



# Hadron and Nuclear Physics for Oscillation Experiments

Luis Alvarez Ruso



# Introduction

- $\nu$  cross sections are **crucial** to achieve the **precision goals** of **oscillation experiments**

$$\frac{N_{events}^{far}(E_\nu)}{N_{events}(E_\nu)} = \frac{\int \sigma(E'_\nu) \Phi(E'_\nu) P(E_\nu | E'_\nu) P_{osc}(E'_\nu) dE'_\nu}{\int \sigma(E'_\nu) \Phi(E'_\nu) P(E_\nu | E'_\nu) dE'_\nu}$$

F. Sanchez @ NuPhys2015

- Need for theory?
  - Measurements are not (cannot be) comprehensive
    - the same (semi)-inclusive cross section can correspond to **different exclusive final states**, depending on the **reaction mechanism**
    - measurements (partially) rely on **simulations  $\approx$  theory** to determine efficiency, acceptance, ...
  - $E_\nu$  is not known: reconstructed using kinematics and/or calorimetry
  - $\sigma(\nu_\mu)$  to  $\sigma(\nu_e)$  extrapolations
- **Neutrino** c.s. mismodeling could lead to **unacceptably large** systematic uncertainties or biased measurements

# Nucleon axial form factor

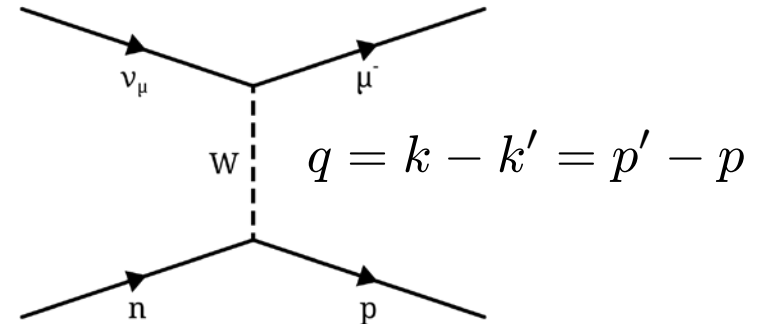
- Fundamental **nucleon** property
- Main source of uncertainty for **QE scattering** on **nucleons**:

**CCQE** :  $\nu(k) + n(p) \rightarrow l^-(k') + p(p')$

$\bar{\nu}(k) + p(p) \rightarrow l^+(k') + n(p')$

**NCE** :  $\nu(k) + N(p) \rightarrow \nu(k') + N(p')$

$\bar{\nu}(k) + N(p) \rightarrow \bar{\nu}(k') + N(p')$



- Largest contribution at **T2K, MicroBooNE**
- Used for kinematic  $E_\nu$  reconstruction:

$$E_\nu^{\text{QE}} = \frac{2m_n E_\mu - m_\mu^2 - m_n^2 + m_p^2}{2(m_n - E_\mu + p_\mu \cos \theta_\mu)}$$

- Input in models of non-resonant inelastic reactions (**meson production**) and **two-nucleon currents**

# Nucleon axial form factor

- What is known:

- $F_A(0) = g_A \leftarrow \beta \text{ decay}$

- $F_A(\infty) \sim Q^{-4} \leftarrow \text{QCD}$

- Main source of information: bubble chamber (ANL, BNL, FNAL) data

- **Dipole ansatz:** Bodek et al., EPJC 53 (2008)

$$F_A(Q^2) = g_A \left( 1 + \frac{Q^2}{M_A^2} \right)^{-2} \quad \langle r_A^2 \rangle = \frac{12}{M_A^2}$$

- **z-expansion:** Meyer et al., PRD 93 (2016)

- **Neural networks + Bayesian statistics:** LAR, Graczyk, Saúl-Sala, PRC 99 (2019)

- All methods obtain similar  $F_A(Q^2)$ ...

# Nucleon axial form factor

- What is known:

- $F_A(0) = g_A \leftarrow \beta \text{ decay}$

- $F_A(\infty) \sim Q^{-4} \leftarrow \text{QCD}$

- Main source of information: bubble chamber (ANL, BNL, FNAL) data

- **Dipole ansatz:** Bodek et al., EPJC 53 (2008)

$$F_A(Q^2) = g_A \left( 1 + \frac{Q^2}{M_A^2} \right)^{-2} \quad \langle r_A^2 \rangle = \frac{12}{M_A^2}$$

- $\langle r_A^2 \rangle = 0.453(12) \text{ fm}^2$

- **z-expansion:** Meyer et al., PRD 93 (2016)

- $\langle r_A^2 \rangle = 0.46(22) \text{ fm}^2$

- **Neural networks + Bayesian statistics:** LAR, Graczyk, Saúl-Sala, PRC 99 (2019)

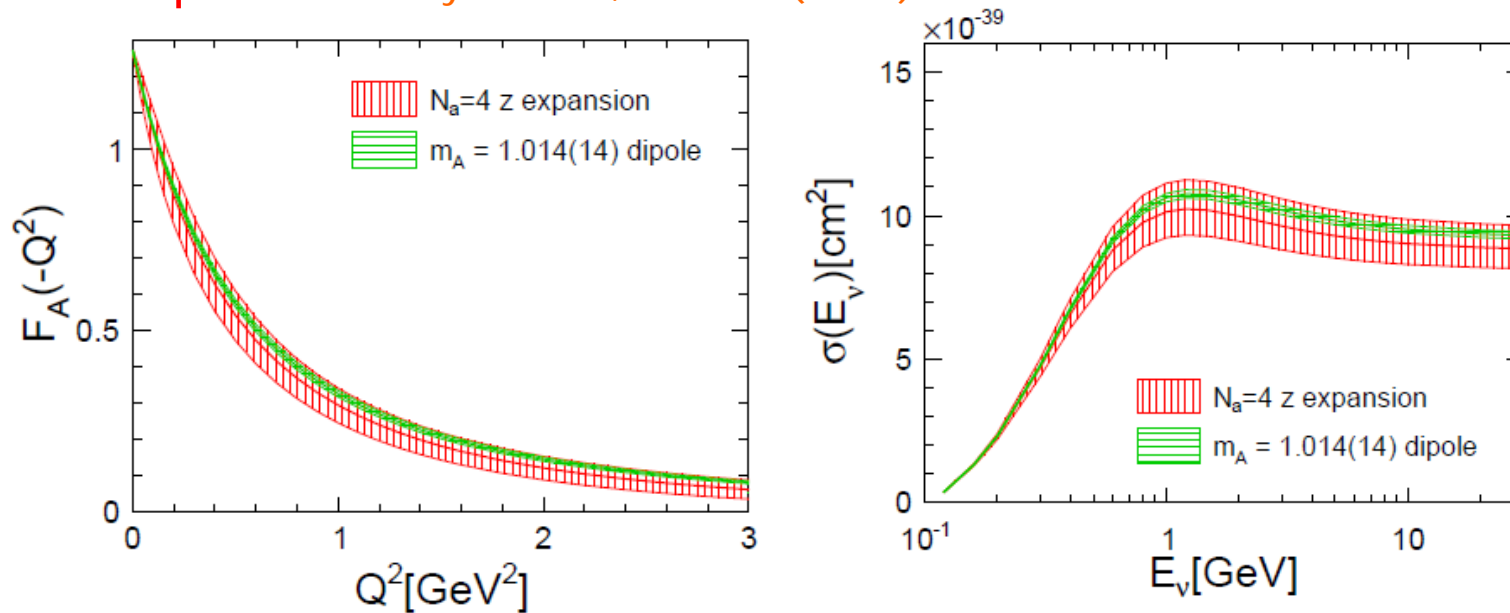
- $\langle r_A^2 \rangle = 0.471(15) \text{ fm}^2 \leftarrow \text{ANL only so far}$

- All methods obtain similar  $F(Q^2)$ ...

- ... but with **different errors**

# QE scattering on the nucleon

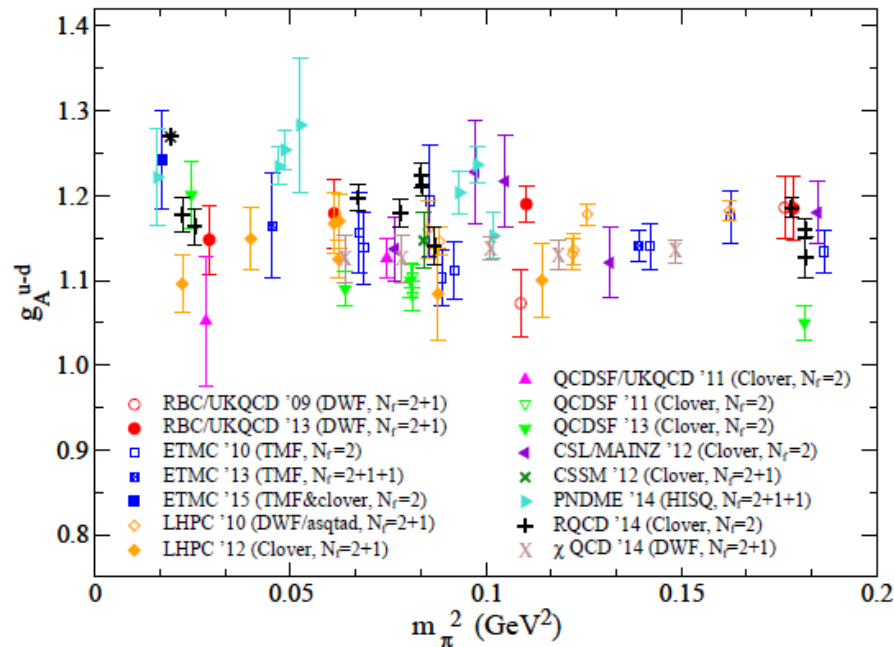
- **z-expansion** Meyer et al., PRD 93 (2016)



- At  $E_\nu \sim 1$  GeV  $\sigma(\text{CCQE})$  has  $\approx 10\%$  error
- More precise information about  $F_A$  is needed
  - Direct or indirect CCQE measurement on n/p
  - Lattice QCD

# $F_A$ & LQCD

- $g_A$  : **lower** than exp. values have been recurrently obtained



Constantinou, PoS CD15 (2015) 009

- Recent progress:
  - improved algorithms for a careful treatment of excited states
  - low pion masses

# $F_A$ & LQCD

## Recent progress:

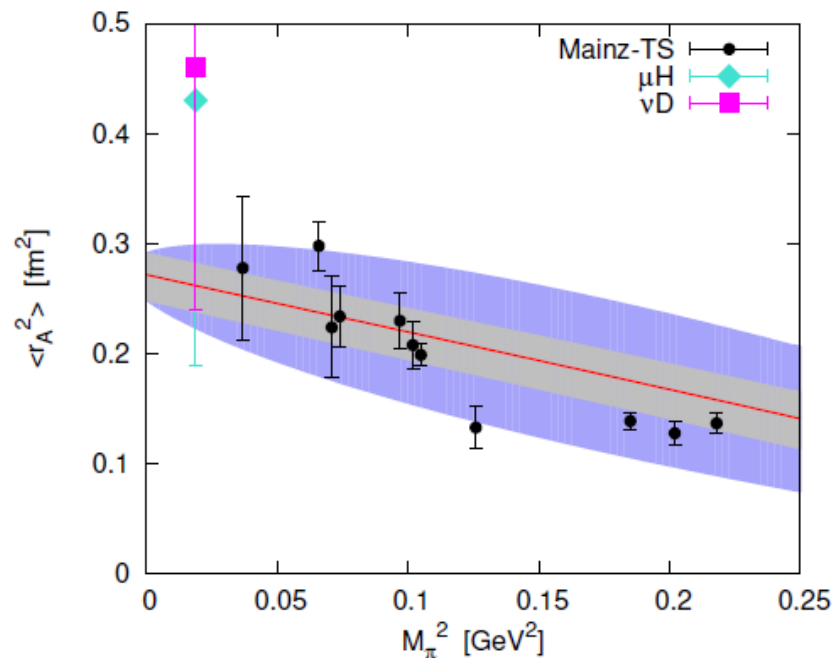
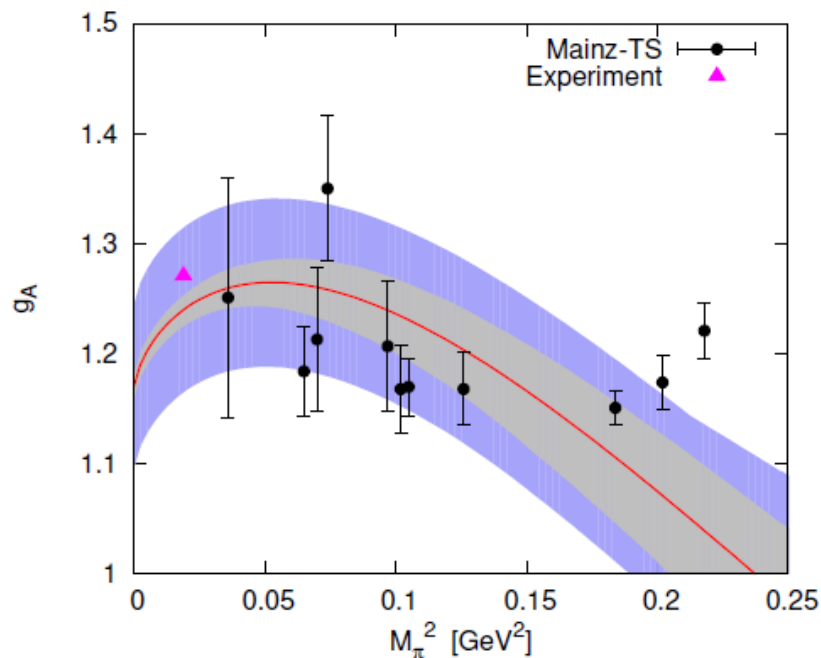
Alexandrou et al., PRD 96 (2017)

Capitani et al., arXiv:1705.06186

Gupta et al., PRD 96 (2017)

## Baryon ChPT analysis: Yao, LAR, Vicente Vacas, PRD 96 (2017)

■  $O(p^3)$ ,  $Q^2 < 0.36 \text{ GeV}^2$ ,  $130 \text{ MeV} < M_\pi < 473 \text{ MeV}$ , explicit  $\Delta(1232)$



■  $g_A = 1.237(74)$  ,  $\langle r_A^2 \rangle = 0.263(38) \text{ fm}^2$



# $F_A$ & LQCD

- Recent progress:

Alexandrou et al., PRD 96 (2017)

Capitani et al., arXiv:1705.06186

Gupta et al., PRD 96 (2017)

- **More** recent progress:

- A percent-level determination of the nucleon **axial coupling** from QCD

Chang et al., Nature 558 (2018)

- Nucleon form factors at low  $Q^2$  at the **physical point**

Shintani et al., PRD 99 (2019)

# $1\pi$ production on the nucleon

$$\nu_l N \rightarrow l \pi N'$$

■ CC:  $\nu_\mu p \rightarrow \mu^- p \pi^+$ ,  $\bar{\nu}_\mu p \rightarrow \mu^+ p \pi^-$   
 $\nu_\mu n \rightarrow \mu^- p \pi^0$ ,  $\bar{\nu}_\mu p \rightarrow \mu^+ n \pi^0$   
 $\nu_\mu n \rightarrow \mu^- n \pi^+$ ,  $\bar{\nu}_\mu n \rightarrow \mu^+ n \pi^-$

■ source of CCQE-like events (in nuclei)

■ needs to be subtracted for a good  $E_\nu$  reconstruction

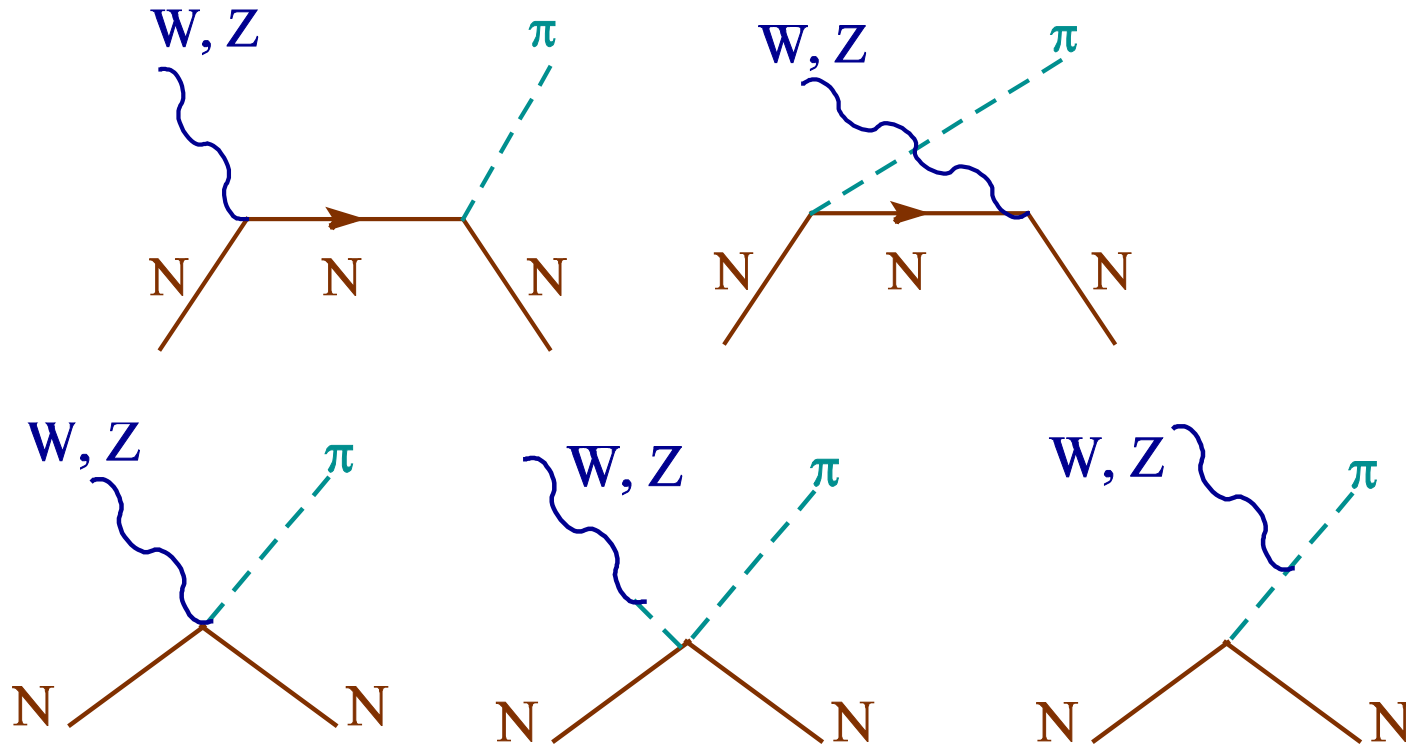
■ NC:  $\nu_\mu p \rightarrow \nu_\mu p \pi^0$ ,  $\bar{\nu}_\mu p \rightarrow \bar{\nu}_\mu p \pi^0$   
 $\nu_\mu p \rightarrow \nu_\mu n \pi^+$ ,  $\bar{\nu}_\mu n \rightarrow \bar{\nu}_\mu n \pi^0$   
 $\nu_\mu n \rightarrow \nu_\mu n \pi^0$ ,  $\bar{\nu}_\mu n \rightarrow \bar{\nu}_\mu n \pi^0$   
 $\nu_\mu n \rightarrow \nu_\mu p \pi^-$ ,  $\bar{\nu}_\mu n \rightarrow \bar{\nu}_\mu p \pi^-$

■ e-like background to  $\nu_\mu \rightarrow \nu_e$  (T2K, NOvA)

# $1\pi$ production on the nucleon

$$\nu_l N \rightarrow l \pi N'$$

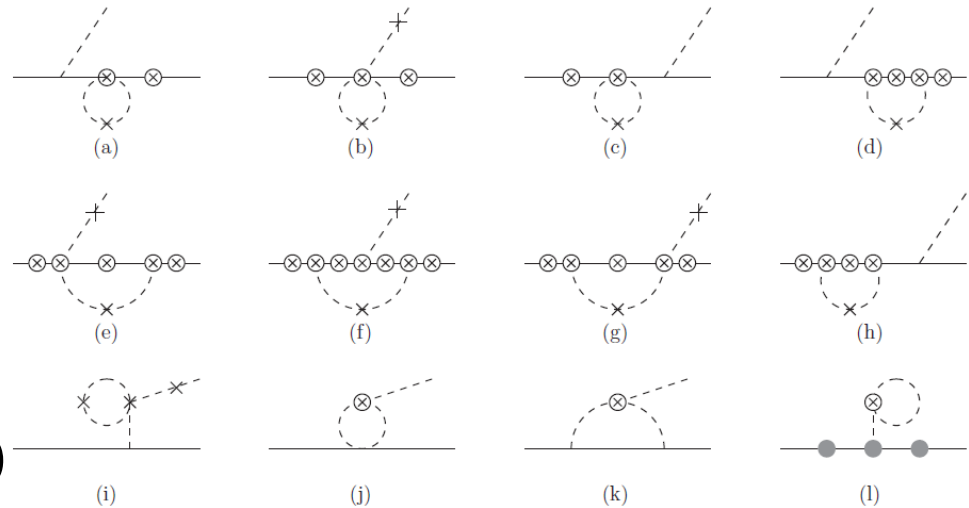
■ From Chiral symmetry:



Hernandez et al., Phys.Rev. D76 (2007) 033005

# Weak pion production in ChPT

- First comprehensive study in ChPT
- Yao, LAR, Hiller, Vicente Vacas, PRD 98 (2018);  
Yao, LAR, Vicente Vacas, PLB 794 (2019)
- EOMS, explicit  $\Delta(1232)$ ,  $O(p^3)$  in the  $\delta$ -counting:  $\delta = m_\Delta - m_N \sim O(p^{1/2})$

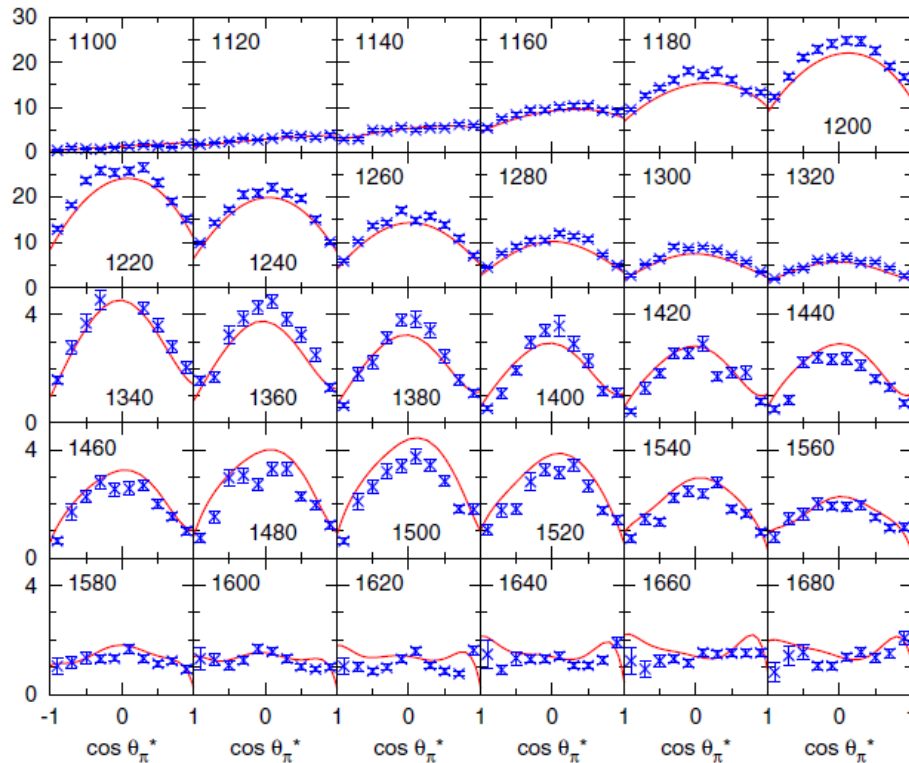


- LECs : 22 in total
  - 7 unknown (not very relevant)
    - 4 can be extracted from pion electroproduction
    - information about remaining 3 could be obtained from new close-to-threshold measurements of  $\nu$ -induced  $\pi$  production on protons
- Valid only close to threshold
- Benchmark for phenomenological models

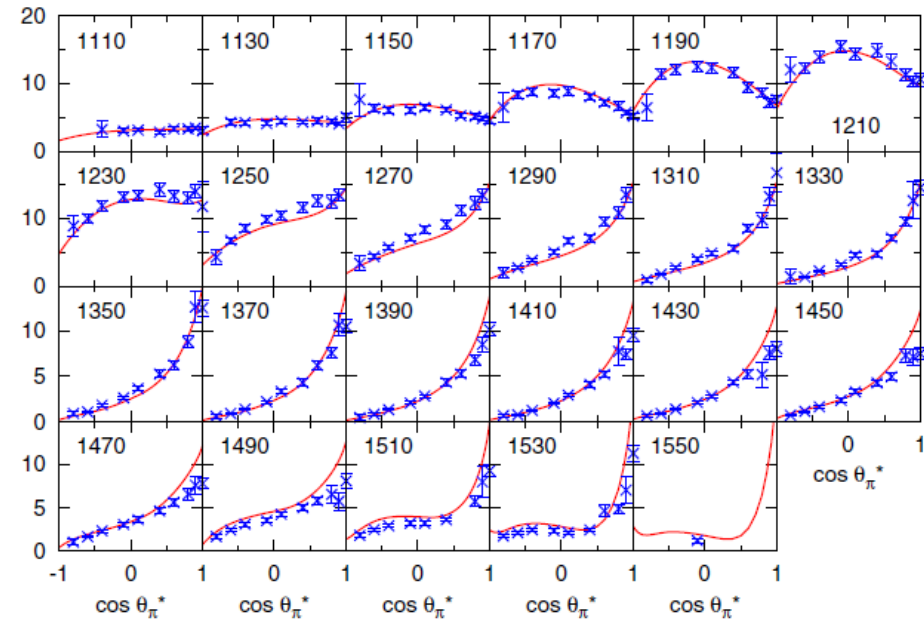
# $1\pi$ production on the nucleon

- Pheno models rely on (non- $\nu$ ) data as **input** and/or **validation**
- **Vector current** can be constrained with  $\gamma N \rightarrow N \pi$ ,  $e N \rightarrow e' N \pi$

$p(e, e' \pi^0) p$



$p(e, e' \pi^+) n$

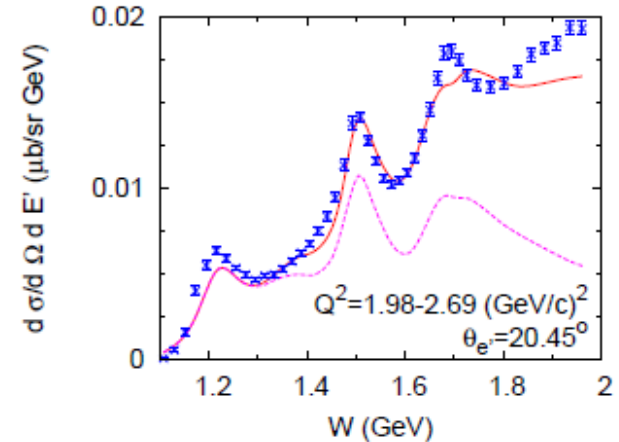
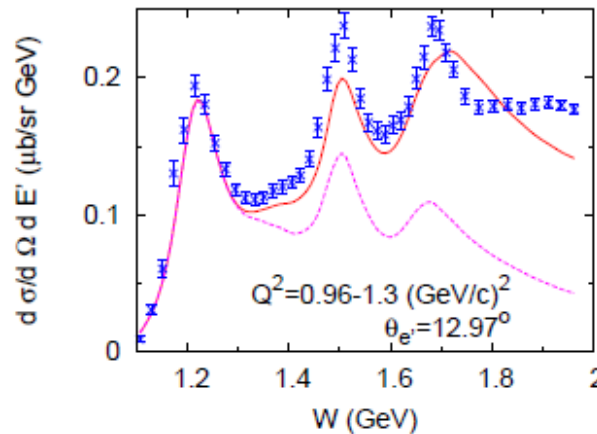
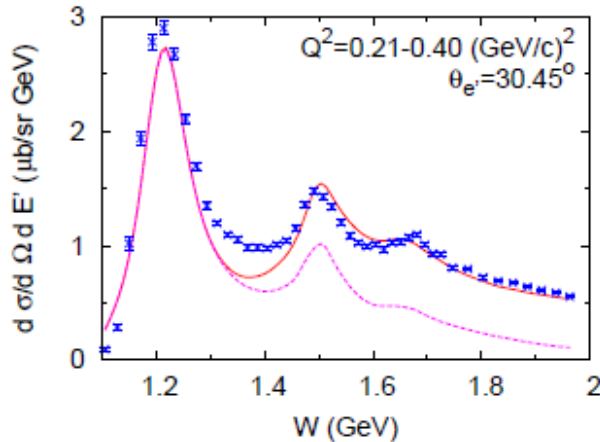


- e.g. **Dynamical Coupled Channel (DCC) Model** Nakamura et al., PRD92 (2015)

# $1\pi$ production on the nucleon

- Pheno models rely on (non- $\nu$ ) data as **input** and/or **validation**
  - **Vector current** can be constrained with  $\gamma N \rightarrow N \pi$ ,  $e N \rightarrow e' N \pi$
  - Axial current at  $q^2 \rightarrow 0$  can be constrained with  $\pi N \rightarrow N \pi$  (**PCAC**)

$$\left. \frac{d\sigma_{CC\pi}}{dE_l d\Omega_l} \right|_{q^2=0} = \frac{G_F^2 V_{ud}^2}{2\pi^2} \frac{2f_\pi^2}{\pi} \frac{E_l^2}{E_\nu - E_l} \sigma_{\pi N}$$



- e.g. **Dynamical Coupled Channel (DCC) Model** Nakamura et al., PRD92 (2015)

# $1\pi$ production on the nucleon

- Pheno models rely on (non- $\nu$ ) data as **input** and/or **validation**

- **Vector current** can be constrained with  $\gamma N \rightarrow N \pi$ ,  $e N \rightarrow e' N \pi$

- Axial current at  $q^2 \rightarrow 0$  can be constrained with  $\pi N \rightarrow N \pi$  (**PCAC**)

$$\left. \frac{d\sigma_{CC\pi}}{dE_l d\Omega_l} \right|_{q^2=0} = \frac{G_F^2 V_{ud}^2}{2\pi^2} \frac{2f_\pi^2}{\pi} \frac{E_l^2}{E_\nu - E_l} \sigma_{\pi N}$$

- Very limited information about the axial current at  $q^2 \neq 0$

- Some on  $N$ - $\Delta(1232)$  from **ANL** and **BNL** data on

$$\nu_\mu d \rightarrow \mu^- \pi^+ p n$$

$$C_5^A = C_5^A(0) \left( 1 + \frac{Q^2}{M_{A\Delta}^2} \right)^{-2} \quad M_{A\Delta} = 0.95 \pm 0.06 \text{ GeV}$$

LAR, Hernandez, Nieves, Vicente Vacas, PRD93(2016)  
Hernandez, Nieves, PRD 95 (2017)

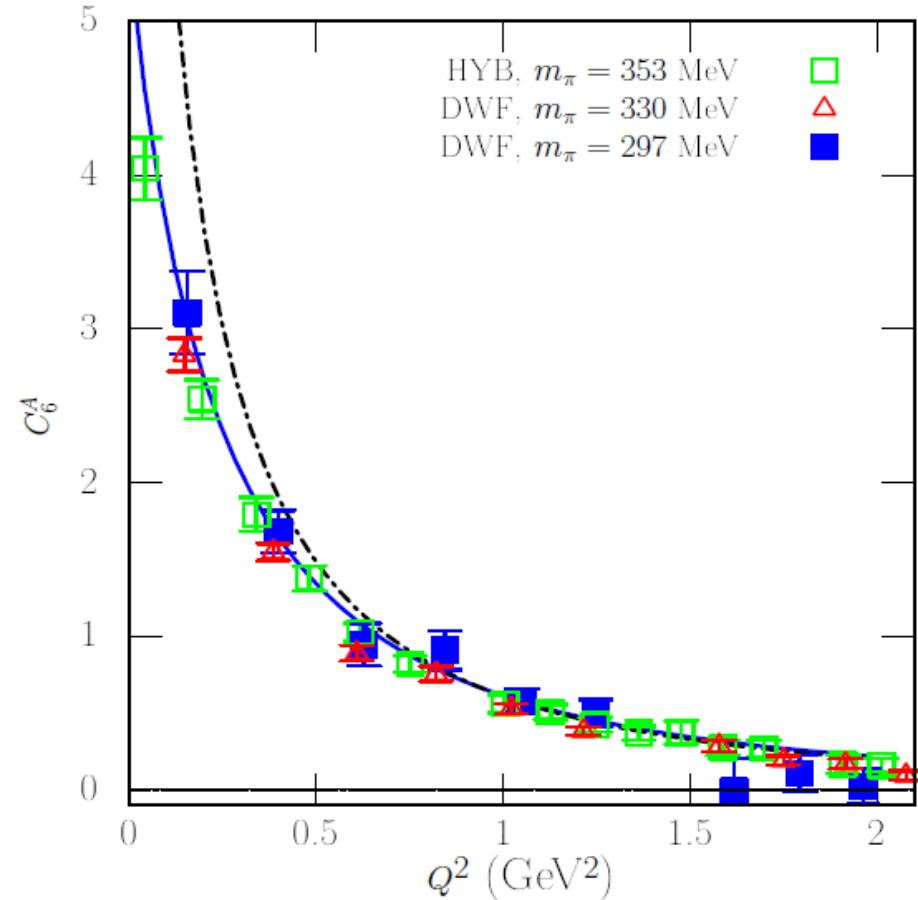
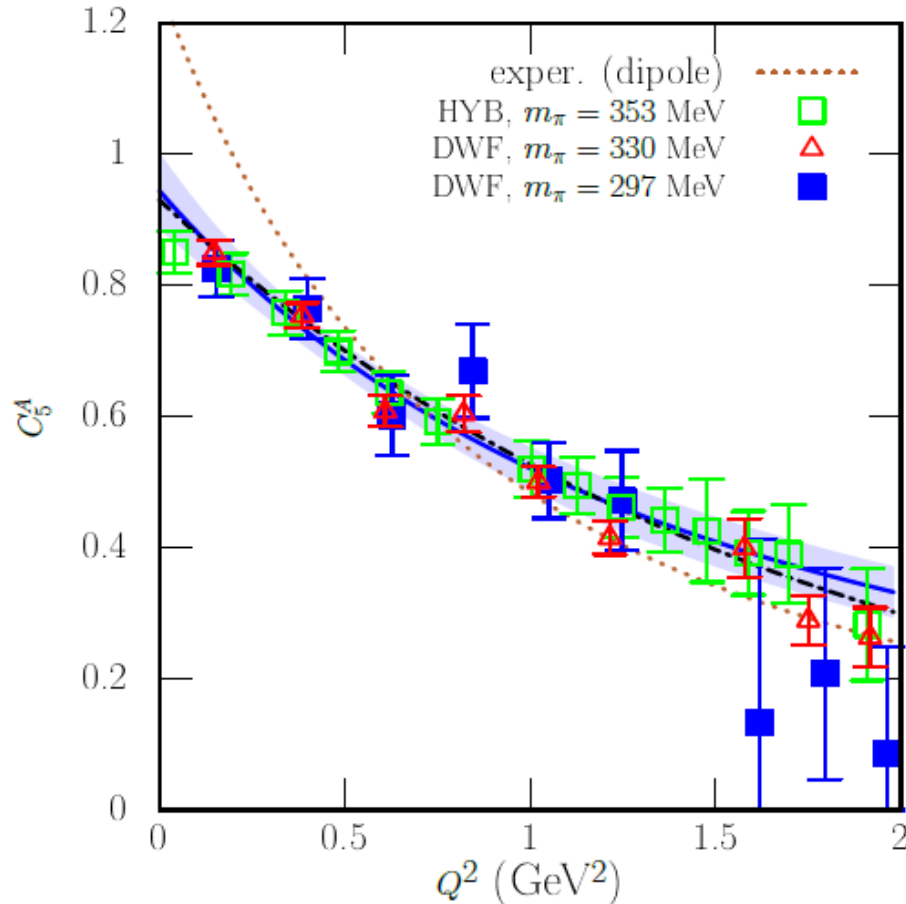
- **Little** (no) sensitivity to **heavier baryon** resonances

- Lattice QCD

# Inelastic form factors & LQCD

## ■ N- $\Delta$ axial form factors in LQCD

Alexandrou et al., PRD83 (2011)



"The  $\Delta$  is hard enough..." C. Morningstar @ NSTAR 2019



# $1\pi$ production on the nucleon

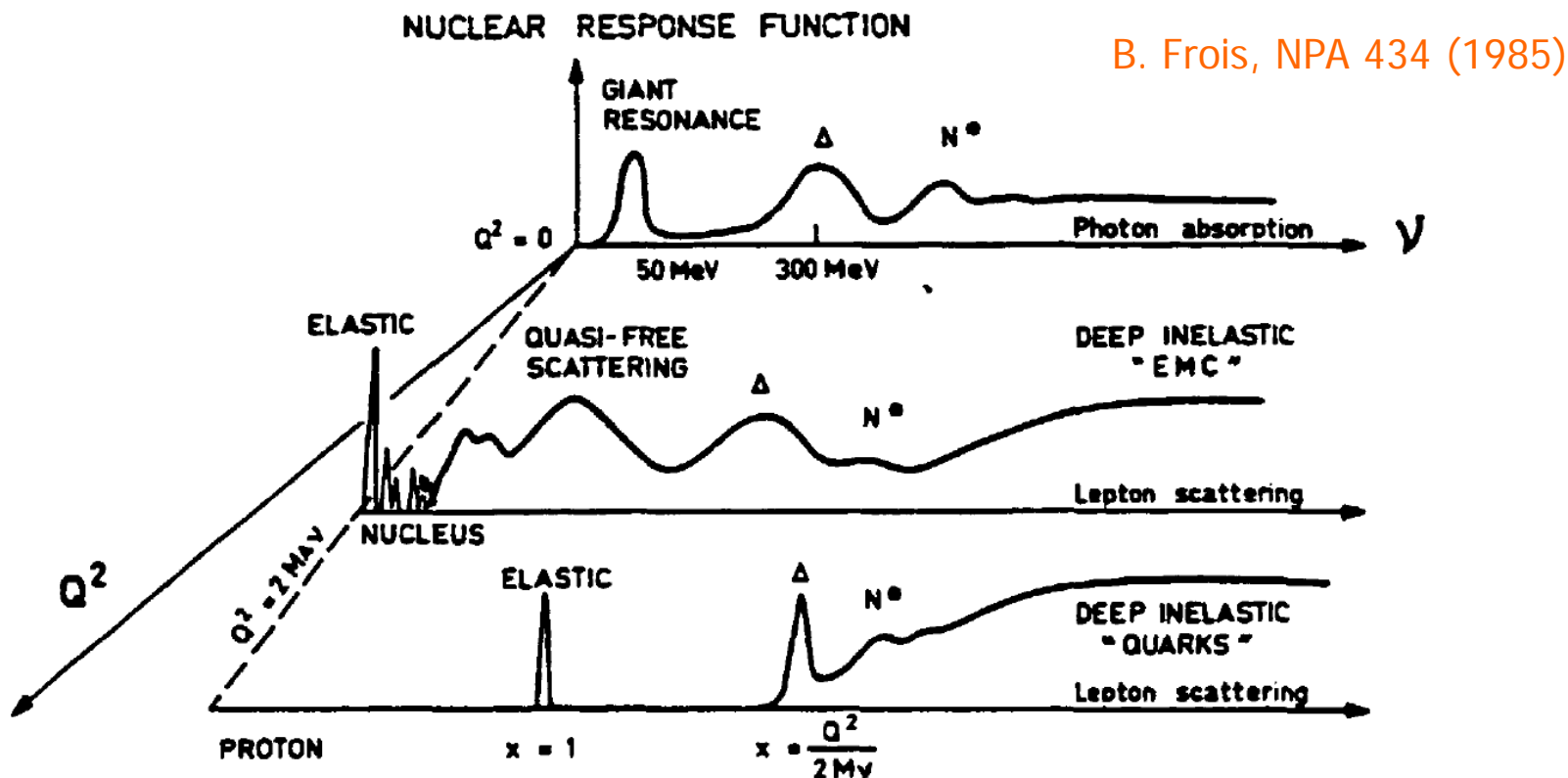
- Pheno models rely on (non- $\nu$ ) data as **input** and/or **validation**
  - **Vector current** can be constrained with  $\gamma N \rightarrow N \pi$ ,  $e N \rightarrow e' N \pi$
- Axial current at  $q^2 \rightarrow 0$  can be constrained with  $\pi N \rightarrow N \pi$  (**PCAC**)

$$\left. \frac{d\sigma_{CC\pi}}{dE_l d\Omega_l} \right|_{q^2=0} = \frac{G_F^2 V_{ud}^2}{2\pi^2} \frac{2f_\pi^2}{\pi} \frac{E_l^2}{E_\nu - E_l} \sigma_{\pi N}$$

- Very limited information about the **axial current** at  $q^2 \neq 0$ 
  - Some on  $N$ - $\Delta(1232)$  from **ANL** and **BNL** data on  $\nu_\mu d \rightarrow \mu^- \pi^+ p n$
  - **Little** (no) sensitivity to **heavier baryon** resonances
  - **Lattice QCD**
  - Direct or indirect **CC1 $\pi$  measurement** on  $n/p$
  - There are **hints** (**T. Sato @ ECT\* 2019**) that a  $q^2$  dependence similar to the one exhibited by **vector** form factors might be **more realistic**

# Neutrino interactions on nuclei

- Multiscale (even at a given  $E_\nu$ ), multi-nucleon problem



- Shell structure, collective excitations, QE peak, ...
- initial state description: non-relativistic
- final state interactions: (relativistic)  $NN$ ,  $\pi N$ , ...

# QE scattering

## Initial nucleon:

### ■ Local Fermi Gas

■ Fermi motion:  $p_F(r) = [\frac{3}{2}\pi^2\rho(r)]^{1/3}$

### ■ (Relativistic) mean field potential

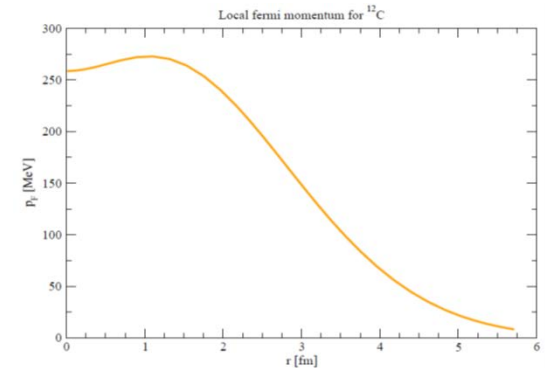
■ Schrödinger/Dirac eq.  $\Rightarrow$  bound-state wave functions

### ■ Spectral function

$$\mathcal{A}(p) = \mp \frac{1}{\pi} \frac{\text{Im}\Sigma(p)}{[p^2 - M^2 - \text{Re}\Sigma(p)]^2 + [\text{Im}\Sigma(p)]^2}$$

■  $\text{Im}\Sigma = 0 \Rightarrow$  mean-field approximation

■  $\text{Im}\Sigma \Leftrightarrow$  NN interactions  $\Rightarrow$  short-range correlations



# QE scattering

Final nucleon:

- Local Fermi Gas

- Pauli blocking:  $p_F(r) = [\frac{3}{2}\pi^2\rho(r)]^{1/3}$

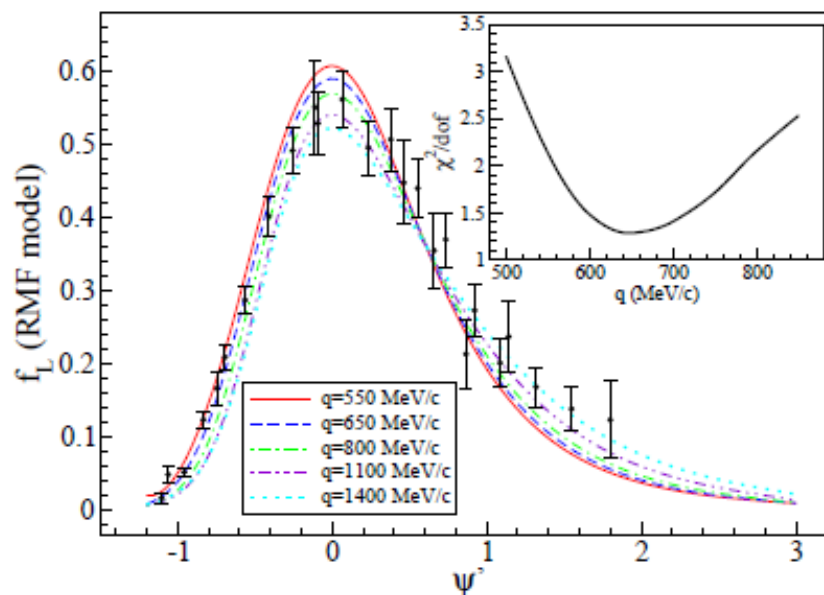
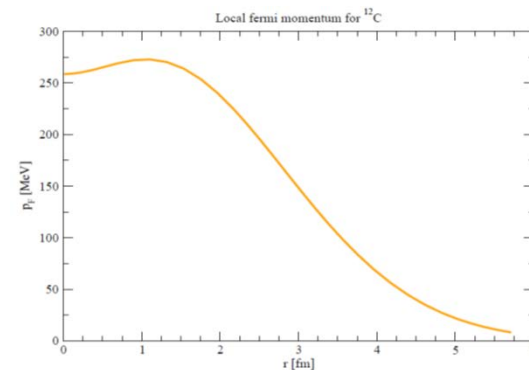
- Plain waves

- Distorted waves

- Schrödinger/Dirac eq.  $\Rightarrow$  continuum wave functions

- Relativistic mean field for both initial and final nucleons  
 $\Rightarrow$  realistic scaling function

R. Gonzalez et al., PRC 94 (2014)



# QE scattering

## Final nucleon:

- Local Fermi Gas

- Pauli blocking:  $p_F(r) = [\frac{3}{2}\pi^2\rho(r)]^{1/3}$

- Plain waves

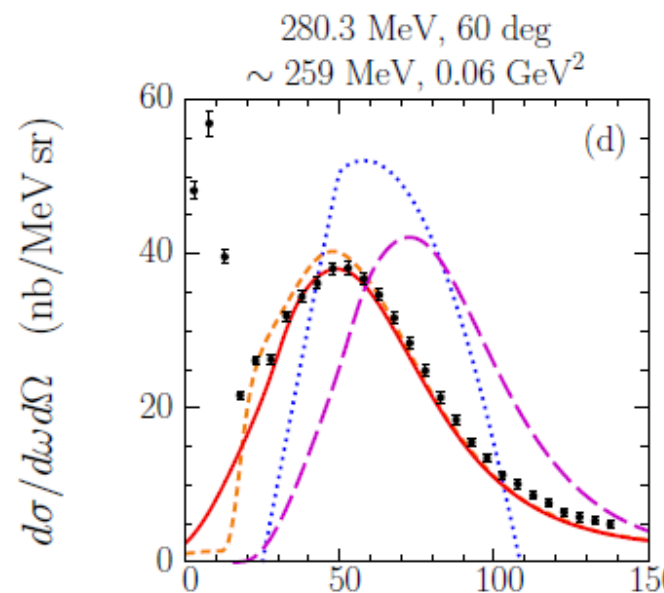
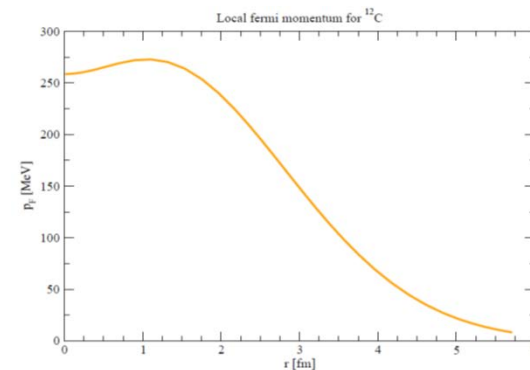
- Distorted waves

- Schrödinger/Dirac eq.  $\Rightarrow$  continuum wave functions

- Approximate spectral functions

- Improves the description of (e,e') at low-momentum transfers

Ankowski et al., PRD 91 (2015)



# QE scattering

## Final nucleon:

- Local Fermi Gas

- Pauli blocking:  $p_F(r) = [\frac{3}{2}\pi^2\rho(r)]^{1/3}$

- Plain waves

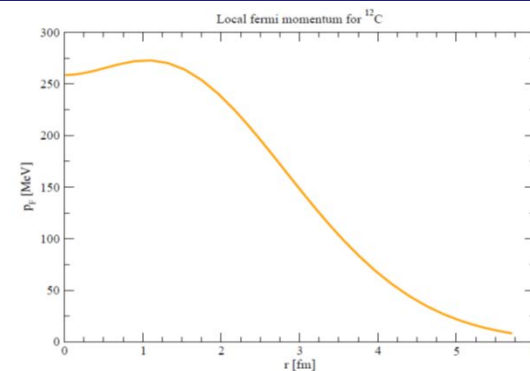
- Distorted waves

- Schrödinger/Dirac eq.  $\Rightarrow$  continuum wave functions

- Approximate spectral functions

- Improves the description of (e,e') at low-momentum transfers

Ankowski et al., PRD 91 (2015)



## Exclusive final states:

- QM: Distorted waves with complex optical potentials: 1N knockout

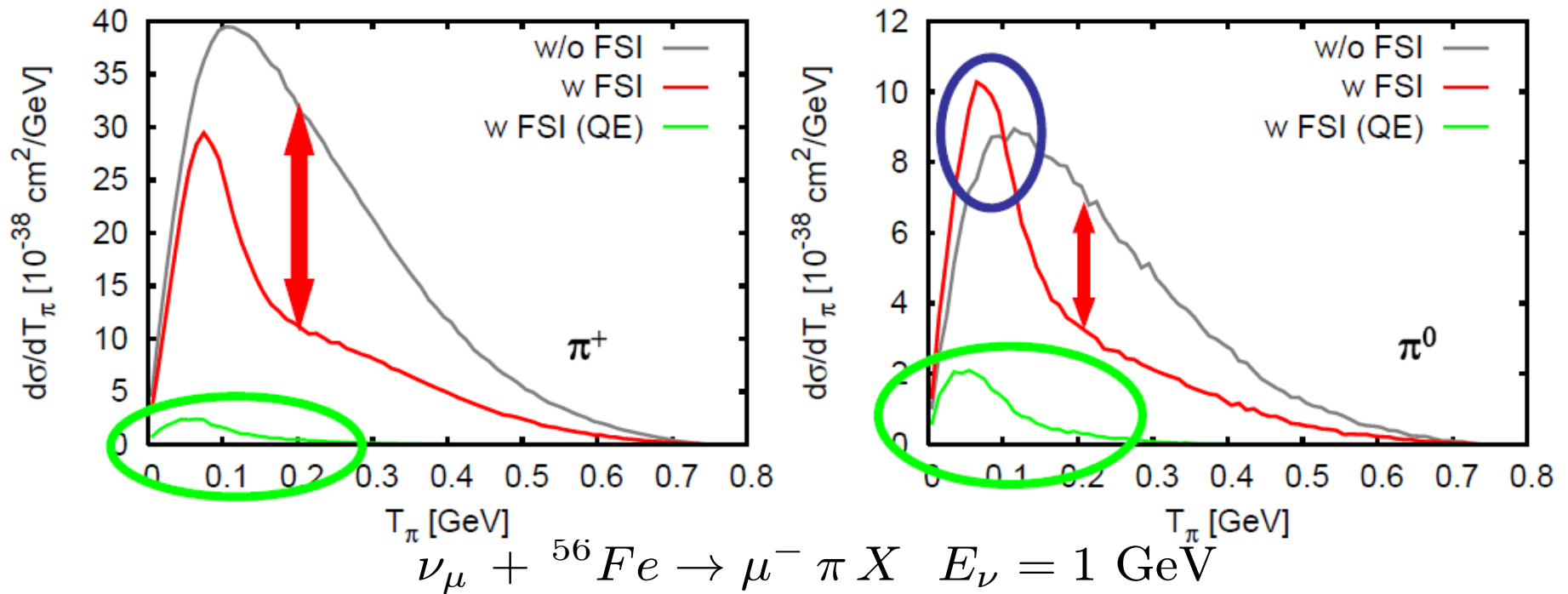
- Semi-classical:

- Cascade: straightline trajectories + NN elastic and inelastic collisions

- Transport (GiBUU): trajectories in a (x,p) dep. potential + NN collisions

# $1\pi$ production on nuclei

- GiBUU Leitner, LAR, Mosel, PRC 73 (2006)
  - Effects of FSI on pion kinetic energy spectra
    - strong absorption in  $\Delta$  region
    - side-feeding from dominant  $\pi^+$  into  $\pi^0$  channel
    - secondary pions through FSI of initial QE protons

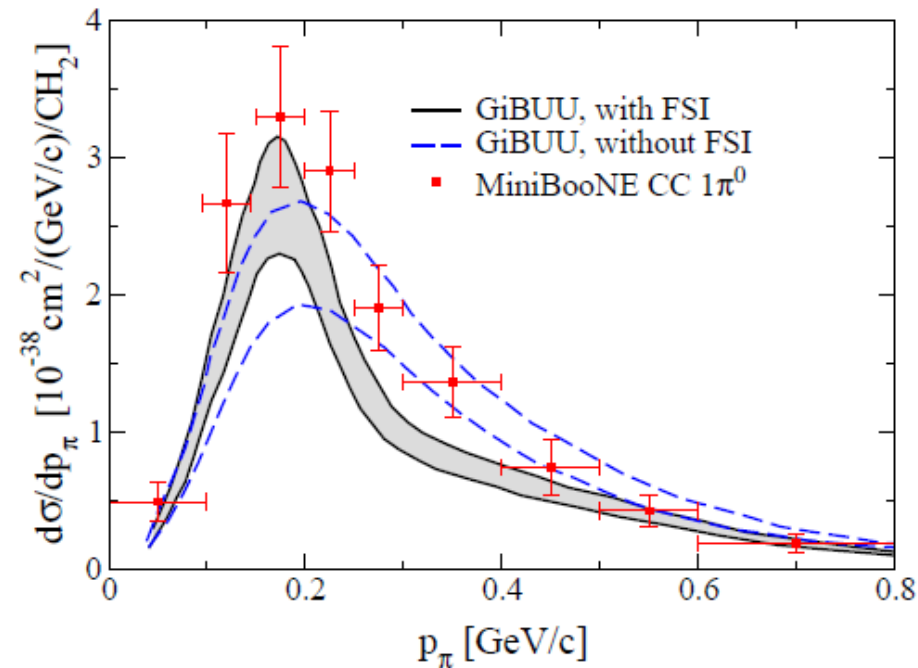


# $\pi$ production on $^{12}\text{C}$

Comparison to MiniBooNE:

Lalakulich, Mosel, PRC87 (2013)

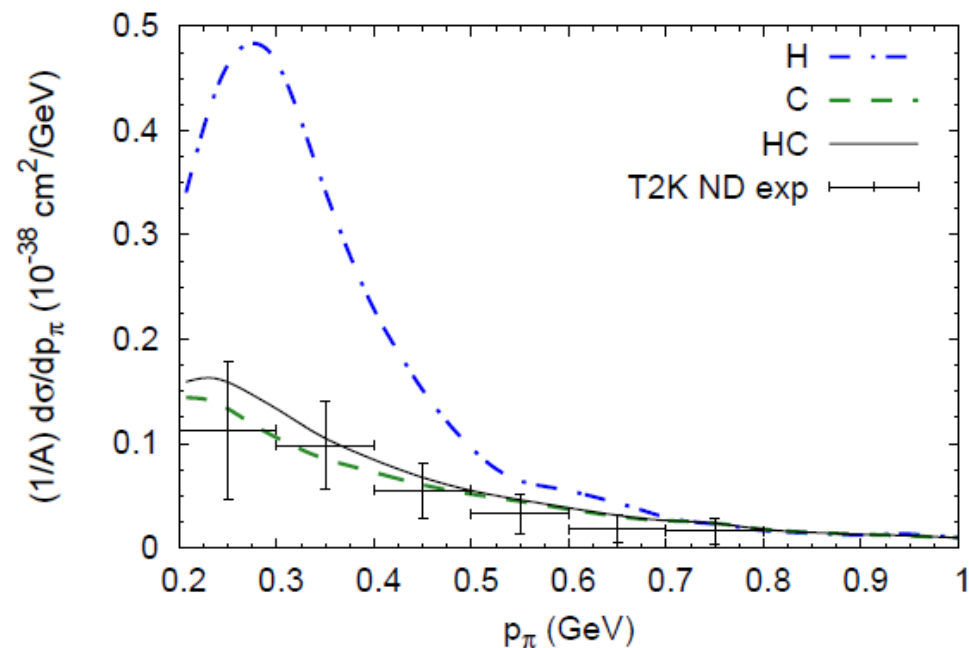
CC $\pi^0$  data: Aguilar-Arevalo, PRD83 (2011)



Comparison to T2K:

Mosel, Gallmeister, PRC99 (2019)

CC $\pi^\pm$  data: R. Castillo, PhD Thesis (2015)



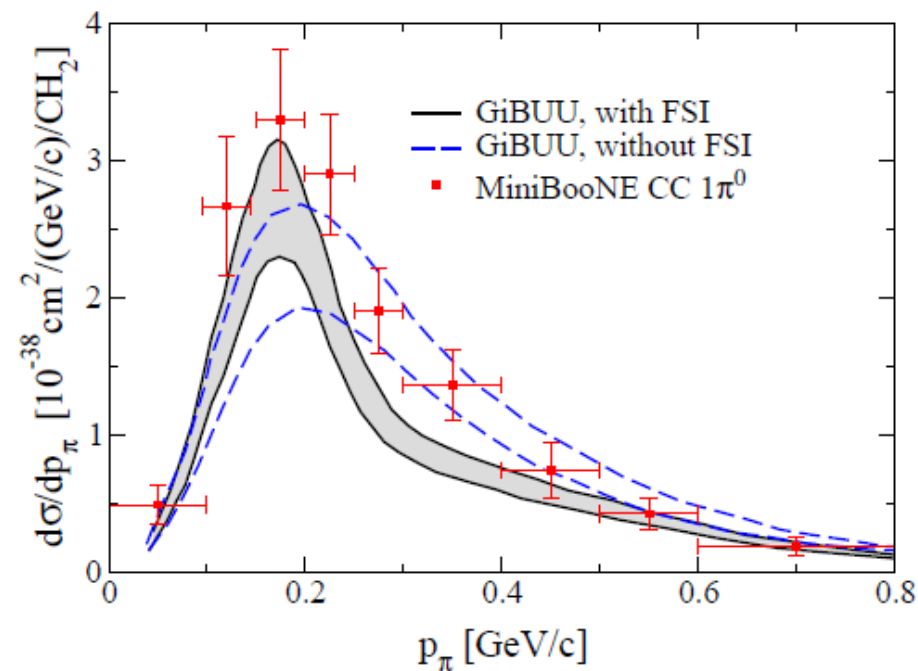


# $\pi$ production on $^{12}\text{C}$

Comparison to **MiniBooNE**:

Lalakulich, Mosel, PRC87 (2013)

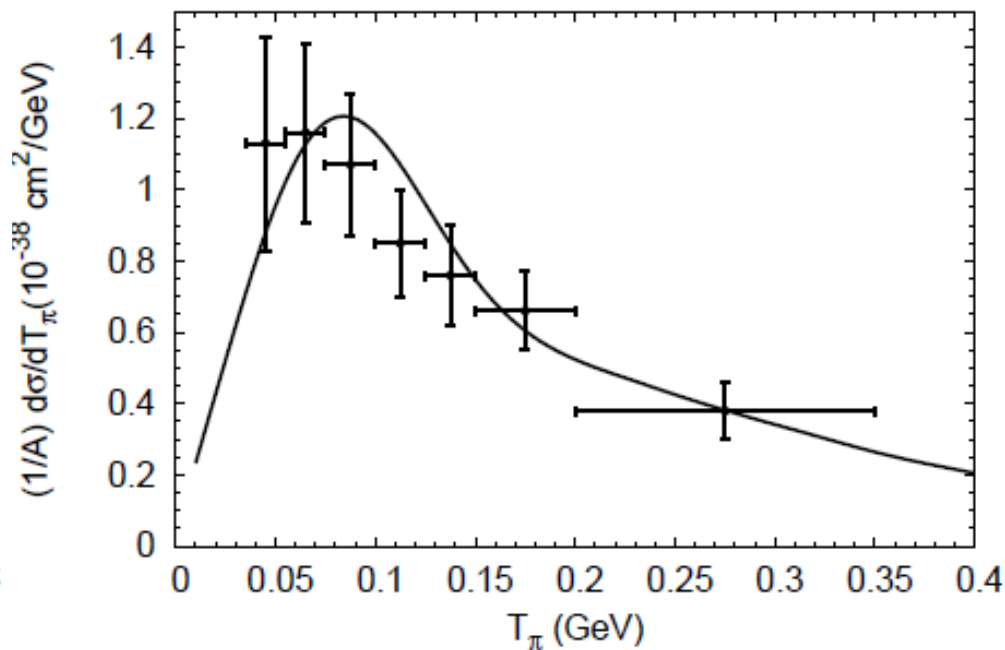
CC $\pi^0$  data: Aguilar-Arevalo, PRD83 (2011)



Comparison to **MINERvA**:

Mosel, Gallmeister, PRC96 (2017)

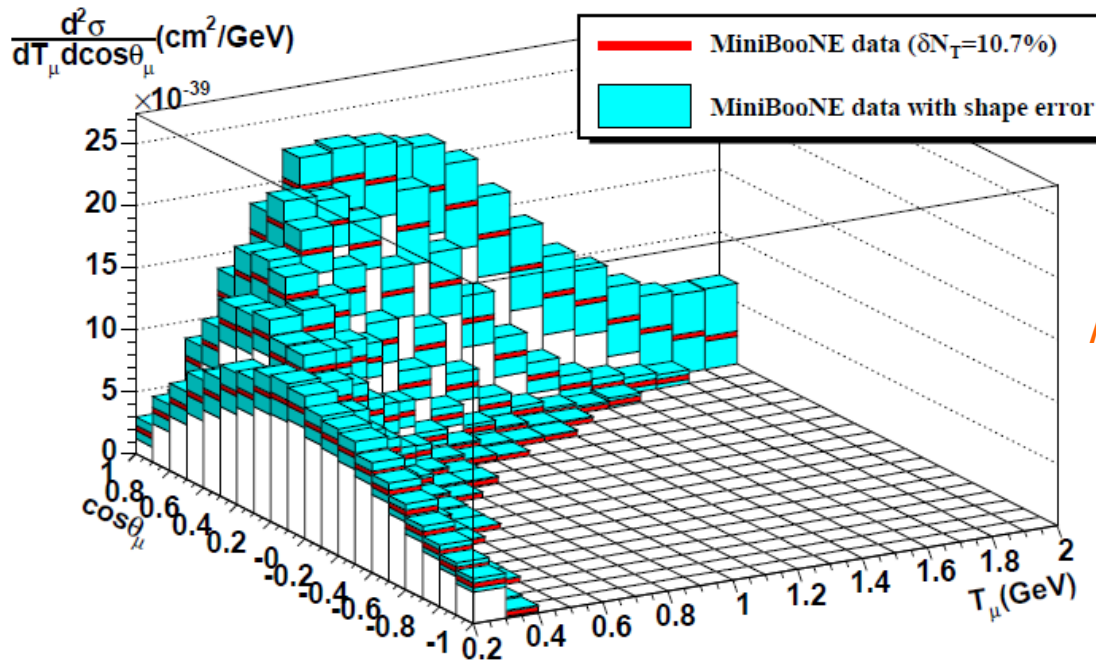
CC $\pi^\pm$  data: Eberly et al., PRD 92 (2015)



- In spite of flux difference, **MiniBooNE** and **MINERvA** data probe the **same dynamics** and should be strongly **correlated** Sobczyk, Zmuda, PRC 91 (2015)

# Two-nucleon currents

- MiniBooNE data for “CCQE” 2D cross section:



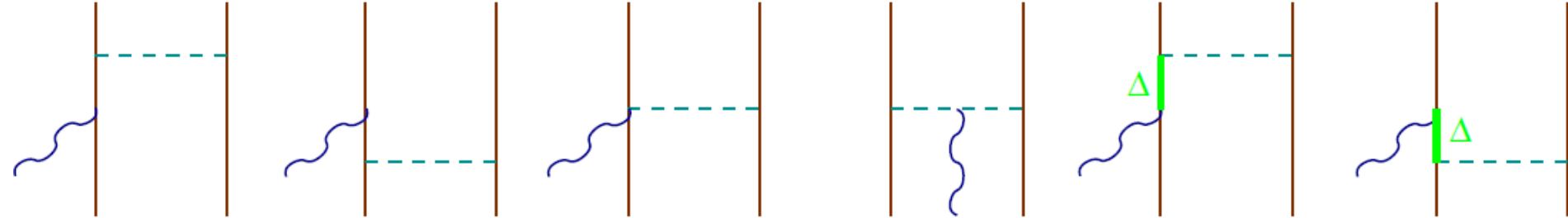
Aguilar-Arevalo et al., PRD81 (2010)

can be explained with a Relativistic Fermi Gas model and  $M_A \approx 1.35 \text{ GeV}$

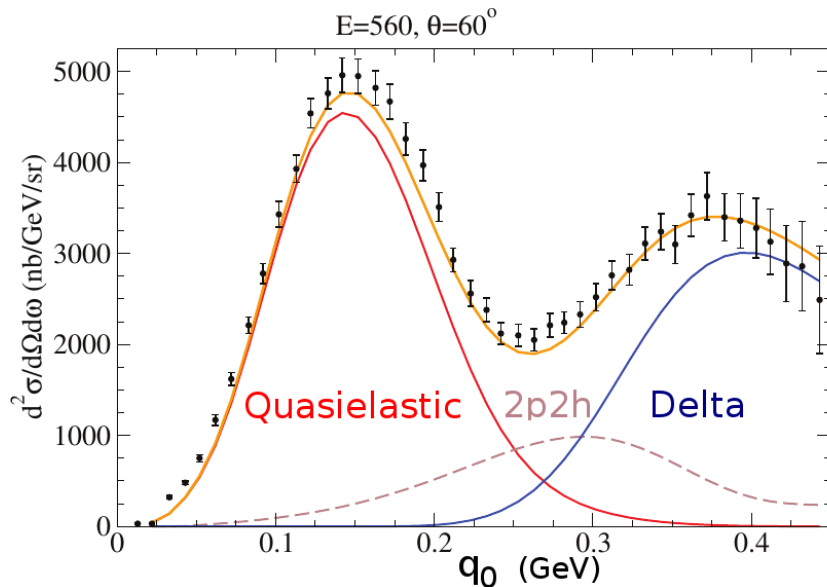
- in disagreement with  $M_A \approx 1 \text{ GeV}$  from bubble chamber data
- but consistent with  $F_A$  from the z-expansion

# Two-nucleon currents

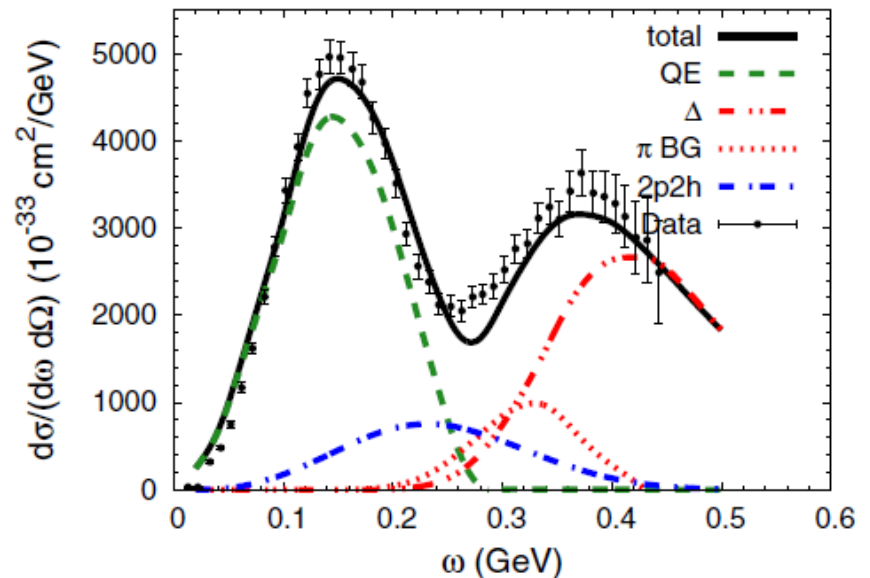
- 2-nucleon EW currents exist (are allowed by symmetries)



- Sizable contribution can be inferred from  $A(e,e')X$



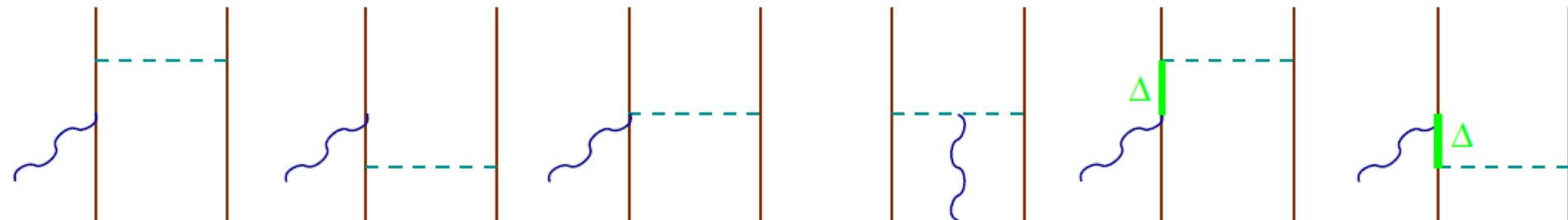
Megias et al., PRD 94 (2016)



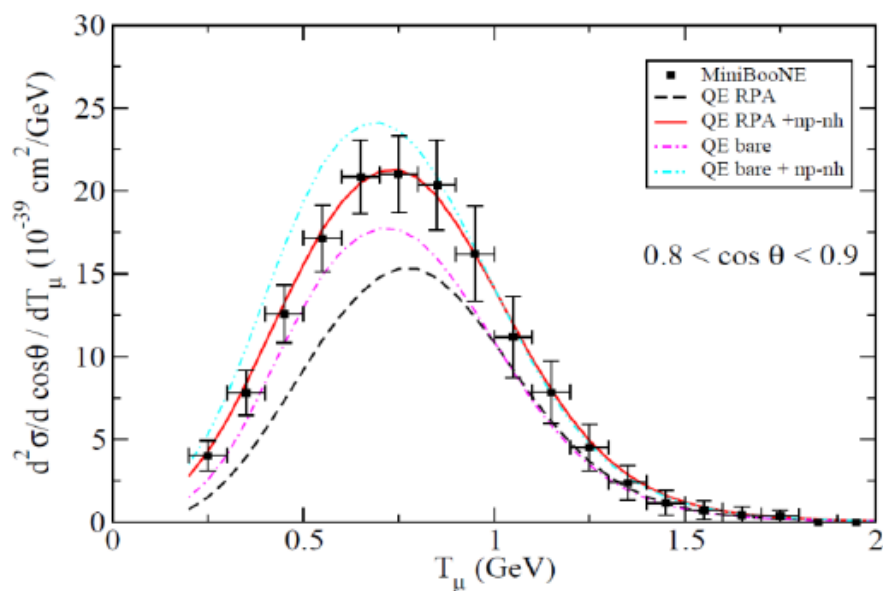
Gallsmeiter et al., PRD 94 (2016)

# Two-nucleon currents

- 2-nucleon EW currents exist (are allowed by symmetries)

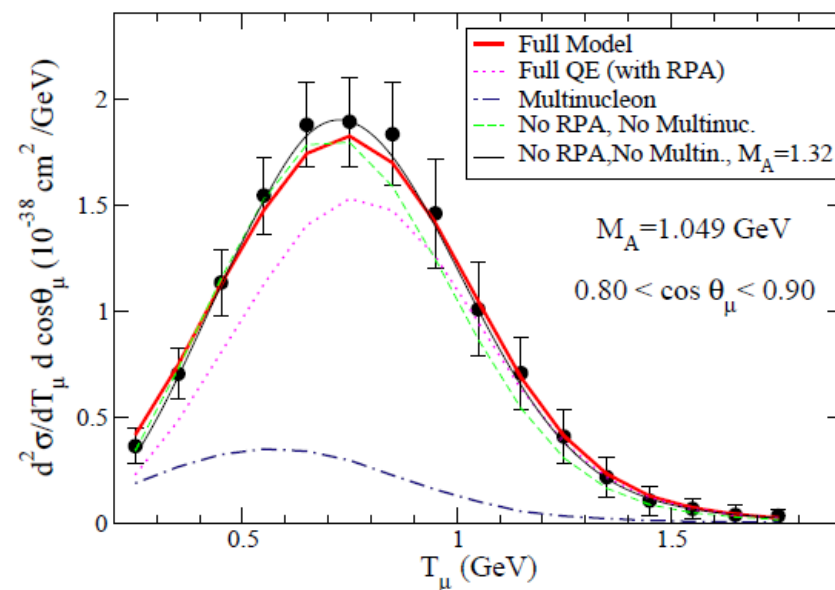


- together with better QE nuclear models can explain MiniBooNE data with  $M_A \approx 1$  GeV



Martini et al.

L. Alvarez-Ruso, IFIC



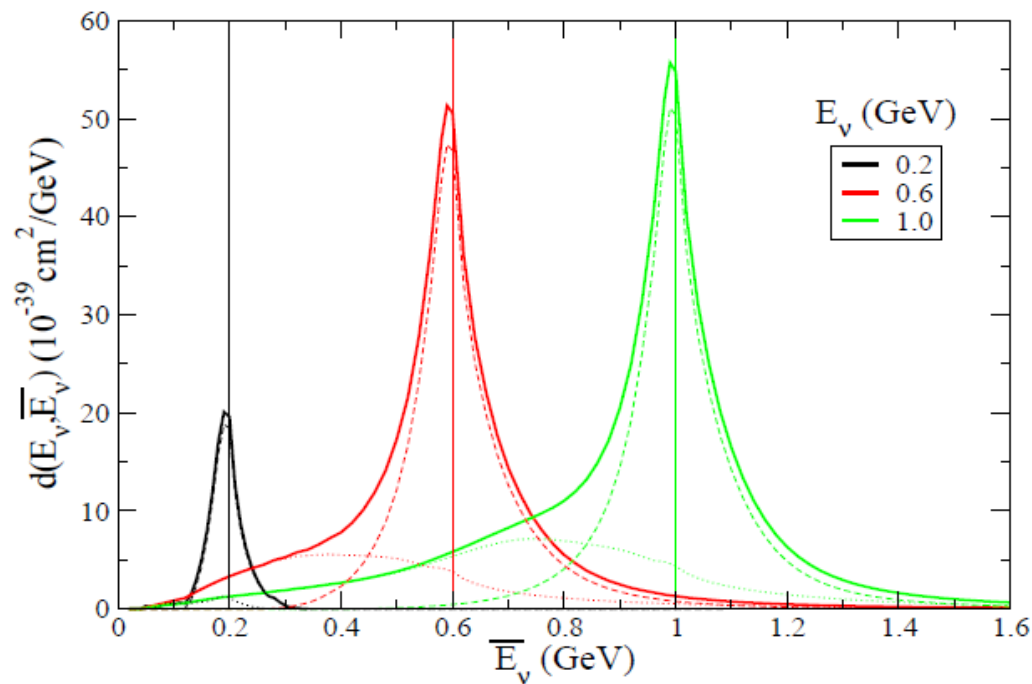
Nieves et al.

JPARC Symposium 2019

# Two-nucleon currents

- Large implications for oscillation measurements
  - bias in (kinematic)  $E_\nu$  reconstruction

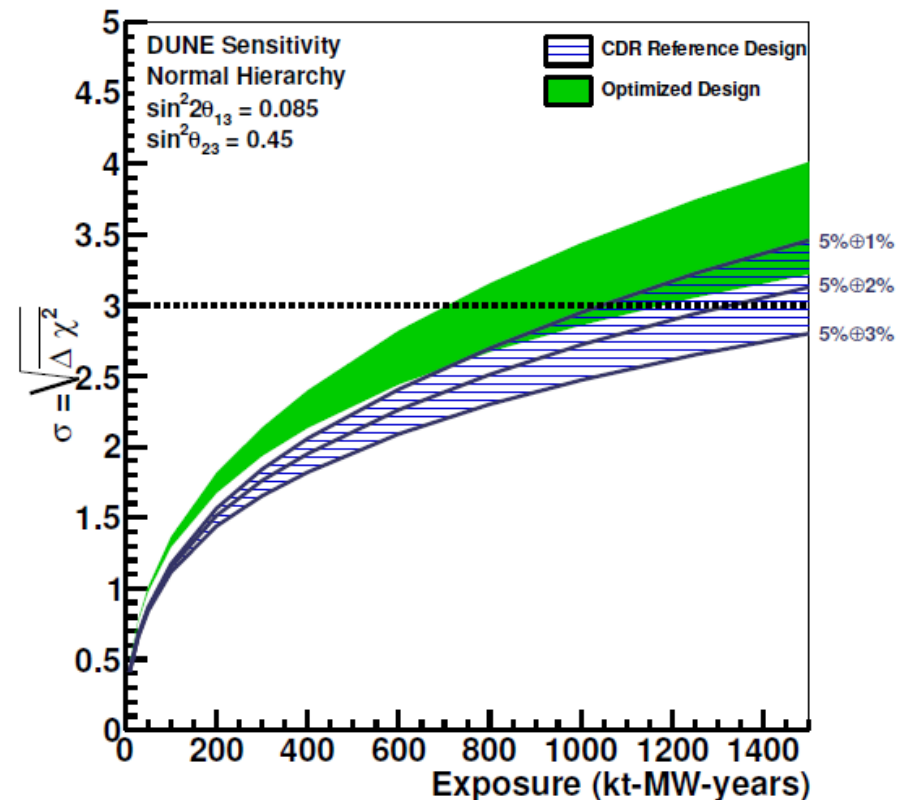
$$E_\nu^{\text{QE}} = \frac{2m_n E_\mu - m_\mu^2 - m_n^2 + m_p^2}{2(m_n - E_\mu + p_\mu \cos \theta_\mu)}$$



Martini et al., PRD 87 (2013)

# Conclusion

75% CP Violation Sensitivity



R. Acciarri et al., arXiv:1512.06148

" (...) the impact of **pion** and **nucleon production** through higher-energy inelastic interactions could play a **key role**. For instance, **particles produced in nuclear interactions below detection threshold**, or **neutrons escaping detection**, can lead to a large amount of **missing energy**. These effects are difficult to quantify as they rely on the predictions of a given nuclear model. Unless they are kept under control, they will generate a **bias in the determination of neutrino energy towards lower energies**, which in turn would translate into a **wrong determination** of the value of  $\delta_{CP}$ ."

LAR et al., NuSTEC White Paper,  
Prog. Part. Nucl. Phys. 100 (2018)

- Systematic errors are expensive: theory can help...