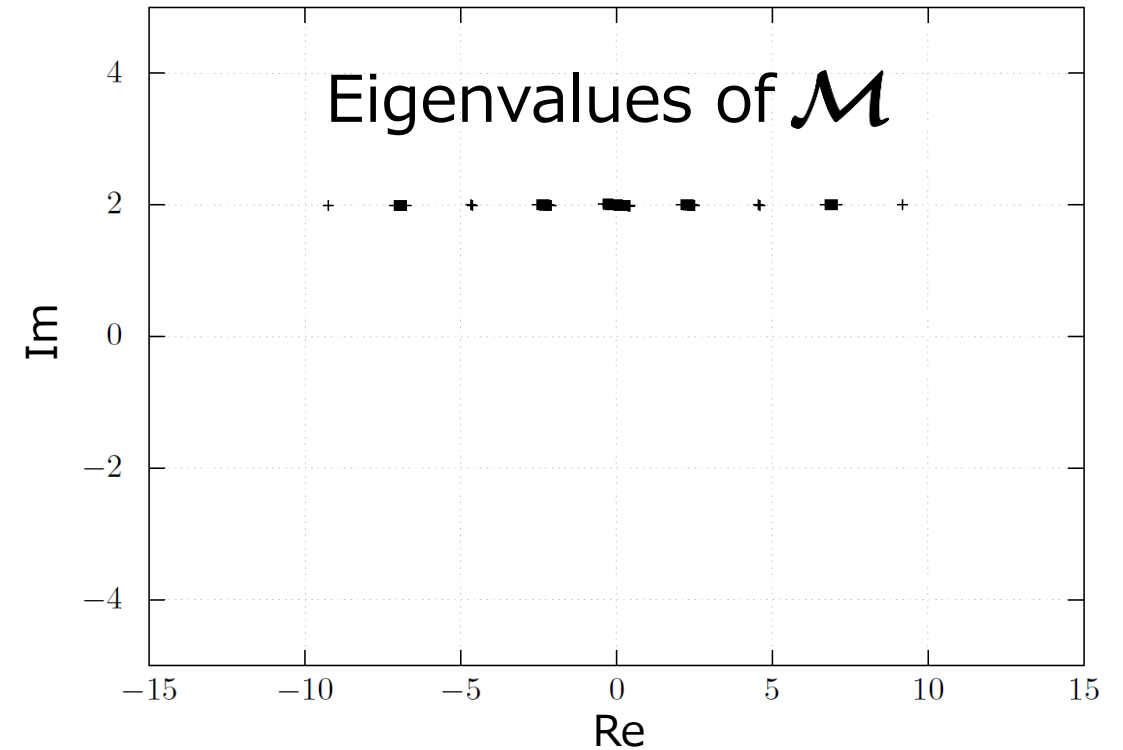
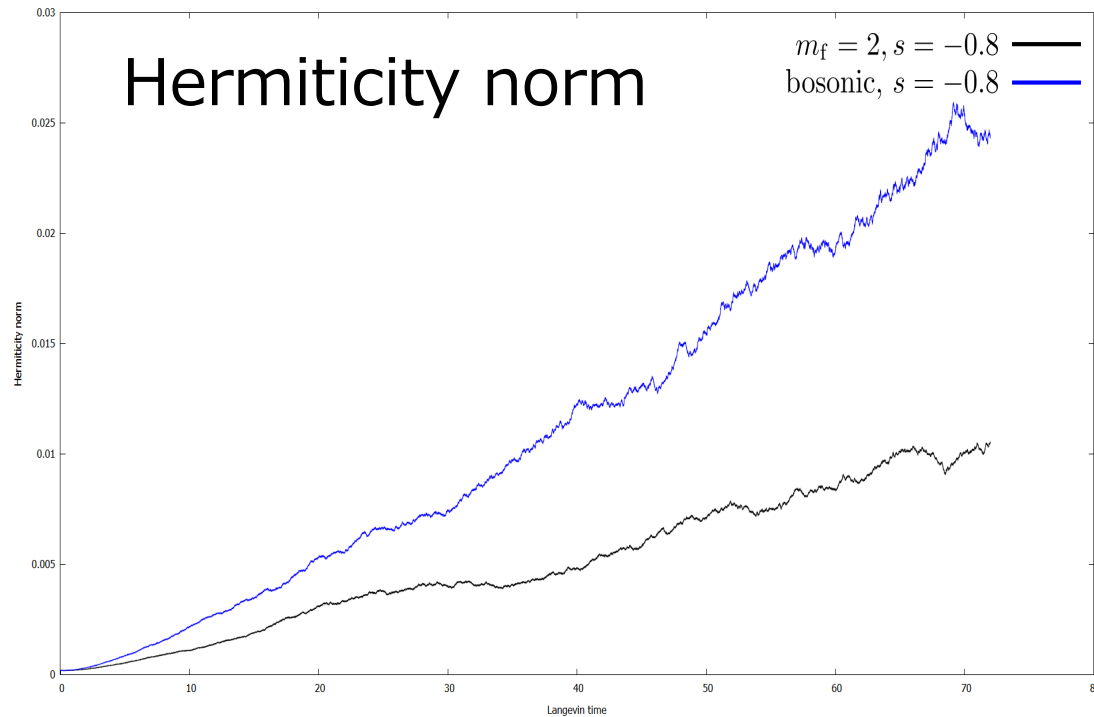
In the  $s \rightarrow 0$  regime, we expect that eigenvalues of  $Q(t)$  have smooth distribution.

Cf) [Hatakeyama-Matsumoto-Nishimura-Tsuchiya-Yosprakob ('19)]

# Eigenvalues of $\mathcal{M}$



# 5. Conclusion



From these results, it seems that complex Langevin simulations with **fermions** are more stable than those of the bosonic case.

At Hirasawa-san's talk, the effect of **the matrix size** will be discussed.



Back up

$$Z = \int dA e^{-\tilde{S}_b(A)} \delta\left(\frac{1}{N} \text{Tr}(A_0)^2 - \kappa\right) \delta\left(\frac{1}{N} \text{Tr}(A_i)^2 - 1\right)$$

↓ Introduce auxiliary field

Constraints on matrices (IR cutoff)

$$= \int_0^\infty du dv \int dA u^p v^q e^{-N^2(u+v)/2} e^{-\tilde{S}_b(A)} \delta\left(\frac{1}{N} \text{Tr} A_0^2 - \kappa\right) \delta\left(\frac{1}{N} \text{Tr} A_i^2 - 1\right)$$

↓ Change variables:  $A_0 \rightarrow A_0 \sqrt{\kappa/u}$ ,  $A_i \rightarrow A_i/\sqrt{v}$

$$Z = \int dA e^{-S_{\text{eff}}}$$

$$S_{\text{eff}} = -iN\beta e^{is\pi/2} \left[ -\frac{1}{2} e^{-ik\pi} \frac{\text{Tr}(F_{0i})^2}{\frac{1}{N} \text{Tr}(A_0)^2 \frac{1}{N} \text{Tr}(A_i)^2} + \frac{1}{4} \frac{\text{Tr}(F_{ij})^2}{\left(\frac{1}{N} \text{Tr}(A_i)^2\right)^2} \right] + \frac{N}{2} \left( \text{Tr}(A_0)^2 + \text{Tr}(A_i)^2 \right)$$